

BRANTLEY CAPITAL CORP

Form 8-K

January 07, 2003

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

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**FORM 8-K**

Current Report Pursuant to Section 13 or 15(d) of  
the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported):

January 3, 2003

**BRANTLEY CAPITAL CORPORATION**  
(Exact name of registrant as specified in its charter)

**Maryland**  
(State or other jurisdiction  
of incorporation)

**000-21251**  
(Commission File Number)

**34-1838462**  
(I.R.S. Employer Identification No.)

**3201 Enterprise Parkway  
Suite 350  
Cleveland, OH 44122**  
(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: **(216) 464-8400**

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**Item 5. Other Events**

On January 3, 2003, the Company filed objections to the report and recommendation issued by Magistrate Judge Jack B. Streepy in *Goldstein v. Brantley Capital Corp. et al.*, a civil action pending in the United States District Court for the Northern District of Ohio (1: 02CV1903). Plaintiff Goldstein also filed objections with the court.

**Item 7. Financial Statements and Exhibits**

(a) Not applicable.

(b) Not applicable.

(c) Exhibits.

99.1 Brantley Capital Corporation, Robert P. Pinkas, James P. Oliver and Benjamin F. Bryan's Objections to Magistrate's Report and Recommendation.

99.2 Plaintiff's Limited Objections to Portions of Magistrate's Report and Recommendation

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 7, 2002

BRANTLEY CAPITAL CORPORATION

By:           /s/ Tab A. Keplinger          

Tab A. Keplinger  
Vice President and  
Chief Financial Officer