Amphastar Pharmaceuticals, Inc.

Form 4/A

February 03, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Zhang Jack Y.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

CEO & Chief Science Officer

Amphastar Pharmaceuticals, Inc.

(Check all applicable)

[AMPH]

(Last)

(First)

(Middle)

(Zip)

(Month/Day/Year) Execution Date, if

3. Date of Earliest Transaction

(Month/Day/Year)

06/15/2015

06/17/2015

\_X\_\_ Director X\_ Officer (give title below)

X\_\_ 10% Owner Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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C/O AMPHASTAR PHARMACEUTICALS, INC., 11570 6TH STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

**RANCHO** 

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Person

CUCAMONGA, CA 91730

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 4. Securities

Code

(Instr. 8)

TransactionAcquired (A) or Disposed of (D) 5. Amount of Securities Beneficially

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Following Reported Transaction(s)

Owned

(Instr. 3 and 4)

Common Stock

1.Title of

Security

(Instr. 3)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

(A)

or

936,955 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

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#### Edgar Filing: Amphastar Pharmaceuticals, Inc. - Form 4/A

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ate	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative		•		Securities			(Instr.	3 and 4)		(
	Security				Acquired			`			]
	J				(A) or						]
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
					.,						
									Amount		
						Date	Expiration		or		
						Exercisable Dat	•		Number		
							Date		of		
				Code V	(A) (D)				Shares		

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Numer Futuress	Director	ector 10% Owner Officer		Other			
Zhang Jack Y. C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730	X	X	CEO & Chief Science Officer				
Luo Mary Z. C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730	X	X	COO, Chief Scientist, Chairman				
Signatures							
/s/ Ken Stupak, by power of attorney for Jack Y. Zhang		02/03/20	16				
**Signature of Reporting Person		Date					

02/03/2016

Date

### **Explanation of Responses:**

\*\*Signature of Reporting Person

/s/ Ken Stupak, by power of attorney for Mary

Ziping Luo

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On June 12, 2015, the reporting person mistakenly filed a report on Form 4 which reported withholding of shares to satisfy the reporting person's tax liability in connection with the option exercise on June 15, 2015. This reported transaction did not occur and no shares were withheld in connection with the option exercise. Following the reportable transactions, the reporting person directly owned 936,955 shares of common stock. The error in column 5 of Table I also appears in subsequent Forms 4 filed through December 11, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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