

NEWHOUSE FAMILY HOLDINGS, L.P.  
Form 4  
August 07, 2018

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ADVANCE/NEWHOUSE PARTNERSHIP

2. Issuer Name and Ticker or Trading Symbol  
CHARTER COMMUNICATIONS, INC. /MO/ [CHTR]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
6350 COURT STREET,  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/06/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

EAST SYRACUSE, NY 13057-1211  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8) Code	(Instr. 3, 4, and 5)			Date Exercisable	Expiration Date	Title
				V	(A)	(D)			
Call option (obligation to sell)	\$ 371.5202	08/06/2018	S/K			1,500,000	<u>(1)(2)</u>	<u>(1)(2)</u>	Common Stock
Call option (obligation to sell)	\$ 371.5202	08/06/2018	S/K			1,500,000	<u>(1)(2)</u>	<u>(1)(2)</u>	Common Stock
Call option (obligation to sell)	\$ 408.2063	08/06/2018	S/K			1,500,000	<u>(1)(3)</u>	<u>(1)(3)</u>	Common Stock
Call option (obligation to sell)	\$ 408.2063	08/06/2018	S/K			1,500,000	<u>(1)(3)</u>	<u>(1)(3)</u>	Common Stock
Put option (right to sell)	\$ 247.486	08/06/2018	P/K		1,500,000		<u>(1)(2)</u>	<u>(1)(2)</u>	Common Stock
Put option (right to sell)	\$ 247.486	08/06/2018	P/K		1,500,000		<u>(1)(2)</u>	<u>(1)(2)</u>	Common Stock
Put option (right to sell)	\$ 247.486	08/06/2018	P/K		1,500,000		<u>(1)(3)</u>	<u>(1)(3)</u>	Common Stock
Put option (right to sell)	\$ 247.486	08/06/2018	P/K		1,500,000		<u>(1)(3)</u>	<u>(1)(3)</u>	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ADVANCE/NEWHOUSE PARTNERSHIP 6350 COURT STREET EAST SYRACUSE, NY 13057-1211	X	X		
ADVANCE LONG-TERM MANAGEMENT TRUST C/O ROBINSON MILLER LLC ONE NEWARK CENTER, 19TH FLOOR NEWARK, NJ 07102			X	
NEWHOUSE BROADCASTING CORP 6350 COURT STREET EAST SYRACUSE, NY 13057-1211		X		

ADVANCE PUBLICATIONS, INC  
 950 FINGERBOARD ROAD X  
 STATEN ISLAND, NY 10305

NEWHOUSE FAMILY HOLDINGS, L.P.  
 ONE WORLD TRADE CENTER X  
 NEW YORK, NY 10007

## Signatures

Advance/Newhouse Partnership, By: /s/ Michael A. Newhouse, Vice President	08/07/2018
__Signature of Reporting Person	Date
Newhouse Broadcasting Corporation, By: /s/ Michael A. Newhouse, Executive Vice President	08/07/2018
__Signature of Reporting Person	Date
Advance Publications, Inc., By: /s/ Michael A. Newhouse, Co-President	08/07/2018
__Signature of Reporting Person	Date
Newhouse Family Holdings, L.P., By: Advance Long Term Management Trust, as General Partner, By: /s/ Michael A. Newhouse, Trustee	08/07/2018
__Signature of Reporting Person	Date
Advance Long-Term Management Trust, By: /s/ Michael A. Newhouse, Trustee	08/07/2018
__Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On August 6, 2018, Advance/Newhouse Partnership ("A/N") established a credit facility backed by an equity collar transaction and an aggregate of 6,000,000 Class B Common Units of Charter Communications Holdings, LLC ("Units") to provide financial flexibility to support its ongoing estate planning and its investment program and for other general corporate purposes. A/N entered into Share Collar
- (1) Transactions (the "Transactions") with unaffiliated banks, pursuant to which A/N wrote European call options and purchased European put options over an aggregate of 6,000,000 shares of Class A common stock (the "Common Stock") of Charter Communications, Inc. (the "Issuer"). The Transactions will be settled in cash unless A/N elects physical settlement, in which case it expects to exchange Units for Class A Common Stock sufficient to satisfy its obligations under the Transactions.
  - (2) The Transaction expires evenly over a series of expiration dates from August 16, 2021 to August 31, 2021, inclusive.
  - (3) The Transaction expires evenly over a series of expiration dates from August 15, 2023 to August 30, 2023, inclusive.
- On the relevant expiration date, the call option will automatically be exercised (and the put option will expire unexercised) if the volume weighted average price of the Common Stock on the relevant expiration date (the "Reference Price") is greater than or equal to the call option strike price; the put option will automatically be exercised (and the call option will expire unexercised) if the Reference Price is less than or equal to the put option strike price; and the call option and the put option will each expire unexercised if the Reference Price is greater than the put option strike price but less than the call option strike price.
- (5) The Transaction is a "zero-cost collar", in which no premium was exchanged for either the call option or the put option.

### Remarks:

Each of Newhouse Broadcasting Corporation, Advance Publications, Inc., Newhouse Family Holdings, L.P. and Advance Long

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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