

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

TRI CONTINENTAL CORP

Form NSAR-B

March 01, 2002

PAGE 1
000 B000000 12/31/2001
000 C000000 099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-266
001 C000000 2128501864
002 A000000 100 PARK AVENUE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10017
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
008 A000001 J. & W. SELIGMAN & CO. INCORPORATED
008 B000001 A
008 C000001 801-15798
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10017
012 A000001 SELIGMAN DATA CORP.
012 B000001 84-1895
012 C010001 NEW YORK
PAGE 2
012 C020001 NY
012 C030001 10017
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10281

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

014 A000001 SELIGMAN SERVICES, INC.
014 B000001 8-47717
015 A000001 STATE STREET BANK & TRUST COMPANY
015 B000001 C
015 C010001 KANSAS CITY
015 C020001 MO
015 C030001 64105
015 C040001 1307
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 61
019 C000000 JWSELIGMAN
020 A000001 WEEDEN & CO.,
020 B000001 13-3364318
020 C000001 3415
020 A000002 LEHMAN BROTHERS, INC.
020 B000002 13-2518466
020 C000002 1708
020 A000003 SOLOMON SMITH BARNEY, INC.
020 B000003 13-1912900
020 C000003 750
020 A000004 INSTINET CORPORATION
020 B000004 13-3443395
020 C000004 269
020 A000005 CIBC OPPENHEIMER & CO., INC.
020 B000005 13-2798343
020 C000005 257
020 A000006 AUTRANET, INC.
020 B000006 13-2961507
020 C000006 249
020 A000007 CREDIT SUISSE FIRST BOSTON CORPORATION
020 B000007 13-5659485
020 C000007 228
020 A000008 UBS WARBURG LLC
020 B000008 13-3873456
020 C000008 188
020 A000009 GOLDMAN, SACHS & CO.
020 B000009 13-5108880
020 C000009 165
020 A000010 MERRILL, LYNCH, PIERCE, FENNER & SMITH, INC.
020 B000010 13-5674085
020 C000010 146
021 000000 8799
022 A000001 BAYERISCHE HYPO-UND VEREINSBANK-GRAND CAYMAN
PAGE 3
022 B000001 13-2774123
022 C000001 8889900
022 D000001 0
022 A000002 BANK OF MONTREAL-TORONTO
022 B000002 13-4941092
022 C000002 8276500
022 D000002 0
022 A000003 BNP PARIBAS - GRAND CAYMAN
022 B000003 13-3235334
022 C000003 7462100
022 D000003 0
022 A000004 BANK OF AMERICA - GRAND CAYMAN
022 B000004 36-4941092
022 C000004 5806100
022 D000004 0
022 A000005 HSBC BANK USA - GRAND CAYMAN

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

022 B000005 13-2774726
022 C000005 4850700
022 D000005 0
022 A000006 DEXIA BANQUE - GRAND CAYMAN
022 B000006 13-3915825
022 C000006 4429500
022 D000006 0
022 A000007 CANADIAN IMPERIAL BK OF COMMERCE-GRAND CAYMAN
022 B000007 13-1942440
022 C000007 4008100
022 D000007 0
022 A000008 PNC BANK - GRAND CAYMAN
022 B000008 23-6200005
022 C000008 3367000
022 D000008 0
022 A000009 STATE STREET BANK & TRUST CO. - GRAND CAYMAN
022 B000009 04-1867445
022 C000009 3367000
022 D000009 0
022 A000010 RABOBANK NEDERLAND - CRAND CAYMAN
022 B000010 13-3036591
022 C000010 3310700
022 D000010 0
023 C000000 72244870
023 D000000 216659
024 000000 Y
025 A000001 CITIGROUP, INC
025 B000001 52-1568099
025 C000001 E
025 D000001 84049
025 A000002 MERRILL LYNCH & CO., INC
025 B000002 13-5677085
025 C000002 E
025 D000002 29187
025 A000003 BANK OF AMERICA CORPORATION
PAGE 4
025 B000003 36-4941092
025 C000003 E
025 D000003 23575
025 D000004 0
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
026 A000000 N
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 N
026 F000000 Y
026 G010000 N
026 G020000 N
026 H000000 Y
027 000000 N
028 A010000 0
028 A020000 0
028 A030000 0
028 A040000 0
028 B010000 0
028 B020000 0
028 B030000 0
028 B040000 0

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
	PAGE 5	
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	N
048	000000	0.000
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 Y
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 PAGE 6
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 Y
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 N
 066 D000000 N
 066 E000000 Y
 066 F000000 N

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
PAGE 7
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 N
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
070 G020000 N
070 H010000 N
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 Y
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 Y
070 R020000 N
071 A000000 3603732
071 B000000 3558885
071 C000000 2862311
071 D000000 124
072 A000000 12
072 B000000 11888
072 C000000 49352
072 D000000 0
072 E000000 0
072 F000000 12509
072 G000000 0
072 H000000 0
072 I000000 3917
072 J000000 430
072 K000000 471
PAGE 8
072 L000000 416
072 M000000 292
072 N000000 12
072 O000000 1

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

072 P000000	0
072 Q000000	0
072 R000000	79
072 S000000	107
072 T000000	0
072 U000000	0
072 V000000	278
072 W000000	105
072 X000000	18617
072 Y000000	0
072 Z000000	42624
072AA000000	91311
072BB000000	171837
072CC010000	0
072CC020000	334399
072DD010000	38396
072DD020000	0
072EE000000	143072
073 A010000	0.2800
073 A020000	0.0000
073 B000000	1.1130
073 C000000	0.0000
074 A000000	9542
074 B000000	0
074 C000000	49800
074 D000000	0
074 E000000	0
074 F000000	2844494
074 G000000	0
074 H000000	0
074 I000000	7404
074 J000000	0
074 K000000	466
074 L000000	4335
074 M000000	84
074 N000000	2916125
074 O000000	0
074 P000000	1339
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	3494
074 S000000	37637
074 T000000	2873655
074 U010000	132464
074 U020000	0
PAGE 9	
074 V010000	21.69
074 V020000	0.00
074 W000000	0.0000
074 X000000	38949
074 Y000000	0
075 A000000	0
075 B000000	3145592
076 000000	18.75
077 A000000	Y
077 B000000	Y
077 C000000	N
077 D000000	N
077 E000000	N
077 F000000	N

Edgar Filing: TRI CONTINENTAL CORP - Form NSAR-B

077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 Y
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 ICI MUTUAL INSURANCE CO.
080 C000000 24000
081 A000000 Y
081 B000000 64
082 A000000 Y
082 B000000 150
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 6620
086 A020000 132313
086 B010000 7801
086 B020000 162898
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
PAGE 10
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 B030000 TY,
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE LAWRENCE P. VOGEL
TITLE TREASURER