State Auto Financial CORP Form 4

August 07, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue. See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* STATE AUTOMOBILE MUTUAL **INSURANCE CO** 

(Street)

(First) (Last)

518 E. BROAD STREET

(Middle)

State Auto Financial CORP [STFC] 3. Date of Earliest Transaction

2. Issuer Name and Ticker or Trading

(Month/Day/Year) 08/05/2014

Filed(Month/Day/Year)

Symbol

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director Officer (give title below)

X\_\_ 10% Owner \_ Other (specify

> 7. Nature of Indirect Beneficial Ownership (Instr. 4)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

COLUMBUS, OH 43215

(City)

par value

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of                               | 2. Transaction Date | 2A. Deemed         | 3.                 | 4. Securi           | ties A           | cquired (A)   | 5. Amount of  | 6.   | _ |
|--|---------------------|--------------------|--------------------|---------------------|------------------|---------------|---|--|---|
| Security                                 | (Month/Day/Year)    | Execution Date, if | Transactio         | oror Dispo          | sed of           | (D)           | Securities  | Ownership                                      | ] |
| (Instr. 3)                               |                     | any                | Code               | (Instr. 3, 4 and 5) |                  |               | Beneficially  | Form:  | ] |
|  |                     | (Month/Day/Year)   | (Instr. 8)  Code V | Amount              | (A)<br>or<br>(D) | Price         | Owned Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | , |
| Common<br>Shares<br>without<br>par value | 08/05/2014          |                    | P                  | 2,655               | A                | \$<br>21.0814 | 25,482,690.08   | D  |   |
| Common<br>Shares<br>without              | 08/06/2014          |                    | P                  | 1,676               | A                | \$<br>21.2667 | 25,484,366.08   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

#### Edgar Filing: State Auto Financial CORP - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. | . Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.                  | 6. Date Exer | cisable and | 7. Tit. | le and     | 8. Price of | 9. Nu  |
|----|------------|-------------|---------------------|--------------------|------------|---------------------|--------------|-------------|---------|------------|-------------|--------|
| D  | erivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transact   | iorNumber           | Expiration D | ate         | Amou    | unt of     | Derivative  | Deriv  |
| S  | ecurity    | or Exercise |                     | any                | Code       | of                  | (Month/Day   | /Year)      | Unde    | rlying     | Security    | Secui  |
| (I | nstr. 3)   | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ           | re           |             | Secur   | rities     | (Instr. 5)  | Bene   |
|    |            | Derivative  |                     |                    |            | Securities          | S            |             | (Instr  | . 3 and 4) |             | Own    |
|    |            | Security    |                     |                    |            | Acquired            | l            |             |         |            |             | Follo  |
|    |            | -           |                     |                    |            | (A) or              |              |             |         |            |             | Repo   |
|    |            |             |                     |                    |            | Disposed            | l            |             |         |            |             | Trans  |
|    |            |             |                     |                    |            | of (D)              |              |             |         |            |             | (Instr |
|    |            |             |                     |                    |            | (Instr. 3,          |              |             |         |            |             |        |
|    |            |             |                     |                    |            | 4, and 5)           |              |             |         |            |             |        |
|    |            |             |                     |                    |            |                     |              |             |         |            |             |        |
|    |            |             |                     |                    |            |                     |              |             |         | Amount     |             |        |
|    |            |             |                     |                    |            |                     | Date         | Expiration  |         | or         |             |        |
|    |            |             |                     |                    |            |                     | Exercisable  | Date        | Title   | Number     |             |        |
|    |            |             |                     |                    |            |                     |              |             |         | of         |             |        |
|    |            |             |                     |                    | Code V     | $^{\prime}$ (A) (D) |              |             |         | Shares     |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address       | Relationships |           |         |       |  |  |
|--------------------------------------|---------------|-----------|---------|-------|--|--|
| Reporting Owner Name / Address       | Director      | 10% Owner | Officer | Other |  |  |
| STATE AUTOMOBILE MUTUAL INSURANCE CO |               |           |         |       |  |  |
| 518 E. BROAD STREET                  |               | X         |         |       |  |  |
| COLUMBUS, OH 43215                   |               |           |         |       |  |  |

## **Signatures**

State Automobile Mutual Insurance Company by James A. Yano, Secretary

08/07/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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