

Conway Timothy J  
 Form 4  
 March 04, 2008

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Conway Timothy J

2. Issuer Name and Ticker or Trading Symbol  
 NewStar Financial, Inc. [NEWS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 03/03/2008

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman, CEO and President

C/O NEWSTAR FINANCIAL, INC., 500 BOYLSTON STREET, SUITE 1600

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

BOSTON, MA 02116

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|  |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
|  |                                      |  |                                | Code  | V   | Amount   |                                   |
| Common Stock, par value \$0.01 per share | 03/03/2008                           |  | P                              | 200   | A   | \$ 5.51  | 782,276 D                         |
| Common Stock, par value \$0.01 per share | 03/03/2008                           |  | P                              | 400   | A   | \$ 5.53  | 782,676 D                         |
| Common Stock, par value \$0.01           | 03/03/2008                           |  | P                              | 200   | A   | \$ 5.54  | 782,876 D                         |

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per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 200 A \$ 5.55 783,076 D  
per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 900 A \$ 5.56 783,976 D  
per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 200 A \$ 5.57 784,176 D  
per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 200 A \$ 5.58 784,376 D  
per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 200 A \$ 5.59 784,576 D  
per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 300 A \$ 5.6 784,876 D  
per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 800 A \$ 5.62 785,676 D  
per share

Common  
Stock, par  
value \$0.01  
03/03/2008  
P 1,400 A \$ 5.622 787,076 D  
per share

Common  
Stock, par  
value \$0.01  
per share  
158,210 I

By  
Timothy J.  
Conway  
2006  
GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                         |
|  |  |                                      |  |                                |   | Code   | V   | (A)  | (D)  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| Conway Timothy J<br>C/O NEWSTAR FINANCIAL, INC.<br>500 BOYLSTON STREET, SUITE 1600<br>BOSTON, MA 02116 | X             |           | Chairman, CEO and President |       |

## Signatures

/s/ John J. Woods as attorney-in-fact for Timothy J. Conway  
 \*\*Signature of Reporting Person  
 Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.