



Edgar Filing: KIMBERLIN KEVIN - Form 3/A

(2) Held by Spencer Trask Securities, Incorporated ("STSI"), a Delaware corporation and wholly owned subsidiary of STSI, a Delaware corporation ("STSI"), of which the reporting person is the controlling shareholder.  
 (3) Held by Kevin Kimberlin Partners, L.P., a Delaware limited partnership ("KKP"), of which the reporting person is the general partner.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\*If the form is filed by more than one reporting person, SEE Instruction 5(b)(v).

FORM 3 (continued) Table II -- Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, etc.)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date  (Month/Day/ Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership
Warrants to purchase Common Stock	5/19/97	3 years from IPO Closing	20,517 \$5.20	
Warrants to purchase Common Stock	5/19/97	3 years from IPO Closing	157,181 \$5.20	
Warrants to purchase Common Stock	7/9/98	3 years from IPO Closing	38,095 \$6.76	
Warrants to purchase Common Stock	7/9/98	3 years from IPO Closing	120,556 \$6.76	

Explanation of Responses:

- (4) Held by Oshkim, of which the reporting person is the general partner.
- (5) Held by STSI, wholly owned subsidiary of STSI, of which the reporting person is the controlling shareholder.

Edgar Filing: KIMBERLIN KEVIN - Form 3/A

- (6) Held by KKP of which the reporting person is the general partner.
- (7) Held by STSI, wholly owned subsidiary of STHI, of which the reporting person is the cont

-----  
Explanation of Responses:

\*\*Intentional misstatements or omissions of facts  
constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Kevin B. Kimberlin

-----  
\*\*Signature of Reporting Pe  
Kevin B. Kimberlin

Note: File three copies of this Form, one of which must be manually signed. If space is insuffi  
See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are  
required to respond unless the form displays a currently valid OMB Number.