Edgar Filing: COOK DAVID N - Form 4

COOK DAVI	D N										
Form 4											
January 30, 20)18										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB A	PPROVAL			
UNITED STATES SECURITIES AND EACHANGE COMMISSION								OMB	3235-0287		
Chask this	hov		Wash	ington, l	D.C. 205	49			Number:		
if no longe	Check this box if no longer						Expires:	January 31, 2005			
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated a				
	Section 16. SECURITIES						burden hou	rs per			
Form 4 or Form 5	E .1 1		a 16		a	Б			response	0.5	
obligations	. •						•	Act of 1934,			
may contin				•	. .			1935 or Section	1		
See Instruc	tion	30(n)	of the Inv	estment C	ompany	Act	01 1940)			
1(b).											
(Print or Type Re	(sponses)										
1. Name and Ad	dress of Reporti	ng Person *	2. Issuer 1	Name and Ticker or Trading				5. Relationship of Reporting Person(s) to			
COOK DAVID N			Symbol	-				Issuer			
			Seres Therapeutics, Inc. [MCRB]				3]				
(Last)	(First)	(Middle)	3. Date of I	•	_		-	(Check	all applicable	:)	
(Lust)	(Thist)	(initiality)	(Month/Da		lisaction			Director	10%	Owner	
C/O SERES	<i>THERAPEU</i>	TICS,	01/26/20	•				X Officer (give	title Othe	er (specify	
INC., 200 SII							1	pelow)	below) e Remarks		
	(6										
	(Street)		4. If Amen		e Original			5. Individual or Joi	nt/Group Filir	Ig(Check	
			Filed(Month	n/Day/Year)				Applicable Line) _X_ Form filed by O	ne Reporting Pe	rson	
CAMBRIDG	E MA 02139	9					-	Form filed by M			
er milbrab e	L , 1111 0210,	•]	Person			
(City)	(State)	(Zip)	Table	I - Non-De	rivative S	ecurit	ies Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction	Date 2A. De	eemed	3.	4. Securi	ties A	cquired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Y	(ear) Execut	tion Date, if		on(A) or D	-		Securities	Ownership	Indirect	
(Instr. 3)		any	· · · · · ·					Beneficially	Form: Beneficial		
		(Mont	n/Day/Year)	(Instr. 8)				Owned Following	Direct (D) or Indirect	Ownership (Instr. 4)	
								Reported	(I)	(Insu: I)	
						(A) or		Transaction(s)	(Instr. 4)		
				Code V	Amount		Price	(Instr. 3 and 4)			
COMMON	01/26/2019						(1)	141 672	D		
STOCK	01/26/2018			М	5,000	А	<u>(1)</u>	141,673	D		
COMMON							\$				
STOCK	01/26/2018			S	1,738	D	ф 11.02	139,935	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: COOK DAVID N - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A oi N oi
RESTRICTED STOCK UNITS	<u>(1)</u>	01/26/2018		М	5,000	(2)	(2)	COMMON STOCK	
STOCK OPTION (RIGHT TO BUY)	\$ 10.42	01/30/2018		А	75,000	(3)	01/29/2028	COMMON STOCK	7

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	onships Officer See Remarks	Other		
COOK DAVID N C/O SERES THERAPEUTICS, INC. 200 SIDNEY STREET CAMBRIDGE, MA 02139			See Remarks			
Signatures						
/s/ Thomas J. DesRosier, Attorney-in-Fact		01/30/2018				

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Each restricted stock unit represents a contingent right to receive one share of Issuer common stock.
- (2) These restricted stock units have vested and settled or will vest and settle as to 20% of the restricted stock units on January 26, 2018, 30% on January 26, 2019; and 50% on January 26, 2020.
- (3) The Option will vest as to 25% of the shares on January 30, 2019. The remainder of the shares will vest in 12 equal quarterly installments thereafter.

Remarks:

Chief Scientific Officer and EVP of R&D

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

Edgar Filing: COOK DAVID N - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.