

Edgar Filing: NEON SYSTEMS INC - Form 5

NEON SYSTEMS INC  
Form 5  
May 15, 2002

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| FORM 5 |  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Form 3 Holdings Reported

Form 4 Transactions Reported

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1. Name and Address of Reporting Person\*

Ellis George  
-----  
(Last) (First) (Middle)  
14100 Southwest Frwy, Ste. 500  
-----  
(Street)  
Sugar Land, TX 77478  
-----  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol NEON Systems, Inc. (NESY)  
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3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)  
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4. Statement for Month/Year 3/31/02  
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5. If Amendment, Date of Original (Month/Year)  
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6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 Director  Officer  10% Owner  Other  
(give title below) (specify below)

7. Individual or Joint/Group Reporting (check applicable line)  
 Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

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Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  Amount (A) or (D) Price	5. Amount of Securities Beneficia Owned at end of Issuer's Fiscal Ye (Instr. 3)
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Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deriv- ative Security	3. Trans- action Date (Month/ Day/ Year)	4. Transac- tion Code (Instr. 8)
Common Stock	\$8.20	03/26/02	A

