# Edgar Filing: SIFCO INDUSTRIES INC - Form 8-K

SIFCO INDUSTRIES INC Form 8-K September 19, 2006

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 FORM 8-K CURRENT REPORT

### Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) September 8, 2006

#### SIFCO Industries, Inc.

(Exact name of registrant as specified in its charter)

Ohio 1-5978 34-0553950

(State or other jurisdiction of (Commission File Number) (I.R.S. Employer Identification No.)

incorporation or organization)

970 East 64th Street, Cleveland 44103

Ohio

(Address of principal executive (Zip Code)

offices)

Registrant s telephone number, including area code: (216) 881-8600 (Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (See General Instruction A.2. below):

- o Written communication pursuant to Rule 245 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### **Section 3 Securities and Trading Markets**

Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing On September 8, 2006, SIFCO Industries, Inc. ( Company ) received a notification from the American Stock Exchange ( Amex ), the national securities exchange that maintains principal listing for the Company s common stock, that the Company has resolved its continued listing deficiency referenced in the Amex s letter to the Company dated June 27, 2006. Such resolution was based on the Amex s review of SIFCO s Form 8-K/A filed on August 21, 2006 with the U.S. Securities and Exchange Commission, which filing provided pro forma financial information related to the Company s sale of the large aero portion of its turbine engine component repair business and certain related assets to SR Technics.

## **Section 9 Financial Statements and Exhibits Item 9.01 Financial Statements and Exhibits** (c) Exhibits

99.1 Press Release dated September 19, 2006

#### **SIGNATURES**

Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

> SIFCO Industries, Inc. (Registrant)

Date: September 19, 2006 /s/ Frank A. Cappello

> Frank A. Cappello Vice President Finance and Chief Financial Officer (Principal Financial Officer)