

Edgar Filing: TCHEN HENRI - Form 4

TCHEN HENRI  
Form 4  
March 21, 2003

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OMB APPROVAL  
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OMB Number 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*  
  
Tchen Henri  
-----  
(Last) (First) (Middle)  
  
17150 Newhope St., Suite 503  
-----  
(Street)  
  
Fountain Valley CA 92708  
-----  
(City) (State) (Zip)

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2. Issuer Name and Ticker or Trading Symbol  
  
Liquidmetal Technologies (LQMT)  
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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
  
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4. Statement for Month/Year  
  
March 19, 2003  
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5. If Amendment, Date of Original (Month/Year)  
  
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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

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 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
		Code	V	Amount	(A) or (D)	Price
Liquidmetal Technologies Common Stock	03/19/03	M		1,000	A	\$5.98
Liquidmetal Technologies Common Stock	03/19/03	M		600	A	\$5.99
Liquidmetal Technologies Common Stock	03/19/03	M		3,300	A	\$6.00
Liquidmetal Technologies Common Stock	03/19/03	M		350	A	\$6.02
Liquidmetal Technologies Common Stock	03/19/03	M		1,019	A	\$6.03
Liquidmetal Technologies Common Stock	03/19/03	M		2,000	A	\$6.04

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\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

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Section 30(f) of the Investment Company Act of 1940

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may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

Tchen

Henri

(Last)

(First)

(Middle)

17150 Newhope St., Suite 503

(Street)

Fountain Valley

CA

92708

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

March 19, 2003

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Price
		Code	V	Amount	(A) or (D)	
Liquidmetal Technologies Common Stock	03/19/03	M		5,900	A	\$6.05
Liquidmetal Technologies Common Stock	03/19/03	M		1,781	A	\$6.06
Liquidmetal Technologies Common Stock	03/19/03	M		300	A	\$6.069

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Liquidmetal Technologies Common Stock	03/19/03	M	4,800	A	\$6.07
Liquidmetal Technologies Common Stock	03/19/03	M	900	A	\$6.08
Liquidmetal Technologies Common Stock	03/19/03	M	1,750	A	\$6.09
Liquidmetal Technologies Common Stock	03/19/03	M	300	A	\$6.10

\* If the Form is filed by more than one Reporting Person, see Instruction 4 (b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares

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Explanation of Responses:

/s/ Curt P. Creely

March 21, 2003

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CURT P. CREELY AS ATTORNEY IN FACT FOR  
HENRI TCHEN

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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