## Edgar Filing: MONARCH CASINO & RESORT INC - Form 4

#### MONARCH CASINO & RESORT INC

Form 4

December 01, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

if no longer

Check this box

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Rowan Ronald

Symbol MONARCH CASINO & RESORT

(Check all applicable)

(Last) (First) (Middle) INC [MCRI]

Director 10% Owner

Chief Financial Officer

3. Date of Earliest Transaction (Month/Day/Year)

11/30/2015

X\_ Officer (give title below)

Other (specify

3800 S. VIRGINIA

STREET, EXECUTIVE OFFICES (Street)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

RENO, NV 89502

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

D

Common Stock

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38,214

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Option/right to buy	\$ 8.78	11/30/2015		S		104	06/19/2015	06/19/2022	Common Stock	104
Option/right to buy	\$ 9.56	11/30/2015		S		15,833	06/17/2014	06/17/2021	Common Stock	15,8
Option/right to buy	\$ 9.56	12/01/2015		S		17,500	06/17/2014	06/17/2021	Common Stock	17,5
Option/right to buy	\$ 22.1						06/19/2018	06/19/2025	Common Stock	33,3
Option/right to buy	\$ 15.6						06/19/2017	06/19/2024	Common Stock	33,3
Option/right to buy	\$ 16.95						06/19/2016	06/19/2023	Common Stock	33,3

# **Reporting Owners**

Departing Owner Name / Address	Relationships

Director 10% Owner Officer Other

Rowan Ronald 3800 S. VIRGINIA STREET EXECUTIVE OFFICES RENO, NV 89502

Chief Financial Officer

## **Signatures**

Ronald Rowan 12/01/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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