

HOME BANCSHARES INC
Form 4
August 19, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ashley Richard H

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
08/11/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	08/11/2016		S		220,000	D	\$ 21.4002	1,789,236 ⁽¹⁾	I	By RH Ashley Investments LLC
Common Stock	08/11/2016		S		220,000	D	\$ 21.4002	2,248,960 ⁽¹⁾	I	By Conservative Development
Common Stock - Restricted								4,000 ⁽¹⁾ ⁽²⁾ ⁽³⁾	D	

D

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Common Stock	130,601.9904 <u>(1)</u>		
Common Stock	7,570.78 <u>(1)</u> <u>(4)</u>	I	By Wife IRA
Common Stock	25,669.6 <u>(1)</u>	I	By Wife
Common Stock	15,135 <u>(1)</u> <u>(5)</u>	I	By IRA
Common Stock	1,088 <u>(1)</u>	I	Custodian for children
Common Stock	3,500 <u>(1)</u>	I	By Square Associates LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 17.12 <u>(6)</u>					<u>(7)</u>	04/15/2025	Common Stock	20,000 <u>(1)</u>
Common Stock	\$ 4.3 <u>(6)</u>					<u>(8)</u>	01/09/2018	Common Stock	4,752 <u>(1)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Ashley Richard H
P.O. BOX 966
CONWAY, AR 72033

X

Signatures

/s/Richard H. Ashley by Rachel
Wesson

08/19/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 18, 2016 and made payable June 8, 2016.
- (2) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (3) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (4) Includes 58.02 split adjusted shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (5) Includes 241 split adjusted shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (6) The exercise price decreased and the number of shares exercisable increased as a result of the Company declaring a 2-for-1 stock split to shareholders of record May 18, 2016 and made payable June 8, 2016.
- (7) The option is exercisable in five equal annual installments. The first installment becomes exercisable on April 16, 2016.
- (8) The option became exercisable in five equal annual installments beginning on January 10, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.