Edgar Filing: CARNIVAL PLC - Form 4

CARNIVAL PLC

Form 4	12 2000												
November 12, 2009										OMB APPROVAL			
FOR	RM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMMISSION	OMB Number:	3235-0287		
Check if no lo subject Section Form 4 Form 5	F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange							Expires: Estimated a burden hou response					
obligati may co <i>See</i> Ins 1(b).	ions Section 17	(a) of the l	Public I	Utility	Ho	lding Co	mpar	•	1935 or Section	I			
(Print or Type	e Responses)												
NICKEL CONTINUED S						nd Ticker o LC [CUK			5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle) 3.				3. Date of Earliest Transaction (Month/Day/Year) 11/10/2009					(Check all applicable) DirectorX_ 10% Owner Officer (give titleX_ Other (specify below) below) See Remarks				
				Amendment, Date Original Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tal	ble I - N	lon	-Derivative	e Secu		ired, Disposed of,	or Beneficial	lv Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if			3. 4. Securities Acquired (A) if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Trust Shares (interest in special voting share) (1)	11/10/2009			S	·	42,491 (2)	D	\$ 31.5071	25,821	D			
Trust Shares (interest in special voting	11/11/2009			S		25,820 (2)	D	\$ 31.679 (4)	0 1	D			

Edgar Filing: CARNIVAL PLC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. etionNumber of Derivati Securitic Acquired (A) or Dispose of (D) (Instr. 3, 4, and 5)	(Month/Day ve es d	Date	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code	V (A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relati		
	Director	10% Owner	Officer	Other
NICKEL CONTINUED IRREVOCABLE TRUST ATTENTION: DAVID LEY HAMILTON 1201 NORTH MARKET STREET, 18TH FLOOR WILMINGTON, DE 19801		Х		See Remarks

Signatures

share) (1)

/s/ Eric Goodison, Vice President of JMD Delaware, Inc., as Trustee for Nickel Continued Irrevocable Trust

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and

11/12/2009

Date

Edgar Filing: CARNIVAL PLC - Form 4

are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

(2) These shares were sold pursuant to a Rule 10b5-1(c) sales plan dated August 12, 2009.

This transaction was executed in multiple trades at prices ranging from \$31.2600 to \$31.7100. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC

(3) weighted average purchase prices on the dates indicated. The reporting person hereby indertakes to provide upon request to the SEC
 staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

This transaction was executed in multiple trades at prices ranging from \$31.4300 to \$32.0900. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC

(4) weighted average purchase prices on the dates indicated. The reporting person hereby indertakes to provide upon request to the SEC
 staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Remarks:

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of CarnivalCorporation. However, the reporting person disclaims such group membership, and this report shall not be deemed at admission that the reporting person is amember of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for anyother purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.