

SUNLINK HEALTH SYSTEMS INC
Form 4/A
March 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRENNER KAREN B

2. Issuer Name and Ticker or Trading Symbol
SUNLINK HEALTH SYSTEMS INC [SSY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

P.O. BOX 9109

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/27/2017

Director 10% Owner
 Officer (give title below) Other (specify below)

NEWPORT BEACH, CA 92658

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)
03/06/2017

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/27/2017		S	49,245 (1) D (2) (3)	0	I	As sole member and manager of Fortuna Asset Management, LLC
Common Stock	02/27/2017		S	39,469 D \$ 1.5	20,000	I	By IRA (4)
Common Stock	02/27/2017		S	5,888 (5) D \$ 1.5	16,021 (6)	I	By Spouse (6)
					7,500	D	

Edgar Filing: SUNLINK HEALTH SYSTEMS INC - Form 4/A

- (4) Amended to correct nature of indirect ownership inadvertently incorrectly reflected on original Form 4.
- (5) Shares owned by Spouse's IRA.
- (6) Shares owned by Ashwood Trust. Spouse is the Trustee and sole beneficiary of Ashwood Trust.
- (7) This row reflects direct ownership which has not changed as a result of the transactions reported on this form.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.