

NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC

Form 40-17G

July 20, 2016

ICI MUTUAL INSURANCE COMPANY,
a Risk Retention Group

1401 H St. NW
Washington, DC 20005

INVESTMENT COMPANY BLANKET BOND

ICI MUTUAL INSURANCE COMPANY,
a Risk Retention Group
1401 H St. NW
Washington, DC 20005

DECLARATIONS

NOTICE

This policy is issued by
your risk retention group.
Your risk retention group
may not be subject to all
of the insurance laws and
regulations of your state.
State insurance
insolvency guaranty
funds are not available for
your risk retention group.

Name

of

Item 1. Insured Number:

(the

"Insured")

Neuberger

Berman

Investment LLC

Advisers

LLC

Principal Mailing

Office: Address:

605

Third

Avenue, 21st

Floor

Floor

New

York, NY

10158

10158

Item 2. Bond Period: from

12:01 a.m. on __

April 30,

2016, to 12:01

a.m. on April

30, 2017, or

the earlier

effective date of

the termination of

this Bond,

standard time at
the Principal
Office as to each
of said dates.

Limit of
Liability--

Item 3. Subject to
Sections 9, 10 and
12 hereof:

	LIMIT OF LIABILITY	DEDUCTIBLE AMOUNT
Insuring Agreement A- FIDELITY	\$20,000,000	\$150,000
Insuring Agreement B- AUDIT EXPENSE	\$50,000	\$10,000
Insuring Agreement C- ON PREMISES	\$20,000,000	\$150,000
Insuring Agreement D- IN TRANSIT	\$20,000,000	\$150,000
Insuring Agreement E- FORGERY OR ALTERATION	\$20,000,000	\$150,000
Insuring Agreement F- SECURITIES	\$20,000,000	\$150,000
Insuring Agreement G- COUNTERFEIT CURRENCY	\$20,000,000	\$150,000
Insuring Agreement H- UNCOLLECTIBLE ITEMS OF DEPOSIT	\$25,000	\$5,000
Insuring Agreement I- PHONE/ELECTRONIC TRANSACTIONS	\$20,000,000	\$150,000

If "Not Covered" is inserted opposite any Insuring Agreement above, such Insuring Agreement and any reference thereto shall be deemed to be deleted from this Bond.

OPTIONAL INSURING AGREEMENTS ADDED BY RIDER:

Insuring Agreement J- COMPUTER SECURITY	\$20,000,000	\$150,000
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Offices or Premises
Covered--All the Insured's
offices or other premises in
existence at the time this
Bond becomes effective are
covered under this Bond,
except the offices or other

Item 4. premises excluded by
Rider. Offices or other
premises acquired or
established after the
effective date of this Bond
are covered subject to the
terms of General Agreement
A.

The liability of ICI Mutual
Insurance Company, a Risk
Retention Group (the
Item 5. "Underwriter") is subject to
the terms of the following
Riders attached hereto:

Riders:

1-2-3-4-5-6-7-8-9-10-11-12

and of all Riders applicable
to this Bond issued during
the Bond Period.

By: /S/ Catherine Dalton By: /S/ Swenitha Nalli
Authorized Representative Authorized Representative

Bond (10/15)

INVESTMENT COMPANY BLANKET BOND

NOTICE

This policy is issued by your risk retention group. Your risk retention group may not be subject to all of the insurance laws and regulations of your state. State insurance insolvency guaranty funds are not available for your risk retention group.

ICI Mutual Insurance Company, a Risk Retention Group (the "Underwriter"), in consideration of an agreed premium, and in reliance upon the Application and all other information furnished to the Underwriter by the Insured, and subject to and in accordance with the Declarations, General Agreements, Provisions, Conditions and Limitations and other terms of this bond (including all riders hereto) ("Bond"), to the extent of the Limit of Liability and subject to the Deductible Amount, agrees to indemnify the Insured for the loss, as described in the Insuring Agreements, sustained by the Insured at any time but discovered during the Bond Period.

INSURING AGREEMENTS

A. FIDELITY

Loss caused by
any Dishonest
or Fraudulent
Act or Theft
committed by
an Employee
anywhere, alone
or in collusion
with other
persons
(whether or not
Employees),
during the time
such Employee
has the status of
an Employee as
defined herein,
and even if such
loss is not
discovered until
after he or she
ceases to be an
Employee,
EXCLUDING
loss covered
under Insuring
Agreement B.

B. AUDIT
EXPENSE

Expense
incurred by the
Insured for that
part of audits or
examinations
required by any

governmental
regulatory
authority or Self
Regulatory
Organization to
be conducted by
such authority
or Organization
or by an
independent
accountant or
other person, by
reason of the
discovery of
loss sustained
by the Insured
and covered by
this Bond.

C. ON PREMISES

Loss resulting
from Property
that is (1)
located or
reasonably
believed by the
Insured to be
located within
the Insured's
offices or
premises, and
(2) the object of
Theft, Dishonest
or Fraudulent
Act, or
Mysterious
Disappearance,
EXCLUDING
loss covered
under Insuring
Agreement A.

D. IN TRANSIT

Loss resulting
from Property
that is (1) in
transit in the
custody of any
person

authorized by an Insured to act as a messenger, except while in the mail or with a carrier for hire (other than a Security Company), and (2) the object of Theft, Dishonest or Fraudulent Act, or Mysterious Disappearance, EXCLUDING loss covered under Insuring Agreement A. Property is "in transit" beginning immediately upon receipt of such Property by the transporting person and ending immediately upon delivery at the specified destination.

E. FORGERY OR ALTERATION

Loss caused by the Forgery or Alteration of or on (1) any bills of exchange, checks, drafts, or other written orders or directions to pay certain sums in money, acceptances, certificates of deposit, due

bills, money
orders, or letters
of credit; or (2)
other written
instructions,
requests or
applications to
the Insured,
authorizing or
acknowledging
the transfer,
payment,
redemption,
delivery or
receipt of
Property, or
giving notice of
any bank
account, which
instructions or
requests or
applications
purport

to have been signed or endorsed by (a) any customer of the Insured, or (b) any shareholder of or subscriber to shares issued by any Investment Company, or (c) any financial or banking institution or stockbroker; or (3) withdrawal orders or receipts for the withdrawal of Property, or receipts or certificates of deposit for Property and bearing the name of the Insured as issuer or of another Investment Company for which the Insured acts as agent. This Insuring Agreement E does not cover loss caused by Forgery or Alteration of Securities or loss covered under Insuring Agreement A.

F. SECURITIES

Loss resulting from the Insured, in good faith, in the ordinary course of business, and in any capacity whatsoever, whether for its own account or for the account of others, having acquired, accepted or received, or sold or delivered, or given any value, extended any credit or assumed any liability on the faith of any Securities, where such loss results from the fact that such Securities (1) were Counterfeit, or (2) were lost or stolen, or (3) contain a Forgery or Alteration, and notwithstanding whether or not the act of the Insured causing such loss violated the constitution, by-laws, rules or regulations of any Self Regulatory Organization, whether or not the Insured was a member

thereof, EXCLUDING loss
covered under Insuring
Agreement A.

G. COUNTERFEIT
CURRENCY

Loss caused by the Insured in
good faith having received or
accepted (1) any money
orders which prove to be
Counterfeit or to contain an
Alteration or (2) paper
currencies or coin of the
United States of America or
Canada which prove to be
Counterfeit. This Insuring
Agreement G does not cover
loss covered under Insuring
Agreement A.

H. UNCOLLECTIBLE ITEMS
OF DEPOSIT

Loss resulting from the
payment of dividends,
issuance of Fund shares or
redemptions or exchanges
permitted from an account
with the Fund as a
consequence of

- | | |
|-----|--|
| | uncollectible
Items of
Deposit of a
Fund's
customer,
shareholder |
| (1) | or subscriber
credited by
the Insured
or its agent to
such person's
Fund
account, or |
| (2) | any Item of
Deposit
processed
through an
automated |

clearing
house which
is reversed
by a Fund's
customer,
shareholder
or subscriber
and is
deemed
uncollectible
by the
Insured;

PROVIDED, that (a) Items of Deposit shall not be deemed uncollectible until the Insured's collection procedures have failed, (b) exchanges of shares between Funds with exchange privileges shall be covered hereunder only if all such Funds are insured by the Underwriter for uncollectible Items of Deposit, and (c) the Insured Fund shall have implemented and maintained a policy to hold Items of Deposit for the minimum number of days stated in its Application (as amended from time to time) before paying any dividend or permitting any withdrawal with respect to such Items of Deposit (other than exchanges between Funds). Regardless of the number of transactions between Funds in an exchange program, the minimum number of days an Item of Deposit must be held shall begin from the date the Item of Deposit was first credited to any Insured Fund.

This Insuring Agreement H does not cover loss covered under Insuring Agreement A.

I.

PHONE/ELECTRONIC
TRANSACTIONS

Loss caused by a
Phone/Electronic
Transaction, where the
request for such
Phone/Electronic
Transaction:

3

- (1) is transmitted to the Insured or its agents by voice over the telephone or by Electronic Transmission; and
- (2) is made by an individual purporting to be a Fund shareholder or subscriber or an authorized agent of a Fund shareholder or subscriber; and
- (3) is unauthorized or fraudulent and is made with the manifest intent to deceive;

PROVIDED, that the entity receiving such request generally maintains and follows during the Bond Period all Phone/Electronic Transaction Security Procedures with respect to all Phone/Electronic Transactions; and

EXCLUDING loss resulting from:

- (1) the failure to pay for shares attempted to be purchased; or
- (2) any redemption of Investment Company shares which had been improperly credited to a shareholder's account where such shareholder (a) did not cause, directly or indirectly, such

shares to be credited to such account, and (b) directly or indirectly received any proceeds or other benefit from such redemption; or

any redemption of shares issued by an Investment Company where the proceeds of such redemption were requested to be paid or made payable to other than (a) the Shareholder of Record, or (b) any other person or bank account designated to receive redemption proceeds (i) in the initial account application, or (ii) in writing (not to include Electronic Transmission) accompanied by a signature guarantee; or

(3)

(4)

any redemption of shares issued by an Investment Company where the proceeds of such redemption were requested to be sent to other than any address for such account which was designated (a) in the initial account

- application, or (b)
in writing (not to
include
Electronic
Transmission),
where such
writing is
received at least
one (1) day prior
to such
redemption
request, or (c) by
voice over the
telephone or by
Electronic
Transmission at
least fifteen (15)
days prior to such
redemption; or
- (5) the intentional
failure to adhere
to one or more
Phone/Electronic
Transaction
Security
Procedures; or
- (6) a
Phone/Electronic
Transaction
request
transmitted by
electronic mail or
transmitted by
any method not
subject to the
Phone/Electronic
Transaction
Security
Procedures; or
- (7) the failure or
circumvention of
any physical or
electronic
protection device,
including any
firewall, that
imposes
restrictions on the

flow of electronic
traffic in or out of
any Computer
System.

This Insuring Agreement I does not
cover loss covered under Insuring
Agreement A, "Fidelity" or Insuring
Agreement J, "Computer Security".

GENERAL AGREEMENTS

A. ADDITIONAL OFFICES OR EMPLOYEES—CONSOLIDATION OR MERGER--NOTICE

1. Except as
provided in
paragraph 2
below, this Bond
shall apply to any
additional
office(s)
established by the
Insured during
the Bond Period
and to all
Employees
during the Bond
Period,

without the
need to give
notice thereof
or pay
additional
premiums to
the
Underwriter
for the Bond
Period.

2. If during the
Bond Period
an Insured
Investment
Company
shall merge or
consolidate
with an
institution in
which such
Insured is the
surviving
entity, or
purchase
substantially
all the assets
or capital
stock of
another
institution, or
acquire or
create a
separate
investment
portfolio, and
shall within
sixty (60) days
notify the
Underwriter
thereof, then
this Bond
shall
automatically
apply to the
Property and
Employees
resulting from
such merger,
consolidation,
acquisition or

creation from
the date
thereof;
provided, that
the
Underwriter
may make
such coverage
contingent
upon the
payment of an
additional
premium.

B. WARRANTY

No statement made by or
on behalf of the Insured,
whether contained in the
Application or otherwise,
shall be deemed to be an
absolute warranty, but
only a warranty that such
statement is true to the
best of the knowledge of
the person responsible for
such statement.

C. COURT COSTS AND ATTORNEYS' FEES

The Underwriter will
indemnify the Insured
against court costs and
reasonable attorneys' fees
incurred and paid by the
Insured in defense of any
legal proceeding brought
against the Insured
seeking recovery for any
loss which, if established
against the Insured, would
constitute a loss covered
under the terms of this
Bond; provided, however,
that with respect to
Insuring Agreement A this
indemnity shall apply only
in the event that

1.

an Employee
admits to
having
committed or
is adjudicated
to have
committed a
Dishonest or
Fraudulent
Act or Theft
which caused
the loss; or

in the absence
of such an
admission or
adjudication,
an arbitrator
or arbitrators
acceptable to
the Insured
and the
Underwriter

2. concludes,
after a review
of an agreed
statement of
facts, that an
Employee has
committed a
Dishonest or
Fraudulent
Act or Theft
which caused
the loss.

The Insured shall
promptly give notice to
the Underwriter of any
such legal proceeding and
upon request shall furnish
the Underwriter with
copies of all pleadings and
other papers therein. At
the Underwriter's election
the Insured shall permit
the Underwriter to
conduct the defense of
such legal proceeding in
the Insured's name,
through attorneys of the

Underwriter's selection. In such event, the Insured shall give all reasonable information and assistance which the Underwriter shall deem necessary to the proper defense of such legal proceeding.

If the amount of the Insured's liability or alleged liability in any such legal proceeding is greater than the amount which the Insured would be entitled to recover under this Bond (other than pursuant to this General Agreement C), or if a Deductible Amount is applicable, or both, the indemnity liability of the Underwriter under this General Agreement C is limited to the proportion of court costs and attorneys' fees incurred and paid by the Insured or by the Underwriter that the amount which the Insured would be entitled to recover under this Bond (other than pursuant to this General Agreement C) bears to the sum of such amount plus the amount which the Insured is not entitled to recover. Such indemnity shall be in addition to the Limit of Liability for the applicable Insuring Agreement.

D.INTERPRETATION

This Bond shall be interpreted with due regard to the purpose of fidelity bonding under Rule 17g-1 of the Investment Company Act

of 1940 (i.e., to protect innocent third parties from harm) and to the structure of the investment management industry (in which a loss of Property resulting from a cause described in any Insuring Agreement ordinarily gives rise to a potential legal liability on the

part of the
Insured), such that
the term “loss” as
used herein shall
include an
Insured’s legal
liability for direct
compensatory
damages resulting
directly from a
misappropriation,
or measurable
diminution in
value, of
Property.

THIS BOND,
INCLUDING THE
FOREGOING
INSURING
AGREEMENTS
AND GENERAL
AGREEMENTS, IS
SUBJECT TO THE
FOLLOWING
PROVISIONS,
CONDITIONS AND
LIMITATIONS:

SECTION 1.
DEFINITIONS

The following terms used
in this Bond shall have
the meanings stated in
this Section:

- “Alteration” means
the marking,
changing or
altering in a
material way of
A. the terms,
meaning or legal
effect of a
document with
the intent to
deceive.

- B. “Application” means the Insured’s application (and any attachments and materials submitted in connection therewith) furnished to the Underwriter for this Bond.
- C. “Computer System” means (1) computers with related peripheral components, including storage components, (2) systems and applications software, (3) terminal devices, (4) related communications networks or customer communication systems, and (5) related electronic funds transfer systems; by which data or monies are electronically collected, transmitted, processed, stored or retrieved.
- D. “Counterfeit” means, with respect to any item, one which is false but is intended to deceive and to be taken for the original

authentic item.

E. “Deductible Amount” means, with respect to any Insuring Agreement, the amount set forth under the heading “Deductible Amount” in Item 3 of the Declarations or in any Rider for such Insuring Agreement, applicable to each Single Loss covered by such Insuring Agreement.

F. “Depository” means any “securities depository” (other than any foreign securities depository) in which an Investment Company may deposit its Securities in accordance with Rule 17f-4 under the Investment Company Act of 1940.

G. “Dishonest or Fraudulent Act” means any dishonest or fraudulent act, including “larceny and embezzlement” as defined in Section 37 of the

Investment
Company Act of
1940, committed
with the
conscious
manifest intent
(1) to cause the
Insured to
sustain a loss
and (2) to obtain
financial benefit
for the
perpetrator or
any other person
(other than
salaries,
commissions,
fees, bonuses,
awards, profit
sharing, pensions
or other
employee
benefits). A
Dishonest or
Fraudulent Act
does not mean or
include a
reckless act, a
negligent act, or
a grossly
negligent act.

H. “Electronic
Transmission”
means any
transmission
effected by
electronic
means, including
but not limited to
a transmission
effected by
telephone tones,
Telefacsimile,
wireless device,
or over the
Internet.

I. “Employee”
means:

each officer,
director,
trustee,
(1) partner or
employee of
the Insured,
and

each officer,
director,
trustee,
partner or
employee of
any
predecessor
of the Insured
whose
principal
(2) assets are
acquired by
the Insured by
consolidation
or merger
with, or
purchase of
assets or
capital stock
of, such
predecessor,
and

- (3) each attorney performing legal services for the Insured and each employee of such attorney or of the law firm of such attorney while performing services for the Insured, and
- (4) each student who is an authorized intern of the Insured, while in any of the Insured's offices, and
- (5) each officer, director, trustee, partner or employee of
 - (a) an investment adviser,
 - (b) an underwriter (distributor),
 - (c) a transfer agent or shareholder accounting recordkeeper, or
 - (d) an administrator authorized by written agreement to keep financial and/or other required records,for an Investment Company named as an Insured, BUT ONLY while (i) such officer, partner or employee is performing acts coming within the scope of the usual duties of an officer or employee of an Insured, or (ii) such officer, director, trustee, partner or employee is acting as a member of any committee duly elected or appointed to examine or audit or have custody of or access to the Property of the Insured, or (iii) such director or trustee (or anyone acting in a similar capacity) is acting outside the scope of the usual duties of a director or trustee; PROVIDED, that the term "Employee" shall not include any officer, director, trustee, partner or employee of a transfer agent, shareholder accounting recordkeeper or administrator (x) which is not an "affiliated person" (as defined in Section 2(a) of the Investment Company Act of 1940) of an Investment Company named as Insured or of the adviser or underwriter of such Investment Company, or (y) which is a "Bank" (as defined in Section 2(a) of the Investment Company Act of 1940), and
- (6) each individual assigned, by contract or by any agency furnishing temporary personnel, in either case on a contingent or part-time basis, to perform the usual duties of an employee in any office of the Insured, and
 - each individual assigned to perform the usual duties of an employee or officer of any entity authorized by written agreement with the Insured to perform services as electronic data processor of checks or other accounting records
- (7) of the Insured, but excluding a processor which acts as transfer agent or in any other agency capacity for the Insured in issuing checks, drafts or securities, unless included under subsection (5) hereof, and
- (8) each officer, partner or employee of
 - (a) any Depository or Exchange,
 - (b) any nominee in whose name is registered any Security included in the systems for the central handling of securities established and maintained by any Depository, and
 - (c) any recognized service company which provides clerks or other personnel to any Depository or Exchange on a contract basis,while such officer, partner or employee is performing services for any Depository in the operation of systems for the central handling of securities, and
- (9) in the case of an Insured which is an "employee benefit plan" (as defined in Section 3 of the Employee Retirement Income Security Act of 1974 ("ERISA")) for officers, directors or employees of another Insured ("In-House Plan"), any "fiduciary" or other "plan official" (within the meaning of Section 412 of ERISA) of such In-House Plan, provided that such fiduciary or other plan official is a director, partner, officer, trustee or employee of an Insured

(other than an In-House Plan).

Each employer of temporary personnel and each entity referred to in subsections (6) and (7) and their respective partners, officers and employees shall collectively be deemed to be one person for all the purposes of this Bond.

Brokers, agents,
independent
contractors, or
representatives of
the same general
character shall
not be considered
Employees,
except as
provided in
subsections (3),
(6), and (7).

“Exchange” means
any national
securities
exchange
J. registered under
the Securities
Exchange Act of
1934.

“Forgery” means
the physical
signing on a
document of the
name of another
person (whether
real or fictitious)
with the intent to
deceive. A
Forgery may be
by means of
mechanically
reproduced

K. facsimile
signatures as well
as handwritten
signatures.
Forgery does not
include the
signing of an
individual’s own
name, regardless
of such
individual’s
authority,
capacity or
purpose.

L.

“Items of Deposit”

means one or more checks or drafts.

“Investment

Company” or

“Fund” means an investment

M. company

registered under the Investment Company Act of 1940.

“Limit of Liability”

means, with respect to any

Insuring

Agreement, the

limit of liability

of the

Underwriter for

any Single Loss

covered by such

N. Insuring

Agreement as set

forth under the

heading “Limit of

Liability” in Item

3 of the

Declarations or in

any Rider for

such Insuring

Agreement.

“Mysterious

Disappearance”

means any

disappearance of

Property which,

O. after a reasonable

investigation has

been conducted,

cannot be

explained.

P. “Non-Fund” means

any corporation,

business trust,

partnership, trust

or other entity
which is not an
Investment
Company.

“Phone/Electronic
Transaction
Security
Procedures”
means security

Q. procedures for
Phone/Electronic
Transactions as
provided in
writing to the
Underwriter.

R. “Phone/Electronic
Transaction”
means any (1)
redemption of
shares issued by
an Investment
Company, (2)
election
concerning
dividend options
available to Fund
shareholders, (3)
exchange of
shares in a
registered
account of one
Fund into shares
in an identically
registered
account of
another Fund in
the same complex
pursuant to
exchange
privileges of the
two Funds, or (4)
purchase of
shares issued by
an Investment
Company, which
redemption,
election,
exchange or
purchase is

requested by
voice over the
telephone or
through an
Electronic
Transmission.

S. "Property" means
the following
tangible items:
money, postage
and revenue
stamps, precious
metals,
Securities, bills
of exchange,
acceptances,
checks, drafts, or
other written
orders or
directions to pay
sums certain in
money,
certificates of
deposit, due bills,
money orders,
letters of credit,
financial futures
contracts,
conditional sales
contracts,
abstracts of title,
insurance
policies, deeds,
mortgages, and
assignments of
any of the
foregoing, and
other valuable
papers, including
books of account
and other records
used by the
Insured in the
conduct of its
business, and all
other instruments
similar to or in
the nature of the
foregoing (but
excluding all data

processing records), (1) in which the Insured has a legally cognizable interest, (2) in which the Insured acquired or should have acquired such an interest by reason of a predecessor's declared financial condition at the time of the Insured's consolidation or merger with, or purchase of the principal assets of, such predecessor or (3) which are held by the Insured for any purpose or in any capacity.

T. "Securities" means original negotiable or non-negotiable agreements or instruments which represent an equitable or legal interest, ownership or debt (including stock certificates, bonds, promissory notes, and assignments thereof), which are in the ordinary course of business and transferable by physical delivery with appropriate endorsement or assignment.

“Securities” does not include bills of exchange, acceptances, certificates of deposit, checks, drafts, or other written orders or directions to pay sums certain in money, due bills, money orders, or letters of credit.

“Security Company”
means an entity which
provides or purports to
provide the transport
U. of Property by secure
means, including,
without limitation, by
use of armored
vehicles or guards.

“Self Regulatory
Organization” means
any association of
V. investment advisers or
securities dealers
registered under the
federal securities laws,
or any Exchange.

“Shareholder of Record”
means the record
owner of shares issued
by an Investment
Company or, in the
case of joint
ownership of such
shares, all record
W. owners, as designated
(1) in the initial
account application, or
(2) in writing
accompanied by a
signature guarantee, or
(3) pursuant to
procedures as set forth
in the Application.

X. “Single Loss” means:

- (1) all loss
resulting
from any one
actual or
attempted
Theft
committed by
one person,
or
- (2) all loss
caused by

any one act
(other than a
Theft or a
Dishonest or
Fraudulent
Act)
committed by
one person,
or

(3) all loss
caused by
Dishonest or
Fraudulent
Acts
committed by
one person,
or

(4) all expenses
incurred with
respect to
any one audit
or
examination,
or