Edgar Filing: RIVERVIEW BANCORP INC - Form SC 13G/A

RIVERVIEW BANCORP INC Form SC 13G/A February 09, 2017

Check the

Rule 13d-1(b)

Rule 13d-1(d)

[X] Rule 13d-1(c)

[]

[]

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13G**

#### **Under the Securities Exchange Act of 1934**

(Amendment No. 3)\*

Riverview Bancorp, Inc.
(Name of Issuer)
Common Stock
(Title of Class of Securities)
769397100
(CUSIP Number)
December 30, 2016
(Date of Event Which Requires Filing of this Statement) appropriate box to designate the rule pursuant to which this Schedule is filed:

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 (the "Act") or otherwise subject to the liabilities of that section of the Act, but shall be subject to all other provisions of the Act (however, see the Notes.)

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

CUSIP No.	769397100		
1.	NAMES C	F REPORTING PERSONS	
	Ithan Creel	k Master Investors (Cayman	ı) L.P.
2. CHECK THE APPROPRIATE BOX IF A ME OF A GROUP			F A MEMBER
	(a) [ ] (b) [ ]		
3.	SEC USE	ONLY	
4.	CITIZENS	SHIP OR PLACE OF ORGA	ANIZATION
	Cayman Is	lands	
NUMBER OF SHARES		5. SOLE VOTING POWER	0
BENEFICIALLY OWNED BY EACH REPORTING		6. SHARED VOTING POWER	1,293,533

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

**POWER** 

8. SHARED

1,293,533

10. CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

7. SOLE DISPOSITIVE

DISPOSITIVE POWER

[]

PERSON WITH

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

5.75%

12. TYPE OF REPORTING PERSON

(Amendment No. 3)\*

0

1,293,533

PN

(Amendment No. 3)\*

#### Item 1.

#### (a) Name of Issuer

Riverview Bancorp, Inc.

#### (b) Address of Issuer's Principal Executive Offices

900 Washington Street Suite 900 Vancouver, WA 98660

#### Item 2.

#### (a) Name of Person Filing

Ithan Creek Master Investors (Cayman) L.P.

#### (b) Address of Principal Business Office or, if None, Residence

c/o Wellington Management Company LLP 280 Congress Street Boston, MA 02210

#### (c) Citizenship

Cayman Islands

#### (d) Title of Class of Securities

Common Stock

#### (e) CUSIP Number

769397100

(i)

[]

## Item 3. If This Statement is Filed Pursuant to Rule 13d-1(b), or 13d-2(b) or (c), Check Whether the Person Filing is a:

(a)	[]	Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78o).
(b)	[]	Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c).
(c)	[]	Insurance Company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c).
(d)	[]	Investment Company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
(e)	[]	An investment adviser in accordance with Rule 240.13d-1(b)(1)(ii)(E);
(f)	[]	An employee benefit plan or endowment fund in accordance with Rule 240.13d-1(b)(1)(ii)(F);
(g)	[]	A parent holding company or control person in accordance with Rule 240.13d-1(b)(1)(ii)(G);
(h)	[]	A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

(Amendment No. 3)\*

investment company under Section 3(c)(14) of the Investment

A church plan that is excluded from the definition of an

Company Act of 1940 (15 U.S.C. 80a-3);

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(j) [ ] Group, in accordance with Rule 240.13d-1(b)(1)(ii)(J).

If this statement is filed pursuant to Rule 13d-1(c), check this box [X]

#### Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount Beneficially Owned:

1,293,533

(b) Percent of Class:

5.75%

- (c) Number of shares as to which such person has:
  - (i) sole power to vote or to direct the vote 0
  - (ii) shared power to vote or to direct the vote 1,293,533
  - (iii) sole power to dispose or to direct the disposition of 0
  - (iv) shared power to dispose or to direct the disposition of 1,293,533

#### Item 5. Ownership of Five Percent or Less of Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: []

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not Applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.

Not Applicable.

Item 8. Identification and Classification of Members of the Group.

Not Applicable.

Item 9. Notice of Dissolution of Group.

Not Applicable.

#### Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

(Amendment No. 3)\*

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#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

By: Ithan Creek Master Investors (Cayman) L.P.

By:

Wellington

Alternative

Investments

LLC

Its General

Partner

By: <u>/s/</u>

Matthew N.

Shea

Name:

Matthew N.

Shea

Title:

Managing

Director

Date:

February 14,

2017

SIGNATURE 6