## Edgar Filing: MONARCH CASINO & RESORT INC - Form 4

MONARCH CASINO & RESORT INC Form 4 November 30, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Cooley Richard L. Issuer Symbol **MONARCH CASINO & RESORT** (Check all applicable) INC [MCRI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 3800 S. VIRGINIA STREET 11/18/2016 Vice President of Finance (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **RENO, NV 89502** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 32,200 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Option/Right to Buy	\$ 9.33	11/18/2016		S		5,865	10/21/2014	10/21/2021	Commin Stock	5,8
Option/Right to Buy	\$ 9.33	11/21/2016		S		10,000	10/21/2014	10/21/2021	Common stock	10,0
Option/Right to Buy	\$ 8.56	11/21/2016		S		900	10/09/2015	10/09/2022	Common Stock	90
Option/Right to Buy	\$ 8.56	11/22/2016		S		9,100	10/09/2015	10/09/2022	Common Stock	9,1
Option/Right to Buy	\$ 8.56	11/22/2016		S		10,000	10/09/2015	10/09/2022	Common Stock	10,0
Option/Right to Buy	\$ 21.71	11/22/2016		S		10,000	10/21/2016	10/21/2023	Common Stock	10,0
Option/Right to Buy	\$ 21.71	11/22/2016		S		10,000	10/21/2016	10/21/2023	Common Stock	10,0
Option/Right to Buy	\$ 23.08						11/01/2019	11/01/2026	Common Stock	20,0
Option/Right to Buy	\$ 17.62						10/21/2018	10/21/2025	Common Stock	20,0
Option/Right to Buy	\$ 12.32						10/21/2017	10/21/2024	Common Stock	20,0

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Cooley Richard L. 3800 S. VIRGINIA STREET RENO, NV 89502			Vice President of Finance			
Signatures						
Richard Cooley 11	/30/2016					

Date

<u>\*\*</u>Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.