

Edgar Filing: DICKENS KIMBERLY - Form 3

DICKENS KIMBERLY

Form 3

March 05, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person
KIMBERLY DICKENS
200 S. Michigan Ave.
Chicago, IL 60604
2. Issuer Name and Ticker or Trading Symbol
BorgWarner Inc. (BWA)
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)
-
4. Statement For Month/Year
March 1, 2002
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person to Issuer (Check all applicable)
() Director (x) Officer (give title below) () 10% Owner () Other
Vice President, Human Resources
7. Individual or Joint/Group Filing (Check applicable line)
/x/ Form Filed by One Reporting Person
--- Form Filed by More Than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security | 2. Amount of Securities Beneficially Owned | 3. Ownership Form; Direct or Indirect | 4. Nature of Indirect Beneficial Ownership |
|----------------------|--|---------------------------------------|--|
| Common Stock | 233.282 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. Puts, Calls, Warrants, Options, Convertible Securities)

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date (month/day/year) | 3. Title and Amount of Underlying Securities or Derivative Security | 4. Conversion or Exercise Price of Derivative Security |
|---------------------------------------|--|---|--|
| Employee Stock Options (Right to Buy) | 7/24/99-7/24/07 | Common Stock 350 | \$55.00 |
| Employee Stock Options (Right to Buy) | 7/24/00-7/24/07 | Common Stock 350 | \$55.00 |
| Employee Stock Options (Right to Buy) | 7/21/00-7/21/08 | Common Stock 400 | \$50.9063 |

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| | | | | |
|------------------------|-----------------|--------|-----|-----------|
| Employee Stock Options | | Common | | |
| (Right to Buy) | 7/21/01 7/21/08 | Stock | 400 | \$50.9063 |
| Employee Stock Options | | Common | | |
| (Right to Buy) | 7/20/01 7/20/09 | Stock | 625 | \$53.875 |
| Employee Stock Options | | Common | | |
| (Right to Buy) | 7/20/02 7/20/09 | Stock | 625 | \$53.875 |
| Employee Stock Options | | Common | | |
| (Right to Buy) | 7/21/02 7/21/10 | Stock | 998 | \$36.0938 |
| Employee Stock Options | | Common | | |
| (Right to Buy) | 7/21/03 7/21/10 | Stock | 997 | \$36.0938 |
| Employee Stock Options | | Common | | |
| (Right to Buy) | 7/25/03 7/25/11 | Stock | 900 | \$48.275 |
| Employee Stock Options | | Common | | |
| (Right to Buy) | 7/25/04 7/25/11 | Stock | 900 | \$48.275 |

| | | |
|----------------|--|-----------------------|
| 5. Ownership | | 6. Nature of Indirect |
| Form of Deriv- | | Beneficial Ownership |
| ative Security | | |
| Direct (D) or | | |
| Indirect (I) | | |

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D

Signature of Reporting Person

KIMBERLY DICKENS

/s/ Laurene H. Horiszny as attorney-in-fact for reporting person

Date: March 5, 2002