Engelkes Jack Form 4 June 25, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

5. Relationship of Reporting Person(s) to

3235-0287 January 31,

2005

0.5

Expires:

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Engelkes Jack Symbo HOM			Symbol HOME	/mbol OME BANCSHARES INC HOMB]					Issuer (Check all applicable)		
(Last)	· · · · · · · · · · · · · · · · · · ·			ate of Earliest Transaction nth/Day/Year) 21/2018					X Director 10% Owner Officer (give title below) Other (specify below)		
	(Street)			endmen		nte Origina	ıl		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting P	erson
(City)	(State)	(Zip)	Tab	le I - No	on-E	Derivative	Secui	rities Acq	uired, Disposed of	, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution	med on Date, if Day/Year)	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
_				Code	V	Amount	(D)	Price	(msu. 5 unu 1)	(mstr. 1)	
Common Stock	02/27/2018			G	V	100	D	\$0	127,241.9409	D	
Common Stock	06/21/2018			M		1,425	A	\$ 2.46	128,666.9409	D	
Common Stock	06/21/2018			M		2,000	A	\$ 17.12	130,666.9409 (1)	D	
Common Stock									190,753.6516 (2)	I	Owned solely by

wife

Ι

400

Held in

wife's IRA

#### Edgar Filing: Engelkes Jack - Form 4

Common Stock	42,719.392	I	for daughters
Common Stock - Restricted	5,000 (3) (4) (5)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 2.46	06/21/2018		M	1,425	<u>(6)</u>	<u>(6)</u>	Common Stock	1,425
Stock Option	\$ 17.12	06/21/2018		M	2,000	<u>(7)</u>	04/15/2025	Common Stock	2,000
Stock Option	\$ 2.66					<u>(8)</u>	(8)	Common Stock	1,425

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1 8	Director	10% Owner	Officer	Other				
Engelkes Jack								
P.O. BOX 966	X							
CONWAY, AR 72033								

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## **Signatures**

/s/ Jack Engelkes by Micah Osborne

06/25/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 507.796 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (2) Includes 108.216 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (3) Restricted Stock granted on January 19, 2018 will vest in 33 1/3% installments over three years beginning on the first anniversary of the award date.
- (4) Restricted Stock granted on January 20, 2017 will "cliff" vest 100% three years from award date.
- (5) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (6) The options became exercisable on December 31, 2008 and expire on December 31, 2018.
- (7) The option is exercisable in five equal annual installments. The first installment became exercisable on April 16, 2016.
- (8) 20% of the issued option is remaining. The remaining options became exercisable on December 31, 2009 and expire on December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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