

Sexton Heidi
Form 3
June 07, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Sexton Heidi

(Last) (First) (Middle)

C/O SOUND FINANCIAL BANCORP, INC.,Â 2400 3RD AVENUE, SUITE 150

(Street)

SEATTLE,Â WAÂ 98121

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/29/2018

3. Issuer Name and Ticker or Trading Symbol
Sound Financial Bancorp, Inc. [SFBC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

Officer Other

(give title below) (specify below)

Executive Vice President, COO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

2,168

D

Â

Common Stock

5,431

I

Held by ESOP

Common Stock

2,264

I

Held by 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date <small>(Month/Day/Year)</small> | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--------------------|--|----------------------------------|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 01/27/2019 | Common Stock | 271 | \$ 9.72 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 01/27/2019 | Common Stock | 271 | \$ 8.41 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 02/28/2022 | Common Stock | 4,414 | \$ 8.49 | D | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u> | 01/29/2026 | Common Stock | 1,500 | \$ 22.31 | D | Â |
| Stock Option (Right to Buy) | Â <u>(2)</u> | 01/27/2027 | Common Stock | 3,000 | \$ 28.34 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Sexton Heidi C/O SOUND FINANCIAL BANCORP, INC. 2400 3RD AVENUE, SUITE 150 SEATTLE, WA 98121 | Â | Â | Â Executive Vice President, COO | Â |

Signatures

/s/ Kari Stenslie, 06/07/2018
Attorney-in-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercisable currently at any time.

(2) Vests in three equal annual installments beginning on January 27, 2017.

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Remarks:

Exhibit 24 -- Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.