Vera Bradley, Inc. Form 4 June 28, 2017

## FORM 4

#### **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

**OMB** 3235-0287

Check this box if no longer subject to Section 16.

Number:

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Hall Robert J

Vera Bradley, Inc. [VRA]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

\_X\_\_ 10% Owner \_\_ Other (specify

C/O VERA BRADLEY, INC., 12420 06/19/2017

(Street)

STONEBRIDGE ROAD

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

below)

ROANOKE, IN 46783

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) tionor Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	06/19/2017		Code V  G(1) V		(D)	Price	(Instr. 3 and 4) 4,610,469 (2)	I	By Barbara B. Baekgaard 2009 Grantor Retained	
Common Stock	06/19/2017		G <u>(1)</u> V	500,000	A	\$ 0	500,000	I	Annuity Trust By Joan Byrne Hall	
Common Stock	06/19/2017		G(3) V	500,000	D	\$ 0	0	I	By Joan Byrne Hall	

Common Stock	06/19/2017	G(3) V	500,000	A	\$ 0	500,000	I	By Joan Byrne Hall 2017 Grantor Retained Annuity Trust #1
Common Stock						200,000	I	By Joan Byrne Hall 2016 Grantor Retained Annuity Trust #1
Common Stock						173,519	I	By Joan Byrne Hall 2015 Grantor Retained Annuity Trust
Common Stock						63,593	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ctionNumber	Expiration D	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underl	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	B) Derivativ	ve		Securit	ties	(Instr. 5)
	Derivative				Securitie	s		(Instr.	3 and 4)	
	Security				Acquired	ł		·		
	·				(A) or					
					Disposed	i				
					of (D)					
					(Instr. 3,					
					4, and 5)					
					, ,					
									Amount	
						Date	Expiration		or	
						Exercisable	Date		Number	
						LACICISADIC			of	
				Code	V (A) (D)				Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Hall Robert J

C/O VERA BRADLEY, INC.
12420 STONEBRIDGE ROAD

ROANOKE, IN 46783

# **Signatures**

/s/ Robert J. Hall 06/26/2017

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a distribution by the Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust (the "Baekgaard Trust") of 500,000 shares of (1) the Issuer's common stock to each of the four beneficiaries of the Baekgaard Trust, including the reporting person's spouse, in accordance with the terms of the documents governing the Baekgaard Trust.
- (2) The reporting person disclaims beneficial ownership of these shares.
  - Following the reporting person's spouse's receipt of the 500,000 share distribution from the Baekgaard Trust, the reporting person's
- (3) spouse immediately transferred the shares to the Joan Byrne Hall 2017 Grantor Retained Annuity Trust #1, of which the reporting person's spouse is the sole trustee and annuitant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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