Edgar Filing: FODELL THOMAS P - Form 3

FODELL THOMAS P Form 3 March 12, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BARNES GROUP INC [B] FODELL THOMAS P (Month/Day/Year) 03/12/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) BARNES GROUP INC., 123 (Check all applicable) MAIN STREET (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Pres, Barnes Distribution Person BRISTOL, CTÂ 06010 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 61,618 (1) D By Company's Employee Stock Ι Common Stock 666.5402 Purchase Plan Common Stock 147.2735 I By Company's 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

Edgar Filing: FODELL THOMAS P - Form 3

	1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security: Security Direct (D) or Indirect (I) (Instr. 5)		
	Stock Option-Right to Buy	(2)	02/13/2018	Common Stock	7,000	\$ 26.38	D	Â
	Stock Option-Right to Buy	(3)	02/08/2020	Common Stock	1,066	\$ 15.265	D	Â
	Stock Option-Right to Buy	(4)	02/09/2021	Common Stock	4,733	\$ 20.69	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
F	Director	10% Owner	Officer	Other		
FODELL THOMAS P BARNES GROUP INC. 123 MAIN STREET BRISTOL Â CTÂ 06010	Â	Â	Pres, Barnes Distribution	Â		

Signatures

Monique B. Marchetti, pursuant to a Power of Attorney 03/12/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a balance of 1465 Restricted Stock Units granted 2/8/12 and 1465 Performance Share Awards granted 2/8/12, that are subject to forfeiture if certain events occur.
- (2) The options vest 33.334% on the 18th month and 33.333% on the 30th and 42nd months beginning 2/13/08.
- (3) The options vest 33.334% on the 18th month and 33.333% on the 30th and 42nd months beginning 2/8/10.
- (4) The options vest 33.334% on the 18th month and 33.333% on the 30th and 42nd months beginning 2/9/11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2