

HOME BANCSHARES INC
Form 4
January 27, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 966
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/25/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

CONWAY, AR 72033

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock - Restricted	01/25/2016		A		70,000 (1) \$ 0		D
Common Stock	01/25/2016		A		5,000 \$ 0		D
Common Stock	01/25/2016		M		88,957 \$ 5.54		D
Common Stock	01/25/2016		M		712 \$ 3.92		D
Common Stock	01/25/2016		M		712 \$ 4.21		D

Edgar Filing: HOME BANCSHARES INC - Form 4

Common Stock	01/25/2016	M	712	A	\$ 4.92	3,837,105	D	
Common Stock	01/25/2016	M	712	A	\$ 5.33	3,837,817	D	
Common Stock	01/25/2016	F	14,825	D	\$ 34.13	3,822,992	D	
Common Stock						6,774,818 ⁽⁶⁾	I	By 401(k)
Common Stock						33,664	I	By Capital Buyers
Common Stock						8,136	I	By IRA
Common Stock						427,680	I	By wife

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Stock Option	\$ 5.54	01/25/2016		M	88,957	01/01/2010	03/13/2016	Common Stock	88,957
Stock Option	\$ 3.92	01/25/2016		M	712	⁽⁷⁾	⁽⁷⁾	Common Stock	712
Stock Option	\$ 4.21	01/25/2016		M	712	⁽⁸⁾	⁽⁸⁾	Common Stock	712
Stock Option	\$ 4.92	01/25/2016		M	712	⁽⁹⁾	⁽⁹⁾	Common Stock	712
Stock Option	\$ 5.33	01/25/2016		M	712	⁽¹⁰⁾	⁽¹⁰⁾	Common Stock	712

				Stock	
Stock Option	\$ 8.54	(11)	01/17/2018	Common Stock	35,6
Stock Option	\$ 8.6	(12)	01/09/2018	Common Stock	4,7
Stock Option	\$ 10.16	(13)	01/18/2017	Common Stock	47,5
Stock Option	\$ 17.25		01/18/2014 01/17/2023	Common Stock	50,0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLISON JOHN W P.O. BOX 966 CONWAY, AR 72033	X		Chairman	

Signatures

/s/ John W. Allison by Jennifer C.
Floyd

01/27/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
 - (2) The reporting person had 13,999 shares vest since the last filing.
 - (3) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
 - (4) Restricted Stock granted on January 17, 2014 will vest in 33 1/3% installments over three years each January 17th.
 - (5) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
 - (6) Includes 23.817 shares acquired through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing.
 - (7) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and would have expired on December 31, 2012.
 - (8) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and would have expired on December 31, 2013.
 - (9) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and would have expired on December 31, 2014.
 - (10) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.
 - (11) The option became exercisable in five equal annual installments beginning on January 18, 2009.
 - (12) The option became exercisable in five equal annual installments beginning on January 10, 2009.
 - (13) The option became exercisable in two equal annual installments beginning on January 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Edgar Filing: HOME BANCSHARES INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.