**GATES SIGNE S** 

Form 4

September 08, 2009

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Expires:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005 Estimated average

0.5

of

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GATES SIGNE S** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

BARNES GROUP INC [B]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director

BARNES GROUP INC., 123 MAIN

(Street)

09/04/2009

(Month/Day/Year)

10% Owner \_X\_\_ Officer (give title Other (specify

STREET

Sr. VP, Gen. Counsel & Sec.

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

below)

BRISTOL, CT 06011-0489

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |   |     |                |  |  |   |
|--------------------------------------|---|--|-----------------|---|-----|----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | Code (Instr. 8) | Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |     | (D)<br>(5)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 09/04/2009                              |  | Code V<br>M     | Amount 15,100 (1)   | (D) | Price \$ 14.77 | 0  | D  |   |
| Common<br>Stock                      | 09/04/2009                              |  | M               | 9,666<br>(1)  | A   | \$<br>14.5575  | 0  | D  |   |
| Common<br>Stock                      | 09/04/2009                              |  | M               | 2,810<br>(1)  | A   | \$ 14.495      | 0  | D  |   |
| Common<br>Stock                      | 09/04/2009                              |  | M               | 4,226<br>(1)  | A   | \$ 13.6        | 0  | D  |   |
| Common<br>Stock                      | 09/04/2009                              |  | M               | 11,198<br>(1)   | A   | \$<br>13.3875  | 0  | D  |   |

## Edgar Filing: GATES SIGNE S - Form 4

Common 43,000 108,783.539 D 09/04/2009 S 15.0837 (2) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount<br>Underlying Securitie<br>(Instr. 3 and 4) |                                  |
|---|---|---|---|--|--|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amour<br>or<br>Number<br>of Shar |
| Employee Stk<br>Option-Right<br>to Buy              | \$ 14.77  | 09/04/2009                              |   | M                                      | 15,100   | (3)  | 02/11/2014         | Common<br>Stock   | 15,10                            |
| Employee Stk<br>Option-Right<br>to Buy              | \$ 14.5575  | 09/04/2009                              |   | M                                      | 9,666  | <u>(5)</u>   | 02/05/2012         | Common<br>Stock   | 9,66                             |
| Employee Stk<br>Option-Right<br>to Buy              | \$ 14.495   | 09/04/2009                              |   | M                                      | 2,810  | <u>(6)</u>   | 02/06/2011         | Common<br>Stock   | 2,81                             |
| Employee Stk<br>Option-Right<br>to Buy              | \$ 13.6   | 09/04/2009                              |   | M                                      | 4,226  | <u>(7)</u>   | 02/06/2011         | Common<br>Stock   | 4,22                             |
| Employee Stk<br>Option-Right<br>to Buy              | \$ 13.3875  | 09/04/2009                              |   | M                                      | 11,198   | (8)  | 02/06/2011         | Common<br>Stock   | 11,19                            |

# **Reporting Owners**

| Reporting Owner Name / Address | Keiationsnips |           |                             |       |  |  |  |
|--------------------------------|---------------|-----------|-----------------------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer                     | Other |  |  |  |
| GATES SIGNE S                  |               |           | Sr. VP, Gen. Counsel & Sec. |       |  |  |  |
| BARNES GROUP INC.              |               |           |                             |       |  |  |  |
| 123 MAIN STREET                |               |           |                             |       |  |  |  |

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#### BRISTOL, CT 06011-0489

# **Signatures**

Signe S. Gates 09/08/2009

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction is made in accordance with a 10b5-1 Plan.
  - Includes 3332 restricted stock units from 2/15/06 grant, 3596 from 2/14/07 grant, 4500 from 2/13/08 grant and 9700 from 2/10/09 grant,
- (2) and balances of 1800 performance share awards from 2/14/07 grant and 3000 from 2/13/08 grant, that are subject for forfeiture if certain events occur.
- (3) The options fully vested on 8/11/2008.
- **(4)** 1 for 1.
- (5) The options vested 100% immediately on 4/29/2005.
- (6) The options vested 100% immediately on 10/29/2003.
- (7) The options vested 100% immediately on 4/29/2004.
- (8) The options vested 100% immediately on 2/26/2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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