

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

TRI-CONTINENTAL CORP

Form NSAR-B

February 28, 2011

PAGE 1
000 B000000 12/31/2010
000 C000000 0000099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6126715682
002 A000000 50606 AMERIPRISE FINANCIAL CENTER
002 B000000 MINNEAPOLIS
002 C000000 MN
002 D010000 55474
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
010 A000001 AMERIPRISE FINANCIAL, INC.
010 C010001 MINNEAPOLIS
010 C020001 MN
010 C030001 55474
012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-5685
012 C010001 BOSTON
012 C020001 MA
012 C030001 02111
013 A000001 ERNST & YOUNG LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 SECURITIES AMERICA, INC.
014 B000003 8-26602
014 A000004 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000004 8-16791
014 A000005 RIVERSOURCE DISTRIBUTORS, INC.
PAGE 2
014 B000005 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

015 E010001 X
015 A000002 JPMORGAN CHASE BANK, N.A.
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10005
015 E040002 X
018 000000 Y
019 A000000 Y
019 B000000 263
019 C000000 COLUMBIAFD
020 A000001 UBS SECURITIES LLC
020 B000001 13-3873456
020 C000001 77
020 A000002 REDI INVESTMENTS, LLC
020 B000002 13-4125743
020 C000002 65
020 A000003 INTL STRATEGY & INVESTMENT GROUP INC.
020 B000003 13-3599877
020 C000003 46
020 A000004 WEEDEN & CO. L.P.
020 B000004 13-3364318
020 C000004 32
020 A000005 JONESTRADING INSTITUTIONAL SERVICES LLC
020 B000005 51-0484896
020 C000005 23
020 A000006 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.
020 B000006 32-0045263
020 C000006 18
020 A000007 CITIGROUP GLOBAL MARKETS INC.
020 B000007 11-2418191
020 C000007 12
020 A000008 LIQUIDNET, INC.
020 B000008 13-4095933
020 C000008 11
020 A000009 ITG INC.
020 B000009 95-2848406
020 C000009 11
020 A000010 THOMAS WEISEL PARTNERS LLC
020 B000010 94-3310172
020 C000010 10
021 000000 343
022 A000001 J.P. MORGAN SECURITIES, INC.
022 B000001 13-3224016
022 C000001 230139
PAGE 3
022 D000001 230568
022 A000002 DEUTSCHE BANK SECURITIES INC.
022 B000002 13-2730828
022 C000002 138295
022 D000002 148656
022 A000003 BARCLAYS CAPITAL
022 B000003 06-1031656
022 C000003 98343
022 D000003 98375
022 A000004 CREDIT SUISSE SECURITIES (USA) L.L.C.
022 B000004 13-5659485
022 C000004 47474
022 D000004 50109
022 A000005 UBS SECURITIES LLC
022 B000005 13-3873456
022 C000005 34084

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

022	D000005	37952
023	C000000	548334
023	D000000	565660
024	000000	Y
025	A000001	CITIGROUP, INC.
025	B000001	11-2418191
025	C000001	E
025	D000001	19751
025	A000002	FRANKLIN RESOURCES, INC.
025	B000002	13-2670991
025	C000002	E
025	D000002	4227
025	A000003	THE GOLDMAN SACHS GROUP
025	B000003	13-5108880
025	C000003	E
025	D000003	9288
025	A000004	JPMorgan Chase & Co.
025	B000004	13-3224016
025	C000004	E
025	D000004	25344
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	Y
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
	PAGE	4
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	500000
048	A020000	0.060
048	B010000	500000
	PAGE	5
048	B020000	0.055
048	C010000	2000000
048	C020000	0.050
048	D010000	9000000
048	D020000	0.040
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	12000000
048	K020000	0.030
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	Y
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	Y

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

054	M000000	Y	
054	N000000	N	
054	O000000	Y	
055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
	PAGE	6	
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
062	H000000		0.0
062	I000000		0.0
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0
062	P000000		0.0
062	Q000000		0.0
062	R000000		0.0
063	A000000		0
063	B000000		0.0
066	A000000	Y	
066	B000000	N	
066	C000000	N	
066	D000000	N	
066	E000000	Y	
066	F000000	N	
066	G000000	N	
067	000000	N	
068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	Y	
070	B010000	Y	
070	B020000	N	
070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	Y	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	Y	
070	I020000	N	

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

070 J010000 Y
 070 J020000 Y
 070 K010000 Y
 070 K020000 Y
 070 L010000 Y
 PAGE 7
 070 L020000 Y
 070 M010000 Y
 070 M020000 N
 070 N010000 Y
 070 N020000 Y
 070 O010000 Y
 070 O020000 N
 070 P010000 Y
 070 P020000 N
 070 Q010000 Y
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 861030
 071 B000000 888536
 071 C000000 996438
 071 D000000 86
 072 A000000 12
 072 B000000 276
 072 C000000 25331
 072 D000000 0
 072 E000000 0
 072 F000000 3575
 072 G000000 578
 072 H000000 0
 072 I000000 969
 072 J000000 18
 072 K000000 73
 072 L000000 0
 072 M000000 27
 072 N000000 0
 072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 49
 072 S000000 42
 072 T000000 0
 072 U000000 0
 072 V000000 228
 072 W000000 297
 072 X000000 5856
 072 Y000000 0
 072 Z000000 19751
 072AA000000 0
 072BB000000 3296
 072CC010000 146205
 072CC020000 0
 072DD010000 0
 072DD020000 0
 072EE000000 0
 073 A010000 0.2490
 PAGE 8
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 0

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

074	B000000	56177
074	C000000	0
074	D000000	0
074	E000000	0
074	F000000	1089028
074	G000000	0
074	H000000	0
074	I000000	30132
074	J000000	0
074	K000000	0
074	L000000	6288
074	M000000	43
074	N000000	1181668
074	O000000	0
074	P000000	149
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	82631
074	S000000	0
074	T000000	1098888
074	U010000	67262
074	U020000	0
074	V010000	15.96
074	V020000	0.00
074	W000000	0.0000
074	X000000	20135
074	Y000000	876
075	A000000	0
075	B000000	961323
076	000000	13.76
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	Y
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
	PAGE	9
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	GREAT AMERICAN INSURANCE COMPANY
080	B000000	FEDERAL INSURANCE COMPANY
080	C000000	93100
081	A000000	Y
081	B000000	144
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 279
086 A020000 3551
086 B010000 1923
086 B020000 23541
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 B030000 TY
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE
TITLE

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Minneapolis State of: Minnesota Date: February 18, 2011

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Wade M. Voigt

Wade M. Voigt
Assistant Treasurer
Witness

TRI-CONTINENTAL CORPORATION