## Edgar Filing: CARNIVAL PLC - Form 4

CARNIVA Form 4 September										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL			
	UNITED						OMMISSION	OMB Number:	3235-0287	
if no lo subject Sectior Form 4 Form 5	to 16. or Filed pu	Washington, D.C. 20549 TEMENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES d pursuant to Section 16(a) of the Securities Exchange Act of 193						Expires: January 31 Expires: 200 Estimated average burden hours per response 0.		
-	section 17		c Utility Ho e Investme	•	-	•	1935 or Section	l		
(Trint of Type	e Responses)									
1. Name and Address of Reporting Person <u>*</u> MBA I, L.P.		Sym	2. Issuer Name <b>and</b> Ticker or Trading Symbol CARNIVAL PLC [CUK]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)			3. Date of Earliest Transaction				(Check all applicable)			
HAMILTO	AVID LEY ON, 1201 NORTH ' STREET, 18TH I	09/ I	nth/Day/Year) 10/2009	)			below)	X 109 itleX Oth below) ee Remarks		
(Street) 4. If Amendment, Date Original 6. Individual or Jo							6. Individual or Joi	int/Group Filir	1g(Check	
WILMING	GTON, DE 19801	Filed	l(Month/Day/Y	ear)			Applicable Line) _X_ Form filed by O Form filed by Me Person			
(City)	(State)	(Zip)	Table I - Nor	1-Derivativ	e Seci		iired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. if Transacti Code r) (Instr. 8)	. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Trust					(- )					
Shares (interest in special voting share) $(1)$	09/10/2009		S	14,286 (2)	D	\$ 32.0495 ( <u>3)</u>	1,255,981	D		
Trust Shares (interest in special voting	09/11/2009		S	14,286 (2)	D	\$ 32.6877 (4)	1,241,695	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
					of (D) (Instr. 3,						(Instr
					4, and 5)	Date	Expiration	Title	Amount or Number		
				Code V	(A) (D)	Exercisable	Date		of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
I Contraction of the second	Director	Director 10% Owner Office		Other		
MBA I, L.P. ATTN: DAVID LEY HAMILTON 1201 NORTH MARKET STREET, 18TH FLOOR WILMINGTON, DE 19801		Х		See Remarks		
Signatures						
// Line LONL'I Mine Describert (MDT Line of Consert Destroy of MDA L						

/s/ John J. O'Neil, Vice President of MDT I, Inc., as General Partner of MBA I, 09/14/2009 L.P. \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P& O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock

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and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

(2) These shares were sold pursuant to a Rule 10b5-1(c) sales plan dated August 12, 2009.

(3) This transaction was executed in multiple trades at prices ranging from \$31.6700 to \$32.3100. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions

were effected. This transaction was executed in multiple trades at prices ranging from \$32.4600 to \$32.9500. The price reported above reflects the

(4) weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

#### **Remarks:**

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of C Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admiss that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.