

Edgar Filing: RAINING DATA CORP - Form NT 10-Q

RAINING DATA CORP  
Form NT 10-Q  
August 15, 2001

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SEC 1344          PERSONS WHO POTENTIALLY ARE TO RESPOND TO THE COLLECTION OF
(7-2000)          INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO
Previous          RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB
versions          CONTROL NUMBER.
obsolete
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                UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
                WASHINGTON, D.C. 20549

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OMB APPROVAL
OMB NUMBER: 3235-0058
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hours per response....2.50

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FORM 12b-25

NOTIFICATION OF LATE FILING

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SEC File Number: 0-16449
CUSIP Number: 75087R108

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(Check One):

- Form 10-K                     Form 11-K                     Form 20-F  
 Form 10-Q                     Form N-SAR

For Period Ended:            June 30, 2001  
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- Transition Report on Form 10-K                     Transition Report on Form 10-Q  
 Transition Report on Form 20-F                     Transition Report on Form N-SAR  
 Transition Report on Form 11-K

For the Transition Period Ended:                    N/A  
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Read Instruction (on back page) Before Preparing Form. Please Print or Type.

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NOTHING IN THIS FORM SHALL BE CONSTRUED TO IMPLY THAT THE COMMISSION HAS
VERIFIED ANY INFORMATION CONTAINED HEREIN.

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If the notification relates to a portion of the filing checked above,  
identify the Item(s) to which the notification relates:

N/A

PART I -- REGISTRANT INFORMATION

RAINING DATA CORPORATION

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Full Name of Registrant

-----  
Former Name if Applicable

-----  
17500 CARTWRIGHT ROAD  
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Address of Principal Executive Office (Street and Number)

IRVINE, CALIFORNIA 92614

-----  
City, State and Zip Code

PART II--RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

- (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- (b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K or Form N-SAR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date; and
- (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

(ATTACH EXTRA SHEETS IF NEEDED)  
SEC 1344 (11/91)

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PART III--NARRATIVE

State below in reasonable detail the reasons why Forms 10-K, 20-F, 11-K, 10-Q, N-SAR or the transition report portion thereof, could not be filed within the prescribed time period. (Attach extra sheets if needed.)

The Registrant is attempting to complete and resolve issues raised in connection with its consolidation of financial statements, which could affect certain line items of its financial statements.

PART IV - OTHER INFORMATION

- (1) Name and telephone number of person to contact in regard to this notification

|                        |             |                    |
|------------------------|-------------|--------------------|
| Scott K. Anderson, Jr. | (949)       | 442-4400           |
| -----                  | -----       | -----              |
| (Name)                 | (Area Code) | (Telephone Number) |

- (2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports) been filed? If answer is no, identify report(s).

[X] Yes [ ] No

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- (3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

[ ] Yes [X] No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

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RAINING DATA CORPORATION  
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(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date: August 15, 2001

By: /s/ SCOTT K. ANDERSON, JR.  
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Scott K. Anderson, Jr.  
Chief Financial Officer