Nellis Anthony J Form 4 February 20, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Nellis Anthony J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

**AUTOLIV INC [ALV]** 

(Check all applicable)

(Last) (First) 3. Date of Earliest Transaction

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

C/O AUTOLIV.

(Month/Day/Year) 02/15/2019

below) See Remarks

INC., KLARABERGSVIADUKTEN 70, SECTION B7

4. If Amendment, Date Original

(Street)

(State)

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

(Middle)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

STOCKHOLM, V7 SE-111 64

(City)

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
		(A) or Code V Amount (D) Price			Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	02/15/2019		M	376	A	\$0	451	D	
Common Stock	02/15/2019		F	128	D	\$ 78.23	323	D	
Common Stock	02/15/2019		M	91	A	\$ 0	414	D	
Common Stock	02/15/2019		F	31	D	\$ 78.23	383	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Unit	<u>(2)</u>	02/15/2019		M		376.6815 (3)	02/15/2019(4)	02/15/2019(4)	Common Stock
Restricted Stock Unit	(2)	02/15/2019		M		91.65 (3)	02/15/2019(5)	02/15/2019(5)	Common Stock
Restricted Stock Unit	<u>(2)</u>	02/18/2019		A	559		02/18/2022(4)	02/18/2022(4)	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Nellis Anthony J C/O AUTOLIV, INC. KLARABERGSVIADUKTEN 70, SECTION B7 STOCKHOLM, V7 SE-111 64

See Remarks

# **Signatures**

/s/ Brian Kelly as attorney-in-fact

02/20/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects performance shares that converted to restricted stock unit (RSUs) in connection with the spin-off of the issuer's wholly-owned subsidiary Veoneer, Inc. on June 29, 2018.
- (2) Each RSU represents a contingent right to receive one share of ALV common stock.
- (3) Fractional RSUs are rounded down to the nearest whole number at vesting. The fractional amount is forfeited.
- (4) The RSUs vest and convert to shares in one installment on the third anniversary of the grant date.

Reporting Owners 2

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(5) The RSUs vest and convert to shares in three approximately equal installments on each of the first, second, and third anniversaries of the grant date. This is the final installment.

#### **Remarks:**

Executive Vice President, Legal Affairs; General Counsel; Secretary

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.