

CARNIVAL PLC  
Form 4  
January 16, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

OMB  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**ARISON MICKY MEIR**

(Last) (First) (Middle)

**C/O CARNIVAL  
CORPORATION, 3655 NW 87TH  
AVE**

(Street)

**MIAMI, FL 33178**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**CARNIVAL PLC [CUK]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**01/14/2014**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Trust Shares (beneficial Interest In Special Voting Share) <sup>(1)</sup>	01/14/2014 <sup>(2)</sup>		A	63,242	A \$ 0 63,242	D	
Trust Shares (beneficial Interest In	01/14/2014		G <sup>(3)</sup>	V 63,242	D \$ 0 0	D	

Special  
Voting  
Share) <sup>(1)</sup>

Trust  
Shares  
(beneficial  
Interest In 01/14/2014  
Special  
Voting  
Share) <sup>(1)</sup>

G<sup>(3)</sup> V 63,242 A \$ 0 4,621,772 I

By Nickel  
2003  
Revocable  
Trust

Trust  
Shares  
(beneficial  
Interest In  
Special  
Voting  
Share) <sup>(1)</sup>

106,131,560 I

By Various  
Trusts <sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Report Transaction (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ARISON MICKY MEIR C/O CARNIVAL CORPORATION	X	X	Chairman of the Board	

3655 NW 87TH AVE  
MIAMI, FL 33178

## Signatures

/s/ John J. O'Neil,  
attorney-in-fact

01/16/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

The grant was approved by the Compensation Committee as a total value to be received in the form of restricted shares. The

- (2) Compensation Committee also approved that the number of shares was to be determined by dividing the value by the closing price on date of grant.

- (3) Gift of Shares to the Nickel 2003 Revocable Trust.

Includes (i) 100,638,843 shares of common stock held by MA 1994 B Shares, L.P.; (ii) 538,393 shares of common stock held by the Nickel 97-07 Trust; (iii) 442,307 shares of common stock held by the Nickel 2009 GRAT; (iv) 3,000,000 shares of common stock held by

- (4) the Nickel 97A-B Trust; (v) 756,008 shares of common stock held by the NA 2008 Trust; and (vi) 756,009 shares of common stock held by the KA 2008 Trust. The Reporting Person disclaims beneficial ownership of the shares of common stock held by the NA 2008 Trust and the KA 2008 Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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