

Edgar Filing: SANFILIPPO JOHN B & SON INC - Form 4

SANFILIPPO JOHN B & SON INC

Form 4

February 11, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

|                                   |         |          |
|-----------------------------------|---------|----------|
| Barker                            | James   | M        |
| -----                             | -----   | -----    |
| (Last)                            | (First) | (Middle) |
| c/o John B Sanfilippo & Son, Inc. |         |          |
| 2299 Busse Road                   |         |          |
| -----                             |         |          |
| (Street)                          |         |          |
| Elk Grove Village                 | IL      | 60007    |
| -----                             | -----   | -----    |
| (City)                            | (State) | (Zip)    |
| John B. Sanfilippo & Son, Inc.    | (JBSS)  |          |

2. Issuer Name and Ticker or Trading Symbol

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

2/10/2003

4. Statement for Month/Day/Year

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

|  |  |
|--|--|
| <input type="checkbox"/> Director                              | <input type="checkbox"/> 10% Owner             |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |
| Senior Vice President, Sales and Marketing                     |  |

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

=====  
Table I -- Non-Derivative Securities Acquired, Disposed of,

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or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date, if any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8)<br>-----<br>Code V | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>-----<br>Amount<br>or<br>Price | (A)<br>or<br>(D) | Price  |
|---------------------------------------|---|--|--|--|------------------|--------|
| Common Stock                          | 2/10/2003                               |  | M  | 100  | A                | 6.75   |
| Common Stock                          | 2/10/2003                               |  | S  | 100  | D                | 12.80  |
| Common Stock                          | 2/10/2003                               |  | M  | 100  | A                | 6.75   |
| Common Stock                          | 2/10/2003                               |  | S  | 100  | D                | 12.74  |
| Common Stock                          | 2/10/2003                               |  | M  | 100  | A                | 6.75   |
| Common Stock                          | 2/10/2003                               |  | S  | 100  | D                | 12.70  |
| Common Stock                          | 2/10/2003                               |  | M  | 100  | A                | 6.75   |
| Common Stock                          | 2/10/2003                               |  | S  | 100  | D                | 12.641 |
| Common Stock                          | 2/10/2003                               |  | M  | 600  | A                | 6.75   |
| Common Stock                          | 2/10/2003                               |  | S  | 600  | D                | 12.60  |
| Common Stock                          | 2/10/2003                               |  | M  | 100  | A                | 6.25   |
| Common Stock                          | 2/10/2003                               |  | S  | 100  | D                | 12.54  |
| Common Stock                          | 2/10/2003                               |  | M  | 400  | A                | 6.25   |
| Common Stock                          | 2/10/2003                               |  | S  | 400  | D                | 12.601 |
| Common Stock                          | 2/10/2003                               |  | M  | 1300   | A                | 6.25   |
| Common Stock                          | 2/10/2003                               |  | S  | 1300   | D                | 12.50  |
| Common Stock                          |   |  |  |  |                  |        |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3A.<br>Execu-<br>tion<br>Date,<br>if any<br>(Month<br>/Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|--|--|---|--|
|--|---|--|--|--|--|---|--|

Employee  
Stock Option  
(Right to  
Buy)

6.75 2/10/03 M 1000 (1) 6/24/06 Common Stock 1000

Employee  
Stock Option  
(Right to  
Buy)

6.25 2/10/03 M 1800 (2) 5/21/07 Common Stock 1800

Explanation of Responses:

(1) Options vested in equal installments of 25% on each of the first, second, third and fourth anniversaries of the option's Date of Grant on June 24, 1997, 1998, 1999 and 2000.

(2) Options vested in equal installments of 25% on each of the first, second, third and fourth anniversaries of the option's Date of Grant on May 21, 1998, 1999, 2000 and 2001.

/s/Linda Crowley

2/11/03

\*\*Signature of Reporting Person

Date

By: Linda Crowley as attorney-in-fact for James M Barker per  
Power of Attorney dated August 23, 2001, original on file with the SEC.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

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See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Page 2