

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

AMERICAN EXPRESS FINANCIAL ADVISORS
Form 13F-HR
May 02, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13 (F) COVER PAGE

Report for the Calendar Year or Quarter Ended: March 28, 2002

Check here if Amendment [] ; Amendment Number:
This Amendment (Check only one.): [] is a restatement.
[] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: American Express Financial Corporation
Address: 430 AXP Financial Center
Minneapolis, MN 55474-0000

Form 13F File Number: 28-139

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: John Knight
Title: V.P. Investment Accounting
Phone: 612-671-3618

Signature, Place, and Date of Signing:

| | | |
|-----------------|-----------------|----------------|
| /s/ John Knight | Minneapolis, MN | April 22, 2002 |
| ----- | ----- | ----- |
| [Signature] | [City, State] | [Date] |

Report Type (Check only one.):

[] 13F HOLDINGS REPORT.
[] 13F NOTICE.
[x] 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:
Number of Other Included Managers: 13
Form 13F Information Table Entry Total: 7609
Form 13F Information Table Value Total: \$85514383 (thousands)

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

List of Other Included Managers:

| No. | 13F File Number | Name |
|-----|-----------------|---|
| 01 | 28-698 | American Express Company |
| 02 | 28-2069 | IDS Advisory Group, Inc. |
| 03 | 28-1151 | American Express Trust Company |
| 04 | 28-140 | American Express Certificate Company |
| 05 | 28-143 | IDS Life Insurance Company |
| 06 | | IDS Life Insurance Company of New York |
| 07 | | American Partners Life |
| 08 | | American Centurion Life of New York |
| 09 | | American Enterprise Life |
| 10 | | State Street |
| 11 | | American Express Asset Management International |
| 12 | | American Express Asset Management International-Japan |
| 13 | | American Express Asset Management Limited |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | FORM 13F INFORMATION TABLE | | | | | | | O |
|------------------------|----------------|-----------|----------------------------|--------------------|------------|--------------|--------------------|---------|----|---|
| | | | VALUE (x\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DSCRETN | MA | | |
| AAR | COM | 000361105 | 888 | 82415 | SH | | | DEFINED | 01 | |
| ABENGOA | COM | 000369991 | 114 | 16000 | SH | | | DEFINED | 01 | |
| ABN AMRO HOLDING NV | COM | 000770990 | 1511 | 79548 | SH | | | DEFINED | 01 | |
| AC MOORE | COM | 00086T103 | 599 | 15700 | SH | | | DEFINED | 01 | |
| ADC TELECOMMUNICATIONS | COM | 000886101 | 782 | 192031 | SH | | | DEFINED | 01 | |
| ADC TELECOMMUNICATIONS | COM | 000886101 | 819 | 201168 | SH | | | DEFINED | 01 | |
| ADC TELECOMMUNICATIONS | COM | 000886101 | 29 | 7218 | SH | | | DEFINED | 01 | |
| ACTV INC | COM | 00088E104 | 0 | 131 | SH | | | DEFINED | 01 | |
| ADIDAS AG | COM | 000900993 | 3247 | 45391 | SH | | | DEFINED | 01 | |
| ABM INDS | COM | 000957100 | 2756 | 75082 | SH | | | DEFINED | 01 | |
| ADVANTECH CO LTD | COM | 001009992 | 131 | 50000 | SH | | | DEFINED | 01 | |
| AFC ENTERPRISES | COM | 00104Q107 | 418 | 12500 | SH | | | DEFINED | 01 | |
| AFLAC | COM | 001055102 | 5261 | 178346 | SH | | | DEFINED | 01 | |
| AFLAC | COM | 001055102 | 3903 | 132315 | SH | | | DEFINED | 01 | |
| AFLAC | COM | 001055102 | 6630 | 224748 | SH | | | DEFINED | 01 | |
| AGCO | COM | 001084102 | 62 | 2700 | SH | | | DEFINED | 01 | |
| AGCO | COM | 001084102 | 3421 | 149896 | SH | | | DEFINED | 01 | |
| AGCO | COM | 001084102 | 262 | 11473 | SH | | | DEFINED | 01 | |
| AGCO CORP | COM | 001084102 | 14 | 610 | SH | | | DEFINED | 01 | |
| MY TRAVLE PLC | COM | 0010979 | 4 | 1124 | SH | | | DEFINED | 01 | |
| AGL RESOURCES | COM | 001204106 | 15326 | 652170 | SH | | | DEFINED | 01 | |
| AGL RESOURCES INC | COM | 001204106 | 12 | 510 | SH | | | DEFINED | 01 | |
| AES | COM | 00130H105 | 2263 | 251444 | SH | | | DEFINED | 01 | |
| AES | COM | 00130H105 | 1373 | 152477 | SH | | | DEFINED | 01 | |
| AES | COM | 00130H105 | 104 | 11547 | SH | | | DEFINED | 01 | |
| CHUBB PLC | COM | 0015219 | 4 | 1717 | SH | | | DEFINED | 01 | |
| KIDDE PLC | COM | 0015402 | 2 | 1717 | SH | | | DEFINED | 01 | |
| AK STEEL HOLDINGS | COM | 001547108 | 839 | 58692 | SH | | | DEFINED | 01 | |
| AMB PROPERTY CORP | COM | 00163T109 | 4 | 135 | SH | | | DEFINED | 01 | |
| AMEY | COM | 001730993 | 134 | 36000 | SH | | | DEFINED | 01 | |
| AMN HEALTHCARE SERV | COM | 001744101 | 536 | 19932 | SH | | | DEFINED | 01 | |
| AMR | COM | 001765106 | 284 | 10750 | SH | | | DEFINED | 01 | |
| AMR | COM | 001765106 | 27676 | 1047892 | SH | | | DEFINED | 01 | |
| AMR | COM | 001765106 | 1131 | 42839 | SH | | | DEFINED | 01 | |
| AMR | COM | 001765106 | 2429 | 91971 | SH | | | DEFINED | 01 | |
| AMR CORP DEL | COM | 001765106 | 18945 | 717548 | SH | | | DEFINED | 01 | |
| ANC RENTAL CORPORATION | COM | 001813104 | 0 | 91 | SH | | | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------|-----|-----------|--------|----------|----|---------|------|
| AOL TIME WARNER | COM | 00184A105 | 114 | 4800 | SH | DEFINED | 01 |
| AOL TIME WARNER | COM | 00184A105 | 213781 | 9039389 | SH | DEFINED | 01 |
| AOL TIME WARNER | COM | 00184A105 | 28545 | 1206950 | SH | DEFINED | 01 0 |
| AOL TIME WARNER | COM | 00184A105 | 76189 | 3221532 | SH | DEFINED | 01 0 |
| AOL TIME WARNER INC | COM | 00184A105 | 5346 | 226055 | SH | DEFINED | 01 |
| AOL TIME WARNER INC | COM | 00184A105 | 42884 | 1813284 | SH | DEFINED | 01 0 |
| ATI TECHNOLOGIES | COM | 001941103 | 2708 | 202100 | SH | DEFINED | 01 |
| ATI TECHNOLOGIES | COM | 001941103 | 966 | 72100 | SH | DEFINED | 01 0 |
| AT&T | COM | 001957109 | 152 | 9650 | SH | DEFINED | 01 |
| AT&T | COM | 001957109 | 192455 | 12258290 | SH | DEFINED | 01 |
| AT&T | COM | 001957109 | 16152 | 1028768 | SH | DEFINED | 01 0 |
| AT&T | COM | 001957109 | 67609 | 4306305 | SH | DEFINED | 01 0 |
| AT&T CORP | COM | 001957109 | 983 | 62600 | SH | DEFINED | 01 0 |
| ATMI | COM | 00207R101 | 3457 | 109947 | SH | DEFINED | 01 |
| A T & T WIRELESS SERVICES | COM | 00209a106 | 462 | 51300 | SH | DEFINED | 11 1 |
| AT&T WIRELESS SERVICES | COM | 00209A106 | 116 | 13000 | SH | DEFINED | 01 |
| AT&T WIRELESS SERVICES | COM | 00209A106 | 14098 | 1575220 | SH | DEFINED | 01 |
| AT&T WIRELESS SERVICES | COM | 00209A106 | 6850 | 765366 | SH | DEFINED | 01 0 |
| AT&T WIRELESS SERVICES | COM | 00209A106 | 398 | 44476 | SH | DEFINED | 01 0 |
| AT&T WIRELESS SVCS | COM | 00209A106 | 148 | 16500 | SH | DEFINED | 01 0 |
| AVX | COM | 002444107 | 8 | 404 | SH | DEFINED | 01 |
| AXT | COM | 00246W103 | 2063 | 192822 | SH | DEFINED | 01 |
| AXT | COM | 00246W103 | 99 | 9277 | SH | DEFINED | 01 0 |
| AXT INC | COM | 00246W103 | 2 | 150 | SH | DEFINED | 01 0 |
| AARON RENTS | COM | 002535201 | 1401 | 61311 | SH | DEFINED | 01 |
| ABBOTT LABORATORIES | COM | 002824100 | 34 | 650 | SH | DEFINED | 01 |
| ABBOTT LABORATORIES | COM | 002824100 | 158367 | 3010788 | SH | DEFINED | 01 |
| ABBOTT LABORATORIES | COM | 002824100 | 22188 | 421809 | SH | DEFINED | 01 0 |
| ABBOTT LABORATORIES | COM | 002824100 | 68643 | 1304982 | SH | DEFINED | 01 0 |
| ABBOTT LABS | COM | 002824100 | 26864 | 510755 | SH | DEFINED | 01 0 |
| AMEC ORD | COM | 0028262 | 3 | 478 | SH | DEFINED | 01 1 |
| ABERCROMBIE & FITCH | COM | 002896207 | 3961 | 128615 | SH | DEFINED | 01 |
| ABERCROMBIE & FITCH | COM | 002896207 | 4059 | 131800 | SH | DEFINED | 01 0 |
| ABERDEEN ASSET MANAGEMENT | COM | 003295995 | 52 | 13200 | SH | DEFINED | 01 |
| ABGENIX INC | COM | 00339B107 | 50 | 2648 | SH | DEFINED | 01 |
| ACACIA RESEARCH CORP | COM | 003881109 | 3 | 231 | SH | DEFINED | 01 0 |
| ABITIBI-CONSOLIDATED | COM | 003924107 | 370 | 41550 | SH | DEFINED | 01 |
| ABITIBI-CONSOLIDATED | COM | 003924107 | 18690 | 2100000 | SH | DEFINED | 01 |
| ABITIBI-CONSOLIDATED | COM | 003924107 | 1779 | 199998 | SH | DEFINED | 01 0 |
| ABITIBI-CONSOLIDATED | COM | 003924990 | 31 | 3500 | SH | DEFINED | 01 |
| SIGNET GROUP PLC | COM | 0040374 | 4 | 2390 | SH | DEFINED | 01 1 |
| ACCELERATED NETWORKS | COM | 00429P107 | 0 | 243 | SH | DEFINED | 01 |
| ACCLAIM ENMNT INC | COM | 004325205 | 3 | 630 | SH | DEFINED | 01 0 |
| ACCLAIM ENTERTAINMENT INC | COM | 004325205 | 1503 | 331000 | SH | DEFINED | 01 |
| ACCLAIM ENTERTAINMENT INC | COM | 004325205 | 81 | 17900 | SH | DEFINED | 01 0 |
| ACCOR | COM | 004340998 | 863 | 21488 | SH | DEFINED | 01 |
| ACCOR | COM | 004347993 | 11014 | 274441 | SH | DEFINED | 01 |
| ACCOR | COM | 004347993 | 15658 | 390180 | SH | DEFINED | 01 0 |
| ACCREDITO HEALTH | COM | 00437V104 | 5870 | 102487 | SH | DEFINED | 01 |
| ACCRUE SOFTWARE | COM | 00437W102 | 0 | 64 | SH | DEFINED | 01 |
| EMI GROUP | COM | 0044473 | 9 | 1800 | SH | DEFINED | 01 1 |
| ACKERLY GROUP INC | COM | 004527107 | 54 | 3000 | SH | DEFINED | 01 |
| ACCTON TECH (2345) | COM | 004567996 | 2488 | 907000 | SH | DEFINED | 01 |
| ACLARA BIOSCIENCES | COM | 00461P106 | 0 | 80 | SH | DEFINED | 01 |
| DIXONS GROUP PLC | COM | 0047245 | 19 | 5004 | SH | DEFINED | 01 1 |
| SAFEWAY | COM | 0049241 | 6 | 1480 | SH | DEFINED | 01 1 |
| ACTIVISION | COM | 004930202 | 3383 | 113380 | SH | DEFINED | 01 |
| ACTIVISION | COM | 004930202 | 103 | 3450 | SH | DEFINED | 01 0 |
| ACTIVISION INC | COM | 004930202 | 2 | 75 | SH | DEFINED | 01 0 |
| ACTION PERFORMANCE | COM | 004933107 | 4 | 90 | SH | DEFINED | 01 0 |
| ACTION PERFORMANCE COMPANIES | COM | 004933107 | 3323 | 67467 | SH | DEFINED | 01 |
| ACTION PERFORMANCE COMPANIES | COM | 004933107 | 69 | 1400 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|------|
| ACTEL | COM | 004934105 | 29 | 1400 | SH | DEFINED | 01 |
| ACTEL | COM | 004934105 | 3556 | 171716 | SH | DEFINED | 01 |
| ACTEL | COM | 004934105 | 132 | 6351 | SH | DEFINED | 01 0 |
| ACTEL CORP | COM | 004934105 | 580 | 28000 | SH | DEFINED | 01 |
| ACTEL CORP | COM | 004934105 | 12 | 550 | SH | DEFINED | 01 0 |
| ACTIVE POWER INC | COM | 00504W100 | 0 | 60 | SH | DEFINED | 01 |
| ACTUATE | COM | 00508B102 | 2280 | 315790 | SH | DEFINED | 01 |
| ACTUATE | COM | 00508B102 | 162 | 22446 | SH | DEFINED | 01 0 |
| ACTUATE CORPORATION | COM | 00508B102 | 3 | 430 | SH | DEFINED | 01 0 |
| ACTUANT CORPORATION | COM | 00508X203 | 7 | 180 | SH | DEFINED | 01 0 |
| ACTUANT CORPORATION -CL A | COM | 00508X203 | 1038 | 24035 | SH | DEFINED | 01 |
| ACTUANT CORPORATION -CL A | COM | 00508X203 | 104 | 2400 | SH | DEFINED | 01 0 |
| ACUITIY BRANDS | COM | 00508Y102 | 83 | 5000 | SH | DEFINED | 01 |
| ACUITIY BRANDS | COM | 00508Y102 | 2103 | 127225 | SH | DEFINED | 01 |
| ACXIOM | COM | 005125109 | 24051 | 1403175 | SH | DEFINED | 01 |
| ACXIOM | COM | 005125109 | 3027 | 176600 | SH | DEFINED | 01 0 |
| ACXIOM | COM | 005125109 | 612 | 35695 | SH | DEFINED | 01 0 |
| ACXIOM CORP | COM | 005125109 | 1314 | 76700 | SH | DEFINED | 01 0 |
| ADERANS | COM | 005320999 | 297 | 10000 | SH | DEFINED | 01 |
| SEVERN TRENT | COM | 0054632 | 4 | 410 | SH | DEFINED | 01 1 |
| BILLITON A | COM | 0056650 | 18 | 3240 | SH | DEFINED | 01 1 |
| ARM HOLDING PLC | COM | 0059585 | 9 | 2222 | SH | DEFINED | 01 1 |
| ADAPTEC | COM | 00651F108 | 64 | 4800 | SH | DEFINED | 01 |
| ADAPTEC | COM | 00651F108 | 6053 | 452750 | SH | DEFINED | 01 |
| ADAPTEC | COM | 00651F108 | 159 | 11900 | SH | DEFINED | 01 0 |
| ADAPTEC INC | COM | 00651F108 | 12 | 870 | SH | DEFINED | 01 0 |
| ADECCO SA REG | COM | 006700991 | 357 | 5629 | SH | DEFINED | 01 |
| ADECCO SA REG | COM | 006700991 | 2674 | 42125 | SH | DEFINED | 01 0 |
| ADECCO SA REG | COM | 006700991 | 600 | 9458 | SH | DEFINED | 01 0 |
| BAA | COM | 0067340 | 26 | 2917 | SH | DEFINED | 01 1 |
| BBA GROUP | COM | 0067748 | 4 | 966 | SH | DEFINED | 01 1 |
| ADELPHIA BUSINESS SOLUTIONS | COM | 006847107 | 0 | 353 | SH | DEFINED | 01 |
| ADELPHIA COMMUNICATIONS CL A | COM | 006848105 | 477 | 32030 | SH | DEFINED | 01 |
| ADELPHIA COMM PFD 13.00% CM | PRF | 006848303 | 25474 | 268150 | SH | DEFINED | 01 |
| ADELPHIA COMM PFD 13.00% CM | PRF | 006848303 | 6161 | 64850 | SH | DEFINED | 01 0 |
| ADAYTUM SOFTWARE SERIES E | PRF | 006900XX7 | 4200 | 669857 | SH | DEFINED | 01 |
| ADAYTUM SOFTWARE SERIES E | PRF | 006900XX7 | 5800 | 925039 | SH | DEFINED | 01 0 |
| ADAYTUM SOFTWARE SERIES E | PRF | 006900XY5 | 352 | 56179 | SH | DEFINED | 01 |
| ADAYTUM SOFTWARE SERIES E | PRF | 006900XY5 | 486 | 77582 | SH | DEFINED | 01 0 |
| ADMINISTAFF | COM | 007094105 | 40641 | 1470912 | SH | DEFINED | 01 |
| ADMINISTAFF INC | COM | 007094105 | 4 | 140 | SH | DEFINED | 01 0 |
| ADOBE SYSTEMS | COM | 00724F101 | 6 | 150 | SH | DEFINED | 01 |
| ADOBE SYSTEMS | COM | 00724F101 | 30570 | 758714 | SH | DEFINED | 01 |
| ADOBE SYSTEMS | COM | 00724F101 | 2419 | 60046 | SH | DEFINED | 01 0 |
| ADOBE SYSTEMS | COM | 00724F101 | 51924 | 1288755 | SH | DEFINED | 01 0 |
| ADOBE SYSTEMS INC | COM | 00724F101 | 415 | 10300 | SH | DEFINED | 01 0 |
| ADTRAN | COM | 00738A106 | 37 | 1568 | SH | DEFINED | 01 |
| ADVANCE AUTO PARTS | COM | 00751Y106 | 41 | 900 | SH | DEFINED | 01 |
| ADV DIGITAL INFORMAT | COM | 007525108 | 2 | 139 | SH | DEFINED | 01 |
| ADVANCED INFO SERVICES | COM | 007534985 | 2569 | 2378400 | SH | DEFINED | 01 |
| ADVANCED INFO SERVICES | COM | 007534985 | 82 | 76100 | SH | DEFINED | 01 0 |
| ADVANCED MARKETING SERVICES | COM | 00753T105 | 1415 | 58956 | SH | DEFINED | 01 |
| ADVANCED FIBRE COMMUNICATIONS | COM | 00754A105 | 129 | 6700 | SH | DEFINED | 01 |
| ADVANCED FIBRE COMMUNICATIONS | COM | 00754A105 | 57 | 2988 | SH | DEFINED | 01 |
| ADVANCED TISSUE SCIENCES | COM | 00755F103 | 1451 | 461428 | SH | DEFINED | 01 |
| ADVANCED TISSUE SCIENCES | COM | 00755F103 | 66 | 20900 | SH | DEFINED | 01 0 |
| ADVO | COM | 007585102 | 93 | 2200 | SH | DEFINED | 01 |
| ADVO | COM | 007585102 | 3435 | 81326 | SH | DEFINED | 01 |
| ADVANCED POWER TECHNOLOGY | COM | 00761E108 | 2271 | 197800 | SH | DEFINED | 01 |
| ADVANCED POWER TECHNOLOGY | COM | 00761E108 | 424 | 36900 | SH | DEFINED | 01 0 |
| AEROFLEX | COM | 007768104 | 5097 | 396360 | SH | DEFINED | 01 |
| AEROFLEX | COM | 007768104 | 103 | 7974 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| AEROFLEX INC | COM | 007768104 | 3 | 270 | SH | DEFINED | 01 | 0 |
| BARCLAYS | COM | 0078201 | 143 | 4636 | SH | DEFINED | 01 | 1 |
| ADVANCED MICRO DEV | COM | 007903107 | 115 | 7800 | SH | DEFINED | 01 | 0 |
| ADVANCED MICRO DEVICES | COM | 007903107 | 6266 | 425985 | SH | DEFINED | 01 | |
| ADVANCED MICRO DEVICES | COM | 007903107 | 1267 | 86125 | SH | DEFINED | 01 | 0 |
| ADVANCED MICRO DEVICES | COM | 007903107 | 5708 | 388090 | SH | DEFINED | 01 | 0 |
| ADVANCEPCS | COM | 00790K109 | 44889 | 1491802 | SH | DEFINED | 01 | |
| ADVANCEPCS | COM | 00790K109 | 1315 | 43700 | SH | DEFINED | 01 | 0 |
| AEGON | COM | 007930993 | 7868 | 322323 | SH | DEFINED | 01 | |
| AEGON | COM | 007930993 | 10549 | 432161 | SH | DEFINED | 01 | 0 |
| ADVANTA CORP - CL A | COM | 007942105 | 1 | 114 | SH | DEFINED | 01 | |
| ADVANCED ENERGY INDS | COM | 007973100 | 3513 | 97682 | SH | DEFINED | 01 | |
| ADVENT SOFTWARE | COM | 007974108 | 17112 | 289252 | SH | DEFINED | 01 | |
| ADVENT SOFTWARE | COM | 007974108 | 1028 | 17394 | SH | DEFINED | 01 | 0 |
| ADVENT SOFTWARE | COM | 007974108 | 1846 | 31200 | SH | DEFINED | 01 | 0 |
| ADVENT SOFTWARE | COM | 007974108 | 377 | 6368 | SH | DEFINED | 01 | 0 |
| AEON CREDIT SERVICE | COM | 008011991 | 229 | 4600 | SH | DEFINED | 01 | |
| AETHER SYS | COM | 00808V105 | 0 | 61 | SH | DEFINED | 01 | |
| BARRATT DEVE | COM | 0081180 | 3 | 509 | SH | DEFINED | 01 | 1 |
| AETNA | COM | 00817Y108 | 138 | 3536 | SH | DEFINED | 01 | |
| AETNA | COM | 00817Y108 | 1424 | 36681 | SH | DEFINED | 01 | 0 |
| AETNA | COM | 00817Y108 | 51 | 1316 | SH | DEFINED | 01 | 0 |
| AFFILIATED COMPUTER | COM | 008190100 | 314 | 5600 | SH | DEFINED | 01 | 0 |
| AFFILIATED COMPUTER SERVICES C | COM | 008190100 | 26016 | 463478 | SH | DEFINED | 01 | |
| AFFILIATED COMPUTER SERVICES C | COM | 008190100 | 22230 | 396033 | SH | DEFINED | 01 | 0 |
| AFFILIATED MANAGERS | COM | 008252108 | 32391 | 450935 | SH | DEFINED | 01 | 0 |
| AFFILIATED MANAGERS GROUP | COM | 008252108 | 50 | 700 | SH | DEFINED | 01 | |
| AFFILIATED MANAGERS GROUP | COM | 008252108 | 4503 | 62682 | SH | DEFINED | 01 | |
| AFFILIATED MANAGERS GROUP | COM | 008252108 | 675 | 9400 | SH | DEFINED | 01 | 0 |
| AFFILIATED MANAGERS GROUP | COM | 008252108 | 244 | 3400 | SH | DEFINED | 01 | 0 |
| AFFYMETRIX | COM | 00826T108 | 8 | 268 | SH | DEFINED | 01 | |
| AFL/CIO UNITS OF | COM | 008270993 | 499 | 460 | SH | DEFINED | 01 | 0 |
| AFTER MARKET TECH | COM | 008318107 | 1494 | 80000 | SH | DEFINED | 01 | |
| AFTER MARKET TECH | COM | 008318107 | 10 | 560 | SH | DEFINED | 01 | 0 |
| AFTER MARKET TECH | COM | 008318107 | 140 | 7500 | SH | DEFINED | 01 | 0 |
| AGGREKO | COM | 008455990 | 208 | 50000 | SH | DEFINED | 01 | |
| AGERE SYSTEMS CL A | COM | 00845V100 | 1751 | 450215 | SH | DEFINED | 01 | |
| AGILENT TECHNOLOGIES | COM | 00846U101 | 30526 | 873159 | SH | DEFINED | 01 | |
| AGILENT TECHNOLOGIES | COM | 00846U101 | 4108 | 117508 | SH | DEFINED | 01 | 0 |
| AGILENT TECHNOLOGIES | COM | 00846U101 | 5461 | 156217 | SH | DEFINED | 01 | 0 |
| AGILE SOFTWARE | COM | 00846X105 | 30 | 2500 | SH | DEFINED | 01 | |
| AGILE SOFTWARE | COM | 00846X105 | 133 | 11011 | SH | DEFINED | 01 | |
| L'AIR LIQUIDE | COM | 009126103 | 191 | 1303 | SH | DEFINED | 01 | |
| AIR PROD & CHEM | COM | 009158106 | 923 | 17853 | SH | DEFINED | 01 | 0 |
| AIR PRODUCTS & CHEMICALS | COM | 009158106 | 5 | 100 | SH | DEFINED | 01 | |
| AIR PRODUCTS & CHEMICALS | COM | 009158106 | 156900 | 3037742 | SH | DEFINED | 01 | |
| AIR PRODUCTS & CHEMICALS | COM | 009158106 | 4022 | 77873 | SH | DEFINED | 01 | 0 |
| AIR PRODUCTS & CHEMICALS | COM | 009158106 | 33623 | 650966 | SH | DEFINED | 01 | 0 |
| AIRBORNE | COM | 009269101 | 27 | 1533 | SH | DEFINED | 01 | |
| AIRBORNE INC | COM | 009269101 | 11 | 620 | SH | DEFINED | 01 | 0 |
| AIRGAS | COM | 009363102 | 2330 | 115914 | SH | DEFINED | 01 | |
| AIRGAS | COM | 009363102 | 235 | 11694 | SH | DEFINED | 01 | 0 |
| AIRGAS INC | COM | 009363102 | 16 | 770 | SH | DEFINED | 01 | 0 |
| AIRGATE PCS | COM | 009367103 | 2256 | 161126 | SH | DEFINED | 01 | |
| AIRGATE PCS | COM | 009367103 | 105 | 7474 | SH | DEFINED | 01 | 0 |
| AIRGATE PCS INC | COM | 009367103 | 2 | 130 | SH | DEFINED | 01 | 0 |
| BERKELEY GRO | COM | 0094177 | 3 | 276 | SH | DEFINED | 01 | 1 |
| AIRNET COMMUNICATION | COM | 00941P106 | 0 | 49 | SH | DEFINED | 01 | |
| AIRTRAN HOLDINGS | COM | 00949P108 | 2439 | 403000 | SH | DEFINED | 01 | |
| AIRTRAN HOLDINGS | COM | 00949P108 | 195 | 32300 | SH | DEFINED | 01 | 0 |
| AIRTRAN HOLDINGS INC | COM | 00949P108 | 10 | 1600 | SH | DEFINED | 01 | 0 |
| BALFOUR BEATTY PLC | COM | 0096162 | 3 | 935 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|---------------------------|-----|-----------|--------|---------|----|---------|------|
| AKAMAI TECHNOLOGIES | COM | 00971T101 | 40 | 10000 | SH | DEFINED | 01 |
| AKAMAI TECHNOLOGIES | COM | 00971T101 | 1 | 180 | SH | DEFINED | 01 |
| BTG PLC | COM | 0100159 | 2 | 246 | SH | DEFINED | 01 1 |
| ALABAMA NATL BANC | COM | 010317105 | 9 | 230 | SH | DEFINED | 01 0 |
| BOC GROUP | COM | 0108120 | 21 | 1364 | SH | DEFINED | 01 1 |
| BOOTS CO | COM | 0111441 | 24 | 2526 | SH | DEFINED | 01 1 |
| ALAMO GROUP | COM | 011311107 | 1175 | 72300 | SH | DEFINED | 01 |
| ALAMO GROUP | COM | 011311107 | 239 | 14700 | SH | DEFINED | 01 0 |
| ALAMOSA HOLDINGS INC | COM | 011589108 | 2 | 347 | SH | DEFINED | 01 |
| ALASKA AIR GROUP | COM | 011659109 | 37 | 1101 | SH | DEFINED | 01 |
| ALASKA COMM SYSTEM GROUP | COM | 01167P101 | 6 | 748 | SH | DEFINED | 01 |
| ALBANY INTL CL A | COM | 012348108 | 1867 | 61635 | SH | DEFINED | 01 |
| ALBANY INTL CL A | COM | 012348108 | 168 | 5560 | SH | DEFINED | 01 0 |
| ALBANY INTL CORP NEW | COM | 012348108 | 10 | 330 | SH | DEFINED | 01 0 |
| ALBANY MOLECULAR RESEARCH | COM | 012423109 | 5 | 211 | SH | DEFINED | 01 |
| ALBEMARLE | COM | 012653101 | 3255 | 118130 | SH | DEFINED | 01 |
| ALBEMARLE | COM | 012653101 | 295 | 10700 | SH | DEFINED | 01 0 |
| AMVESCAP PLC | COM | 0128269 | 28 | 2024 | SH | DEFINED | 01 1 |
| BRITISH AIRW | COM | 0129057 | 9 | 2538 | SH | DEFINED | 01 1 |
| ALBERTO-CULVER CL B | COM | 013068101 | 79 | 1460 | SH | DEFINED | 01 |
| ALBERTO-CULVER CL B | COM | 013068101 | 789 | 14606 | SH | DEFINED | 01 0 |
| ALBERTO-CULVER CL B | COM | 013068101 | 28 | 523 | SH | DEFINED | 01 0 |
| ALBERTSON'S | COM | 013104104 | 52529 | 1585084 | SH | DEFINED | 01 |
| ALBERTSON'S | COM | 013104104 | 4267 | 128771 | SH | DEFINED | 01 0 |
| ALBERTSON'S | COM | 013104104 | 2671 | 80609 | SH | DEFINED | 01 0 |
| ALBERTSONS INC | COM | 013104104 | 520 | 15700 | SH | DEFINED | 01 0 |
| BRITISH LAND | COM | 0136701 | 9 | 1218 | SH | DEFINED | 01 1 |
| ALCAN | COM | 013716105 | 14457 | 364759 | SH | DEFINED | 01 |
| ALCAN | COM | 013716105 | 3857 | 97322 | SH | DEFINED | 01 0 |
| ALCAN | COM | 013716105 | 16210 | 409018 | SH | DEFINED | 01 0 |
| ALCOA | COM | 013817101 | 335489 | 8889475 | SH | DEFINED | 01 |
| ALCOA | COM | 013817101 | 10923 | 289432 | SH | DEFINED | 01 0 |
| ALCOA | COM | 013817101 | 44969 | 1191584 | SH | DEFINED | 01 0 |
| ALCOA INC | COM | 013817101 | 8318 | 220395 | SH | DEFINED | 01 |
| ALCOA INC | COM | 013817101 | 39959 | 1058749 | SH | DEFINED | 01 0 |
| ALDEASA | COM | 013900998 | 48 | 2900 | SH | DEFINED | 01 |
| BRITISH SKY | COM | 0141192 | 45 | 3797 | SH | DEFINED | 01 1 |
| ALEXANDER & BALDWIN | COM | 014482103 | 5784 | 209491 | SH | DEFINED | 01 |
| ALEXANDER & BALDWIN | COM | 014482103 | 491 | 17800 | SH | DEFINED | 01 0 |
| ALEXION PHARMACEUTIC | COM | 015351109 | 2 | 69 | SH | DEFINED | 01 |
| ALFA CORP | COM | 015385107 | 12 | 440 | SH | DEFINED | 01 |
| BUNZL | COM | 0154004 | 7 | 992 | SH | DEFINED | 01 1 |
| CABLE & WIRE | COM | 0162557 | 12 | 3909 | SH | DEFINED | 01 1 |
| ALKERMES | COM | 01642T108 | 5 | 188 | SH | DEFINED | 01 |
| ALLEGHANY CORP | COM | 017175100 | 5 | 28 | SH | DEFINED | 01 |
| CAPITA GROUP | COM | 0173474 | 9 | 1500 | SH | DEFINED | 01 1 |
| ALLEGHENY ENERGY | COM | 017361106 | 6 | 150 | SH | DEFINED | 01 |
| ALLEGHENY ENERGY | COM | 017361106 | 105935 | 2561923 | SH | DEFINED | 01 |
| ALLEGHENY ENERGY | COM | 017361106 | 218 | 5290 | SH | DEFINED | 01 0 |
| ALLEGHENY ENERGY | COM | 017361106 | 1311 | 31693 | SH | DEFINED | 01 0 |
| ALLEGHENY ENERGY | COM | 017361106 | 8560 | 207015 | SH | DEFINED | 01 0 |
| ALLEGHENY TECHNOLOGIES | COM | 01741R102 | 38 | 2260 | SH | DEFINED | 01 |
| ALLEGHENY TECHNOLOGIES | COM | 01741R102 | 337 | 20345 | SH | DEFINED | 01 0 |
| ALLEGHENY TECHNOLOGIES | COM | 01741R102 | 12 | 729 | SH | DEFINED | 01 0 |
| ALLEGIANCE TELECOM | COM | 01747T102 | 48 | 15804 | SH | DEFINED | 01 |
| NOVAR PLC | COM | 0176268 | 2 | 1018 | SH | DEFINED | 01 1 |
| ALLEN TELECOM | COM | 018091108 | 624 | 93359 | SH | DEFINED | 01 |
| ALLEN CVT PFD | PRF | 018091207 | 119 | 2500 | SH | DEFINED | 01 |
| CELLTECH CHIRO | COM | 0182276 | 6 | 615 | SH | DEFINED | 01 1 |
| ALLERGAN | COM | 018490102 | 6 | 100 | SH | DEFINED | 01 |
| ALLERGAN | COM | 018490102 | 29668 | 458897 | SH | DEFINED | 01 |
| ALLERGAN | COM | 018490102 | 2183 | 33767 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|------|
| ALLERGAN | COM | 018490102 | 32436 | 501718 | SH | DEFINED | 01 0 |
| ALLERGAN INC | COM | 018490102 | 14349 | 221920 | SH | DEFINED | 01 0 |
| ALLETE | COM | 018522102 | 17541 | 603004 | SH | DEFINED | 01 |
| ALLIANCE CAPITAL MANAGEMENT HO | COM | 01855A101 | 8 | 167 | SH | DEFINED | 01 |
| ALLIANCE DATA SYSTEM | COM | 018581108 | 199 | 7900 | SH | DEFINED | 01 0 |
| ALLIANCE DATA SYSTEMS | COM | 018581108 | 80 | 3200 | SH | DEFINED | 01 0 |
| ALLIANCE DATA SYSTEMS | COM | 018581108 | 16642 | 662000 | SH | DEFINED | 01 |
| ALLIANCE DATA SYSTEMS | COM | 018581108 | 21191 | 842900 | SH | DEFINED | 01 0 |
| ALLIANCE GAMING | COM | 01859P609 | 1227 | 40200 | SH | DEFINED | 01 |
| ALLIANCE SEMICONDUCTOR | COM | 01877H100 | 1442 | 125159 | SH | DEFINED | 01 |
| ALLIANT ENERGY | COM | 018802108 | 15198 | 502914 | SH | DEFINED | 01 |
| ALLIANT TECHSYSTEMS | COM | 018804104 | 13756 | 134871 | SH | DEFINED | 01 |
| ALLIANT TECHSYSTEMS | COM | 018804104 | 12 | 120 | SH | DEFINED | 01 0 |
| ALLIANT TECHSYSTEMS | COM | 018804104 | 286 | 2800 | SH | DEFINED | 01 0 |
| ALLIANZ | COM | 018828996 | 31991 | 135316 | SH | DEFINED | 01 |
| ALLIANZ | COM | 018828996 | 7789 | 32947 | SH | DEFINED | 01 0 |
| ALLIANZ | COM | 018828996 | 23363 | 98820 | SH | DEFINED | 01 0 |
| ALLIED CAPITAL | COM | 01903Q108 | 11 | 404 | SH | DEFINED | 01 |
| ALLIED IRISH BANK | COM | 019224997 | 675 | 54849 | SH | DEFINED | 01 |
| ALLIED WASTE INDS | COM | 019589308 | 673 | 51807 | SH | DEFINED | 01 |
| ALLIED WASTE INDS | COM | 019589308 | 649 | 49920 | SH | DEFINED | 01 0 |
| ALLIED WASTE INDS | COM | 019589308 | 23 | 1791 | SH | DEFINED | 01 0 |
| ALLMERICA FINANCIAL | COM | 019754100 | 4570 | 101771 | SH | DEFINED | 01 |
| ALLMERICA FINANCIAL | COM | 019754100 | 7858 | 175000 | SH | DEFINED | 01 0 |
| ALLOY INC | COM | 019855105 | 3863 | 257000 | SH | DEFINED | 01 |
| ALLOY INC | COM | 019855105 | 3 | 180 | SH | DEFINED | 01 0 |
| ALLOY INC | COM | 019855105 | 125 | 8300 | SH | DEFINED | 01 0 |
| ALLSCRIPTS HEALTHCARE SOLUTION | COM | 01988P108 | 1 | 105 | SH | DEFINED | 01 |
| ALLIANCE UNICHEM | COM | 020000998 | 404 | 47000 | SH | DEFINED | 01 |
| ALLSTATE | COM | 020002101 | 4449 | 117786 | SH | DEFINED | 01 |
| ALLSTATE | COM | 020002101 | 6824 | 180684 | SH | DEFINED | 01 0 |
| ALLSTATE | COM | 020002101 | 6666 | 176484 | SH | DEFINED | 01 0 |
| ALLTEL | COM | 020039103 | 11005 | 198122 | SH | DEFINED | 01 |
| ALLTEL | COM | 020039103 | 4726 | 85082 | SH | DEFINED | 01 0 |
| ALLTEL | COM | 020039103 | 10011 | 180227 | SH | DEFINED | 01 0 |
| ALLTEL CORP | COM | 020039103 | 667 | 12000 | SH | DEFINED | 01 0 |
| ALPHA INDS | COM | 020753109 | 4333 | 284195 | SH | DEFINED | 01 |
| ALPHA INDS | COM | 020753109 | 2880 | 188840 | SH | DEFINED | 01 0 |
| ALPHA INDUSTRIES | COM | 020753109 | 3 | 170 | SH | DEFINED | 01 0 |
| ALPHARMA CL A | COM | 020813101 | 1880 | 131471 | SH | DEFINED | 01 |
| ALTANA | COM | 021275995 | 122 | 2288 | SH | DEFINED | 01 |
| ALTADIS SA | COM | 021280995 | 63 | 3446 | SH | DEFINED | 01 |
| ALTERA | COM | 021441100 | 26351 | 1204852 | SH | DEFINED | 01 |
| ALTERA | COM | 021441100 | 2135 | 97600 | SH | DEFINED | 01 0 |
| ALTERA | COM | 021441100 | 29646 | 1355502 | SH | DEFINED | 01 0 |
| ALTERA CORP | COM | 021441100 | 787 | 36000 | SH | DEFINED | 01 0 |
| ALTERA CORP | COM | 021441100 | 3082 | 140890 | SH | DEFINED | 01 0 |
| CGNU PLC | COM | 0216238 | 66 | 6274 | SH | DEFINED | 01 1 |
| ALTRAN TECHNOLOGIES | COM | 022090997 | 183 | 3191 | SH | DEFINED | 01 |
| IONA TECHNOLOGIES | COM | 0226185 | 1 | 55 | SH | DEFINED | 01 1 |
| AMADA | COM | 022631105 | 2566 | 500000 | SH | DEFINED | 01 |
| AMADA | COM | 022631105 | 1231 | 240000 | SH | DEFINED | 01 0 |
| AMADA | COM | 022631105 | 4797 | 935000 | SH | DEFINED | 01 0 |
| AMADEUS GLOBAL TRAV | COM | 022657993 | 158 | 22192 | SH | DEFINED | 01 |
| AMAZON COM INC | COM | 023135106 | 106 | 7412 | SH | DEFINED | 01 |
| AMB GENERALI HOLDING | COM | 023138993 | 1199 | 11550 | SH | DEFINED | 01 |
| AMBAC FINANCIAL GROUP | COM | 023139108 | 1335 | 22591 | SH | DEFINED | 01 |
| AMBAC FINANCIAL GROUP | COM | 023139108 | 1580 | 26750 | SH | DEFINED | 01 0 |
| AMBAC FINANCIAL GROUP | COM | 023139108 | 57 | 959 | SH | DEFINED | 01 0 |
| AMERADA HESS | COM | 023551104 | 591 | 7450 | SH | DEFINED | 01 |
| AMERADA HESS | COM | 023551104 | 173 | 2177 | SH | DEFINED | 01 |
| AMERADA HESS | COM | 023551104 | 1784 | 22485 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|---|
| AMERADA HESS | COM | 023551104 | 64 | 806 | SH | DEFINED | 01 | 0 |
| AMERCO | COM | 023586100 | 1 | 73 | SH | DEFINED | 01 | |
| AMEREN | COM | 023608102 | 2380 | 55669 | SH | DEFINED | 01 | |
| AMEREN | COM | 023608102 | 1649 | 38570 | SH | DEFINED | 01 | 0 |
| AMEREN | COM | 023608102 | 113 | 2651 | SH | DEFINED | 01 | 0 |
| AMERICA MOVIL ADR CL L | COM | 02364W105 | 4688 | 236043 | SH | DEFINED | 01 | 0 |
| AMERICA MOVIL ADR CL L | COM | 02364W105 | 147 | 7403 | SH | DEFINED | 01 | 0 |
| AMERICA WEST HLDG CP | COM | 023657208 | 1 | 110 | SH | DEFINED | 01 | |
| REUTERS GROU | COM | 0236913 | 29 | 3710 | SH | DEFINED | 01 | 1 |
| DIAGEO | COM | 0237400 | 124 | 9522 | SH | DEFINED | 01 | 1 |
| AMCORE FINL INC | COM | 023912108 | 2 | 92 | SH | DEFINED | 01 | |
| SCHRODERS | COM | 0240549 | 9 | 699 | SH | DEFINED | 01 | 1 |
| AMERICAN AXLE & MFG HOLDINGS | COM | 024061103 | 1289 | 44456 | SH | DEFINED | 01 | |
| SIX CONTINENTS PLC | COM | 0243195 | 25 | 2376 | SH | DEFINED | 01 | 1 |
| AMERICAN ELECTRIC POWER | COM | 025537101 | 41468 | 899738 | SH | DEFINED | 01 | |
| AMERICAN ELECTRIC POWER | COM | 025537101 | 4105 | 89056 | SH | DEFINED | 01 | 0 |
| AMERICAN ELECTRIC POWER | COM | 025537101 | 269 | 5830 | SH | DEFINED | 01 | 0 |
| AMERICAN EAGLE OUTFITTERS | COM | 02553E106 | 717 | 28948 | SH | DEFINED | 01 | |
| AMERICAN EXPRESS | COM | 025816109 | 1363 | 33281 | SH | DEFINED | 01 | |
| AMERICAN EXPRESS | COM | 025816109 | 13849 | 338102 | SH | DEFINED | 01 | 0 |
| AMERICAN EXPRESS | COM | 025816109 | 497 | 12133 | SH | DEFINED | 01 | 0 |
| AMERICAN FINANCIAL GROUP | COM | 025932104 | 72 | 2478 | SH | DEFINED | 01 | |
| AMERICAN FINANCIAL HOLDINGS | COM | 026075101 | 1961 | 73678 | SH | DEFINED | 01 | |
| BAE SYSTEMS PLC | COM | 0263494 | 37 | 7791 | SH | DEFINED | 01 | 1 |
| AMERICAN GREETINGS CL A | COM | 026375105 | 37 | 2016 | SH | DEFINED | 01 | |
| AMERICAN GREETINGS CL A | COM | 026375105 | 293 | 16161 | SH | DEFINED | 01 | 0 |
| AMERICAN GREETINGS CL A | COM | 026375105 | 11 | 579 | SH | DEFINED | 01 | 0 |
| AMER GROUP | COM | 026377994 | 299 | 10600 | SH | DEFINED | 01 | |
| AMERICAN HEALTHWAYS | COM | 02649V104 | 738 | 27200 | SH | DEFINED | 01 | |
| AMERICAN HEALTHWAYS | COM | 02649V104 | 76 | 2800 | SH | DEFINED | 01 | 0 |
| PROVIDENT FI | COM | 0268596 | 6 | 573 | SH | DEFINED | 01 | 1 |
| AMERICAN INTL GROUP | COM | 026874107 | 24431 | 338654 | SH | DEFINED | 01 | |
| AMERICAN INTL GROUP | COM | 026874107 | 762571 | 10570714 | SH | DEFINED | 01 | |
| AMERICAN INTL GROUP | COM | 026874107 | 75757 | 1050217 | SH | DEFINED | 01 | 0 |
| AMERICAN INTL GROUP | COM | 026874107 | 51564 | 714785 | SH | DEFINED | 01 | 0 |
| AMERICAN INTL GROUP | COM | 026874107 | 264505 | 3666537 | SH | DEFINED | 01 | 0 |
| AMER ITALIAN PASTA | COM | 027070101 | 5 | 100 | SH | DEFINED | 01 | 0 |
| AMERICAN ITALIAN PASTA CL A | COM | 027070101 | 6451 | 142096 | SH | DEFINED | 01 | |
| AMERICAN ITALIAN PASTA CL A | COM | 027070101 | 277 | 6100 | SH | DEFINED | 01 | 0 |
| AMERICAN MANAGEMENT SYSTEMS | COM | 027352103 | 4958 | 265381 | SH | DEFINED | 01 | |
| AMERICAN MANAGEMENT SYSTEMS | COM | 027352103 | 110 | 5900 | SH | DEFINED | 01 | 0 |
| AMERICAN MEDICAL SYSTEMS HOLDI | COM | 02744M108 | 1375 | 61100 | SH | DEFINED | 01 | |
| AMERSHAM PLC | COM | 0274753 | 16 | 1489 | SH | DEFINED | 01 | 1 |
| AMERICAN NATL INS CO | COM | 028591105 | 7 | 78 | SH | DEFINED | 01 | |
| BRIT AMER TO | COM | 0287580 | 54 | 5655 | SH | DEFINED | 01 | 1 |
| AMER PWR CONVERSION | COM | 029066107 | 1153 | 77970 | SH | DEFINED | 01 | 0 |
| AMERICAN POWER CONVERSION | COM | 029066107 | 28775 | 1946875 | SH | DEFINED | 01 | |
| AMERICAN POWER CONVERSION | COM | 029066107 | 3366 | 227742 | SH | DEFINED | 01 | 0 |
| AMERICAN POWER CONVERSION | COM | 029066107 | 1486 | 100527 | SH | DEFINED | 01 | 0 |
| MAN E.D & F | COM | 0294405 | 6 | 339 | SH | DEFINED | 01 | 1 |
| AMERICAN STANDARD | COM | 029712106 | 396 | 5600 | SH | DEFINED | 01 | |
| AMERICAN STANDARD | COM | 029712106 | 825 | 11653 | SH | DEFINED | 01 | |
| AMERICAN STATES WATER | COM | 029899101 | 1090 | 30920 | SH | DEFINED | 01 | |
| AMERICAN TOWER CL A | COM | 029912201 | 9 | 1612 | SH | DEFINED | 01 | |
| AMERICAN TOWER CORP | COM | 029912201 | 135 | 25000 | SH | DEFINED | 01 | |
| AMERICAN WATER WORKS | COM | 030411102 | 22050 | 503424 | SH | DEFINED | 01 | |
| AMERICREDIT | COM | 03060R101 | 3332 | 87726 | SH | DEFINED | 01 | |
| AMERISTAR CASINOS IN | COM | 03070Q101 | 934 | 34000 | SH | DEFINED | 01 | |
| AMERISTAR CASINOS IN | COM | 03070Q101 | 91 | 3300 | SH | DEFINED | 01 | 0 |
| AMERON INTL CORP | COM | 030710107 | 933 | 13000 | SH | DEFINED | 01 | |
| AMERON INTL CORP | COM | 030710107 | 12 | 170 | SH | DEFINED | 01 | 0 |
| AMERON INTL CORP | COM | 030710107 | 86 | 1200 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------|-----|-----------|--------|---------|----|---------|------|
| AMERIPATH | COM | 03071D109 | 3496 | 130471 | SH | DEFINED | 01 |
| AMERIPATH | COM | 03071D109 | 253 | 9426 | SH | DEFINED | 01 0 |
| AMERIPATH INC | COM | 03071D109 | 4 | 160 | SH | DEFINED | 01 0 |
| AMERITRADE HLDGS | COM | 03072H109 | 6 | 931 | SH | DEFINED | 01 |
| AMERUS GROUP | COM | 03072M108 | 10221 | 265840 | SH | DEFINED | 01 |
| AMERUS GROUP | COM | 03072M108 | 938 | 24400 | SH | DEFINED | 01 0 |
| AMERUS GROUP CO | COM | 03072M108 | 25 | 650 | SH | DEFINED | 01 0 |
| AMERISOURCEBERGEN | COM | 03073E105 | 7 | 100 | SH | DEFINED | 01 |
| AMERISOURCEBERGEN | COM | 03073E105 | 14862 | 217599 | SH | DEFINED | 01 |
| AMERISOURCEBERGEN | COM | 03073E105 | 562 | 8240 | SH | DEFINED | 01 0 |
| AMERISOURCEBERGEN | COM | 03073E105 | 3097 | 45340 | SH | DEFINED | 01 0 |
| AMERISOURCEBERGEN | COM | 03073E105 | 21157 | 309766 | SH | DEFINED | 01 0 |
| ELECTROCOMPO | COM | 0309644 | 7 | 1019 | SH | DEFINED | 01 1 |
| AMETEK | COM | 031100100 | 7 | 200 | SH | DEFINED | 01 |
| AMETEK | COM | 031100100 | 43 | 1152 | SH | DEFINED | 01 |
| AMETEK INC (NEW) | COM | 031100100 | 2 | 60 | SH | DEFINED | 01 0 |
| AMGEN | COM | 031162100 | 227137 | 3805905 | SH | DEFINED | 01 |
| AMGEN | COM | 031162100 | 16987 | 284627 | SH | DEFINED | 01 0 |
| AMGEN | COM | 031162100 | 43729 | 732723 | SH | DEFINED | 01 0 |
| AMGEN INC | COM | 031162100 | 8833 | 148000 | SH | DEFINED | 01 |
| AMGEN INC | COM | 031162100 | 411 | 6872 | SH | DEFINED | 01 0 |
| AMKOR TECHNOLOGY | COM | 031652100 | 1095 | 49078 | SH | DEFINED | 01 |
| AMPHENOL CL A | COM | 032095101 | 3020 | 64527 | SH | DEFINED | 01 |
| AMPHENOL CL A | COM | 032095101 | 3510 | 75000 | SH | DEFINED | 01 0 |
| AMSOUTH BANCORPORATION | COM | 032165102 | 197 | 8971 | SH | DEFINED | 01 |
| AMSOUTH BANCORPORATION | COM | 032165102 | 2030 | 92344 | SH | DEFINED | 01 0 |
| AMSOUTH BANCORPORATION | COM | 032165102 | 73 | 3314 | SH | DEFINED | 01 0 |
| AMVESCAP | COM | 03235E993 | 317 | 22999 | SH | DEFINED | 01 |
| ANADARKO PETRO CORP | COM | 032511107 | 773 | 13700 | SH | DEFINED | 01 0 |
| ANADARKO PETROLEUM | COM | 032511107 | 23429 | 415106 | SH | DEFINED | 01 |
| ANADARKO PETROLEUM | COM | 032511107 | 4760 | 84336 | SH | DEFINED | 01 0 |
| ANADARKO PETROLEUM | COM | 032511107 | 252 | 4462 | SH | DEFINED | 01 0 |
| ANADIGICS | COM | 032515108 | 2 | 183 | SH | DEFINED | 01 |
| ANALOG DEVICES | COM | 032654105 | 7 | 150 | SH | DEFINED | 01 |
| ANALOG DEVICES | COM | 032654105 | 42824 | 950776 | SH | DEFINED | 01 |
| ANALOG DEVICES | COM | 032654105 | 17286 | 383755 | SH | DEFINED | 01 0 |
| ANALOG DEVICES | COM | 032654105 | 4164 | 92453 | SH | DEFINED | 01 0 |
| ANALOG DEVICES | COM | 032654105 | 12616 | 280109 | SH | DEFINED | 01 0 |
| ANALOGIC | COM | 032657207 | 3728 | 89629 | SH | DEFINED | 01 |
| ANALOGIC | COM | 032657207 | 312 | 7500 | SH | DEFINED | 01 0 |
| ANALYSTS INTL | COM | 032681108 | 297 | 74241 | SH | DEFINED | 01 |
| ANAREN MICROWAVE | COM | 032744104 | 528 | 36378 | SH | DEFINED | 01 |
| ANCHOR BANCORP | COM | 032839102 | 11 | 540 | SH | DEFINED | 01 0 |
| ANCHOR BANCORP WISCONSIN | COM | 032839102 | 2735 | 136546 | SH | DEFINED | 01 |
| ANCHOR BANCORP WISCONSIN | COM | 032839102 | 116 | 5800 | SH | DEFINED | 01 0 |
| FKI | COM | 0329459 | 3 | 1243 | SH | DEFINED | 01 1 |
| CARLTON | COM | 0341925 | 6 | 1453 | SH | DEFINED | 01 1 |
| ANDREW CORP | COM | 034425108 | 39 | 2305 | SH | DEFINED | 01 |
| ANDREW CORP | COM | 034425108 | 7 | 400 | SH | DEFINED | 01 0 |
| ANDREW CORP | COM | 034425108 | 347 | 20724 | SH | DEFINED | 01 0 |
| ANDREW CORP | COM | 034425108 | 12 | 743 | SH | DEFINED | 01 0 |
| ANDRX CORP-ANDRX GROUP | COM | 034553107 | 9523 | 251001 | SH | DEFINED | 01 |
| ANDRX CORP-ANDRX GROUP | COM | 034553107 | 345 | 9100 | SH | DEFINED | 01 0 |
| ANGELICA | COM | 034663104 | 409 | 26419 | SH | DEFINED | 01 |
| AWG PLC-REDEEMABLE | COM | 034668954 | 1 | 1288320 | SH | DEFINED | 01 |
| AWG - ORD 10P | COM | 034668996 | 72 | 9600 | SH | DEFINED | 01 |
| ANGLO AMERICAN PLATINU | COM | 034670992 | 1531 | 35000 | SH | DEFINED | 01 |
| ANGLO AMERICAN PLC | COM | 034671883 | 6316 | 379093 | SH | DEFINED | 01 |
| ANGLO AMERICAN PLC | COM | 034671883 | 205 | 12330 | SH | DEFINED | 01 0 |
| ANGLO IRISH BANK CRP | COM | 034675959 | 470 | 100000 | SH | DEFINED | 01 |
| ANGLO IRISH BANK | COM | 034675991 | 103 | 22000 | SH | DEFINED | 01 |
| ANGLOGOLD ADR | COM | 035128206 | 3266 | 129700 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| ANGLOGOLD ADR | COM | 035128206 | 45 | 1800 | SH | DEFINED | 01 | 0 |
| ANHEUSER BUSCH CO | COM | 035229103 | 21242 | 406944 | SH | DEFINED | 01 | 0 |
| ANHEUSER-BUSCH | COM | 035229103 | 13 | 250 | SH | DEFINED | 01 | 0 |
| ANHEUSER-BUSCH | COM | 035229103 | 217956 | 4175392 | SH | DEFINED | 01 | 0 |
| ANHEUSER-BUSCH | COM | 035229103 | 12757 | 244377 | SH | DEFINED | 01 | 0 |
| ANHEUSER-BUSCH | COM | 035229103 | 63904 | 1224195 | SH | DEFINED | 01 | 0 |
| ANIXTER INTL | COM | 035290105 | 5427 | 183153 | SH | DEFINED | 01 | 0 |
| ANIXTER INTL | COM | 035290105 | 142 | 4800 | SH | DEFINED | 01 | 0 |
| ANIXTER INTL INC | COM | 035290105 | 7 | 260 | SH | DEFINED | 01 | 0 |
| ANNALY MORT MGMT | COM | 035710409 | 2571 | 151391 | SH | DEFINED | 01 | 0 |
| ANNALY MORT MGMT | COM | 035710409 | 11 | 630 | SH | DEFINED | 01 | 0 |
| ANNALY MORT MGMT | COM | 035710409 | 265 | 15600 | SH | DEFINED | 01 | 0 |
| ANNTAYLOR STORES | COM | 036115103 | 39 | 900 | SH | DEFINED | 01 | 0 |
| ANNTAYLOR STORES | COM | 036115103 | 8317 | 192432 | SH | DEFINED | 01 | 0 |
| ANNTAYLOR STORES | COM | 036115103 | 220 | 5100 | SH | DEFINED | 01 | 0 |
| ANNTAYLOR STORES COR | COM | 036115103 | 17 | 380 | SH | DEFINED | 01 | 0 |
| ANSYS | COM | 03662Q105 | 3340 | 123260 | SH | DEFINED | 01 | 0 |
| ANSYS | COM | 03662Q105 | 3 | 120 | SH | DEFINED | 01 | 0 |
| ANSYS | COM | 03662Q105 | 157 | 5800 | SH | DEFINED | 01 | 0 |
| ANTHEM | COM | 03674B104 | 14406 | 250251 | SH | DEFINED | 01 | 0 |
| ANTHEM | COM | 03674B104 | 549 | 9532 | SH | DEFINED | 01 | 0 |
| ANTEON INTERNATIONAL | COM | 03674E108 | 27 | 1300 | SH | DEFINED | 01 | 0 |
| ANTEON INTERNATIONAL CORP | COM | 03674E108 | 2197 | 105600 | SH | DEFINED | 01 | 0 |
| ANTEON INTERNATIONAL CORP | COM | 03674E108 | 2980 | 143273 | SH | DEFINED | 01 | 0 |
| ANSWERTHINK CONSULTING GROUP | COM | 036916104 | 3637 | 558700 | SH | DEFINED | 01 | 0 |
| ANSWERTHINK CONSULTING GROUP | COM | 036916104 | 244 | 37500 | SH | DEFINED | 01 | 0 |
| ANTIGENICS | COM | 037032109 | 1743 | 124600 | SH | DEFINED | 01 | 0 |
| ANTIGENICS | COM | 037032109 | 155 | 11100 | SH | DEFINED | 01 | 0 |
| AON | COM | 037389103 | 600 | 17150 | SH | DEFINED | 01 | 0 |
| AON | COM | 037389103 | 68123 | 1946346 | SH | DEFINED | 01 | 0 |
| AON | COM | 037389103 | 3844 | 109820 | SH | DEFINED | 01 | 0 |
| AON | COM | 037389103 | 3236 | 92467 | SH | DEFINED | 01 | 0 |
| AON CORP | COM | 037389103 | 3955 | 113000 | SH | DEFINED | 01 | 0 |
| AON CORP | COM | 037389103 | 931 | 26600 | SH | DEFINED | 01 | 0 |
| APACHE | COM | 037411105 | 22223 | 390709 | SH | DEFINED | 01 | 0 |
| APACHE | COM | 037411105 | 4862 | 85458 | SH | DEFINED | 01 | 0 |
| APACHE | COM | 037411105 | 581 | 10208 | SH | DEFINED | 01 | 0 |
| APACHE CORP | COM | 037411105 | 5109 | 89823 | SH | DEFINED | 01 | 0 |
| APACHE CORP | COM | 037411105 | 37181 | 653714 | SH | DEFINED | 01 | 0 |
| AIMCO | COM | 03748R101 | 452 | 9345 | SH | DEFINED | 01 | 0 |
| AIMCON STOCK | COM | 03748R101 | 3878 | 80168 | SH | DEFINED | 01 | 0 |
| AIMCON STOCK | COM | 03748R101 | 6530 | 135000 | SH | DEFINED | 01 | 0 |
| APOGEE ENTERPRISES | COM | 037598109 | 1060 | 86894 | SH | DEFINED | 01 | 0 |
| APOGEE ENTERPRISES | COM | 037598109 | 9 | 790 | SH | DEFINED | 01 | 0 |
| APOLLO GROUP CL A | COM | 037604105 | 2091 | 39061 | SH | DEFINED | 01 | 0 |
| APOLLO GROUP CL A | COM | 037604105 | 2536 | 47350 | SH | DEFINED | 01 | 0 |
| APOLLO GROUP INC -C | COM | 037604105 | 123899 | 2313699 | SH | DEFINED | 01 | 0 |
| UNIVERSITY OF PHOENIX ONLINE | COM | 037604204 | 249 | 5950 | SH | DEFINED | 01 | 0 |
| APOGENT TECHNOLOGIES | COM | 03760A101 | 21066 | 853554 | SH | DEFINED | 01 | 0 |
| APOGENT TECHNOLOGIES | COM | 03760A101 | 51228 | 2075627 | SH | DEFINED | 01 | 0 |
| APOGENT TECHNOLOGIES | COM | 03760A101 | 3760 | 152350 | SH | DEFINED | 01 | 0 |
| APOGENT TECHNOLOGIES | COM | 03760A101 | 534 | 21647 | SH | DEFINED | 01 | 0 |
| APPALACHIAN PWR \$100 | PRF | 037735842 | 1002 | 10000 | SH | DEFINED | 01 | 0 |
| APPALACHIAN PWR \$100 | PRF | 037735859 | 1121 | 11000 | SH | DEFINED | 01 | 0 |
| APPLE COMPUTER | COM | 037833100 | 9 | 400 | SH | DEFINED | 01 | 0 |
| APPLE COMPUTER | COM | 037833100 | 38197 | 1613723 | SH | DEFINED | 01 | 0 |
| APPLE COMPUTER | COM | 037833100 | 2120 | 89553 | SH | DEFINED | 01 | 0 |
| APPLE COMPUTER | COM | 037833100 | 27547 | 1163813 | SH | DEFINED | 01 | 0 |
| APPLEBEE'S INTL | COM | 037899101 | 9031 | 248795 | SH | DEFINED | 01 | 0 |
| APPLEBEE'S INTL | COM | 037899101 | 185 | 5100 | SH | DEFINED | 01 | 0 |
| APRIA HEALTHCARE GRO | COM | 037933108 | 4 | 180 | SH | DEFINED | 01 | 0 |
| APRIA HEALTHCARE GROUP | COM | 037933108 | 3297 | 134496 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|------------|--------|---------|----|---------|----|---|
| APRIA HEALTHCARE GROUP | COM | 037933108 | 213 | 8700 | SH | DEFINED | 01 | 0 |
| APPLERA - APP BIOSYS | COM | 038020103 | 34274 | 1533574 | SH | DEFINED | 01 | 0 |
| APPLERA - APPLIED BIOSYSTEM GR | COM | 038020103 | 3 | 150 | SH | DEFINED | 01 | |
| APPLERA - APPLIED BIOSYSTEM GR | COM | 038020103 | 17841 | 798280 | SH | DEFINED | 01 | |
| APPLERA - APPLIED BIOSYSTEM GR | COM | 038020103 | 3575 | 159924 | SH | DEFINED | 01 | 0 |
| APPLERA - APPLIED BIOSYSTEM GR | COM | 038020103 | 4939 | 221001 | SH | DEFINED | 01 | 0 |
| APPLERA - CELERA GENOMICS GROU | COM | 038020202 | 29 | 1400 | SH | DEFINED | 01 | |
| APPLERA - CELERA GENOMICS GROU | COM | 038020202 | 471 | 22959 | SH | DEFINED | 01 | |
| APPLERA - CELERA GENOMICS GROU | COM | 038020202 | 33 | 1600 | SH | DEFINED | 01 | 0 |
| APPLICA | COM | 03815A106 | 613 | 71294 | SH | DEFINED | 01 | |
| APPLIED FILMS CORP | COM | 038197109 | 213 | 8650 | SH | DEFINED | 01 | |
| APPLIED IND TECHNOLO | COM | 03820C105 | 9 | 440 | SH | DEFINED | 01 | 0 |
| APPLIED INDUSTRIAL TECHNOLOGIE | COM | 03820C105 | 1135 | 58936 | SH | DEFINED | 01 | |
| APPLIED MATERIALS | COM | 038222105 | 6817 | 125610 | SH | DEFINED | 01 | |
| APPLIED MATERIALS | COM | 038222105 | 349179 | 6434081 | SH | DEFINED | 01 | |
| APPLIED MATERIALS | COM | 038222105 | 70326 | 1295836 | SH | DEFINED | 01 | 0 |
| APPLIED MATERIALS | COM | 038222105 | 12087 | 222724 | SH | DEFINED | 01 | 0 |
| APPLIED MATERIALS | COM | 038222105 | 140691 | 2592406 | SH | DEFINED | 01 | 0 |
| APPLIED MICRO CIRCUITS | COM | 03822W109 | 456 | 56850 | SH | DEFINED | 01 | |
| APPLIED MICRO CIRCUITS | COM | 03822W109 | 607 | 75867 | SH | DEFINED | 01 | 0 |
| APPLIED MICRO CIRCUITS | COM | 03822W109 | 22 | 2721 | SH | DEFINED | 01 | 0 |
| APTARGROUP | COM | 0383336103 | 3864 | 110241 | SH | DEFINED | 01 | |
| AQUILA INC | COM | 03840P102 | 915 | 36848 | SH | DEFINED | 01 | |
| GUS PLC | COM | 0384704 | 28 | 2778 | SH | DEFINED | 01 | 1 |
| CMG PLC -- (GBP) | COM | 0384737 | 5 | 1419 | SH | DEFINED | 01 | 1 |
| ARACRUZ CELULOSE ADR | COM | 038496204 | 3023 | 146385 | SH | DEFINED | 01 | |
| ARACRUZ CELULOSE ADR | COM | 038496204 | 87 | 4201 | SH | DEFINED | 01 | 0 |
| ARADIGM | COM | 038505103 | 470 | 100000 | SH | DEFINED | 01 | |
| ARAMARK CL B | COM | 038521100 | 7 | 254 | SH | DEFINED | 01 | |
| MISYS | COM | 0385785 | 5 | 1305 | SH | DEFINED | 01 | 1 |
| ARBITRON INC | COM | 03875Q108 | 12 | 350 | SH | DEFINED | 01 | 0 |
| ARBITRON3 | COM | 03875Q108 | 3027 | 89546 | SH | DEFINED | 01 | |
| ARCELOR | COM | 039366992 | 10292 | 751411 | SH | DEFINED | 01 | |
| ARCELOR | COM | 039366992 | 13092 | 955881 | SH | DEFINED | 01 | 0 |
| ARCH CHEMICALS | COM | 03937R102 | 1502 | 68097 | SH | DEFINED | 01 | |
| ARCH COAL | COM | 039380100 | 40 | 1870 | SH | DEFINED | 01 | |
| ARCHER-DANIELS-MIDLAND | COM | 039483102 | 388 | 27800 | SH | DEFINED | 01 | |
| ARCHER-DANIELS-MIDLAND | COM | 039483102 | 14051 | 1008623 | SH | DEFINED | 01 | |
| ARCHER-DANIELS-MIDLAND | COM | 039483102 | 2311 | 165875 | SH | DEFINED | 01 | 0 |
| ARCHER-DANIELS-MIDLAND | COM | 039483102 | 1332 | 95566 | SH | DEFINED | 01 | 0 |
| ARCHSTONE-SMITH TRUS | COM | 039583109 | 4379 | 163470 | SH | DEFINED | 01 | |
| ARCHSTONE-SMITH TRUST | COM | 039583109 | 625 | 23333 | SH | DEFINED | 01 | |
| ARCTIC CAT | COM | 039670104 | 3903 | 193719 | SH | DEFINED | 01 | |
| ARCTIC CAT | COM | 039670104 | 185 | 9200 | SH | DEFINED | 01 | 0 |
| ARDEN REALTY | COM | 039793104 | 6 | 208 | SH | DEFINED | 01 | |
| ARENA BRANDS HLD CRP ARENA BRA | COM | 03999M207 | 2000 | 111111 | SH | DEFINED | 01 | |
| ARENA PHARMACEUTICALS | COM | 040047102 | 896 | 90000 | SH | DEFINED | 01 | |
| ARGONAUT GROUP | COM | 040157109 | 1438 | 68000 | SH | DEFINED | 01 | |
| ARGONAUT GROUP | COM | 040157109 | 123 | 5800 | SH | DEFINED | 01 | 0 |
| ARGOSY GAMING | COM | 040228108 | 33 | 900 | SH | DEFINED | 01 | |
| ARGOSY GAMING | COM | 040228108 | 5195 | 141570 | SH | DEFINED | 01 | |
| ARGOSY GAMING | COM | 040228108 | 2 | 50 | SH | DEFINED | 01 | 0 |
| ARGOSY GAMING | COM | 040228108 | 117 | 3200 | SH | DEFINED | 01 | 0 |
| ARIBA | COM | 04033V104 | 7 | 1620 | SH | DEFINED | 01 | |
| HAMMERSON | COM | 0406501 | 5 | 629 | SH | DEFINED | 01 | 1 |
| ARKANSAS BEST | COM | 040790107 | 4336 | 156040 | SH | DEFINED | 01 | |
| ARKANSAS BEST | COM | 040790107 | 218 | 7850 | SH | DEFINED | 01 | 0 |
| ARKANSAS BEST CORP | COM | 040790107 | 12 | 440 | SH | DEFINED | 01 | 0 |
| HANSON | COM | 0408983 | 14 | 1845 | SH | DEFINED | 01 | 1 |
| STAGECOACH | COM | 0414667 | 4 | 3754 | SH | DEFINED | 01 | 1 |
| HAYS PLC | COM | 0416102 | 10 | 4052 | SH | DEFINED | 01 | 1 |
| NEXT | COM | 0421861 | 7 | 444 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----------|-----------|-----------|---------|-------|---------|---------|----|
| ARMOR HOLDINGS | COM | 042260109 | 2536 | 93595 | SH | DEFINED | 01 | |
| ARMSTRONG WORLD IND | COM | 042384107 | 0 | 88 | SH | DEFINED | 01 | |
| REXAM | COM | 0425045 | 6 | 862 | SH | DEFINED | 01 | |
| ARQULE | COM | 04269E107 | 1899 | 150314 | SH | DEFINED | 01 | |
| ARQULE | COM | 04269E107 | 83 | 6560 | SH | DEFINED | 01 | |
| ARQULE INC | COM | 04269E107 | 4 | 280 | SH | DEFINED | 01 | |
| ARRIS GROUP | COM | 04269Q100 | 1745 | 187571 | SH | DEFINED | 01 | |
| ARRIS GROUP | COM | 04269Q100 | 137 | 14728 | SH | DEFINED | 01 | |
| ARRIS GROUP INC | COM | 04269Q100 | 7 | 740 | SH | DEFINED | 01 | |
| ARRAY BIOPHARMA INC | COM | 04269X105 | 1645 | 127000 | SH | DEFINED | 01 | |
| ARRAY BIOPHARMA INC | COM | 04269X105 | 3 | 210 | SH | DEFINED | 01 | |
| ARRAY BIOPHARMA INC | COM | 04269X105 | 130 | 10000 | SH | DEFINED | 01 | |
| ARRK CORPORATION | COM | 042700997 | 158 | 4000 | SH | DEFINED | 01 | |
| ARROW ELECTRONICS | COM | 042735100 | 8344 | 298328 | SH | DEFINED | 01 | |
| ARROW ELECTRONICS | COM | 042735100 | 313 | 11200 | SH | DEFINED | 01 | |
| ARROW FINANCIAL CORP | COM | 042744102 | 749 | 26000 | SH | DEFINED | 01 | |
| ARROW FINANCIAL CORP | COM | 042744102 | 8 | 280 | SH | DEFINED | 01 | |
| ARROW FINANCIAL CORP | COM | 042744102 | 69 | 2400 | SH | DEFINED | 01 | |
| ARROW INTL | COM | 042764100 | 233 | 4930 | SH | DEFINED | 01 | |
| ARROW INTL | COM | 042764100 | 47 | 1000 | SH | DEFINED | 01 | |
| ART TECH GROUP | COM | 04289L107 | 1 | 318 | SH | DEFINED | 01 | |
| ARTISAN COMPONENTS | COM | 042923102 | 2895 | 174400 | SH | DEFINED | 01 | |
| ARTESYN TECHNOLOGIES | COM | 043127109 | 1094 | 117555 | SH | DEFINED | 01 | |
| ARTHROCARE | COM | 043136100 | 1579 | 87685 | SH | DEFINED | 01 | |
| ARVINMERITOR | COM | 043353101 | 7804 | 273324 | SH | DEFINED | 01 | |
| ARVINMERITOR | COM | 043353101 | 434 | 15200 | SH | DEFINED | 01 | |
| ARVINMERITOR INC | COM | 043353101 | 20 | 700 | SH | DEFINED | 01 | |
| ASAHI GLASS CO | COM | 043393990 | 8151 | 1280000 | SH | DEFINED | 01 | |
| ASAHI GLASS CO | COM | 043393990 | 3814 | 599000 | SH | DEFINED | 01 | |
| ASCENTIAL SOFTWARE | COM | 04362P108 | 262 | 68000 | SH | DEFINED | 01 | |
| ASCENTIAL SOFTWARE | COM | 04362P108 | 35 | 9125 | SH | DEFINED | 01 | |
| ASHLAND | COM | 044204105 | 14867 | 326663 | SH | DEFINED | 01 | |
| ASHLAND | COM | 044204105 | 800 | 17570 | SH | DEFINED | 01 | |
| ASHLAND | COM | 044204105 | 593 | 13030 | SH | DEFINED | 01 | |
| EXEL PLC | COM | 0448688 | 9 | 698 | SH | DEFINED | 01 | |
| ASHWORTH | COM | 04516H101 | 317 | 40330 | SH | DEFINED | 01 | |
| ASK JEEVES INC | COM | 045174109 | 0 | 128 | SH | DEFINED | 01 | |
| ASPECT COMMUNICATIONS | COM | 04523Q102 | 623 | 159329 | SH | DEFINED | 01 | |
| ASPEN TECH | COM | 045327103 | 3 | 140 | SH | DEFINED | 01 | |
| ASPEN TECHNOLOGY | COM | 045327103 | 5428 | 237019 | SH | DEFINED | 01 | |
| ASPEN TECHNOLOGY | COM | 045327103 | 196 | 8573 | SH | DEFINED | 01 | |
| IMPERIAL TOBACCO GROUP PLC | COM | 0454492 | 12 | 730 | SH | DEFINED | 01 | |
| ASSOCIATED BANC-CORP | COM | 045487105 | 1362 | 35816 | SH | DEFINED | 01 | |
| ASSOCIATED CEMENT | COM | 045566999 | 2437 | 772149 | SH | DEFINED | 01 | |
| ASSOCIATED CEMENT | COM | 045566999 | 79 | 24934 | SH | DEFINED | 01 | |
| ASSURANCES GENERALES DE FRANCE | COM | 045611993 | 1082 | 22000 | SH | DEFINED | 01 | |
| IMI | COM | 0457963 | 3 | 764 | SH | DEFINED | 01 | |
| IMPERIAL CHE | COM | 0459497 | 8 | 1721 | SH | DEFINED | 01 | |
| ASTEC INDS | COM | 046224101 | 1057 | 60181 | SH | DEFINED | 01 | |
| ASTORIA FINANCIAL | COM | 046265104 | 3526 | 121370 | SH | DEFINED | 01 | |
| ASTRAZENECA | COM | 046322996 | 750 | 15100 | SH | DEFINED | 01 | |
| ASTROPOWER | COM | 04644A101 | 1841 | 44084 | SH | DEFINED | 01 | |
| ASUSTEK COMPUTER | COM | 046470993 | 3553 | 797250 | SH | DEFINED | 01 | |
| ASUSTEK 144A GDR | GB;ASKD/U | COM | 04648R100 | 130 | 29000 | SH | DEFINED | 01 |
| ASYST | COM | 04648X107 | 1 | 64 | SH | DEFINED | 01 | |
| JOHNSON MATT | COM | 0476407 | 7 | 476 | SH | DEFINED | 01 | |
| AGILITI SERIES C CV | PRF | 047800XX0 | 1913 | 2250000 | SH | DEFINED | 01 | |
| AGILITI SERIES C CV | PRF | 047800XX0 | 2337 | 2750000 | SH | DEFINED | 01 | |
| ATLANTIC COAST AIRLI | COM | 048396105 | 156 | 6490 | SH | DEFINED | 01 | |
| ATLANTIC COAST AIRLINES HOLDIN | COM | 048396105 | 5560 | 231852 | SH | DEFINED | 01 | |
| ATLANTIC COAST AIRLINES HOLDIN | COM | 048396105 | 3813 | 159000 | SH | DEFINED | 01 | |
| ATLAS AIR WORLDWIDE HOLDINGS | COM | 049164106 | 8 | 600 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|
| ATLAS AIR WORLDWIDE HOLDINGS | COM | 049164106 | 19 | 1424 | SH | DEFINED | 01 |
| ATLAS COPCO 'A' SHRS | COM | 049255987 | 121 | 5142 | SH | DEFINED | 01 |
| ATMEL | COM | 049513104 | 1054 | 104029 | SH | DEFINED | 01 |
| ATMOS ENERGY | COM | 049560105 | 14783 | 626418 | SH | DEFINED | 01 |
| ATRIX LABORATORIES | COM | 04962L101 | 371 | 16300 | SH | DEFINED | 01 |
| HILTON GROUP | COM | 0500254 | 16 | 4416 | SH | DEFINED | 01 |
| ATWOOD OCEANICS | COM | 050095108 | 1948 | 42432 | SH | DEFINED | 01 |
| ATWOOD OCEANICS | COM | 050095108 | 5 | 100 | SH | DEFINED | 01 |
| LAND SECURIT | COM | 0504502 | 16 | 1277 | SH | DEFINED | 01 |
| AUDIOVOX CL A | COM | 050757103 | 505 | 70288 | SH | DEFINED | 01 |
| AUGUST TECH CORP | COM | 05106U105 | 1000 | 68550 | SH | DEFINED | 01 |
| AUGUST TECH CORP | COM | 05106U105 | 88 | 6050 | SH | DEFINED | 01 |
| AURIGIN SYSTEMS 2.46% | PRF | 051400XX2 | 0 | 2285975 | SH | DEFINED | 01 |
| AURIGIN SYSTEMS 2.46% | PRF | 051400XX2 | 0 | 3811586 | SH | DEFINED | 01 |
| AURION GOLD LIMITED | COM | 051455996 | 1796 | 1100000 | SH | DEFINED | 01 |
| AURORA FOODS RESTRCT | COM | 05164B999 | 134 | 32010 | SH | DEFINED | 01 |
| AURORA FOODS RESTRCT | COM | 05164B999 | 358 | 85034 | SH | DEFINED | 01 |
| AURORA FOODS RESTRCT | COM | 05164B999 | 170 | 40575 | SH | DEFINED | 01 |
| AURORA FOODS RESTRICTED 144A | COM | 05164B999 | 613 | 146435 | SH | DEFINED | 01 |
| AURORA FOODS RESTRICTED 144A | COM | 05164B999 | 153 | 36890 | SH | DEFINED | 01 |
| AUSPEX SYSTEMS | COM | 052116100 | 562 | 450000 | SH | DEFINED | 01 |
| LOGICA | COM | 0522708 | 7 | 1051 | SH | DEFINED | 01 |
| AUSTRALIA & NEW ZEALAND BANKIN | COM | 052545993 | 1317 | 138900 | SH | DEFINED | 01 |
| AUSTRALIA & NEW ZEALAND BANKIN | COM | 052545993 | 3672 | 387200 | SH | DEFINED | 01 |
| AUTODESK | COM | 052769106 | 3843 | 82326 | SH | DEFINED | 01 |
| AUTODESK | COM | 052769106 | 649 | 13896 | SH | DEFINED | 01 |
| AUTODESK | COM | 052769106 | 23 | 498 | SH | DEFINED | 01 |
| AUTOGRILL SPA | COM | 052775996 | 499 | 45300 | SH | DEFINED | 01 |
| AUTOMATIC DATA PROC. | COM | 053015103 | 345 | 5925 | SH | DEFINED | 01 |
| AUTOMATIC DATA PROCESSING | COM | 053015103 | 340009 | 5835062 | SH | DEFINED | 01 |
| AUTOMATIC DATA PROCESSING | COM | 053015103 | 10173 | 174589 | SH | DEFINED | 01 |
| AUTOMATIC DATA PROCESSING | COM | 053015103 | 61948 | 1063123 | SH | DEFINED | 01 |
| AUTONATION | COM | 05329W102 | 14459 | 1038729 | SH | DEFINED | 01 |
| AUTONATION | COM | 05329W102 | 4190 | 301000 | SH | DEFINED | 01 |
| AUTOSTRADE SPA | COM | 053309993 | 448 | 59035 | SH | DEFINED | 01 |
| COMPASS GROUP | COM | 0533153 | 39 | 5815 | SH | DEFINED | 01 |
| AUTOZONE | COM | 053332102 | 19115 | 277621 | SH | DEFINED | 01 |
| AUTOZONE | COM | 053332102 | 162 | 2350 | SH | DEFINED | 01 |
| AUTOZONE | COM | 053332102 | 1863 | 27059 | SH | DEFINED | 01 |
| AUTOZONE | COM | 053332102 | 788 | 11444 | SH | DEFINED | 01 |
| AVALONBAY COMMUNITIES | COM | 053484101 | 182 | 3661 | SH | DEFINED | 01 |
| AVANT! | COM | 053487104 | 2326 | 116133 | SH | DEFINED | 01 |
| AVANEX CORP | COM | 05348W109 | 0 | 111 | SH | DEFINED | 01 |
| AVANT IMMUNOTHERAPEU | COM | 053491106 | 1 | 610 | SH | DEFINED | 01 |
| AVAYA | COM | 053499109 | 71 | 9625 | SH | DEFINED | 01 |
| AVAYA | COM | 053499109 | 665 | 90118 | SH | DEFINED | 01 |
| AVAYA | COM | 053499109 | 24 | 3235 | SH | DEFINED | 01 |
| AdvantGo | COM | 05349M928 | 186 | 142153 | SH | DEFINED | 01 |
| AVENTIS | COM | 053561999 | 637 | 9214 | SH | DEFINED | 01 |
| AVENTIS | COM | 053561999 | 3172 | 45910 | SH | DEFINED | 01 |
| AVERY DENNISON | COM | 053611109 | 164 | 2694 | SH | DEFINED | 01 |
| AVERY DENNISON | COM | 053611109 | 1700 | 27850 | SH | DEFINED | 01 |
| AVERY DENNISON | COM | 053611109 | 61 | 999 | SH | DEFINED | 01 |
| AVICI SYSTEMS INC | COM | 05367L109 | 1 | 270 | SH | DEFINED | 01 |
| AVID TECHNOLOGY | COM | 05367P100 | 1111 | 79885 | SH | DEFINED | 01 |
| AVISTA | COM | 05379B107 | 2268 | 146003 | SH | DEFINED | 01 |
| AVNET | COM | 053807103 | 87 | 3200 | SH | DEFINED | 01 |
| AVNET | COM | 053807103 | 1806 | 66724 | SH | DEFINED | 01 |
| AVNET | COM | 053807103 | 141 | 5200 | SH | DEFINED | 01 |
| AVOCENT | COM | 053893103 | 99 | 3700 | SH | DEFINED | 01 |
| AVOCENT | COM | 053893103 | 42 | 1554 | SH | DEFINED | 01 |
| AVOCENT CORPORATION | COM | 053893103 | 3 | 95 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|----------------------------|-----|-----------|--------|---------|----|---------|----|---|
| HSBC HLDGS | COM | 0540528 | 307 | 26518 | SH | DEFINED | 01 | 1 |
| AVON PRODUCTS | COM | 054303102 | 24 | 450 | SH | DEFINED | 01 | |
| AVON PRODUCTS | COM | 054303102 | 12784 | 235349 | SH | DEFINED | 01 | |
| AVON PRODUCTS | COM | 054303102 | 2879 | 53000 | SH | DEFINED | 01 | 0 |
| AVON PRODUCTS | COM | 054303102 | 3252 | 59860 | SH | DEFINED | 01 | 0 |
| AVON PRODUCTS | COM | 054303102 | 51498 | 948048 | SH | DEFINED | 01 | 0 |
| AXA | COM | 054536107 | 1 | 24 | SH | DEFINED | 01 | 0 |
| AXA | COM | 054537998 | 4235 | 187610 | SH | DEFINED | 01 | 0 |
| AWARE | COM | 05453N100 | 1242 | 195550 | SH | DEFINED | 01 | |
| AWARE | COM | 05453N100 | 71 | 11200 | SH | DEFINED | 01 | 0 |
| AWARE INC | COM | 05453N100 | 2 | 280 | SH | DEFINED | 01 | 0 |
| AXCELIS TECHNOLOGIES | COM | 054540109 | 6792 | 474952 | SH | DEFINED | 01 | |
| AXCELIS TECHNOLOGIES | COM | 054540109 | 112 | 7850 | SH | DEFINED | 01 | 0 |
| AZTAR | COM | 054802103 | 4890 | 223287 | SH | DEFINED | 01 | |
| AZTAR | COM | 054802103 | 166 | 7564 | SH | DEFINED | 01 | 0 |
| AZTAR CORP | COM | 054802103 | 9 | 440 | SH | DEFINED | 01 | 0 |
| BB&T | COM | 054937107 | 3266 | 85698 | SH | DEFINED | 01 | |
| BB&T | COM | 054937107 | 4453 | 116836 | SH | DEFINED | 01 | 0 |
| BB&T | COM | 054937107 | 160 | 4192 | SH | DEFINED | 01 | 0 |
| BB&T CORP | COM | 054937107 | 319 | 8359 | SH | DEFINED | 01 | 0 |
| BCE | COM | 055340996 | 1028 | 58600 | SH | DEFINED | 01 | |
| BANCO ESPIRITO SANTO (BES) | COM | 055349997 | 37 | 3500 | SH | DEFINED | 01 | |
| BEI TECHNOLOGIES | COM | 05538P104 | 834 | 44262 | SH | DEFINED | 01 | |
| BISYS GROUP | COM | 055472104 | 37435 | 1061978 | SH | DEFINED | 01 | |
| BISYS GROUP | COM | 055472104 | 110447 | 3133200 | SH | DEFINED | 01 | 0 |
| BISYS GROUP | COM | 055472104 | 2108 | 59800 | SH | DEFINED | 01 | 0 |
| BISYS GROUP | COM | 055472104 | 46417 | 1316800 | SH | DEFINED | 01 | 0 |
| BJ SERVICES | COM | 055482103 | 1652 | 47935 | SH | DEFINED | 01 | |
| BKF CAPITAL GROUP | COM | 05548G102 | 45 | 1500 | SH | DEFINED | 01 | |
| BJ`S WHOLESALE CLUB | COM | 05548J106 | 20473 | 457977 | SH | DEFINED | 01 | |
| BJ`S WHOLESALE CLUB | COM | 05548J106 | 32300 | 722600 | SH | DEFINED | 01 | 0 |
| BJ'S WHOLESALE CLUB | COM | 05548J106 | 241 | 5400 | SH | DEFINED | 01 | 0 |
| BAYERISCHE MOTOREN WERKE | COM | 055501993 | 197 | 4931 | SH | DEFINED | 01 | |
| BAYERISCHE MOTOREN WERKE | COM | 055501993 | 5149 | 129149 | SH | DEFINED | 01 | 0 |
| BOK FINANCIAL CORP | COM | 05561Q201 | 1639 | 48340 | SH | DEFINED | 01 | |
| BOK FINANCIAL CORP | COM | 05561Q201 | 9 | 254 | SH | DEFINED | 01 | 0 |
| BOK FINANCIAL CORP | COM | 05561Q201 | 156 | 4600 | SH | DEFINED | 01 | 0 |
| BP ADR | COM | 055622104 | 41950 | 790000 | SH | DEFINED | 01 | |
| BP ADR | COM | 055622104 | 940 | 17700 | SH | DEFINED | 01 | 0 |
| BP ADR | COM | 055622104 | 2050 | 38617 | SH | DEFINED | 01 | 0 |
| BP PLC ADR | COM | 055622104 | 9020 | 169860 | SH | DEFINED | 01 | |
| BP PLC ADR | COM | 055622104 | 595 | 11200 | SH | DEFINED | 01 | 0 |
| BP | COM | 055622997 | 50050 | 5623649 | SH | DEFINED | 01 | |
| BP | COM | 055622997 | 11817 | 1327838 | SH | DEFINED | 01 | 0 |
| BP | COM | 055622997 | 45301 | 5090010 | SH | DEFINED | 01 | 0 |
| BRE PROPERTIES CL A | COM | 05564E106 | 9 | 275 | SH | DEFINED | 01 | |
| BT GROUP PLC | COM | 055885990 | 977 | 244922 | SH | DEFINED | 01 | |
| BMC SOFTWARE | COM | 055921100 | 298 | 15300 | SH | DEFINED | 01 | |
| BMC SOFTWARE | COM | 055921100 | 51707 | 2658466 | SH | DEFINED | 01 | |
| BMC SOFTWARE | COM | 055921100 | 5639 | 289923 | SH | DEFINED | 01 | 0 |
| BMC SOFTWARE | COM | 055921100 | 17288 | 888774 | SH | DEFINED | 01 | 0 |
| BMC SOFTWARE INC | COM | 055921100 | 2052 | 105470 | SH | DEFINED | 01 | 0 |
| LEGAL & GENE | COM | 0560399 | 32 | 13721 | SH | DEFINED | 01 | 1 |
| BAKER HUGHES | COM | 057224107 | 23312 | 609428 | SH | DEFINED | 01 | |
| BAKER HUGHES | COM | 057224107 | 589 | 15400 | SH | DEFINED | 01 | 0 |
| BAKER HUGHES | COM | 057224107 | 4299 | 112405 | SH | DEFINED | 01 | 0 |
| BAKER HUGHES | COM | 057224107 | 266 | 6954 | SH | DEFINED | 01 | 0 |
| CENTRICA | COM | 0573438 | 35 | 10702 | SH | DEFINED | 01 | 1 |
| UNILEVER | COM | 0574873 | 65 | 8105 | SH | DEFINED | 01 | 1 |
| BALDOR ELECTRIC | COM | 057741100 | 2548 | 112761 | SH | DEFINED | 01 | |
| BALL | COM | 058498106 | 66 | 1398 | SH | DEFINED | 01 | |
| BALL | COM | 058498106 | 656 | 13894 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| BALL | COM | 058498106 | 24 | 498 | SH | DEFINED | 01 | 0 |
| BALL CORP | COM | 058498106 | 11 | 220 | SH | DEFINED | 01 | 0 |
| BALLY TOTAL FITNESS HOLDINGS | COM | 05873K108 | 1960 | 89298 | SH | DEFINED | 01 | |
| BANCO POPULAR E | COM | 059444992 | 2751 | 72977 | SH | DEFINED | 01 | |
| BANCO POPULAR E | COM | 059444992 | 4592 | 121843 | SH | DEFINED | 01 | 0 |
| BANCO SANTANDER CENTRAL HISPAN | COM | 059469999 | 17127 | 2045106 | SH | DEFINED | 01 | 0 |
| BANCO SANTANDER CENTRAL HISPAN | COM | 059469999 | 1863 | 222421 | SH | DEFINED | 01 | 0 |
| BANCO SANTANDER CENTRAL HISPAN | COM | 059469999 | 12420 | 1482968 | SH | DEFINED | 01 | 0 |
| BANCO ITAU | PRF | 059615559 | 48 | 600000 | SH | DEFINED | 01 | |
| BANCA FIDEURAM SPA | COM | 059628990 | 241 | 30000 | SH | DEFINED | 01 | |
| BANGKOK BANK PUBLIC-F/REG | COM | 059688994 | 1572 | 1112100 | SH | DEFINED | 01 | |
| BANGKOK BANK PUBLIC-F/REG | COM | 059688994 | 46 | 32900 | SH | DEFINED | 01 | 0 |
| BANCORPSOUTH INC | COM | 059692103 | 12 | 605 | SH | DEFINED | 01 | |
| BANCORPSOUTH INC | COM | 059692103 | 16 | 830 | SH | DEFINED | 01 | 0 |
| BANDAG | COM | 059815100 | 28 | 728 | SH | DEFINED | 01 | |
| BANDWIDTH9 SERIES F | PRF | 0600001A4 | 4423 | 1413043 | SH | DEFINED | 01 | |
| BANK OF AMERICA | COM | 060505104 | 20 | 300 | SH | DEFINED | 01 | |
| BANK OF AMERICA | COM | 060505104 | 646166 | 9499695 | SH | DEFINED | 01 | |
| BANK OF AMERICA | COM | 060505104 | 30130 | 442945 | SH | DEFINED | 01 | 0 |
| BANK OF AMERICA | COM | 060505104 | 178499 | 2624221 | SH | DEFINED | 01 | 0 |
| BANK OF AMERICA CORP | COM | 060505104 | 16884 | 248221 | SH | DEFINED | 01 | |
| BANK OF AMERICA CORP | COM | 060505104 | 40604 | 596990 | SH | DEFINED | 01 | 0 |
| CADBURY SCHW | COM | 0610700 | 37 | 5400 | SH | DEFINED | 01 | 1 |
| INTERNATIONAL POWER | COM | 0632016 | 8 | 2704 | SH | DEFINED | 01 | 1 |
| BANK OF NEW YORK | COM | 064057102 | 5567 | 132471 | SH | DEFINED | 01 | |
| BANK OF NEW YORK | COM | 064057102 | 7842 | 186619 | SH | DEFINED | 01 | 0 |
| BANK OF NEW YORK | COM | 064057102 | 6584 | 156697 | SH | DEFINED | 01 | 0 |
| BANK OF NOVA SCOTIA | COM | 064100993 | 1111 | 33700 | SH | DEFINED | 01 | |
| BANK ONE | COM | 06423A103 | 30039 | 718955 | SH | DEFINED | 01 | |
| BANK ONE | COM | 06423A103 | 13467 | 322331 | SH | DEFINED | 01 | 0 |
| BANK ONE | COM | 06423A103 | 12150 | 290812 | SH | DEFINED | 01 | 0 |
| BANK ONE CORP | COM | 06423A103 | 4836 | 115748 | SH | DEFINED | 01 | |
| BANK ONE CORP | COM | 06423A103 | 146 | 3500 | SH | DEFINED | 01 | 0 |
| BANK POLSKA KASA OPIEKI | COM | 064269996 | 3804 | 151215 | SH | DEFINED | 01 | |
| BANK POLSKA KASA OPIEKI | COM | 064269996 | 115 | 4589 | SH | DEFINED | 01 | 0 |
| UTD UTILITIE | COM | 0646233 | 11 | 1301 | SH | DEFINED | 01 | 1 |
| BANKATLANTIC CL A | COM | 065908501 | 1722 | 132463 | SH | DEFINED | 01 | |
| BANKATLANTIC CL A | COM | 065908501 | 12 | 950 | SH | DEFINED | 01 | 0 |
| BANKATLANTIC CL A | COM | 065908501 | 180 | 13837 | SH | DEFINED | 01 | 0 |
| ROYAL&SUN | COM | 0661689 | 8 | 2011 | SH | DEFINED | 01 | 1 |
| BANK OF IRELAND | COM | 066206996 | 933 | 83900 | SH | DEFINED | 01 | |
| BANKNORTH GROUP | COM | 06646R107 | 136 | 5196 | SH | DEFINED | 01 | |
| BANKUNITED FINANCIAL | COM | 06652B103 | 854 | 57000 | SH | DEFINED | 01 | |
| BANKUNITED FINANCIAL | COM | 06652B103 | 11 | 720 | SH | DEFINED | 01 | 0 |
| BANKUNITED FINANCIAL | COM | 06652B103 | 84 | 5600 | SH | DEFINED | 01 | 0 |
| PACE MICRO 144A | COM | 0667278 | 1 | 528 | SH | DEFINED | 01 | 1 |
| BANTA | COM | 066821109 | 31 | 872 | SH | DEFINED | 01 | |
| BANYU PHARMACEUTICAL CO LTD | COM | 066907999 | 191 | 15000 | SH | DEFINED | 01 | |
| BNP PARIBAS | COM | 067250993 | 32842 | 650159 | SH | DEFINED | 01 | |
| BNP PARIBAS | COM | 067250993 | 5649 | 111842 | SH | DEFINED | 01 | 0 |
| BNP PARIBAS | COM | 067250993 | 33108 | 655444 | SH | DEFINED | 01 | 0 |
| BARD (CR) | COM | 067383109 | 5091 | 86223 | SH | DEFINED | 01 | |
| BARD (CR) | COM | 067383109 | 765 | 12958 | SH | DEFINED | 01 | 0 |
| BARD (CR) | COM | 067383109 | 218 | 3696 | SH | DEFINED | 01 | 0 |
| BARCLAYS | COM | 06738E105 | 314 | 10173 | SH | DEFINED | 01 | |
| BANK SINOPAC | COM | 067752998 | 2610 | 6051000 | SH | DEFINED | 01 | |
| PEARSON | COM | 0677608 | 27 | 2130 | SH | DEFINED | 01 | 1 |
| BARNES & NOBLE | COM | 067774109 | 75 | 2417 | SH | DEFINED | 01 | |
| BARNES GROUP | COM | 067806109 | 1384 | 56477 | SH | DEFINED | 01 | |
| BARNESANDNOBLE.COM | COM | 067846105 | 0 | 105 | SH | DEFINED | 01 | |
| BARRICK GOLD | COM | 067901108 | 23237 | 1251996 | SH | DEFINED | 01 | |
| BARRICK GOLD | COM | 067901108 | 2521 | 135821 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| BARRICK GOLD | COM | 067901108 | 1081 | 58274 | SH | DEFINED | 01 | 0 |
| P & O | COM | 0680048 | 5 | 1520 | SH | DEFINED | 01 | 1 |
| BARON ASSET | COM | 068278100 | 89947 | 1908079 | SH | DEFINED | 01 | 0 |
| BARPLATS INVESTMENTS | COM | 068299999 | 328 | 1183200 | SH | DEFINED | 01 | |
| BARR LABORATORIES | COM | 068306109 | 49462 | 751479 | SH | DEFINED | 01 | |
| BARR LABORATORIES | COM | 068306109 | 1882 | 28600 | SH | DEFINED | 01 | 0 |
| BARRA | COM | 068313105 | 6961 | 114921 | SH | DEFINED | 01 | |
| BARRA | COM | 068313105 | 191 | 3150 | SH | DEFINED | 01 | 0 |
| BARRA INC | COM | 068313105 | 3 | 50 | SH | DEFINED | 01 | 0 |
| PILKINGTON | COM | 0688462 | 4 | 2433 | SH | DEFINED | 01 | 1 |
| SCOT POWER | COM | 0690070 | 25 | 4978 | SH | DEFINED | 01 | 1 |
| BASF | COM | 069730992 | 8659 | 213454 | SH | DEFINED | 01 | |
| BASF | COM | 069730992 | 9768 | 240791 | SH | DEFINED | 01 | 0 |
| BASSETT FURNITURE INDS | COM | 070203104 | 1517 | 73979 | SH | DEFINED | 01 | |
| BASSETT FURNITURE INDS | COM | 070203104 | 72 | 3500 | SH | DEFINED | 01 | 0 |
| PRUDENTIAL | COM | 0709954 | 56 | 5591 | SH | DEFINED | 01 | 1 |
| BAUSCH & LOMB | COM | 071707103 | 14327 | 321466 | SH | DEFINED | 01 | |
| BAUSCH & LOMB | COM | 071707103 | 606 | 13594 | SH | DEFINED | 01 | 0 |
| BAUSCH & LOMB | COM | 071707103 | 567 | 12714 | SH | DEFINED | 01 | 0 |
| BAXTER INTL | COM | 071813109 | 107809 | 1811326 | SH | DEFINED | 01 | |
| BAXTER INTL | COM | 071813109 | 9487 | 159394 | SH | DEFINED | 01 | 0 |
| BAXTER INTL | COM | 071813109 | 11349 | 190673 | SH | DEFINED | 01 | 0 |
| BAXTER INTL INC | COM | 071813109 | 22028 | 370105 | SH | DEFINED | 01 | |
| BAXTER INTL INC | COM | 071813109 | 23953 | 402445 | SH | DEFINED | 01 | 0 |
| RIO TINTO | COM | 0718875 | 59 | 2964 | SH | DEFINED | 01 | 1 |
| VODAFONE GROUP PLC | COM | 0719210 | 356 | 192436 | SH | DEFINED | 01 | 1 |
| RANK GROUP | COM | 0724076 | 7 | 1688 | SH | DEFINED | 01 | 1 |
| RMC GROUP | COM | 0726641 | 5 | 569 | SH | DEFINED | 01 | 1 |
| BAYCORP HOLDINGS LTD | COM | 072728108 | 0 | 28 | SH | DEFINED | 01 | 0 |
| BAYER ORD | COM | 072730104 | 126 | 3717 | SH | DEFINED | 01 | |
| RECKITT BENCKISER PLC | COM | 0727871 | 13 | 797 | SH | DEFINED | 01 | 1 |
| REED | COM | 0730835 | 34 | 3489 | SH | DEFINED | 01 | 1 |
| RENTOKIL INI | COM | 0732712 | 21 | 5118 | SH | DEFINED | 01 | 1 |
| BANCA NAZIONALE DEL LAVORO (BN | COM | 073301996 | 213 | 95000 | SH | DEFINED | 01 | |
| BE AEROSPACE | COM | 073302101 | 1074 | 108109 | SH | DEFINED | 01 | |
| BE FREE INC | COM | 073308108 | 0 | 110 | SH | DEFINED | 01 | |
| BEA SYSTEMS | COM | 073325102 | 1664 | 121367 | SH | DEFINED | 01 | |
| BEAR STEARNS COMPANIES | COM | 073902108 | 778 | 12400 | SH | DEFINED | 01 | |
| BEAR STEARNS COMPANIES | COM | 073902108 | 1473 | 23478 | SH | DEFINED | 01 | |
| BEAR STEARNS COMPANIES | COM | 073902108 | 1588 | 25311 | SH | DEFINED | 01 | 0 |
| BEAR STEARNS COMPANIES | COM | 073902108 | 57 | 908 | SH | DEFINED | 01 | 0 |
| ROYAL BK SCO | COM | 0754783 | 194 | 7535 | SH | DEFINED | 01 | 1 |
| BEBE STORES INC | COM | 075571109 | 2 | 102 | SH | DEFINED | 01 | |
| BECKMAN COULTER | COM | 075811109 | 110 | 2151 | SH | DEFINED | 01 | |
| BECTON, DICKINSON & CO | COM | 075887109 | 17592 | 466399 | SH | DEFINED | 01 | |
| BECTON, DICKINSON & CO | COM | 075887109 | 2472 | 65539 | SH | DEFINED | 01 | 0 |
| BECTON, DICKINSON & CO | COM | 075887109 | 751 | 19901 | SH | DEFINED | 01 | 0 |
| BED BATH & BEYOND | COM | 075896100 | 12943 | 383504 | SH | DEFINED | 01 | |
| BED BATH & BEYOND | COM | 075896100 | 2484 | 73601 | SH | DEFINED | 01 | 0 |
| BED BATH & BEYOND | COM | 075896100 | 18904 | 560141 | SH | DEFINED | 01 | 0 |
| BED BATH & BEYOND IN | COM | 075896100 | 878 | 26000 | SH | DEFINED | 01 | |
| BED BATH & BEYOND IN | COM | 075896100 | 84 | 2500 | SH | DEFINED | 01 | 0 |
| SAINSBURY (J) | COM | 0767640 | 26 | 4514 | SH | DEFINED | 01 | 1 |
| BEIJING DATANG POWER GENERATIO | COM | 076840990 | 2398 | 6562000 | SH | DEFINED | 01 | |
| BEIJING DATANG POWER GENERATIO | COM | 076840990 | 77 | 210000 | SH | DEFINED | 01 | 0 |
| BEL FUSE CL A | COM | 077347300 | 4695 | 191960 | SH | DEFINED | 01 | |
| BEL FUSE CL A | COM | 077347300 | 330 | 13500 | SH | DEFINED | 01 | 0 |
| BELDEN | COM | 077459105 | 1797 | 75437 | SH | DEFINED | 01 | |
| BELL MICROPRODUCTS | COM | 078137106 | 61 | 5900 | SH | DEFINED | 01 | |
| BELL MICROPRODUCTS | COM | 078137106 | 540 | 51884 | SH | DEFINED | 01 | |
| SCOT & NEWCA | COM | 0783969 | 7 | 910 | SH | DEFINED | 01 | 1 |
| SCOT & SOUTH | COM | 0790873 | 12 | 1202 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-------------------------|-----|-----------|--------|---------|----|---------|----|---|
| BP PLC | COM | 0798059 | 565 | 63431 | SH | DEFINED | 01 | 1 |
| SSL INTERNATIONAL | COM | 0798112 | 3 | 402 | SH | DEFINED | 01 | 1 |
| BELL SOUTH | COM | 079860102 | 6089 | 165184 | SH | DEFINED | 01 | |
| BELL SOUTH | COM | 079860102 | 370 | 10040 | SH | DEFINED | 01 | 0 |
| BELLSOUTH | COM | 079860102 | 167442 | 4542680 | SH | DEFINED | 01 | |
| BELLSOUTH | COM | 079860102 | 18931 | 513579 | SH | DEFINED | 01 | 0 |
| BELLSOUTH | COM | 079860102 | 47235 | 1281460 | SH | DEFINED | 01 | 0 |
| BELLSYSTEM 24 INC | COM | 079869996 | 82 | 250 | SH | DEFINED | 01 | |
| SAGE GROUP PLC | COM | 0802165 | 10 | 3029 | SH | DEFINED | 01 | 1 |
| SHELL TRAN | COM | 0803414 | 101 | 13571 | SH | DEFINED | 01 | 1 |
| BELO CL A | COM | 080555105 | 86 | 3694 | SH | DEFINED | 01 | |
| INVENSYS | COM | 0807041 | 15 | 8574 | SH | DEFINED | 01 | 1 |
| SLOUGH ESTAT | COM | 0814104 | 5 | 897 | SH | DEFINED | 01 | 1 |
| BEMIS | COM | 081437105 | 71 | 1308 | SH | DEFINED | 01 | |
| BEMIS | COM | 081437105 | 727 | 13382 | SH | DEFINED | 01 | 0 |
| BEMIS | COM | 081437105 | 26 | 480 | SH | DEFINED | 01 | 0 |
| BENCHMARK ELECTRONIC | COM | 08160H101 | 9 | 300 | SH | DEFINED | 01 | 0 |
| BENCHMARK ELECTRONICS | COM | 08160H101 | 1688 | 60283 | SH | DEFINED | 01 | |
| SMITHS GROUP | COM | 0818270 | 15 | 1293 | SH | DEFINED | 01 | 1 |
| BENETTON GROUP | COM | 081911992 | 81 | 6000 | SH | DEFINED | 01 | |
| GRANADA PLC | COM | 0827566 | 13 | 6738 | SH | DEFINED | 01 | 1 |
| CORUS GROUP | COM | 0828053 | 9 | 7377 | SH | DEFINED | 01 | 1 |
| ANGLIAN WATE | COM | 0843443 | 4 | 594 | SH | DEFINED | 01 | 1 |
| WR BERKLEY | COM | 084423102 | 1103 | 19190 | SH | DEFINED | 01 | |
| BERKSHIRE HATHAWAY I | COM | 084670108 | 10878 | 153 | SH | DEFINED | 01 | |
| BERKSHIRE HATHAWAY I | COM | 084670108 | 427 | 6 | SH | DEFINED | 01 | 0 |
| BERKSHIRE HATHAWAY B | COM | 084670207 | 872 | 368 | SH | DEFINED | 01 | 0 |
| BERKSHIRE HATHAWAY CL B | COM | 084670207 | 28331 | 11959 | SH | DEFINED | 01 | |
| BERKSHIRE HATHAWAY CL B | COM | 084670207 | 1042 | 440 | SH | DEFINED | 01 | 0 |
| BERU AG | COM | 086066990 | 174 | 3500 | SH | DEFINED | 01 | |
| BEST BUY | COM | 086516101 | 191914 | 2423168 | SH | DEFINED | 01 | |
| BEST BUY | COM | 086516101 | 5658 | 71441 | SH | DEFINED | 01 | 0 |
| BEST BUY | COM | 086516101 | 78371 | 989525 | SH | DEFINED | 01 | 0 |
| BEST BUY CO | COM | 086516101 | 713 | 9000 | SH | DEFINED | 01 | |
| BEST BUY CO | COM | 086516101 | 699 | 8832 | SH | DEFINED | 01 | 0 |
| BESTEL WTS 5/15/05 | COM | 08658T112 | 300 | 4000 | SH | DEFINED | 01 | |
| BESTEL WTS 5/15/05 | COM | 08658T112 | 75 | 1000 | SH | DEFINED | 01 | 0 |
| LLOYDS TSB G | COM | 0870612 | 159 | 15459 | SH | DEFINED | 01 | 1 |
| BETHLEHEM STEEL | COM | 087509105 | 0 | 317 | SH | DEFINED | 01 | |
| TATE & LYLE | COM | 0875413 | 5 | 996 | SH | DEFINED | 01 | 1 |
| BG | COM | 0876289 | 40 | 9331 | SH | DEFINED | 01 | 1 |
| MARCONI | COM | 0877431 | 1 | 6498 | SH | DEFINED | 01 | 1 |
| TAYLOR WOODR | COM | 0878230 | 2 | 879 | SH | DEFINED | 01 | 1 |
| BEVERLY ENTERPRISES | COM | 087851309 | 35 | 4900 | SH | DEFINED | 01 | |
| BEVERLY ENTERPRISES | COM | 087851309 | 4 | 516 | SH | DEFINED | 01 | |
| TESCO | COM | 0884709 | 66 | 19219 | SH | DEFINED | 01 | 1 |
| BHP BILLITON | COM | 088606991 | 15361 | 2524743 | SH | DEFINED | 01 | |
| BHP BILLITON | COM | 088606991 | 2891 | 475120 | SH | DEFINED | 01 | 0 |
| BHP BILLITON | COM | 088606991 | 26227 | 4310664 | SH | DEFINED | 01 | 0 |
| 3I GROUP PLC | COM | 0888693 | 19 | 1710 | SH | DEFINED | 01 | 1 |
| BIG LOTS | COM | 089302103 | 4764 | 339075 | SH | DEFINED | 01 | |
| BIG LOTS | COM | 089302103 | 407 | 28989 | SH | DEFINED | 01 | 0 |
| BIG LOTS | COM | 089302103 | 458 | 32539 | SH | DEFINED | 01 | 0 |
| BINDVIEW DEVEL CORP | COM | 090327107 | 0 | 150 | SH | DEFINED | 01 | |
| BHP BILLITON | COM | 090525999 | 2725 | 478133 | SH | DEFINED | 01 | |
| BHP BILLITON | COM | 090525999 | 73 | 12870 | SH | DEFINED | 01 | 0 |
| BHP BILLITON | COM | 090527995 | 2983 | 523411 | SH | DEFINED | 01 | |
| BHP BILLITON | COM | 090527995 | 3179 | 557688 | SH | DEFINED | 01 | 0 |
| BIO-TECHNOLOGY GENERAL | COM | 090578105 | 878 | 178895 | SH | DEFINED | 01 | |
| BIOGEN | COM | 090597105 | 46203 | 941768 | SH | DEFINED | 01 | |
| BIOGEN | COM | 090597105 | 5942 | 121123 | SH | DEFINED | 01 | 0 |
| BIOGEN | COM | 090597105 | 20586 | 419623 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|---------------|-----------|--------|---------|----|---------|----|---|
| BIOGEN INC | COM | 090597105 | 32445 | 661337 | SH | DEFINED | 01 | 0 |
| BIOMET | COM | 090613100 | 9 | 350 | SH | DEFINED | 01 | |
| BIOMET | COM | 090613100 | 61161 | 2260182 | SH | DEFINED | 01 | |
| BIOMET | COM | 090613100 | 2651 | 97974 | SH | DEFINED | 01 | 0 |
| BIOMET | COM | 090613100 | 7460 | 275654 | SH | DEFINED | 01 | 0 |
| BIOMET | COM | 090613100 | 31297 | 1156563 | SH | DEFINED | 01 | 0 |
| BIOMARIN PHARMACEUTI | COM | 09061G101 | 1 | 125 | SH | DEFINED | 01 | |
| BIOVAIL | COM | 090675992 | 949 | 19100 | SH | DEFINED | 01 | 0 |
| BIOVAIL CORP | COM | 09067J109 | 4748 | 95000 | SH | DEFINED | 01 | |
| BIOVAIL CORP | COM | 09067J109 | 180 | 3600 | SH | DEFINED | 01 | 0 |
| BIOSITE INC | COM | 090945106 | 1107 | 44725 | SH | DEFINED | 01 | |
| BIOSITE INC | COM | 090945106 | 2 | 100 | SH | DEFINED | 01 | 0 |
| BLACK & DECKER | COM | 091797100 | 340 | 7300 | SH | DEFINED | 01 | |
| BLACK & DECKER | COM | 091797100 | 8005 | 171992 | SH | DEFINED | 01 | |
| BLACK & DECKER | COM | 091797100 | 105 | 2250 | SH | DEFINED | 01 | 0 |
| BLACK & DECKER | COM | 091797100 | 942 | 20240 | SH | DEFINED | 01 | 0 |
| BLACK & DECKER | COM | 091797100 | 19814 | 425726 | SH | DEFINED | 01 | 0 |
| BLACK BOX | COM | 091826107 | 5581 | 115275 | SH | DEFINED | 01 | |
| BLACK BOX | COM | 091826107 | 169 | 3500 | SH | DEFINED | 01 | 0 |
| BLACK BOX CORP | COM | 091826107 | 4 | 80 | SH | DEFINED | 01 | 0 |
| BLACK HILLS | COM | 092113109 | 32 | 957 | SH | DEFINED | 01 | |
| SMITH AND NEPHEW PLC | COM | 0922320 | 13 | 2150 | SH | DEFINED | 01 | 1 |
| GLAXOSMITHKLINE | COM | 0925288 | 416 | 17654 | SH | DEFINED | 01 | 1 |
| H&R BLOCK | COM | 093671105 | 11312 | 254501 | SH | DEFINED | 01 | |
| H&R BLOCK | COM | 093671105 | 2058 | 46296 | SH | DEFINED | 01 | 0 |
| H&R BLOCK | COM | 093671105 | 496 | 11161 | SH | DEFINED | 01 | 0 |
| BLOCKBUSTER INC | COM | 093679108 | 33 | 1400 | SH | DEFINED | 01 | |
| BLOCKBUSTER INC | COM | 093679108 | 18694 | 796500 | SH | DEFINED | 01 | |
| BLOCKBUSTER INC | COM | 093679108 | 547 | 23300 | SH | DEFINED | 01 | 0 |
| BLOCKBUSTER INC | COM | 093679108 | 575 | 24500 | SH | DEFINED | 01 | 0 |
| DE LA RUE | COM | 0938059 | 3 | 418 | SH | DEFINED | 01 | 1 |
| DAILY MAIL A | COM | 0945736 | 4 | 406 | SH | DEFINED | 01 | 1 |
| BLUE MARTINI SFTWARE | COM | 095698106 | 1 | 378 | SH | DEFINED | 01 | |
| INNOGY HOLDINGS PLC | COM | 0959403 | 1 | 204 | SH | DEFINED | 01 | 1 |
| BLUESTREAM VENTURES | 0.00 06/2 PRF | 096120XX3 | 3975 | 5250000 | SH | DEFINED | 01 | |
| BLUESTREAM VENTURES | 0.00 06/2 PRF | 096120XX3 | 5490 | 7250000 | SH | DEFINED | 01 | 0 |
| GREAT PORTLA | COM | 0962951 | 2 | 494 | SH | DEFINED | 01 | 1 |
| P&O PRINCESS CRUISES | COM | 0963590 | 10 | 1520 | SH | DEFINED | 01 | 1 |
| BLYTH INDS | COM | 09643P108 | 4399 | 165884 | SH | DEFINED | 01 | |
| BLYTH INDS | COM | 09643P108 | 345 | 13000 | SH | DEFINED | 01 | 0 |
| BOB EVANS FARMS | COM | 096761101 | 35 | 1245 | SH | DEFINED | 01 | |
| BOCA RESORTS INC | COM | 09688T106 | 3 | 199 | SH | DEFINED | 01 | |
| BOEING | COM | 097023105 | 118170 | 2449123 | SH | DEFINED | 01 | |
| BOEING | COM | 097023105 | 1134 | 23500 | SH | DEFINED | 01 | 0 |
| BOEING | COM | 097023105 | 12614 | 261421 | SH | DEFINED | 01 | 0 |
| BOEING | COM | 097023105 | 6893 | 142866 | SH | DEFINED | 01 | 0 |
| WIMPEY (GEORGE) | COM | 0971344 | 3 | 813 | SH | DEFINED | 01 | 1 |
| BOISE CASCADE | COM | 097383103 | 779 | 21501 | SH | DEFINED | 01 | |
| BOISE CASCADE | COM | 097383103 | 533 | 14708 | SH | DEFINED | 01 | 0 |
| BOISE CASCADE | COM | 097383103 | 19 | 527 | SH | DEFINED | 01 | 0 |
| WPP GROUP PLC | COM | 0974042 | 33 | 2895 | SH | DEFINED | 01 | 1 |
| WOLSELEY | COM | 0976402 | 14 | 1347 | SH | DEFINED | 01 | 1 |
| LATTICE GROUP PLC | COM | 0976640 | 24 | 9686 | SH | DEFINED | 01 | 1 |
| BOMBARDIER - B | COM | 097751200 | 154 | 17162 | SH | DEFINED | 01 | |
| BON APPETIT GROUP AG | COM | 098900996 | 103 | 1470 | SH | DEFINED | 01 | |
| ASTRAZENECA | COM | 0989529 | 242 | 4873 | SH | DEFINED | 01 | 1 |
| BOOTS & COOTS/INTL WELL CONTRO | COM | 099469108 | 135 | 375000 | SH | DEFINED | 01 | |
| BOOTS | COM | 099555997 | 4244 | 443210 | SH | DEFINED | 01 | 0 |
| BORDERS GROUP | COM | 099709107 | 79 | 3300 | SH | DEFINED | 01 | |
| BORDERS GROUP | COM | 099709107 | 5448 | 227863 | SH | DEFINED | 01 | |
| BORDERS GROUP | COM | 099709107 | 206 | 8600 | SH | DEFINED | 01 | 0 |
| BORG WARNER INC | COM | 099724106 | 20 | 320 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----------|-----------|-------|---------|----|---------|----|
| BORGWARNER | COM | 099724106 | 125 | 2000 | SH | DEFINED | 01 |
| BORGWARNER | COM | 099724106 | 4339 | 68970 | SH | DEFINED | 01 |
| BORGWARNER | COM | 099724106 | 302 | 4800 | SH | DEFINED | 01 |
| BORLAND SOFTWARE | COM | 099849101 | 1381 | 106200 | SH | DEFINED | 01 |
| BORLAND SOFTWARE | COM | 099849101 | 2 | 170 | SH | DEFINED | 01 |
| BORLAND SOFTWARE | COM | 099849101 | 48 | 3700 | SH | DEFINED | 01 |
| BOSTON COMMUNICATIONS GROUP | COM | 100582105 | 454 | 52713 | SH | DEFINED | 01 |
| BOSTON PRIVATE FINANCIAL HOLDI | COM | 101119105 | 4743 | 177313 | SH | DEFINED | 01 |
| BOSTON PRIVATE FINANCIAL HOLDI | COM | 101119105 | 86 | 3226 | SH | DEFINED | 01 |
| BOSTON PRIVATE FINL | COM | 101119105 | 2 | 90 | SH | DEFINED | 01 |
| BOSTON PROPERTIES IN | COM | 101121101 | 284 | 7200 | SH | DEFINED | 01 |
| BOSTON PROPERTIES IN | COM | 101121101 | 7 | 182 | SH | DEFINED | 01 |
| BOSTON SCIENTIFIC | COM | 101137107 | 28744 | 1145661 | SH | DEFINED | 01 |
| BOSTON SCIENTIFIC | COM | 101137107 | 3496 | 139320 | SH | DEFINED | 01 |
| BOSTON SCIENTIFIC | COM | 101137107 | 1070 | 42668 | SH | DEFINED | 01 |
| BOUYGUES | COM | 102050994 | 199 | 6105 | SH | DEFINED | 01 |
| BOWATER | COM | 102183100 | 6490 | 130323 | SH | DEFINED | 01 |
| BOWATER | COM | 102183100 | 4731 | 95000 | SH | DEFINED | 01 |
| BOWNE & CO | COM | 103043105 | 1430 | 101615 | SH | DEFINED | 01 |
| BOYD GAMING CORP | COM | 103304101 | 2318 | 154110 | SH | DEFINED | 01 |
| BOYD GAMING CORP | COM | 103304101 | 238 | 15800 | SH | DEFINED | 01 |
| BOYDS COLLECTION LTD | COM | 103354106 | 1 | 158 | SH | DEFINED | 01 |
| BRADY CL A | COM | 104674106 | 2562 | 70583 | SH | DEFINED | 01 |
| BRAMBLES IND LTD | AU;BIL/AU | 104900998 | 109 | 21546 | SH | DEFINED | 01 |
| BRAMBLES IND LTD | AU;BIL/AU | 104900998 | 1864 | 367677 | SH | DEFINED | 01 |
| BRANCOTE HOLDINGS | COM | 105210991 | 2428 | 1000000 | SH | DEFINED | 01 |
| BRASCAN CL A | COM | 10549P606 | 7760 | 355800 | SH | DEFINED | 01 |
| BRASCAN CL A | COM | 10549P606 | 781 | 35800 | SH | DEFINED | 01 |
| BRAUN CONSULTING INC | COM | 105651103 | 1 | 250 | SH | DEFINED | 01 |
| BRIDGESTONE CORP | COM | 108050998 | 118 | 8705 | SH | DEFINED | 01 |
| BRIGGS & STRATTON | COM | 109043109 | 5965 | 129670 | SH | DEFINED | 01 |
| BRIGGS & STRATTON | COM | 109043109 | 16 | 350 | SH | DEFINED | 01 |
| BRIGGS & STRATTON | COM | 109043109 | 285 | 6200 | SH | DEFINED | 01 |
| BRINKER INTL | COM | 109641100 | 45433 | 1401834 | SH | DEFINED | 01 |
| BRINKER INTL | COM | 109641100 | 5249 | 161950 | SH | DEFINED | 01 |
| BRINKER INTL | COM | 109641100 | 1074 | 33150 | SH | DEFINED | 01 |
| BRINKER INT'L INC | COM | 109641100 | 2352 | 72585 | SH | DEFINED | 01 |
| BRIO SOFTWARE INC | COM | 109704106 | 0 | 49 | SH | DEFINED | 01 |
| BRISTOL MYERS SQUIBB | COM | 110122108 | 393 | 9700 | SH | DEFINED | 11 |
| BRISTOL-MYERS SQUIBB | COM | 110122108 | 10 | 250 | SH | DEFINED | 01 |
| BRISTOL-MYERS SQUIBB | COM | 110122108 | 45380 | 1120763 | SH | DEFINED | 01 |
| BRISTOL-MYERS SQUIBB | COM | 110122108 | 21207 | 523761 | SH | DEFINED | 01 |
| BRISTOL-MYERS SQUIBB | COM | 110122108 | 38976 | 962594 | SH | DEFINED | 01 |
| BRITESMILE | COM | 110415106 | 741 | 138000 | SH | DEFINED | 01 |
| BRITISH AIRWAYS PLC | COM | 110419108 | 4163 | 1197993 | SH | DEFINED | 01 |
| BRITISH AIRWAYS PLC | COM | 110419108 | 2159 | 621360 | SH | DEFINED | 01 |
| BRITISH AIRWAYS PLC | COM | 110419108 | 5687 | 1636699 | SH | DEFINED | 01 |
| BRITISH AMERICAN TOBACCO | COM | 110420999 | 1207 | 125600 | SH | DEFINED | 01 |
| BAE SYSTEMS | COM | 110466992 | 135 | 28255 | SH | DEFINED | 01 |
| BRITISH SKY BROADCASTING GROUP | COM | 111014999 | 29134 | 2459000 | SH | DEFINED | 01 |
| BRITISH SKY BROADCASTING GROUP | COM | 111014999 | 5514 | 465417 | SH | DEFINED | 01 |
| BRITISH SKY BROADCASTING GROUP | COM | 111014999 | 24689 | 2083818 | SH | DEFINED | 01 |
| BROADCOM CL A | COM | 111320107 | 5 | 150 | SH | DEFINED | 01 |
| BROADCOM CL A | COM | 111320107 | 70160 | 1954323 | SH | DEFINED | 01 |
| BROADCOM CL A | COM | 111320107 | 2774 | 77266 | SH | DEFINED | 01 |
| BROADCOM CL A | COM | 111320107 | 52931 | 1474385 | SH | DEFINED | 01 |
| BROADCOM CORP | COM | 111320107 | 501 | 13980 | SH | DEFINED | 01 |
| BROADVISION INC | COM | 111412102 | 1 | 563 | SH | DEFINED | 01 |
| BROADWING | COM | 111620100 | 14 | 2000 | SH | DEFINED | 01 |
| BROADWING | COM | 111620100 | 290 | 41407 | SH | DEFINED | 01 |
| BROCADE COMMUNICATIO | COM | 111621108 | 16493 | 610850 | SH | DEFINED | 01 |
| BROCADE COMMUNICATIONS SYSTEMS | COM | 111621108 | 51825 | 1919430 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| BROCADE COMMUNICATIONS SYSTEMS | COM | 111621108 | 578 | 21400 | SH | DEFINED | 01 | 0 |
| BROCADE COMMUNICATIONS SYSTEMS | COM | 111621108 | 41820 | 1548900 | SH | DEFINED | 01 | 0 |
| BROOKFIELD PROPERTIES CORP | COM | 112900105 | 5639 | 296800 | SH | DEFINED | 01 | 0 |
| BROOKFIELD PROPERTIES CORP | COM | 112900105 | 665 | 35000 | SH | DEFINED | 01 | 0 |
| BROOKS AUTOMATION | COM | 11434A100 | 7408 | 163032 | SH | DEFINED | 01 | 0 |
| BROOKS AUTOMATION | COM | 11434A100 | 15037 | 330930 | SH | DEFINED | 01 | 0 |
| BROOKS AUTOMATION | COM | 11434A100 | 318 | 7000 | SH | DEFINED | 01 | 0 |
| BROOKS AUTOMATION | COM | 11434A100 | 193 | 4240 | SH | DEFINED | 01 | 0 |
| BROOKTROUT | COM | 114580103 | 235 | 38133 | SH | DEFINED | 01 | 0 |
| BROWN & BROWN | COM | 115236101 | 5949 | 189440 | SH | DEFINED | 01 | 0 |
| BROWN & BROWN | COM | 115236101 | 364 | 11600 | SH | DEFINED | 01 | 0 |
| BROWN & BROWN INC | COM | 115236101 | 8 | 240 | SH | DEFINED | 01 | 0 |
| BROWN-FORMAN CL B | COM | 115637209 | 122 | 1683 | SH | DEFINED | 01 | 0 |
| BROWN-FORMAN CL B | COM | 115637209 | 1260 | 17313 | SH | DEFINED | 01 | 0 |
| BROWN-FORMAN CL B | COM | 115637209 | 45 | 620 | SH | DEFINED | 01 | 0 |
| BROWN (TOM) | COM | 115660201 | 12633 | 462743 | SH | DEFINED | 01 | 0 |
| BROWN (TOM) | COM | 115660201 | 756 | 27700 | SH | DEFINED | 01 | 0 |
| BROWN SHOE | COM | 115736100 | 1043 | 53594 | SH | DEFINED | 01 | 0 |
| BRUKER DALTONICS INC | COM | 116795105 | 251 | 26255 | SH | DEFINED | 01 | 0 |
| BRUKER DALTONICS INC | COM | 116795105 | 2 | 170 | SH | DEFINED | 01 | 0 |
| BRUNSWICK | COM | 117043109 | 4977 | 182149 | SH | DEFINED | 01 | 0 |
| BRUNSWICK | COM | 117043109 | 608 | 22241 | SH | DEFINED | 01 | 0 |
| BRUNSWICK | COM | 117043109 | 211 | 7697 | SH | DEFINED | 01 | 0 |
| BRUSH ENGINEERED MATERIALS | COM | 117421107 | 647 | 50970 | SH | DEFINED | 01 | 0 |
| BUCA INC | COM | 117769109 | 96 | 5300 | SH | DEFINED | 01 | 0 |
| BSQUARE CORP | COM | 11776U102 | 0 | 100 | SH | DEFINED | 01 | 0 |
| BUCKEYE PARTNERS LP | COM | 118230101 | 8 | 204 | SH | DEFINED | 01 | 0 |
| BUCKEYE TECHNOLOGIES | COM | 118255108 | 1099 | 106669 | SH | DEFINED | 01 | 0 |
| BUCKLE | COM | 118440106 | 5177 | 211300 | SH | DEFINED | 01 | 0 |
| BUCKLE | COM | 118440106 | 451 | 18400 | SH | DEFINED | 01 | 0 |
| BULGARI SPA | COM | 120100XX5 | 114 | 13381 | SH | DEFINED | 01 | 0 |
| BLDG MATERIAL HOLD | COM | 120113105 | 5 | 340 | SH | DEFINED | 01 | 0 |
| BUILDING MATERIALS HOLDING | COM | 120113105 | 1833 | 127321 | SH | DEFINED | 01 | 0 |
| BUILDING MATERIALS HOLDING | COM | 120113105 | 131 | 9100 | SH | DEFINED | 01 | 0 |
| BURLINGTON COAT FACTORY WAREHO | COM | 121579106 | 2622 | 136224 | SH | DEFINED | 01 | 0 |
| BURLINGTON N SANTA | COM | 12189T104 | 1138 | 37700 | SH | DEFINED | 01 | 0 |
| BURLINGTON NORTHERN SANTA FE | COM | 12189T104 | 118991 | 3942674 | SH | DEFINED | 01 | 0 |
| BURLINGTON NORTHERN SANTA FE | COM | 12189T104 | 5887 | 195067 | SH | DEFINED | 01 | 0 |
| BURLINGTON NORTHERN SANTA FE | COM | 12189T104 | 11763 | 389755 | SH | DEFINED | 01 | 0 |
| BURLINGTON RESOURCES | COM | 122014103 | 7751 | 193350 | SH | DEFINED | 01 | 0 |
| BURLINGTON RESOURCES | COM | 122014103 | 2201 | 54912 | SH | DEFINED | 01 | 0 |
| BURLINGTON RESOURCES | COM | 122014103 | 8752 | 218327 | SH | DEFINED | 01 | 0 |
| BUSINESS OBJECTS SA-SP ADR | COM | 12328X107 | 179 | 4076 | SH | DEFINED | 01 | 0 |
| BUTLER MFG | COM | 123655102 | 518 | 19303 | SH | DEFINED | 01 | 0 |
| C&D TECHNOLOGIES | COM | 124661109 | 4476 | 212914 | SH | DEFINED | 01 | 0 |
| C&D TECHNOLOGIES | COM | 124661109 | 197 | 9368 | SH | DEFINED | 01 | 0 |
| C&D TECHNOLOGY | COM | 124661109 | 3 | 160 | SH | DEFINED | 01 | 0 |
| CBL & ASSOCIATES | COM | 124830100 | 1346 | 38087 | SH | DEFINED | 01 | 0 |
| CBL & ASSOCIATES | COM | 124830100 | 127 | 3600 | SH | DEFINED | 01 | 0 |
| CBRL GROUP | COM | 12489V106 | 2959 | 103918 | SH | DEFINED | 01 | 0 |
| CBRL GROUP | COM | 12489V106 | 253 | 8900 | SH | DEFINED | 01 | 0 |
| CBRL GROUP INC | COM | 12489V106 | 14 | 470 | SH | DEFINED | 01 | 0 |
| CGNU | COM | 124950999 | 9204 | 872246 | SH | DEFINED | 01 | 0 |
| CGNU | COM | 124950999 | 3456 | 327571 | SH | DEFINED | 01 | 0 |
| CGNU | COM | 124950999 | 12338 | 1169286 | SH | DEFINED | 01 | 0 |
| C-COR.NET | COM | 125010108 | 2453 | 136313 | SH | DEFINED | 01 | 0 |
| CDI | COM | 125071100 | 1344 | 58653 | SH | DEFINED | 01 | 0 |
| CDW COMPUTER CENTERS | COM | 125129106 | 1229 | 24413 | SH | DEFINED | 01 | 0 |
| CEC ENTERTAINMENT | COM | 125137109 | 3962 | 85749 | SH | DEFINED | 01 | 0 |
| CIA DE CONCES SOES | COM | 125300996 | 185 | 27300 | SH | DEFINED | 01 | 0 |
| CH ENERGY GROUP | COM | 12541M102 | 38 | 800 | SH | DEFINED | 01 | 0 |
| CH ENERGY GROUP | COM | 12541M102 | 9502 | 200262 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| CH ENERGY GROUP | COM | 12541M102 | 10 | 210 | SH | DEFINED | 01 | 0 |
| C.H. ROBINSON WORLDWIDE | COM | 12541W100 | 8345 | 248339 | SH | DEFINED | 01 | |
| C.H. ROBINSON WORLDWIDE | COM | 12541W100 | 12109 | 360400 | SH | DEFINED | 01 | 0 |
| CH ROBINSON WORLDWID | COM | 12541W100 | 114 | 3400 | SH | DEFINED | 01 | 0 |
| COMPAGNIE DE SAINT-GOBAIN | COM | 125500991 | 19040 | 116643 | SH | DEFINED | 01 | |
| COMPAGNIE DE SAINT-GOBAIN | COM | 125500991 | 6221 | 38117 | SH | DEFINED | 01 | 0 |
| COMPAGNIE DE SAINT-GOBAIN | COM | 125500991 | 23672 | 145026 | SH | DEFINED | 01 | 0 |
| CIGNA | COM | 125509109 | 105304 | 1038608 | SH | DEFINED | 01 | |
| CIGNA | COM | 125509109 | 6029 | 59459 | SH | DEFINED | 01 | 0 |
| CIGNA | COM | 125509109 | 4896 | 48295 | SH | DEFINED | 01 | 0 |
| CIGNA CORP | COM | 125509109 | 1480 | 14600 | SH | DEFINED | 01 | 0 |
| CLECO | COM | 12561W105 | 10127 | 423741 | SH | DEFINED | 01 | |
| CLECO | COM | 12561W105 | 299 | 12500 | SH | DEFINED | 01 | 0 |
| CMGI INC | COM | 125750109 | 3 | 1954 | SH | DEFINED | 01 | |
| CMS ENERGY | COM | 125896100 | 355 | 15700 | SH | DEFINED | 01 | |
| CMS ENERGY | COM | 125896100 | 11388 | 503262 | SH | DEFINED | 01 | |
| CMS ENERGY | COM | 125896100 | 762 | 33690 | SH | DEFINED | 01 | 0 |
| CMS ENERGY | COM | 125896100 | 1104 | 48800 | SH | DEFINED | 01 | 0 |
| CPI | COM | 125902106 | 401 | 24392 | SH | DEFINED | 01 | |
| CPI | COM | 125902106 | 2451 | 149009 | SH | DEFINED | 01 | 0 |
| CSK AUTO CORP | COM | 125965103 | 1 | 102 | SH | DEFINED | 01 | |
| CNA FINANCIAL | COM | 126117100 | 16 | 552 | SH | DEFINED | 01 | |
| CNA SURETY | COM | 12612L108 | 4691 | 311700 | SH | DEFINED | 01 | |
| CNA SURETY | COM | 12612L108 | 405 | 26900 | SH | DEFINED | 01 | 0 |
| CNF TRUST I CM CVT SERIES A 5. | PRF | 12612V205 | 24000 | 500000 | SH | DEFINED | 01 | |
| CNF | COM | 12612W104 | 36 | 1100 | SH | DEFINED | 01 | |
| CNF | COM | 12612W104 | 62 | 1883 | SH | DEFINED | 01 | |
| CNOOC | COM | 126130996 | 1767 | 1421000 | SH | DEFINED | 01 | |
| CNOOC | COM | 126130996 | 56 | 45000 | SH | DEFINED | 01 | 0 |
| CNET NETWORKS INC | COM | 12613R104 | 3 | 531 | SH | DEFINED | 01 | |
| CSC HOLDINGS INC 11.125 04 0 | PRF | 126304401 | 44684 | 435940 | SH | DEFINED | 01 | |
| CSC HOLDINGS INC 11.125 04 0 | PRF | 126304401 | 15845 | 154586 | SH | DEFINED | 01 | 0 |
| CSC HOLDINGS 11.75% 10/01/20 | PRF | 126304609 | 28476 | 275126 | SH | DEFINED | 01 | |
| CSC HOLDINGS 11.75% 10/01/20 | PRF | 126304609 | 6967 | 67314 | SH | DEFINED | 01 | 0 |
| CSG SYSTEMS INTL | COM | 126349109 | 399 | 14022 | SH | DEFINED | 01 | |
| CSX | COM | 126408103 | 2886 | 75733 | SH | DEFINED | 01 | |
| CSX | COM | 126408103 | 2066 | 54205 | SH | DEFINED | 01 | 0 |
| CSX | COM | 126408103 | 74 | 1945 | SH | DEFINED | 01 | 0 |
| CTC COMMUNICATIONS GROUP | COM | 126419100 | 35 | 13600 | SH | DEFINED | 01 | |
| CTS | COM | 126501105 | 1442 | 88689 | SH | DEFINED | 01 | |
| CUNO | COM | 126583103 | 1872 | 50431 | SH | DEFINED | 01 | |
| CVB FINL CORP | COM | 126600105 | 3 | 137 | SH | DEFINED | 01 | |
| CVS | COM | 126650100 | 6858 | 199763 | SH | DEFINED | 01 | |
| CVS | COM | 126650100 | 3400 | 99026 | SH | DEFINED | 01 | 0 |
| CVS | COM | 126650100 | 11108 | 323554 | SH | DEFINED | 01 | 0 |
| CV THERAPEUTICS | COM | 126667104 | 18 | 500 | SH | DEFINED | 01 | |
| CV THERAPEUTICS | COM | 126667104 | 1208 | 33375 | SH | DEFINED | 01 | |
| CABLEVISION SYSTEMS-NY GROUP-A | COM | 12686C109 | 102 | 3000 | SH | DEFINED | 01 | |
| CABLEVISION SYSTEMS-NY GROUP-A | COM | 12686C109 | 9 | 277 | SH | DEFINED | 01 | |
| CABLEVISION SYSTEMS CORPORATIO | COM | 12686C844 | 222 | 9000 | SH | DEFINED | 01 | |
| CABLE DESIGN TECHNOLOGIES | COM | 126924109 | 2276 | 170485 | SH | DEFINED | 01 | |
| CABLE SATISFACTION INTL WT EXP | COM | 126934116 | 0 | 7085 | SH | DEFINED | 01 | |
| CABLE SATISFACTION INTL WT EXP | COM | 126934116 | 0 | 2965 | SH | DEFINED | 01 | 0 |
| CACHEFLOW INC | COM | 126946102 | 0 | 159 | SH | DEFINED | 01 | |
| CABOT | COM | 127055101 | 81 | 2189 | SH | DEFINED | 01 | |
| CABOT OIL & GAS CL A | COM | 127097103 | 3155 | 127416 | SH | DEFINED | 01 | |
| CABOT MICROELECTRONI | COM | 12709P103 | 115 | 1700 | SH | DEFINED | 01 | 0 |
| CABOT MICROELECTRONICS | COM | 12709P103 | 3 | 50 | SH | DEFINED | 01 | |
| CABOT MICROELECTRONICS | COM | 12709P103 | 10880 | 160825 | SH | DEFINED | 01 | |
| CABOT MICROELECTRONICS | COM | 12709P103 | 16879 | 249500 | SH | DEFINED | 01 | 0 |
| CACI INTL CL A | COM | 127190304 | 4990 | 142136 | SH | DEFINED | 01 | |
| CACI INTL CL A | COM | 127190304 | 98 | 2800 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|
| CADBURY SCHWEPP | COM | 127209104 | 63 | 9191 | SH | DEFINED | 01 |
| CADENCE DESIGN SYS | COM | 127387108 | 88 | 3900 | SH | DEFINED | 01 |
| CADENCE DESIGN SYSTEMS | COM | 127387108 | 7186 | 317810 | SH | DEFINED | 01 |
| CADENCE DESIGN SYSTEMS | COM | 127387108 | 9288 | 410800 | SH | DEFINED | 01 |
| CAL DIVE INTL | COM | 127914109 | 35 | 1400 | SH | DEFINED | 01 |
| CAL DIVE INTL | COM | 127914109 | 4073 | 163541 | SH | DEFINED | 01 |
| CAL DIVE INTL INC | COM | 127914109 | 4 | 160 | SH | DEFINED | 01 |
| CALDERA INTL INC | COM | 12877Q206 | 0 | 30 | SH | DEFINED | 01 |
| CALICO COMMERCE | COM | 129897104 | 0 | 100 | SH | DEFINED | 01 |
| CALIENT NETWK D PFD | PRF | 130500XX4 | 3972 | 2076124 | SH | DEFINED | 01 |
| CALIFORNIA PIZZA KITCHEN | COM | 13054D109 | 510 | 20400 | SH | DEFINED | 01 |
| CALIPER TECH CORP | COM | 130876105 | 1 | 61 | SH | DEFINED | 01 |
| CALLAWAY GOLF | COM | 131193104 | 67 | 3500 | SH | DEFINED | 01 |
| CALLAWAY GOLF | COM | 131193104 | 2733 | 141924 | SH | DEFINED | 01 |
| CALLAWAY GOLF | COM | 131193104 | 187 | 9700 | SH | DEFINED | 01 |
| CALPINE | COM | 131347106 | 10500 | 826798 | SH | DEFINED | 01 |
| CALPINE | COM | 131347106 | 983 | 77363 | SH | DEFINED | 01 |
| CALPINE | COM | 131347106 | 35 | 2776 | SH | DEFINED | 01 |
| CAMBREX | COM | 132011107 | 4109 | 97615 | SH | DEFINED | 01 |
| CAMBREX CORP | COM | 132011107 | 4 | 90 | SH | DEFINED | 01 |
| CAMDEN PROPERTY TRUST | COM | 133131102 | 8 | 192 | SH | DEFINED | 01 |
| CAMPBELL SOUP | COM | 134429109 | 54 | 2000 | SH | DEFINED | 01 |
| CAMPBELL SOUP | COM | 134429109 | 272 | 10156 | SH | DEFINED | 01 |
| CAMPBELL SOUP | COM | 134429109 | 2782 | 103822 | SH | DEFINED | 01 |
| CAMPBELL SOUP | COM | 134429109 | 100 | 3725 | SH | DEFINED | 01 |
| CANADIAN NATL RAILWAY | COM | 136375102 | 10014 | 200438 | SH | DEFINED | 01 |
| CANADIAN NATL RAILWAY | COM | 136375102 | 372 | 7437 | SH | DEFINED | 01 |
| CANADIAN NATL RAILWAY | COM | 136377991 | 384 | 7700 | SH | DEFINED | 01 |
| CANADIAN PACIFIC RAILWAY LTD | COM | 13645T100 | 154 | 7202 | SH | DEFINED | 01 |
| CANADIAN PAC RAILWAY | COM | 13645T993 | 502 | 23700 | SH | DEFINED | 01 |
| CANON | COM | 138006408 | 16131 | 434515 | SH | DEFINED | 01 |
| CANON | COM | 138006408 | 2079 | 56000 | SH | DEFINED | 01 |
| CANON | COM | 138006408 | 11916 | 321000 | SH | DEFINED | 01 |
| CANON SALES | COM | 138010996 | 28 | 4000 | SH | DEFINED | 01 |
| CANTEL MEDICAL CORP | COM | 138098108 | 3 | 110 | SH | DEFINED | 01 |
| CAPITA GROUP PLC | COM | 139650998 | 39 | 6600 | SH | DEFINED | 01 |
| CAP GEMINI SA | COM | 140179995 | 9507 | 127757 | SH | DEFINED | 01 |
| CAP GEMINI SA | COM | 140179995 | 12370 | 166221 | SH | DEFINED | 01 |
| CAPITAL ONE FINANCIAL | COM | 14040H105 | 9440 | 147860 | SH | DEFINED | 01 |
| CAPITAL ONE FINANCIAL | COM | 14040H105 | 4035 | 63197 | SH | DEFINED | 01 |
| CAPITAL ONE FINANCIAL | COM | 14040H105 | 339 | 5304 | SH | DEFINED | 01 |
| CAPITAL ONE FINL COR | COM | 14040H105 | 7414 | 116114 | SH | DEFINED | 01 |
| CAPITAL ONE FINL COR | COM | 14040H105 | 153 | 2400 | SH | DEFINED | 01 |
| Capital One | COM | 1404H0105 | 427 | 6686 | SH | DEFINED | 01 |
| CAPITAL FED FINL | COM | 14057C106 | 7 | 305 | SH | DEFINED | 01 |
| CAPSTONE TURBINE | COM | 14067D102 | 1 | 413 | SH | DEFINED | 01 |
| CAPTARIS | COM | 14071N104 | 337 | 97401 | SH | DEFINED | 01 |
| CARBO CERAMICS | COM | 140781105 | 1876 | 45812 | SH | DEFINED | 01 |
| CARAUSTAR INDS | COM | 140909102 | 894 | 85558 | SH | DEFINED | 01 |
| CARDINAL HEALTH | COM | 14149Y108 | 7761 | 109479 | SH | DEFINED | 01 |
| CARDINAL HEALTH | COM | 14149Y108 | 519850 | 7333197 | SH | DEFINED | 01 |
| CARDINAL HEALTH | COM | 14149Y108 | 21979 | 310065 | SH | DEFINED | 01 |
| CARDINAL HEALTH | COM | 14149Y108 | 8075 | 113904 | SH | DEFINED | 01 |
| CARDINAL HEALTH | COM | 14149Y108 | 157766 | 2225513 | SH | DEFINED | 01 |
| CARDINAL HEALTH INC | COM | 14149Y108 | 78 | 1100 | SH | DEFINED | 11 |
| CARDIODYNAMICS INTL | COM | 141597104 | 587 | 138000 | SH | DEFINED | 01 |
| CARDIODYNAMICS INTL | COM | 141597104 | 51 | 12000 | SH | DEFINED | 01 |
| CAREER EDUCATION | COM | 141665109 | 819 | 20684 | SH | DEFINED | 01 |
| CAREER EDUCATIONS | COM | 141665109 | 5 | 120 | SH | DEFINED | 01 |
| CAREMARK RX | COM | 141705103 | 12535 | 642798 | SH | DEFINED | 01 |
| CAREMARK RX | COM | 141705103 | 19192 | 984200 | SH | DEFINED | 01 |
| CAREMARK RX INC | COM | 141705103 | 174 | 8900 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|
| CARDO AB | COM | 141955997 | 291 | 13900 | SH | DEFINED | 01 |
| CARLISLE COMPANIES | COM | 142339100 | 48 | 1107 | SH | DEFINED | 01 |
| CARNIVAL | COM | 143658102 | 23658 | 724600 | SH | DEFINED | 01 |
| CARNIVAL | COM | 143658102 | 5922 | 181387 | SH | DEFINED | 01 |
| CARNIVAL | COM | 143658102 | 174 | 5332 | SH | DEFINED | 01 |
| CARNIVAL CORP | COM | 143658102 | 699 | 21400 | SH | DEFINED | 01 |
| CARPENTER TECHNOLOGY | COM | 144285103 | 3 | 100 | SH | DEFINED | 01 |
| CARPENTER TECHNOLOGY | COM | 144285103 | 774 | 26793 | SH | DEFINED | 01 |
| CARRAMERICA REALTY | COM | 144418100 | 4 | 130 | SH | DEFINED | 01 |
| CARREKER | COM | 144433109 | 586 | 67188 | SH | DEFINED | 01 |
| CARRIER ACCESS CORP | COM | 144460102 | 0 | 95 | SH | DEFINED | 01 |
| CASCADE CORP | COM | 147195101 | 9 | 690 | SH | DEFINED | 01 |
| CASCADE NATURAL GAS | COM | 147339105 | 719 | 33879 | SH | DEFINED | 01 |
| CASEY'S GENERAL STORES | COM | 147528103 | 2054 | 152171 | SH | DEFINED | 01 |
| CASH AMERICA INTL | COM | 14754D100 | 666 | 75673 | SH | DEFINED | 01 |
| CASTLE (AM) | COM | 148411101 | 469 | 43303 | SH | DEFINED | 01 |
| CATALINA MARKETING | COM | 148867104 | 28362 | 777040 | SH | DEFINED | 01 |
| CATALINA MARKETING | COM | 148867104 | 4582 | 125550 | SH | DEFINED | 01 |
| CATALINA MARKETING | COM | 148867104 | 722 | 19792 | SH | DEFINED | 01 |
| CATALINA MKTG | COM | 148867104 | 49782 | 1363955 | SH | DEFINED | 01 |
| CATAPULT COMMUNICATIONS | COM | 149016107 | 1010 | 40025 | SH | DEFINED | 01 |
| CATELLUS DEVELOPMENT | COM | 149111106 | 3998 | 203268 | SH | DEFINED | 01 |
| CATELLUS DEVELOPMENT | COM | 149111106 | 393 | 20000 | SH | DEFINED | 01 |
| CATERPILLAR | COM | 149123101 | 9 | 150 | SH | DEFINED | 01 |
| CATERPILLAR | COM | 149123101 | 448157 | 7883152 | SH | DEFINED | 01 |
| CATERPILLAR | COM | 149123101 | 7034 | 123728 | SH | DEFINED | 01 |
| CATERPILLAR | COM | 149123101 | 80333 | 1413067 | SH | DEFINED | 01 |
| CATERPILLAR INC | COM | 149123101 | 6140 | 108000 | SH | DEFINED | 01 |
| CATERPILLAR INC | COM | 149123101 | 21001 | 369437 | SH | DEFINED | 01 |
| CATHAY BANCORP INC | COM | 149150104 | 1377 | 19100 | SH | DEFINED | 01 |
| CATHAY BANCORP INC | COM | 149150104 | 14 | 190 | SH | DEFINED | 01 |
| CATHAY BANCORP INC | COM | 149150104 | 137 | 1900 | SH | DEFINED | 01 |
| CATO CL A | COM | 149205106 | 3132 | 140591 | SH | DEFINED | 01 |
| CATO CL A | COM | 149205106 | 116 | 5200 | SH | DEFINED | 01 |
| CEDAR FAIR LP | COM | 150185106 | 5 | 198 | SH | DEFINED | 01 |
| CELESTICA INC | COM | 150900991 | 217 | 6000 | SH | DEFINED | 01 |
| CELESTICA INC | COM | 150900991 | 1132 | 31300 | SH | DEFINED | 01 |
| CELL GENESYS | COM | 150921104 | 1504 | 88732 | SH | DEFINED | 01 |
| CELL GENESYS | COM | 150921104 | 130 | 7668 | SH | DEFINED | 01 |
| CELL THERAPEUTICS | COM | 150934107 | 3290 | 132496 | SH | DEFINED | 01 |
| CELL THERAPEUTICS | COM | 150934107 | 3 | 120 | SH | DEFINED | 01 |
| CELL THERAPEUTICS | COM | 150934107 | 142 | 5700 | SH | DEFINED | 01 |
| CELESTICA | COM | 15101Q108 | 4532 | 125000 | SH | DEFINED | 01 |
| CELESTICA | COM | 15101Q108 | 170 | 4700 | SH | DEFINED | 01 |
| CELGENE CORP | COM | 151020104 | 5 | 189 | SH | DEFINED | 01 |
| CELL PATHWAYS INC | COM | 15114R101 | 0 | 111 | SH | DEFINED | 01 |
| CENDANT | COM | 151313103 | 129173 | 6727783 | SH | DEFINED | 01 |
| CENDANT | COM | 151313103 | 6230 | 324519 | SH | DEFINED | 01 |
| CENDANT | COM | 151313103 | 27555 | 1435125 | SH | DEFINED | 01 |
| CENDANT CORP | COM | 151313103 | 51 | 2650 | SH | DEFINED | 01 |
| CENTENNIAL COMMUNICATIONS CORP | COM | 15133V208 | 2 | 447 | SH | DEFINED | 01 |
| CENTERPOINT PROPERTIES | COM | 151895109 | 3523 | 65187 | SH | DEFINED | 01 |
| CENTEX | COM | 152312104 | 5 | 100 | SH | DEFINED | 01 |
| CENTEX | COM | 152312104 | 1116 | 21484 | SH | DEFINED | 01 |
| CENTEX | COM | 152312104 | 806 | 15519 | SH | DEFINED | 01 |
| CENTEX | COM | 152312104 | 6780 | 130556 | SH | DEFINED | 01 |
| CENTILLIUM COMM | COM | 152319109 | 570 | 47049 | SH | DEFINED | 01 |
| CENTEX CONSTRN PRODS INC | COM | 15231R109 | 2 | 53 | SH | DEFINED | 01 |
| CENTRAL ILL LT \$100 | PRF | 153645882 | 6537 | 65000 | SH | DEFINED | 01 |
| CENTRAL PARKING | COM | 154785109 | 2524 | 109780 | SH | DEFINED | 01 |
| CENTRAL VERMONT PUBLIC SERVICE | COM | 155771108 | 634 | 35487 | SH | DEFINED | 01 |
| CENTRICA PLC | COM | 155800998 | 7449 | 2299362 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| CENTRICA PLC | COM | 155800998 | 5485 | 1693063 | SH | DEFINED | 01 | 0 |
| CENTRICA PLC | COM | 155800998 | 9900 | 3056161 | SH | DEFINED | 01 | 0 |
| CENTURY ALUMINUM | COM | 156431108 | 3249 | 199944 | SH | DEFINED | 01 | 0 |
| CENTURY ALUMINUM | COM | 156431108 | 9 | 560 | SH | DEFINED | 01 | 0 |
| CENTURY ALUMINUM | COM | 156431108 | 250 | 15400 | SH | DEFINED | 01 | 0 |
| CENTURY BUSINESS SRV RESTRICTE | COM | 156490104 | 1 | 188 | SH | DEFINED | 01 | 0 |
| CENTURY MAINTENANCE PIK SERIES | PRF | 156616401 | 19569 | 217740 | SH | DEFINED | 01 | 0 |
| CENTURY MAINTENANCE PIK SERIES | PRF | 156616401 | 6379 | 70970 | SH | DEFINED | 01 | 0 |
| CENTURYTEL | COM | 156700106 | 17 | 500 | SH | DEFINED | 01 | 0 |
| CENTURYTEL | COM | 156700106 | 30718 | 903480 | SH | DEFINED | 01 | 0 |
| CENTURYTEL | COM | 156700106 | 1216 | 35764 | SH | DEFINED | 01 | 0 |
| CENTURYTEL | COM | 156700106 | 44 | 1283 | SH | DEFINED | 01 | 0 |
| CEPHALON | COM | 156708109 | 14970 | 237638 | SH | DEFINED | 01 | 0 |
| CEPHEID | COM | 15670R107 | 1 | 300 | SH | DEFINED | 01 | 0 |
| CERIDIAN | COM | 156779100 | 17310 | 785046 | SH | DEFINED | 01 | 0 |
| CERIDIAN | COM | 156779100 | 655 | 29700 | SH | DEFINED | 01 | 0 |
| CERNER | COM | 156782104 | 6841 | 143380 | SH | DEFINED | 01 | 0 |
| CERTEGY | COM | 156880106 | 93 | 2353 | SH | DEFINED | 01 | 0 |
| CERUS CORPORATION | COM | 157085101 | 5 | 87 | SH | DEFINED | 01 | 0 |
| CHAMPION ENTERPRISES | COM | 158496109 | 1185 | 148155 | SH | DEFINED | 01 | 0 |
| CHAMPION ENTERPRISES | COM | 158496109 | 5 | 650 | SH | DEFINED | 01 | 0 |
| CHARLES RIV LABORATO | COM | 159864107 | 627 | 20200 | SH | DEFINED | 01 | 0 |
| CHARLES RIV LABORATORIES | COM | 159864107 | 9689 | 312571 | SH | DEFINED | 01 | 0 |
| CHARLES RIV LABORATORIES | COM | 159864107 | 9669 | 311900 | SH | DEFINED | 01 | 0 |
| CHARLES TAYLOR CONS | COM | 159888999 | 62 | 10200 | SH | DEFINED | 01 | 0 |
| AVASTA E-SERVICES WARRANTS | COM | 160000998 | 0 | 508113 | SH | DEFINED | 01 | 0 |
| AVASTA SERIES B | PRF | 160000XX8 | 1654 | 1234287 | SH | DEFINED | 01 | 0 |
| AVASTA SERIES B | PRF | 160000XX8 | 2011 | 1500694 | SH | DEFINED | 01 | 0 |
| CHARTER ONE FINANCIAL | COM | 160903100 | 175 | 5615 | SH | DEFINED | 01 | 0 |
| CHARTER ONE FINANCIAL | COM | 160903100 | 1778 | 56964 | SH | DEFINED | 01 | 0 |
| CHARTER ONE FINANCIAL | COM | 160903100 | 64 | 2044 | SH | DEFINED | 01 | 0 |
| CHARLOTTE RUSSE HOLDING | COM | 161048103 | 944 | 36400 | SH | DEFINED | 01 | 0 |
| CHARMING SHOPPES | COM | 161133103 | 427 | 53900 | SH | DEFINED | 01 | 0 |
| CHARMING SHOPPES | COM | 161133103 | 22372 | 2824790 | SH | DEFINED | 01 | 0 |
| CHARMING SHOPPES | COM | 161133103 | 2131 | 269085 | SH | DEFINED | 01 | 0 |
| CHARTER COMMUNICATIONS INC | COM | 16117M107 | 101 | 8947 | SH | DEFINED | 01 | 0 |
| CHATEAU COMMUNITIES | COM | 161726104 | 2 | 79 | SH | DEFINED | 01 | 0 |
| CHECKFREE | COM | 162813109 | 45 | 2955 | SH | DEFINED | 01 | 0 |
| CHECKPOINT SYSTEMS | COM | 162825103 | 2841 | 178135 | SH | DEFINED | 01 | 0 |
| CHECKPOINT SYSTEMS | COM | 162825103 | 148 | 9300 | SH | DEFINED | 01 | 0 |
| CHEESECAKE FACTORY | COM | 163072101 | 147938 | 4009091 | SH | DEFINED | 01 | 0 |
| CHEESECAKE FACTORY (THE) | COM | 163072101 | 55976 | 1516966 | SH | DEFINED | 01 | 0 |
| CHEESECAKE FACTORY (THE) | COM | 163072101 | 8953 | 242637 | SH | DEFINED | 01 | 0 |
| CHEESECAKE FACTORY (THE) | COM | 163072101 | 1267 | 34348 | SH | DEFINED | 01 | 0 |
| CHELSEA PROPERTY GROUP | COM | 163421100 | 4 | 68 | SH | DEFINED | 01 | 0 |
| CHEMED | COM | 163596109 | 22 | 600 | SH | DEFINED | 01 | 0 |
| CHEMED | COM | 163596109 | 1127 | 30175 | SH | DEFINED | 01 | 0 |
| CHEMFIRST | COM | 16361A106 | 1150 | 43009 | SH | DEFINED | 01 | 0 |
| CHEMICAL FINANCIAL C | COM | 163731102 | 2 | 69 | SH | DEFINED | 01 | 0 |
| CHESAPEAKE | COM | 165159104 | 1264 | 46562 | SH | DEFINED | 01 | 0 |
| CHESAPEAKE ENERGY | COM | 165167107 | 3663 | 473247 | SH | DEFINED | 01 | 0 |
| CHESAPEAKE ENERGY | COM | 165167107 | 14 | 1930 | SH | DEFINED | 01 | 0 |
| CHESAPEAKE ENERGY | COM | 165167107 | 250 | 32300 | SH | DEFINED | 01 | 0 |
| CARDINALTEXECO CORPORATION | COM | 166764100 | 1823 | 20200 | SH | DEFINED | 11 | 1 |
| CHEVRONTEXACO | COM | 166764100 | 32 | 350 | SH | DEFINED | 01 | 0 |
| CHEVRONTEXACO | COM | 166764100 | 723682 | 8016852 | SH | DEFINED | 01 | 0 |
| CHEVRONTEXACO | COM | 166764100 | 29088 | 322233 | SH | DEFINED | 01 | 0 |
| CHEVRONTEXACO | COM | 166764100 | 199945 | 2214962 | SH | DEFINED | 01 | 0 |
| CHEVRONTEXACO CORP | COM | 166764100 | 4546 | 50358 | SH | DEFINED | 01 | 0 |
| CHEVRONTEXACO CORP | COM | 166764100 | 28765 | 318663 | SH | DEFINED | 01 | 0 |
| CHEVRONTEXACO CORP | COM | 166764100 | 13974 | 154800 | SH | DEFINED | 11 | 1 |
| CHICOS | COM | 168615102 | 17930 | 532040 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|------|
| CHICO'S FAS | COM | 168615102 | 17472 | 518421 | SH | DEFINED | 01 |
| CHICO'S FAS | COM | 168615102 | 372 | 11050 | SH | DEFINED | 01 0 |
| CHICO'S FAS | COM | 168615102 | 17883 | 530675 | SH | DEFINED | 01 0 |
| CHILDREN'S PLACE RETAIL STORES | COM | 168905107 | 3 | 76 | SH | DEFINED | 01 |
| CLP HOLDINGS | COM | 169400108 | 320 | 78000 | SH | DEFINED | 01 |
| CHINA MOBILE | COM | 169425105 | 5299 | 1715000 | SH | DEFINED | 01 |
| CHINA MOBILE | COM | 169425105 | 168 | 54500 | SH | DEFINED | 01 0 |
| CHINA UNICOM LTD | COM | 169595998 | 1871 | 1920000 | SH | DEFINED | 01 |
| CHINA UNICOM LTD | COM | 169595998 | 60 | 62000 | SH | DEFINED | 01 0 |
| CHOICE HOTELS INTL | COM | 169905106 | 6 | 241 | SH | DEFINED | 01 |
| CHIRON | COM | 170040109 | 542 | 11802 | SH | DEFINED | 01 |
| CHIRON | COM | 170040109 | 2204 | 48031 | SH | DEFINED | 01 0 |
| CHIRON | COM | 170040109 | 79 | 1723 | SH | DEFINED | 01 0 |
| CHITTENDEN | COM | 170228100 | 2874 | 98610 | SH | DEFINED | 01 |
| CHOICEPOINT | COM | 170388102 | 92 | 1600 | SH | DEFINED | 01 |
| CHOICEPOINT | COM | 170388102 | 124 | 2168 | SH | DEFINED | 01 |
| CHOICE ONE COMMUNICATIONS | COM | 17038P104 | 35 | 21612 | SH | DEFINED | 01 |
| CHORDIANT SOFTWARE | COM | 170404107 | 727 | 100000 | SH | DEFINED | 01 |
| CHRISTOPHER & BANKS | COM | 171046105 | 2502 | 76165 | SH | DEFINED | 01 |
| CHUBB | COM | 171232101 | 30907 | 422815 | SH | DEFINED | 01 |
| CHUBB | COM | 171232101 | 4263 | 58317 | SH | DEFINED | 01 0 |
| CHUBB | COM | 171232101 | 837 | 11447 | SH | DEFINED | 01 0 |
| CHUBB CORP | COM | 171232101 | 702 | 9600 | SH | DEFINED | 01 0 |
| CHURCH & DWIGHT | COM | 171340102 | 29 | 1000 | SH | DEFINED | 01 |
| CHURCH & DWIGHT | COM | 171340102 | 44 | 1487 | SH | DEFINED | 01 |
| CIBER | COM | 17163B102 | 2834 | 309762 | SH | DEFINED | 01 |
| CIBER | COM | 17163B102 | 83 | 9100 | SH | DEFINED | 01 0 |
| CIBER INC | COM | 17163B102 | 8 | 1010 | SH | DEFINED | 01 0 |
| COMPAGNIE FINANCIERE RICHEMONT | COM | 171666993 | 371 | 16100 | SH | DEFINED | 01 |
| CIENA | COM | 171779101 | 166 | 18513 | SH | DEFINED | 01 |
| CIENA | COM | 171779101 | 750 | 83310 | SH | DEFINED | 01 0 |
| CIENA | COM | 171779101 | 27 | 2989 | SH | DEFINED | 01 0 |
| CIMA LABS | COM | 171796105 | 83 | 3100 | SH | DEFINED | 01 |
| CIMA LABS | COM | 171796105 | 2611 | 97981 | SH | DEFINED | 01 |
| CIMA LABS | COM | 171796105 | 224 | 8400 | SH | DEFINED | 01 0 |
| CINCINNATI FINANCIAL | COM | 172062101 | 176 | 4035 | SH | DEFINED | 01 |
| CINCINNATI FINANCIAL | COM | 172062101 | 1787 | 40929 | SH | DEFINED | 01 0 |
| CINCINNATI FINANCIAL | COM | 172062101 | 64 | 1469 | SH | DEFINED | 01 0 |
| CINERGY | COM | 172474108 | 50195 | 1404068 | SH | DEFINED | 01 |
| CINERGY | COM | 172474108 | 1506 | 42136 | SH | DEFINED | 01 0 |
| CINERGY | COM | 172474108 | 54 | 1512 | SH | DEFINED | 01 0 |
| CIPHERGEN BIOSYSTEMS INC | COM | 17252Y104 | 29 | 4800 | SH | DEFINED | 01 |
| CIRCUIT CITY | COM | 172737108 | 190 | 10560 | SH | DEFINED | 01 0 |
| CIRCUIT CITY STORES-CIRCUIT CI | COM | 172737108 | 5897 | 326917 | SH | DEFINED | 01 |
| CIRCUIT CITY STORES-CIRCUIT CI | COM | 172737108 | 1304 | 72297 | SH | DEFINED | 01 0 |
| CIRCUIT CITY STORES-CIRCUIT CI | COM | 172737108 | 169 | 9397 | SH | DEFINED | 01 0 |
| CIRCUIT CITY STORES - CARMAX G | COM | 172737306 | 905 | 35000 | SH | DEFINED | 01 |
| CIRCOR INTERNATIONAL | COM | 17273K109 | 1 | 50 | SH | DEFINED | 01 |
| CIRRUS LOGIC | COM | 172755100 | 1840 | 97429 | SH | DEFINED | 01 |
| CIRRUS LOGIC | COM | 172755100 | 3 | 140 | SH | DEFINED | 01 0 |
| CIRRUS LOGIC | COM | 172755100 | 109 | 5800 | SH | DEFINED | 01 0 |
| CISCO SYSTEMS | COM | 17275R102 | 14340 | 847002 | SH | DEFINED | 01 |
| CISCO SYSTEMS | COM | 17275R102 | 374743 | 22134789 | SH | DEFINED | 01 |
| CISCO SYSTEMS | COM | 17275R102 | 43761 | 2584857 | SH | DEFINED | 01 0 |
| CISCO SYSTEMS | COM | 17275R102 | 34626 | 2045297 | SH | DEFINED | 01 0 |
| CISCO SYSTEMS | COM | 17275R102 | 82852 | 4893858 | SH | DEFINED | 01 0 |
| CISCO SYSTEMS | COM | 17275R102 | 2239 | 132265 | SH | DEFINED | 11 1 |
| CISCO SYSTEMS | COM | 17275R102 | 14889 | 879404 | SH | DEFINED | 11 1 |
| CIPLA LIMITED | COM | 172800997 | 2367 | 113442 | SH | DEFINED | 01 |
| CIPLA LIMITED | COM | 172800997 | 75 | 3608 | SH | DEFINED | 01 0 |
| CINTAS | COM | 172908105 | 46354 | 929702 | SH | DEFINED | 01 |
| CINTAS | COM | 172908105 | 7704 | 154529 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|---------|----------|----|---------|----|---|
| CINTAS | COM | 172908105 | 3215 | 64485 | SH | DEFINED | 01 | 0 |
| CINTAS CORP | COM | 172908105 | 2486 | 49850 | SH | DEFINED | 01 | 0 |
| CITIGROUP | COM | 172967101 | 32 | 650 | SH | DEFINED | 01 | |
| CITIGROUP | COM | 172967101 | 1276282 | 25773057 | SH | DEFINED | 01 | |
| CITIGROUP | COM | 172967101 | 71186 | 1437495 | SH | DEFINED | 01 | 0 |
| CITIGROUP | COM | 172967101 | 378092 | 7635129 | SH | DEFINED | 01 | 0 |
| CITIGROUP INC | COM | 172967101 | 23277 | 470051 | SH | DEFINED | 01 | |
| CITIGROUP INC | COM | 172967101 | 94027 | 1898739 | SH | DEFINED | 01 | 0 |
| CITIGROUP INC | COM | 172967101 | 4293 | 86698 | SH | DEFINED | 11 | 1 |
| CITIGROUP INC | COM | 172967101 | 29384 | 593369 | SH | DEFINED | 11 | 1 |
| CITIZENS BKG CORP MI | COM | 174420109 | 5 | 147 | SH | DEFINED | 01 | |
| CITIZENS BKG CORP MI | COM | 174420109 | 13 | 400 | SH | DEFINED | 01 | 0 |
| CITIZENS COMMUNICATIONS | COM | 17453B101 | 805 | 74930 | SH | DEFINED | 01 | |
| CITIZENS COMMUNICATIONS | COM | 17453B101 | 763 | 70984 | SH | DEFINED | 01 | 0 |
| CITIZENS COMMUNICATIONS | COM | 17453B101 | 27 | 2546 | SH | DEFINED | 01 | 0 |
| CITRIX | COM | 177376100 | 19793 | 1145530 | SH | DEFINED | 01 | 0 |
| CITRIX SYSTEMS | COM | 177376100 | 11450 | 662486 | SH | DEFINED | 01 | |
| CITRIX SYSTEMS | COM | 177376100 | 1145 | 66279 | SH | DEFINED | 01 | 0 |
| CITRIX SYSTEMS | COM | 177376100 | 10808 | 625506 | SH | DEFINED | 01 | 0 |
| CITY NATL | COM | 178566105 | 1241 | 23566 | SH | DEFINED | 01 | |
| CLARIANT AG | COM | 179490990 | 134 | 5876 | SH | DEFINED | 01 | |
| CLAIRE'S STORES | COM | 179584107 | 336 | 17280 | SH | DEFINED | 01 | |
| CLAIRE'S STORES | COM | 179584107 | 25 | 1300 | SH | DEFINED | 01 | 0 |
| CLARCOR | COM | 179895107 | 80 | 2500 | SH | DEFINED | 01 | |
| CLARCOR | COM | 179895107 | 4404 | 137624 | SH | DEFINED | 01 | |
| CLARCOR | COM | 179895107 | 189 | 5900 | SH | DEFINED | 01 | 0 |
| CLARCOR INC | COM | 179895107 | 10 | 340 | SH | DEFINED | 01 | 0 |
| CLARENT CORP | COM | 180461105 | 0 | 194 | SH | DEFINED | 01 | |
| CLARK/BARDES INC | COM | 180668105 | 2033 | 70600 | SH | DEFINED | 01 | |
| CLARK/BARDES INC | COM | 180668105 | 52 | 1800 | SH | DEFINED | 01 | 0 |
| CLARUS CORP DEL | COM | 182707109 | 0 | 37 | SH | DEFINED | 01 | |
| CLAYTON HOMES | COM | 184190106 | 80 | 4821 | SH | DEFINED | 01 | |
| CLEAR CHANNEL COMMUN | COM | 184502102 | 2844 | 55313 | SH | DEFINED | 01 | |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 38024 | 739608 | SH | DEFINED | 01 | |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 8259 | 160645 | SH | DEFINED | 01 | 0 |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 22846 | 444374 | SH | DEFINED | 01 | 0 |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 206 | 4000 | SH | DEFINED | 11 | 1 |
| CLEVELAND-CLIFFS | COM | 185896107 | 685 | 31161 | SH | DEFINED | 01 | |
| CLICK COMMERCE ING | COM | 18681D109 | 0 | 130 | SH | DEFINED | 01 | |
| CLICK2LEARN INC | COM | 18681S106 | 1122 | 227600 | SH | DEFINED | 01 | |
| CLOROX | COM | 189054109 | 14698 | 336889 | SH | DEFINED | 01 | |
| CLOROX | COM | 189054109 | 3573 | 81902 | SH | DEFINED | 01 | 0 |
| CLOROX | COM | 189054109 | 476 | 10917 | SH | DEFINED | 01 | 0 |
| COACH | COM | 189754104 | 2182 | 43041 | SH | DEFINED | 01 | |
| COACHMEN INDS | COM | 189873102 | 796 | 48831 | SH | DEFINED | 01 | |
| COCA-COLA BOTTLING | COM | 191098102 | 1318 | 26886 | SH | DEFINED | 01 | |
| COCA-COLA | COM | 191216100 | 29 | 550 | SH | DEFINED | 01 | |
| COCA-COLA | COM | 191216100 | 123102 | 2355575 | SH | DEFINED | 01 | |
| COCA-COLA | COM | 191216100 | 11786 | 225590 | SH | DEFINED | 01 | 0 |
| COCA-COLA | COM | 191216100 | 34821 | 666300 | SH | DEFINED | 01 | 0 |
| COCA-COLA | COM | 191216100 | 104095 | 1991844 | SH | DEFINED | 01 | 0 |
| COCA-COLA ENTERPRISES | COM | 191219104 | 5234 | 278729 | SH | DEFINED | 01 | |
| COCA-COLA ENTERPRISES | COM | 191219104 | 2501 | 133194 | SH | DEFINED | 01 | 0 |
| COCA-COLA ENTERPRISES | COM | 191219104 | 224 | 11944 | SH | DEFINED | 01 | 0 |
| COFACE | COM | 192300994 | 150 | 3000 | SH | DEFINED | 01 | |
| COGENT COMMUNICATIONS GROUP | COM | 19239V104 | 0 | 4 | SH | DEFINED | 01 | |
| COGNEX | COM | 192422103 | 4372 | 150448 | SH | DEFINED | 01 | |
| COGNEX | COM | 192422103 | 38 | 1300 | SH | DEFINED | 01 | 0 |
| COGNIZANT TECH SOLUT | COM | 192446102 | 3 | 60 | SH | DEFINED | 01 | 0 |
| COGNIZANT TECHNOLOGY SOLUTIONS | COM | 192446102 | 2320 | 55100 | SH | DEFINED | 01 | |
| COGNIZANT TECHNOLOGY SOLUTIONS | COM | 192446102 | 194 | 4600 | SH | DEFINED | 01 | 0 |
| COHERENT | COM | 192479103 | 6578 | 194040 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| COHERENT | COM | 192479103 | 488 | 14400 | SH | DEFINED | 01 | 0 |
| COHU | COM | 192576106 | 2150 | 75491 | SH | DEFINED | 01 | |
| COINSTAR | COM | 19259P300 | 2978 | 88400 | SH | DEFINED | 01 | |
| COLE KENNETH PRODTN | COM | 193294105 | 2 | 84 | SH | DEFINED | 01 | |
| COLES MYER | COM | 193870995 | 138 | 30200 | SH | DEFINED | 01 | |
| COLGATE-PALMOLIVE | COM | 194162103 | 6858 | 120000 | SH | DEFINED | 01 | |
| COLGATE-PALMOLIVE | COM | 194162103 | 25349 | 443538 | SH | DEFINED | 01 | |
| COLGATE-PALMOLIVE | COM | 194162103 | 170 | 2953 | SH | DEFINED | 01 | 0 |
| COLGATE-PALMOLIVE | COM | 194162103 | 8493 | 148608 | SH | DEFINED | 01 | 0 |
| COLGATE-PALMOLIVE | COM | 194162103 | 7596 | 132917 | SH | DEFINED | 01 | 0 |
| COLLINS AIKMAN | COM | 194830105 | 5 | 715 | SH | DEFINED | 01 | |
| COLONIAL BANCGROUP | COM | 195493309 | 66 | 4401 | SH | DEFINED | 01 | |
| COLONIAL BANCGROUP | COM | 195493309 | 14 | 960 | SH | DEFINED | 01 | 0 |
| COLONIAL PROPERTIES TRUST | COM | 195872106 | 2207 | 64065 | SH | DEFINED | 01 | |
| COLUMBIA SPORTSWEAR | COM | 198516106 | 4 | 113 | SH | DEFINED | 01 | |
| COMCAST CL A | COM | 200300200 | 36367 | 1143577 | SH | DEFINED | 01 | |
| COMCAST CL A | COM | 200300200 | 8254 | 259552 | SH | DEFINED | 01 | 0 |
| COMCAST CL A | COM | 200300200 | 1494 | 46993 | SH | DEFINED | 01 | 0 |
| COMCAST CORP CLA SPL | COM | 200300200 | 92 | 2900 | SH | DEFINED | 01 | 0 |
| COMDISCO INC | COM | 200336105 | 0 | 743 | SH | DEFINED | 01 | |
| COMERICA | COM | 200340107 | 31561 | 504419 | SH | DEFINED | 01 | |
| COMERICA | COM | 200340107 | 2823 | 45123 | SH | DEFINED | 01 | 0 |
| COMERICA | COM | 200340107 | 137 | 2187 | SH | DEFINED | 01 | 0 |
| COMERICA INC | COM | 200340107 | 289 | 4612 | SH | DEFINED | 01 | 0 |
| COMMERCE BANCORP | COM | 200519106 | 1099 | 24456 | SH | DEFINED | 01 | |
| COMMERCE BANCSHARES | COM | 200525103 | 5 | 106 | SH | DEFINED | 01 | |
| COMMERCE GROUP | COM | 200641108 | 1554 | 40162 | SH | DEFINED | 01 | |
| COMMERCE GROUP | COM | 200641108 | 159 | 4100 | SH | DEFINED | 01 | 0 |
| COMMERCE ONE INC | COM | 200693109 | 2 | 1387 | SH | DEFINED | 01 | |
| COMMERCIAL FED CORP | COM | 201647104 | 10 | 370 | SH | DEFINED | 01 | 0 |
| COMMERCIAL FEDERAL | COM | 201647104 | 5102 | 189656 | SH | DEFINED | 01 | |
| COMMERCIAL FEDERAL | COM | 201647104 | 118 | 4400 | SH | DEFINED | 01 | 0 |
| COMMERCIAL METALS | COM | 201723103 | 2240 | 53338 | SH | DEFINED | 01 | |
| COMMONWLTH BK AUST AU;CBA | COM | 202779989 | 210 | 12264 | SH | DEFINED | 01 | |
| COMMONWEALTH INDS | COM | 203004106 | 374 | 50502 | SH | DEFINED | 01 | |
| COMMONWEALTH TELEPHONE ENTERPR | COM | 203349105 | 2220 | 58031 | SH | DEFINED | 01 | |
| COMMONWEALTH TELEPHONE ENTERPR | COM | 203349105 | 176 | 4600 | SH | DEFINED | 01 | 0 |
| COMMSCOPE | COM | 203372107 | 33 | 1884 | SH | DEFINED | 01 | |
| COMM&PWR IND PIK PFD 14.00% | PRF | 20338C609 | 0 | 1 | SH | DEFINED | 01 | |
| COMM&PWR IND PIK PFD 14.00% | PRF | 20338C609 | 0 | 0 | SH | DEFINED | 01 | 0 |
| COMMUNITY HEALTH SYS | COM | 203668108 | 44441 | 2010000 | SH | DEFINED | 01 | 0 |
| COMMUNITY HEALTH SYSTEMS | COM | 203668108 | 27 | 1200 | SH | DEFINED | 01 | |
| COMMUNITY HEALTH SYSTEMS | COM | 203668108 | 7695 | 348025 | SH | DEFINED | 01 | |
| COMMUNITY HEALTH SYSTEMS | COM | 203668108 | 1004 | 45400 | SH | DEFINED | 01 | 0 |
| COMMUNITY HEALTH SYSTEMS | COM | 203668108 | 8296 | 375200 | SH | DEFINED | 01 | 0 |
| COMMUNITY FIRST BANKSHARES | COM | 203902101 | 3198 | 123685 | SH | DEFINED | 01 | |
| ALCATEL | COM | 204363105 | 281 | 19700 | SH | DEFINED | 01 | |
| COMPAL ELECTRONICS INC - 144A | COM | 20440Y309 | 138 | 20750 | SH | DEFINED | 01 | 0 |
| CVRD | COM | 204412209 | 1065 | 38900 | SH | DEFINED | 01 | |
| CVRD | COM | 204412209 | 33 | 1200 | SH | DEFINED | 01 | 0 |
| COMPANHIA DE BEBIDAS DAS AMERI | COM | 20441W203 | 1888 | 96772 | SH | DEFINED | 01 | |
| COMPANHIA DE BEBIDAS DAS AMERI | COM | 20441W203 | 60 | 3096 | SH | DEFINED | 01 | 0 |
| COMPANIA DE MINAS BUENAVENTURA | COM | 204448104 | 4469 | 165437 | SH | DEFINED | 01 | |
| COMPANIA DE MINAS BUENAVENTURA | COM | 204448104 | 77 | 2854 | SH | DEFINED | 01 | 0 |
| COMPAQ COMPUTER | COM | 204493100 | 9 | 850 | SH | DEFINED | 01 | |
| COMPAQ COMPUTER | COM | 204493100 | 47123 | 4509471 | SH | DEFINED | 01 | |
| COMPAQ COMPUTER | COM | 204493100 | 4934 | 472216 | SH | DEFINED | 01 | 0 |
| COMPAQ COMPUTER | COM | 204493100 | 36201 | 3464195 | SH | DEFINED | 01 | 0 |
| COMPAQ COMPUTER CORP | COM | 204493100 | 2017 | 193000 | SH | DEFINED | 01 | |
| COMPAQ COMPUTER CORP | COM | 204493100 | 122 | 11700 | SH | DEFINED | 01 | 0 |
| COMPASS BANCSHARES | COM | 20449H109 | 1370 | 44363 | SH | DEFINED | 01 | |
| COMPASS GROUP PLC | COM | 204501993 | 8775 | 1311057 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------|-----|-----------|--------|---------|----|---------|----|---|
| COMPASS GROUP PLC | COM | 204501993 | 4690 | 700861 | SH | DEFINED | 01 | 0 |
| COMPASS GROUP PLC | COM | 204501993 | 11592 | 1732038 | SH | DEFINED | 01 | 0 |
| COMPUCREDIT CORP | COM | 20478N100 | 1 | 107 | SH | DEFINED | 01 | 0 |
| COMPUTER ASSOC | COM | 204912109 | 390 | 17800 | SH | DEFINED | 01 | 0 |
| COMPUTER ASSOCIATES INTL | COM | 204912109 | 9 | 400 | SH | DEFINED | 01 | 0 |
| COMPUTER ASSOCIATES INTL | COM | 204912109 | 69308 | 3166174 | SH | DEFINED | 01 | 0 |
| COMPUTER ASSOCIATES INTL | COM | 204912109 | 4671 | 213437 | SH | DEFINED | 01 | 0 |
| COMPUTER ASSOCIATES INTL | COM | 204912109 | 36280 | 1657351 | SH | DEFINED | 01 | 0 |
| COMPUTER NETWORK TEC | COM | 204925101 | 11 | 800 | SH | DEFINED | 01 | 0 |
| COMPUTER NETWORK TEC | COM | 204925101 | 1851 | 140000 | SH | DEFINED | 01 | 0 |
| COMPUTER NETWORK TEC | COM | 204925101 | 4 | 280 | SH | DEFINED | 01 | 0 |
| COMPUTER NETWORK TEC | COM | 204925101 | 172 | 13000 | SH | DEFINED | 01 | 0 |
| COMPUTER SCIENCES | COM | 205363104 | 11769 | 231900 | SH | DEFINED | 01 | 0 |
| COMPUTER SCIENCES | COM | 205363104 | 29233 | 576044 | SH | DEFINED | 01 | 0 |
| COMPUTER SCIENCES | COM | 205363104 | 347 | 6830 | SH | DEFINED | 01 | 0 |
| COMPUTER SCIENCES | COM | 205363104 | 2726 | 53710 | SH | DEFINED | 01 | 0 |
| COMPUTER SCIENCES | COM | 205363104 | 6866 | 135291 | SH | DEFINED | 01 | 0 |
| COMPUTER TASK GROUP | COM | 205477102 | 370 | 64041 | SH | DEFINED | 01 | 0 |
| COMPUTERSHARE LTD | COM | 205560998 | 78 | 59600 | SH | DEFINED | 01 | 0 |
| COMPUWARE | COM | 205638109 | 30495 | 2362089 | SH | DEFINED | 01 | 0 |
| COMPUWARE | COM | 205638109 | 1679 | 130023 | SH | DEFINED | 01 | 0 |
| COMPUWARE | COM | 205638109 | 26871 | 2081395 | SH | DEFINED | 01 | 0 |
| COMVERSE TECH INC | COM | 205862402 | 108 | 8570 | SH | DEFINED | 01 | 0 |
| COMVERSE TECHNOLOGY | COM | 205862402 | 6522 | 514721 | SH | DEFINED | 01 | 0 |
| COMVERSE TECHNOLOGY | COM | 205862402 | 598 | 47202 | SH | DEFINED | 01 | 0 |
| COMVERSE TECHNOLOGY | COM | 205862402 | 3114 | 245793 | SH | DEFINED | 01 | 0 |
| CONAGRA FOODS | COM | 205887102 | 261 | 10750 | SH | DEFINED | 01 | 0 |
| CONAGRA FOODS | COM | 205887102 | 62905 | 2593996 | SH | DEFINED | 01 | 0 |
| CONAGRA FOODS | COM | 205887102 | 4395 | 181222 | SH | DEFINED | 01 | 0 |
| CONAGRA FOODS | COM | 205887102 | 2981 | 122948 | SH | DEFINED | 01 | 0 |
| CONAGRA FOODS INC | COM | 205887102 | 5401 | 222703 | SH | DEFINED | 01 | 0 |
| CONAGRA FOODS INC | COM | 205887102 | 703 | 29000 | SH | DEFINED | 01 | 0 |
| COM21 INC | COM | 205937105 | 0 | 67 | SH | DEFINED | 01 | 0 |
| CONCEPTUS | COM | 206016107 | 593 | 27500 | SH | DEFINED | 01 | 0 |
| CONCORD CAMERA | COM | 206156101 | 886 | 98300 | SH | DEFINED | 01 | 0 |
| CONCORD CAMERA | COM | 206156101 | 43 | 4800 | SH | DEFINED | 01 | 0 |
| CONCORD COMMUNICATIONS | COM | 206186108 | 1087 | 51380 | SH | DEFINED | 01 | 0 |
| CONCORD EFS | COM | 206197105 | 88096 | 2649525 | SH | DEFINED | 01 | 0 |
| CONCORD EFS | COM | 206197105 | 4282 | 128781 | SH | DEFINED | 01 | 0 |
| CONCORD EFS | COM | 206197105 | 28430 | 855021 | SH | DEFINED | 01 | 0 |
| CONCORD EFS INC. | COM | 206197105 | 219 | 6600 | SH | DEFINED | 01 | 0 |
| Concur Technologies Inc | COM | 206708901 | 2880 | 870161 | SH | DEFINED | 01 | 0 |
| CONCURRENT COMPT NEW | COM | 206710204 | 3 | 330 | SH | DEFINED | 01 | 0 |
| CONCURRENT COMPUTER | COM | 206710204 | 2080 | 250347 | SH | DEFINED | 01 | 0 |
| CONCURRENT COMPUTER | COM | 206710204 | 145 | 17500 | SH | DEFINED | 01 | 0 |
| CONDUCTUS | COM | 206784100 | 523 | 237800 | SH | DEFINED | 01 | 0 |
| CONECTIV | COM | 206829103 | 50 | 2000 | SH | DEFINED | 01 | 0 |
| CONECTIV | COM | 206829103 | 80 | 3221 | SH | DEFINED | 01 | 0 |
| CONEXANT SYSTEMS | COM | 207142100 | 166 | 13788 | SH | DEFINED | 01 | 0 |
| CONEXANT SYSTEMS | COM | 207142100 | 781 | 64794 | SH | DEFINED | 01 | 0 |
| CONEXANT SYSTEMS | COM | 207142100 | 28 | 2324 | SH | DEFINED | 01 | 0 |
| CONMED | COM | 207410101 | 1937 | 77488 | SH | DEFINED | 01 | 0 |
| CONMED CORP | COM | 207410101 | 12 | 490 | SH | DEFINED | 01 | 0 |
| CONNETICS CORP | COM | 208192104 | 679 | 70000 | SH | DEFINED | 01 | 0 |
| CONOCO | COM | 208251504 | 146 | 5000 | SH | DEFINED | 01 | 0 |
| CONOCO | COM | 208251504 | 213309 | 7310083 | SH | DEFINED | 01 | 0 |
| CONOCO | COM | 208251504 | 8267 | 283310 | SH | DEFINED | 01 | 0 |
| CONOCO | COM | 208251504 | 51320 | 1758750 | SH | DEFINED | 01 | 0 |
| CONOCO INC | COM | 208251504 | 25438 | 871760 | SH | DEFINED | 01 | 0 |
| CONSECO | COM | 208464107 | 35 | 9645 | SH | DEFINED | 01 | 0 |
| CONSECO | COM | 208464107 | 316 | 87360 | SH | DEFINED | 01 | 0 |
| CONSECO | COM | 208464107 | 11 | 3134 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|----------------------------|-----|-----------|-------|---------|----|---------|----|
| CONSOL ENERGY INC | COM | 20854P109 | 6 | 247 | SH | DEFINED | 01 |
| CON EDISON \$100 SR J | PRF | 209111863 | 2561 | 25000 | SH | DEFINED | 01 |
| CON EDISON \$100 SR J | PRF | 209111863 | 15366 | 150000 | SH | DEFINED | 01 |
| CONSOLIDATED EDISON | COM | 209115104 | 6981 | 166585 | SH | DEFINED | 01 |
| CONSOLIDATED EDISON | COM | 209115104 | 2472 | 58979 | SH | DEFINED | 01 |
| CONSOLIDATED EDISON | COM | 209115104 | 165 | 3930 | SH | DEFINED | 01 |
| CONSOLIDATED GRAPHICS | COM | 209341106 | 2297 | 115418 | SH | DEFINED | 01 |
| CONSOLIDATED GRAPHICS | COM | 209341106 | 155 | 7814 | SH | DEFINED | 01 |
| CONSOLIDATED GRAPHICS | COM | 209341106 | 11 | 530 | SH | DEFINED | 01 |
| CONSORCIO ARA | COM | 210305991 | 123 | 60500 | SH | DEFINED | 01 |
| CONSTELLATION BRANDS | COM | 21036P108 | 15008 | 273074 | SH | DEFINED | 01 |
| CONSTELLATION BRANDS | COM | 21036P108 | 123 | 2230 | SH | DEFINED | 01 |
| CONSTELLATION ENERGY GROUP | COM | 210371100 | 24806 | 804089 | SH | DEFINED | 01 |
| CONSTELLATION ENERGY GROUP | COM | 210371100 | 1280 | 41493 | SH | DEFINED | 01 |
| CONSTELLATION ENERGY GROUP | COM | 210371100 | 46 | 1488 | SH | DEFINED | 01 |
| CONTIFINANCIAL | COM | 21075M107 | 565 | 7419470 | SH | DEFINED | 01 |
| CONTINENTAL AIRLINES CL B | COM | 210795308 | 484 | 17113 | SH | DEFINED | 01 |
| CONVERIUM HOLDINGS | COM | 212200992 | 6092 | 119139 | SH | DEFINED | 01 |
| CONVERIUM HOLDINGS | COM | 212200992 | 8838 | 172830 | SH | DEFINED | 01 |
| CONVERGYS | COM | 212485106 | 16310 | 551575 | SH | DEFINED | 01 |
| CONVERGYS | COM | 212485106 | 1289 | 43598 | SH | DEFINED | 01 |
| CONVERGYS | COM | 212485106 | 20875 | 705964 | SH | DEFINED | 01 |
| CONVERGYS CORP | COM | 212485106 | 13723 | 464090 | SH | DEFINED | 01 |
| COOPER CAMERON | COM | 216640102 | 5 | 100 | SH | DEFINED | 01 |
| COOPER CAMERON | COM | 216640102 | 765 | 14973 | SH | DEFINED | 01 |
| COOPER CAMERON | COM | 216640102 | 8433 | 165000 | SH | DEFINED | 01 |
| COOPER COMPANIES | COM | 216648402 | 5601 | 118167 | SH | DEFINED | 01 |
| COOPER COMPANIES | COM | 216648402 | 220 | 4643 | SH | DEFINED | 01 |
| COOPER COS INC | COM | 216648402 | 4 | 80 | SH | DEFINED | 01 |
| COOPER INDS | COM | 216669101 | 42 | 1000 | SH | DEFINED | 01 |
| COOPER INDS | COM | 216669101 | 97 | 2312 | SH | DEFINED | 01 |
| COOPER INDS | COM | 216669101 | 995 | 23708 | SH | DEFINED | 01 |
| COOPER INDS | COM | 216669101 | 36 | 851 | SH | DEFINED | 01 |
| COOPER TIRE & RUBBER | COM | 216831107 | 38 | 1775 | SH | DEFINED | 01 |
| COOPER TIRE & RUBBER | COM | 216831107 | 398 | 18398 | SH | DEFINED | 01 |
| COOPER TIRE & RUBBER | COM | 216831107 | 14 | 659 | SH | DEFINED | 01 |
| COORS (ADOLPH) CL B | COM | 217016104 | 14264 | 211414 | SH | DEFINED | 01 |
| COORS (ADOLPH) CL B | COM | 217016104 | 618 | 9157 | SH | DEFINED | 01 |
| COORS (ADOLPH) CL B | COM | 217016104 | 537 | 7955 | SH | DEFINED | 01 |
| COORSTEK | COM | 217020106 | 1878 | 48887 | SH | DEFINED | 01 |
| COORSTEK | COM | 217020106 | 211 | 5493 | SH | DEFINED | 01 |
| COORSTEK INC | COM | 217020106 | 4 | 105 | SH | DEFINED | 01 |
| COPART | COM | 217204106 | 7632 | 425399 | SH | DEFINED | 01 |
| COPART | COM | 217204106 | 24186 | 1348288 | SH | DEFINED | 01 |
| COPART | COM | 217204106 | 1148 | 64000 | SH | DEFINED | 01 |
| COPART | COM | 217204106 | 268 | 14934 | SH | DEFINED | 01 |
| COPPER MOUNTAIN | COM | 217510106 | 0 | 72 | SH | DEFINED | 01 |
| CORINTHIAN COLLEGES | COM | 218868107 | 8625 | 170623 | SH | DEFINED | 01 |
| CORINTHIAN COLLEGES | COM | 218868107 | 5 | 95 | SH | DEFINED | 01 |
| CORINTHIAN COLLEGES | COM | 218868107 | 313 | 6200 | SH | DEFINED | 01 |
| CORIXA CORP | COM | 21887F100 | 0 | 78 | SH | DEFINED | 01 |
| CORN PRODUCTS INTL | COM | 219023108 | 32 | 1000 | SH | DEFINED | 01 |
| CORN PRODUCTS INTL | COM | 219023108 | 4304 | 133662 | SH | DEFINED | 01 |
| CORNELL COMPANIES | COM | 219141108 | 2319 | 214700 | SH | DEFINED | 01 |
| CORNELL COMPANIES | COM | 219141108 | 180 | 16700 | SH | DEFINED | 01 |
| CORNING | COM | 219350105 | 1800 | 236307 | SH | DEFINED | 01 |
| CORNING | COM | 219350105 | 1964 | 257745 | SH | DEFINED | 01 |
| CORNING | COM | 219350105 | 2161 | 283592 | SH | DEFINED | 01 |
| CORNING INC | COM | 219350105 | 1486 | 195000 | SH | DEFINED | 01 |
| CORNING INC | COM | 219350105 | 12509 | 1641562 | SH | DEFINED | 01 |
| CORP EXECUTIVE BOARD | COM | 21988R102 | 306 | 8140 | SH | DEFINED | 01 |
| CORPORATE EXECUTIVE BOARD | COM | 21988R102 | 11513 | 306909 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|----------|-----------|--------|---------|----|---------|----|---|
| CORPORATE EXECUTIVE BOARD | COM | 21988R102 | 9242 | 246400 | SH | DEFINED | 01 | 0 |
| CORRECTIONS CORP OF AMERICA SE | PRF | 22025Y308 | 0 | 6 | SH | DEFINED | 01 | |
| CORRECTIONS CORP OF AMERICA | COM | 22025Y407 | 0 | 33 | SH | DEFINED | 01 | |
| CORTEFIEL SA | COM | 220500995 | 43 | 7300 | SH | DEFINED | 01 | |
| CORUS BANKSARES INC | COM | 220873103 | 4 | 74 | SH | DEFINED | 01 | |
| CORUS ENTERTAINMENT INC | COM | 220880991 | 461 | 20000 | SH | DEFINED | 01 | |
| CORUS GROUP PLC | COM | 220999999 | 4047 | 3304017 | SH | DEFINED | 01 | 0 |
| CORVIS CORP | COM | 221009103 | 17 | 13700 | SH | DEFINED | 01 | |
| CORVIS CORP | COM | 221009103 | 2 | 1323 | SH | DEFINED | 01 | |
| COSINE COMM INC | COM | 221222102 | 0 | 298 | SH | DEFINED | 01 | |
| COST PLUS | COM | 221485105 | 2409 | 88041 | SH | DEFINED | 01 | |
| COSTCO WHOLESALE | COM | 22160K105 | 289230 | 7263447 | SH | DEFINED | 01 | |
| COSTCO WHOLESALE | COM | 22160K105 | 4565 | 114641 | SH | DEFINED | 01 | 0 |
| COSTCO WHOLESALE | COM | 22160K105 | 62013 | 1557314 | SH | DEFINED | 01 | 0 |
| COSTCO WHOLESALE COR | COM | 22160K105 | 274 | 6869 | SH | DEFINED | 01 | 0 |
| COSTAR GROUP INC | COM | 22160N109 | 32 | 1400 | SH | DEFINED | 01 | |
| COUNTRYWIDE CREDIT INDS | COM | 222372104 | 7124 | 159194 | SH | DEFINED | 01 | |
| COUNTRYWIDE CREDIT INDS | COM | 222372104 | 1835 | 41000 | SH | DEFINED | 01 | 0 |
| COUNTRYWIDE CREDIT INDS | COM | 222372104 | 220 | 4916 | SH | DEFINED | 01 | 0 |
| COUSINS PROPERTIES I | COM | 222795106 | 8 | 317 | SH | DEFINED | 01 | |
| COVAD COMMUNICATIONS GROUP | COM | 222814204 | 1 | 226 | SH | DEFINED | 01 | |
| COVANCE | COM | 222816100 | 55 | 2700 | SH | DEFINED | 01 | |
| COVANCE | COM | 222816100 | 8763 | 432129 | SH | DEFINED | 01 | |
| COVANCE | COM | 222816100 | 14056 | 693100 | SH | DEFINED | 01 | 0 |
| COVANCE INC | COM | 222816100 | 319 | 15700 | SH | DEFINED | 01 | 0 |
| COVANTA ENERGY | COM | 22281N103 | 0 | 213 | SH | DEFINED | 01 | |
| COVANSYS CORPORATION | COM | 22281W103 | 1 | 139 | SH | DEFINED | 01 | |
| COVENTRY HEALTH CARE | COM | 222862104 | 15117 | 581403 | SH | DEFINED | 01 | |
| COVENTRY HEALTH CARE | COM | 222862104 | 18 | 680 | SH | DEFINED | 01 | 0 |
| COVENTRY HEALTH CARE | COM | 222862104 | 377 | 14500 | SH | DEFINED | 01 | 0 |
| COVIA TECHNOLOGIES SERIES E | COM | 222900XY3 | 0 | 1390043 | SH | DEFINED | 01 | |
| COVIA TECHNOLOGIES SERIES E | COM | 222900XY3 | 0 | 2367878 | SH | DEFINED | 01 | 0 |
| COX COMMUNICATIONS CL A | COM | 224044107 | 56 | 1491 | SH | DEFINED | 01 | |
| COX COMMUNICATIONS | CONV PRF | 224044305 | 2035 | 40340 | SH | DEFINED | 01 | |
| COX COMMUNICATIONS CM CV 7.00% | PRF | 224044305 | 17658 | 350000 | SH | DEFINED | 01 | |
| COX RADIO CL A | COM | 224051102 | 11497 | 404837 | SH | DEFINED | 01 | |
| COX RADIO CL A | COM | 224051102 | 369 | 13000 | SH | DEFINED | 01 | 0 |
| CRANE | COM | 224399105 | 591 | 21600 | SH | DEFINED | 01 | |
| CRANE | COM | 224399105 | 16310 | 596596 | SH | DEFINED | 01 | |
| CRANE | COM | 224399105 | 414 | 15129 | SH | DEFINED | 01 | 0 |
| CRANE | COM | 224399105 | 1564 | 57200 | SH | DEFINED | 01 | 0 |
| CRAWFORD & CO | COM | 224633107 | 2 | 167 | SH | DEFINED | 01 | |
| CREDENCE SYSTEMS | COM | 225302108 | 31 | 1400 | SH | DEFINED | 01 | |
| CREDENCE SYSTEMS | COM | 225302108 | 7739 | 352460 | SH | DEFINED | 01 | |
| CREDENCE SYSTEMS | COM | 225302108 | 845 | 38500 | SH | DEFINED | 01 | 0 |
| CREDIT SUISSE GROUP | COM | 225400993 | 297 | 7848 | SH | DEFINED | 01 | |
| CREDIT LYONNAIS | COM | 225444991 | 69 | 1809 | SH | DEFINED | 01 | |
| CREE | COM | 225447101 | 320 | 23439 | SH | DEFINED | 01 | |
| CRESCENT | COM | 225756105 | 499 | 25700 | SH | DEFINED | 01 | 0 |
| CRESCENT REAL ESTATE EQUITIES | COM | 225756105 | 656 | 33800 | SH | DEFINED | 01 | |
| CRESCENT REAL ESTATE EQUITIES | COM | 225756105 | 49280 | 2540186 | SH | DEFINED | 01 | |
| CRESCENT REAL ESTATE EQUITIES | COM | 225756105 | 780 | 40200 | SH | DEFINED | 01 | 0 |
| CRESCENT REAL ESTATE EQUITIES | COM | 225756105 | 3077 | 158617 | SH | DEFINED | 01 | 0 |
| CRESTLINE CAP CORP | COM | 226153104 | 2018 | 60000 | SH | DEFINED | 01 | |
| CRESTLINE CAP CORP | COM | 226153104 | 11 | 320 | SH | DEFINED | 01 | 0 |
| CRESTLINE CAP CORP | COM | 226153104 | 205 | 6100 | SH | DEFINED | 01 | 0 |
| CRH PLC | COM | 226530996 | 97 | 5496 | SH | DEFINED | 01 | |
| CROMPTON | COM | 227116100 | 50 | 3972 | SH | DEFINED | 01 | |
| CROSS (AT) CL A | COM | 227478104 | 355 | 51520 | SH | DEFINED | 01 | |
| CROSS COUNTRY | COM | 22748P105 | 594 | 22000 | SH | DEFINED | 01 | |
| CROSS MEDIA MKTG | COM | 22754R201 | 0 | 16 | SH | DEFINED | 01 | |
| CROSSROADS SYSTEMS | COM | 22765D100 | 0 | 132 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|----|
| CROWN CASTLE INTL | COM | 228227104 | 3 | 461 | SH | DEFINED | 01 |
| CROWN CORK & SEAL | COM | 228255105 | 14 | 1600 | SH | DEFINED | 01 |
| CROWN CORK & SEAL | COM | 228255105 | 1873 | 209190 | SH | DEFINED | 01 |
| CROWN CORK & SEAL | COM | 228255105 | 11 | 1260 | SH | DEFINED | 01 |
| CROWN CORK & SEAL | COM | 228255105 | 178 | 19900 | SH | DEFINED | 01 |
| CROWN MEDIA HOLDINGS CL A | COM | 228411104 | 1570 | 126600 | SH | DEFINED | 01 |
| CRYOLIFE | COM | 228903100 | 1758 | 84106 | SH | DEFINED | 01 |
| COMP SIDERURGICA NACIONAL ORD | COM | 229540992 | 58 | 3228000 | SH | DEFINED | 01 |
| CSR | COM | 229555990 | 626 | 178000 | SH | DEFINED | 01 |
| CUBIST PHARMACEUTICA | COM | 229678107 | 1 | 60 | SH | DEFINED | 01 |
| CUBIST PHARMACEUTICALS | COM | 229678107 | 448 | 24270 | SH | DEFINED | 01 |
| CUBIST PHARMACEUTICALS | COM | 229678107 | 30 | 1600 | SH | DEFINED | 01 |
| CULLEN/FROST BANKERS | COM | 229899109 | 36 | 1000 | SH | DEFINED | 01 |
| CULLEN/FROST BANKERS | COM | 229899109 | 6171 | 172048 | SH | DEFINED | 01 |
| CUMMINS | COM | 231021106 | 48 | 1018 | SH | DEFINED | 01 |
| CUMMINS | COM | 231021106 | 494 | 10470 | SH | DEFINED | 01 |
| CUMMINS | COM | 231021106 | 18 | 375 | SH | DEFINED | 01 |
| CUMULUS MEDIA | COM | 231082108 | 1 | 68 | SH | DEFINED | 01 |
| CURATIVE HEALTH SERVICES | COM | 231264102 | 296 | 27751 | SH | DEFINED | 01 |
| CURAGEN CORP | COM | 23126R101 | 3 | 216 | SH | DEFINED | 01 |
| CURTISS-WRIGHT | COM | 231561101 | 4023 | 60505 | SH | DEFINED | 01 |
| CURTISS-WRIGHT | COM | 231561101 | 180 | 2700 | SH | DEFINED | 01 |
| CUTTER & BUCK INC | COM | 232217109 | 1565 | 214400 | SH | DEFINED | 01 |
| CUTTER & BUCK INC | COM | 232217109 | 113 | 15500 | SH | DEFINED | 01 |
| CYBEAR GROUP | COM | 23243C201 | 0 | 3 | SH | DEFINED | 01 |
| CYBERCARE INC | COM | 23243T105 | 0 | 133 | SH | DEFINED | 01 |
| CYBEROPTICS CORP | COM | 232517102 | 1738 | 134000 | SH | DEFINED | 01 |
| CYBEROPTICS CORP | COM | 232517102 | 106 | 8200 | SH | DEFINED | 01 |
| CYBER SOURCE COPR | COM | 23251J106 | 0 | 38 | SH | DEFINED | 01 |
| CYGNUS | COM | 232560102 | 447 | 114221 | SH | DEFINED | 01 |
| CYMER | COM | 232572107 | 5049 | 101686 | SH | DEFINED | 01 |
| CYMER INC | COM | 232572107 | 3 | 60 | SH | DEFINED | 01 |
| CYPRESS SEMICONDUCTOR | COM | 232806109 | 14691 | 638731 | SH | DEFINED | 01 |
| CYPRESS SEMICONDUCTOR | COM | 232806109 | 524 | 22800 | SH | DEFINED | 01 |
| CYSIVE INC | COM | 23281T108 | 0 | 76 | SH | DEFINED | 01 |
| CYTEC INDS | COM | 232820100 | 46 | 1500 | SH | DEFINED | 01 |
| CYTEC INDS | COM | 232820100 | 950 | 31184 | SH | DEFINED | 01 |
| CYTOGEN CORP | COM | 232824102 | 1 | 242 | SH | DEFINED | 01 |
| D & K HEALTHCARE RESOURCES | COM | 232861104 | 858 | 14300 | SH | DEFINED | 01 |
| DNB HOLDING ASA | COM | 232919993 | 1620 | 307100 | SH | DEFINED | 01 |
| CYTYC | COM | 232946103 | 8819 | 327626 | SH | DEFINED | 01 |
| CYTYC | COM | 232946103 | 9040 | 335800 | SH | DEFINED | 01 |
| CYTYC CORP | COM | 232946103 | 129 | 4800 | SH | DEFINED | 01 |
| DDI | COM | 233162106 | 36 | 4200 | SH | DEFINED | 01 |
| DDI | COM | 233162106 | 605 | 70924 | SH | DEFINED | 01 |
| DMC STRATEX NETWORKS | COM | 23322L106 | 1376 | 252953 | SH | DEFINED | 01 |
| DMI Furniture Inc | COM | 233230101 | 3 | 1758 | SH | DEFINED | 01 |
| DPL | COM | 233293109 | 109 | 4281 | SH | DEFINED | 01 |
| DQE | COM | 23329J104 | 46 | 2164 | SH | DEFINED | 01 |
| DRS TECHNOLOGIES | COM | 23330X100 | 3621 | 87280 | SH | DEFINED | 01 |
| HORTON (DR) | COM | 23331A109 | 577 | 15309 | SH | DEFINED | 01 |
| DST SYSTEMS | COM | 233326107 | 31682 | 636203 | SH | DEFINED | 01 |
| DST SYSTEMS | COM | 233326107 | 102150 | 2051176 | SH | DEFINED | 01 |
| DST SYSTEMS | COM | 233326107 | 4282 | 85970 | SH | DEFINED | 01 |
| DST SYSTEMS | COM | 233326107 | 8870 | 178113 | SH | DEFINED | 01 |
| DSP GROUP | COM | 23332B106 | 23 | 1100 | SH | DEFINED | 01 |
| DSP GROUP | COM | 23332B106 | 19 | 946 | SH | DEFINED | 01 |
| DSP GROUP | COM | 23332B106 | 4 | 190 | SH | DEFINED | 01 |
| DTE ENERGY | COM | 233331107 | 70603 | 1551724 | SH | DEFINED | 01 |
| DTE ENERGY | COM | 233331107 | 2072 | 45525 | SH | DEFINED | 01 |
| DTE ENERGY | COM | 233331107 | 140 | 3079 | SH | DEFINED | 01 |
| D/S 1912 CL B | COM | 233334994 | 111 | 15 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-----------------------------|-----|-----------|--------|----------|----|---------|----|
| GROUP DANONE | COM | 233640994 | 213 | 1805 | SH | DEFINED | 01 |
| DAIICHI PHARM | COM | 233816990 | 1046 | 56000 | SH | DEFINED | 01 |
| DAIMLERCHRYSLER | COM | 233833995 | 8560 | 188512 | SH | DEFINED | 01 |
| DAIMLERCHRYSLER | COM | 233833995 | 11607 | 255616 | SH | DEFINED | 01 |
| DAISEKI CO LTD | COM | 234025997 | 266 | 18000 | SH | DEFINED | 01 |
| DAISYTEK INTRNATIONA | COM | 234053106 | 1336 | 84000 | SH | DEFINED | 01 |
| DAISYTEK INTRNATIONA | COM | 234053106 | 3 | 210 | SH | DEFINED | 01 |
| DAISYTEK INTRNATIONA | COM | 234053106 | 110 | 6900 | SH | DEFINED | 01 |
| DAITO TRUST CONSTR | COM | 234055994 | 213 | 13000 | SH | DEFINED | 01 |
| DAIWA HOUSE INDS | COM | 234062107 | 1415 | 226000 | SH | DEFINED | 01 |
| DAIWA SECURITIES GROUP | COM | 234064103 | 65 | 11000 | SH | DEFINED | 01 |
| DANA | COM | 235811106 | 480 | 22350 | SH | DEFINED | 01 |
| DANA | COM | 235811106 | 79 | 3706 | SH | DEFINED | 01 |
| DANA | COM | 235811106 | 808 | 37657 | SH | DEFINED | 01 |
| DANA | COM | 235811106 | 29 | 1350 | SH | DEFINED | 01 |
| DANAHER | COM | 235851102 | 11 | 150 | SH | DEFINED | 01 |
| DANAHER | COM | 235851102 | 32178 | 453086 | SH | DEFINED | 01 |
| DANAHER | COM | 235851102 | 6472 | 91141 | SH | DEFINED | 01 |
| DANAHER | COM | 235851102 | 25678 | 361558 | SH | DEFINED | 01 |
| DANAHER CORP | COM | 235851102 | 20311 | 286033 | SH | DEFINED | 01 |
| DARDEN RESTAURANTS | COM | 237194105 | 2939 | 72413 | SH | DEFINED | 01 |
| DARDEN RESTAURANTS | COM | 237194105 | 1205 | 29675 | SH | DEFINED | 01 |
| DARDEN RESTAURANTS | COM | 237194105 | 43 | 1064 | SH | DEFINED | 01 |
| DATASCOPE | COM | 238113104 | 1332 | 45383 | SH | DEFINED | 01 |
| DAVITA | COM | 23918K108 | 1327 | 52459 | SH | DEFINED | 01 |
| DAVOX | COM | 239208101 | 303 | 37836 | SH | DEFINED | 01 |
| DBS GROUP HOLDINGS | COM | 240100990 | 3147 | 392000 | SH | DEFINED | 01 |
| DEAN FOODS | COM | 242370104 | 7048 | 93069 | SH | DEFINED | 01 |
| DEAN FOODS | COM | 242370104 | 340 | 4498 | SH | DEFINED | 01 |
| DEAN FOODS COMPANY | COM | 242370104 | 16 | 205 | SH | DEFINED | 01 |
| DEB SHOPS | COM | 242728103 | 1449 | 54057 | SH | DEFINED | 01 |
| DEB SHOPS | COM | 242728103 | 12 | 440 | SH | DEFINED | 01 |
| DEB SHOPS | COM | 242728103 | 157 | 5843 | SH | DEFINED | 01 |
| DEERE & CO | COM | 244199105 | 344 | 7550 | SH | DEFINED | 01 |
| DEERE & CO | COM | 244199105 | 5497 | 120693 | SH | DEFINED | 01 |
| DEERE & CO | COM | 244199105 | 2744 | 60233 | SH | DEFINED | 01 |
| DEERE & CO | COM | 244199105 | 98 | 2161 | SH | DEFINED | 01 |
| DEL MONTE FOODS CO | COM | 24522P103 | 1 | 139 | SH | DEFINED | 01 |
| DEL MONTE FOODS CO | COM | 24522P103 | 9 | 970 | SH | DEFINED | 01 |
| DELANO TECH CORP | COM | 245701107 | 140 | 250000 | SH | DEFINED | 01 |
| DELIAS CL A | COM | 24688Q101 | 2452 | 385000 | SH | DEFINED | 01 |
| DELIAS CL A | COM | 24688Q101 | 53 | 8400 | SH | DEFINED | 01 |
| DELL COMPUTER | COM | 247025109 | 20 | 750 | SH | DEFINED | 01 |
| DELL COMPUTER | COM | 247025109 | 267144 | 10231465 | SH | DEFINED | 01 |
| DELL COMPUTER | COM | 247025109 | 19176 | 734435 | SH | DEFINED | 01 |
| DELL COMPUTER | COM | 247025109 | 68149 | 2610106 | SH | DEFINED | 01 |
| DELL COMPUTER CORP | COM | 247025109 | 3698 | 141620 | SH | DEFINED | 01 |
| DELL COMPUTER CORP | COM | 247025109 | 28775 | 1102025 | SH | DEFINED | 01 |
| DELL COMPUTERS | COM | 247025109 | 2838 | 108678 | SH | DEFINED | 11 |
| DELL COMPUTERS | COM | 247025109 | 19636 | 752048 | SH | DEFINED | 11 |
| DELPHI CORPORATION | COM | 247126105 | 660 | 41300 | SH | DEFINED | 01 |
| DELPHI CORPORATION | COM | 247126105 | 1294 | 80949 | SH | DEFINED | 01 |
| DELPHI CORPORATION | COM | 247126105 | 2270 | 141980 | SH | DEFINED | 01 |
| DELPHI CORPORATION | COM | 247126105 | 81 | 5094 | SH | DEFINED | 01 |
| DELPHI FINANCIAL GROUP CL A | COM | 247131105 | 2456 | 62619 | SH | DEFINED | 01 |
| DELTA & PINE LAND | COM | 247357106 | 2240 | 118088 | SH | DEFINED | 01 |
| DELTA AIR LINES | COM | 247361108 | 100 | 3074 | SH | DEFINED | 01 |
| DELTA AIR LINES | COM | 247361108 | 1022 | 31239 | SH | DEFINED | 01 |
| DELTA AIR LINES | COM | 247361108 | 37 | 1120 | SH | DEFINED | 01 |
| DELTIC TIMBER | COM | 247850100 | 1104 | 36481 | SH | DEFINED | 01 |
| DELUXE | COM | 248019101 | 1233 | 26665 | SH | DEFINED | 01 |
| DELUXE | COM | 248019101 | 778 | 16821 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| DELUXE | COM | 248019101 | 28 | 603 | SH | DEFINED | 01 | 0 |
| DANSKE BANK | COM | 248205999 | 322 | 20659 | SH | DEFINED | 01 | |
| DENDRITE | COM | 248239105 | 796 | 74455 | SH | DEFINED | 01 | 0 |
| DENDRITE INTL | COM | 248239105 | 15208 | 1421337 | SH | DEFINED | 01 | |
| DENDRITE INTL | COM | 248239105 | 1891 | 176750 | SH | DEFINED | 01 | 0 |
| DENDRITE INTL | COM | 248239105 | 354 | 33105 | SH | DEFINED | 01 | 0 |
| DENISON INTL ADR | COM | 248335101 | 2718 | 139400 | SH | DEFINED | 01 | |
| DENISON INTL ADR | COM | 248335101 | 246 | 12600 | SH | DEFINED | 01 | 0 |
| DENTSPLY INTL | COM | 249030107 | 100 | 2697 | SH | DEFINED | 01 | |
| DENTSU (4324) | COM | 249040999 | 1030 | 187 | SH | DEFINED | 01 | |
| DENTSU (4324) | COM | 249040999 | 556 | 101 | SH | DEFINED | 01 | 0 |
| DENTSU (4324) | COM | 249040999 | 1640 | 298 | SH | DEFINED | 01 | 0 |
| DEPT 56 | COM | 249509100 | 554 | 39570 | SH | DEFINED | 01 | |
| DEPFA DEUTSCHE PFANDBRIEFBANK | COM | 249525999 | 227 | 3150 | SH | DEFINED | 01 | |
| DEUTSCHE BANK | COM | 251525101 | 12175 | 190397 | SH | DEFINED | 01 | |
| DEUTSCHE BANK | COM | 251525101 | 5643 | 88248 | SH | DEFINED | 01 | 0 |
| DEUTSCHE BANK | COM | 251525101 | 16306 | 254988 | SH | DEFINED | 01 | 0 |
| DEUTSCHE BOERSE AG | COM | 251549994 | 267 | 6500 | SH | DEFINED | 01 | |
| DEUTSCHE TELEKOM | COM | 251550992 | 10459 | 693000 | SH | DEFINED | 01 | |
| DEVELOPERS DIVERSIFIED REALTY | COM | 251591103 | 1484 | 70676 | SH | DEFINED | 01 | |
| DEVELOPERS DIVERSIFIED REALTY | COM | 251591103 | 158 | 7500 | SH | DEFINED | 01 | 0 |
| DEVON ENERGY | COM | 25179M103 | 7635 | 158170 | SH | DEFINED | 01 | |
| DEVON ENERGY | COM | 25179M103 | 43268 | 896400 | SH | DEFINED | 01 | 0 |
| DEVON ENERGY | COM | 25179M103 | 3023 | 62624 | SH | DEFINED | 01 | 0 |
| DEVON ENERGY | COM | 25179M103 | 150 | 3113 | SH | DEFINED | 01 | 0 |
| DEVRY | COM | 251893103 | 21163 | 702390 | SH | DEFINED | 01 | 0 |
| DEVRY | COM | 251893103 | 4188 | 139000 | SH | DEFINED | 01 | 0 |
| DEVRY | COM | 251893103 | 537 | 17807 | SH | DEFINED | 01 | 0 |
| DEVRY INC. | COM | 251893103 | 68877 | 2285976 | SH | DEFINED | 01 | 0 |
| DIA DEXUS CV SERIES C | COM | 252425996 | 6167 | 795698 | SH | DEFINED | 01 | |
| DIA DEXUS CV SERIES C | COM | 252425996 | 12333 | 1591398 | SH | DEFINED | 01 | 0 |
| DIAGEO PLC NEW ORDS | COM | 25243A994 | 691 | 52892 | SH | DEFINED | 01 | |
| DIAGEO ADR | COM | 25243Q205 | 52 | 1000 | SH | DEFINED | 01 | |
| DIAGEO ADR | COM | 25243Q205 | 6317 | 120400 | SH | DEFINED | 01 | |
| DIAGNOSTIC PRODUCTS | COM | 252450101 | 40256 | 931861 | SH | DEFINED | 01 | |
| DIAGNOSTIC PRODUCTS | COM | 252450101 | 18598 | 430546 | SH | DEFINED | 01 | 0 |
| DIAGNOSTIC PRODUCTS | COM | 252450101 | 4353 | 100750 | SH | DEFINED | 01 | 0 |
| DIAGNOSTIC PRODUCTS | COM | 252450101 | 1113 | 25774 | SH | DEFINED | 01 | 0 |
| DIAL | COM | 25247D101 | 36 | 2000 | SH | DEFINED | 01 | |
| DIAL | COM | 25247D101 | 2115 | 117384 | SH | DEFINED | 01 | |
| DIAMOND OFFSHORE DRI | COM | 25271C102 | 9 | 293 | SH | DEFINED | 01 | |
| DIAMONDS TRUST SERIE | COM | 252787106 | 60 | 577 | SH | DEFINED | 01 | 0 |
| DIAMONDCLUSTER INTERNATIONAL I | COM | 25278P106 | 2120 | 164063 | SH | DEFINED | 01 | |
| DIAMONDCLUSTER INTERNATIONAL I | COM | 25278P106 | 94 | 7300 | SH | DEFINED | 01 | 0 |
| DIAMONDCLUSTER INTL | COM | 25278P106 | 2 | 140 | SH | DEFINED | 01 | 0 |
| DIANON SYSTEMS | COM | 252826102 | 1038 | 16000 | SH | DEFINED | 01 | |
| DIEBOLD | COM | 253651103 | 293 | 7200 | SH | DEFINED | 01 | |
| DIEBOLD | COM | 253651103 | 29679 | 728492 | SH | DEFINED | 01 | |
| DIEBOLD | COM | 253651103 | 2756 | 67645 | SH | DEFINED | 01 | 0 |
| DIGEX | COM | 253756100 | 0 | 39 | SH | DEFINED | 01 | |
| DIGI INTL | COM | 253798102 | 365 | 66940 | SH | DEFINED | 01 | |
| DIGITAL LIGHTWAVE | COM | 253855100 | 0 | 80 | SH | DEFINED | 01 | |
| DIGITAL INSIGHT | COM | 25385P106 | 2 | 72 | SH | DEFINED | 01 | |
| DIGITAL RIVER | COM | 25388B104 | 1259 | 85088 | SH | DEFINED | 01 | |
| DIGITAL RIVER | COM | 25388B104 | 2 | 110 | SH | DEFINED | 01 | 0 |
| DIGITAL RIVER | COM | 25388B104 | 86 | 5800 | SH | DEFINED | 01 | 0 |
| DIGITAS INC | COM | 25388K104 | 1 | 110 | SH | DEFINED | 01 | |
| DIGITAL THINK | COM | 25388M100 | 0 | 70 | SH | DEFINED | 01 | |
| DIME COMMUNITY | COM | 253922108 | 14 | 440 | SH | DEFINED | 01 | 0 |
| DIME COMMUNITY BANCSHARES | COM | 253922108 | 4328 | 140511 | SH | DEFINED | 01 | |
| DIME COMMUNITY BANCSHARES | COM | 253922108 | 258 | 8389 | SH | DEFINED | 01 | 0 |
| DILLARD'S CL A | COM | 254067101 | 1176 | 49302 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| DILLARD'S CL A | COM | 254067101 | 506 | 21222 | SH | DEFINED | 01 | 0 |
| DILLARD'S CL A | COM | 254067101 | 18 | 761 | SH | DEFINED | 01 | 0 |
| DIMON | COM | 254394109 | 945 | 136955 | SH | DEFINED | 01 | 0 |
| DIONEX | COM | 254546104 | 1600 | 65764 | SH | DEFINED | 01 | 0 |
| DISNEY (WALT) | COM | 254687106 | 173 | 7500 | SH | DEFINED | 01 | 0 |
| DISNEY (WALT) | COM | 254687106 | 39394 | 1706948 | SH | DEFINED | 01 | 0 |
| DISNEY (WALT) | COM | 254687106 | 12382 | 536485 | SH | DEFINED | 01 | 0 |
| DISNEY (WALT) | COM | 254687106 | 24837 | 1076144 | SH | DEFINED | 01 | 0 |
| DISNEY WALT CO | COM | 254687106 | 4552 | 197230 | SH | DEFINED | 01 | 0 |
| DISNEY WALT CO | COM | 254687106 | 104 | 4500 | SH | DEFINED | 01 | 0 |
| DIRECT FOCUS | COM | 254931108 | 2781 | 73076 | SH | DEFINED | 01 | 0 |
| DIRECT FOCUS | COM | 254931108 | 160 | 4200 | SH | DEFINED | 01 | 0 |
| DIRECT FOCUS INC | COM | 254931108 | 5 | 127 | SH | DEFINED | 01 | 0 |
| DITECH COMMU CORP | COM | 25500M103 | 1 | 157 | SH | DEFINED | 01 | 0 |
| DIVERSA CORP | COM | 255064107 | 851 | 67012 | SH | DEFINED | 01 | 0 |
| DIVERSA CORP | COM | 255064107 | 2 | 120 | SH | DEFINED | 01 | 0 |
| DIVERSA CORP | COM | 255064107 | 77 | 6100 | SH | DEFINED | 01 | 0 |
| DIVINE INC-A | COM | 255402109 | 0 | 321 | SH | DEFINED | 01 | 0 |
| DIXONS GROUP PL | COM | 255555997 | 333 | 89304 | SH | DEFINED | 01 | 0 |
| THEROX SERIES H | PRF | 256000XY1 | 3257 | 814130 | SH | DEFINED | 01 | 0 |
| THEROX SERIES H | PRF | 256000XY1 | 5439 | 1359783 | SH | DEFINED | 01 | 0 |
| DOBSON COMMUNICATIONS CL A | COM | 256069105 | 1 | 311 | SH | DEFINED | 01 | 0 |
| DOBSON COMM PIK PFD 13.00% | PRF | 256072505 | 10976 | 12726 | SH | DEFINED | 01 | 0 |
| DOBSON COMM PIK PFD 13.00% | PRF | 256072505 | 2224 | 2579 | SH | DEFINED | 01 | 0 |
| DOCENT | COM | 25608L106 | 75 | 42100 | SH | DEFINED | 01 | 0 |
| DOCUMENTUM INC | COM | 256159104 | 1199 | 47134 | SH | DEFINED | 01 | 0 |
| DOCUMENTUM INC | COM | 256159104 | 3 | 100 | SH | DEFINED | 01 | 0 |
| DOCUMENTUM INC | COM | 256159104 | 79 | 3100 | SH | DEFINED | 01 | 0 |
| DOLE FOOD | COM | 256605106 | 5182 | 167172 | SH | DEFINED | 01 | 0 |
| DOLE FOOD | COM | 256605106 | 195 | 6300 | SH | DEFINED | 01 | 0 |
| DOLLAR GENERAL | COM | 256669102 | 132 | 8135 | SH | DEFINED | 01 | 0 |
| DOLLAR GENERAL | COM | 256669102 | 1372 | 84282 | SH | DEFINED | 01 | 0 |
| DOLLAR GENERAL | COM | 256669102 | 49 | 3024 | SH | DEFINED | 01 | 0 |
| DOLLAR THRIFY AUTOMOTIVE GROUP | COM | 256743105 | 890 | 42100 | SH | DEFINED | 01 | 0 |
| DOLLAR TREE STORES | COM | 256747106 | 8215 | 250406 | SH | DEFINED | 01 | 0 |
| DOLLAR TREE STORES | COM | 256747106 | 125 | 3800 | SH | DEFINED | 01 | 0 |
| DOLLAR TREE STORES | COM | 256747106 | 8327 | 253800 | SH | DEFINED | 01 | 0 |
| DOMINION RES INC VA | COM | 25746U109 | 6388 | 98035 | SH | DEFINED | 01 | 0 |
| DOMINION RES INC VA | COM | 25746U109 | 16495 | 253142 | SH | DEFINED | 01 | 0 |
| DOMINION RESOURCES | COM | 25746U109 | 29 | 450 | SH | DEFINED | 01 | 0 |
| DOMINION RESOURCES | COM | 25746U109 | 472399 | 7249835 | SH | DEFINED | 01 | 0 |
| DOMINION RESOURCES | COM | 25746U109 | 4768 | 73160 | SH | DEFINED | 01 | 0 |
| DOMINION RESOURCES | COM | 25746U109 | 126935 | 1948059 | SH | DEFINED | 01 | 0 |
| DOMINION RESOURCES CV 9.50% | PRF | 25746U208 | 33295 | 542000 | SH | DEFINED | 01 | 0 |
| DOMTAR INC | COM | 257561993 | 76 | 7000 | SH | DEFINED | 01 | 0 |
| DONALDSON | COM | 257651109 | 588 | 14603 | SH | DEFINED | 01 | 0 |
| DONALDSON CO | COM | 257651109 | 5 | 125 | SH | DEFINED | 01 | 0 |
| DONNELLEY (RR) & SONS | COM | 257867101 | 653 | 21000 | SH | DEFINED | 01 | 0 |
| DONNELLEY (RR) & SONS | COM | 257867101 | 30323 | 975025 | SH | DEFINED | 01 | 0 |
| DONNELLEY (RR) & SONS | COM | 257867101 | 1574 | 50613 | SH | DEFINED | 01 | 0 |
| DONNELLEY (RR) & SONS | COM | 257867101 | 1490 | 47906 | SH | DEFINED | 01 | 0 |
| DONNELLEY (RR) & SONS | COM | 257867101 | 448 | 14400 | SH | DEFINED | 01 | 0 |
| DORAL FINANCIAL | COM | 25811P100 | 2022 | 59554 | SH | DEFINED | 01 | 0 |
| DORAL FINANCIAL | COM | 25811P100 | 2 | 60 | SH | DEFINED | 01 | 0 |
| DORAL FINANCIAL | COM | 25811P100 | 6599 | 194400 | SH | DEFINED | 01 | 0 |
| DOUBLECLICK INC | COM | 258609304 | 8 | 708 | SH | DEFINED | 01 | 0 |
| DOVER | COM | 260003108 | 295 | 7200 | SH | DEFINED | 01 | 0 |
| DOVER | COM | 260003108 | 19096 | 465755 | SH | DEFINED | 01 | 0 |
| DOVER | COM | 260003108 | 2106 | 51368 | SH | DEFINED | 01 | 0 |
| DOVER | COM | 260003108 | 1599 | 38990 | SH | DEFINED | 01 | 0 |
| DOVER DOWNS ENTERTAI | COM | 260086103 | 49 | 3000 | SH | DEFINED | 01 | 0 |
| DOVER DOWNS ENTERTAI | COM | 260086103 | 2 | 139 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|----------|-----------|--------|---------|----|---------|------|
| DOW CHEMICAL | COM | 260543103 | 2584 | 78980 | SH | DEFINED | 01 |
| DOW CHEMICAL | COM | 260543103 | 115148 | 3519197 | SH | DEFINED | 01 |
| DOW CHEMICAL | COM | 260543103 | 11379 | 347840 | SH | DEFINED | 01 0 |
| DOW CHEMICAL | COM | 260543103 | 8964 | 273945 | SH | DEFINED | 01 0 |
| DOW CHEMICAL | COM | 260543103 | 42997 | 1314122 | SH | DEFINED | 01 0 |
| DOW JONES | COM | 260561105 | 121 | 2079 | SH | DEFINED | 01 |
| DOW JONES | COM | 260561105 | 1245 | 21380 | SH | DEFINED | 01 0 |
| DOW JONES | COM | 260561105 | 45 | 767 | SH | DEFINED | 01 0 |
| DOWNEY FINANCIAL | COM | 261018105 | 5844 | 128164 | SH | DEFINED | 01 |
| DOWNEY FINANCIAL | COM | 261018105 | 105 | 2300 | SH | DEFINED | 01 0 |
| DRESS BARN | COM | 261570105 | 1661 | 56094 | SH | DEFINED | 01 |
| THE DRESS BARN | COM | 261570105 | 12 | 420 | SH | DEFINED | 01 0 |
| DREXLER TECH CORP | COM | 261876106 | 3 | 120 | SH | DEFINED | 01 0 |
| DREYER'S GRAND ICE CREAM | COM | 261878102 | 50 | 1213 | SH | DEFINED | 01 |
| DRIL-QUIP | COM | 262037104 | 2215 | 86322 | SH | DEFINED | 01 |
| DRIL-QUIP | COM | 262037104 | 31 | 1200 | SH | DEFINED | 01 0 |
| DRUG STORE.COM | COM | 262241102 | 0 | 66 | SH | DEFINED | 01 |
| DSL.NET INC | COM | 262506108 | 0 | 274 | SH | DEFINED | 01 |
| DSM | COM | 262510993 | 1390 | 33900 | SH | DEFINED | 01 |
| DU PONT(EI) DE NEMOURS | COM | 263534109 | 12 | 250 | SH | DEFINED | 01 |
| DU PONT(EI) DE NEMOURS | COM | 263534109 | 26667 | 565572 | SH | DEFINED | 01 |
| DU PONT(EI) DE NEMOURS | COM | 263534109 | 12721 | 269780 | SH | DEFINED | 01 0 |
| DU PONT(EI) DE NEMOURS | COM | 263534109 | 44238 | 938222 | SH | DEFINED | 01 0 |
| E I DUPONT | COM | 263534109 | 315 | 6680 | SH | DEFINED | 01 0 |
| DUANE READE | COM | 263578106 | 3100 | 91247 | SH | DEFINED | 01 |
| DUANE READE | COM | 263578106 | 2 | 60 | SH | DEFINED | 01 0 |
| DUANE READE | COM | 263578106 | 165 | 4853 | SH | DEFINED | 01 0 |
| DUCOMMUN | COM | 264147109 | 886 | 45000 | SH | DEFINED | 01 |
| DUCOMMUN | COM | 264147109 | 3 | 170 | SH | DEFINED | 01 0 |
| DUCOMMUN | COM | 264147109 | 89 | 4500 | SH | DEFINED | 01 0 |
| DUKE ENERGY | COM | 264399106 | 8 | 200 | SH | DEFINED | 01 |
| DUKE ENERGY | COM | 264399106 | 160224 | 4238736 | SH | DEFINED | 01 |
| DUKE ENERGY | COM | 264399106 | 9289 | 245731 | SH | DEFINED | 01 0 |
| DUKE ENERGY | COM | 264399106 | 38993 | 1031562 | SH | DEFINED | 01 0 |
| DUKE ENERGY CORP | COM | 264399106 | 2629 | 69541 | SH | DEFINED | 01 |
| DUKE ENERGY CORP | COM | 264399106 | 753 | 19920 | SH | DEFINED | 01 0 |
| DUKE ENERGY CV 8.25% | PRF | 264399585 | 87720 | 3411900 | SH | DEFINED | 01 |
| DUKE ENERGY CV 8.25% | PRF | 264399585 | 10497 | 408300 | SH | DEFINED | 01 0 |
| DUKE ENERGY CVT PFD | CONV PRF | 264399585 | 49 | 1900 | SH | DEFINED | 01 0 |
| DUKE REALTY CORP | COM | 264411505 | 8 | 303 | SH | DEFINED | 01 |
| DUN & BRADSTREET | COM | 26483E100 | 380 | 9500 | SH | DEFINED | 01 |
| DUN & BRADSTREET | COM | 26483E100 | 12265 | 306549 | SH | DEFINED | 01 |
| DUN & BRADSTREET | COM | 26483E100 | 382 | 9539 | SH | DEFINED | 01 0 |
| DURA AUTOMOTIVE SYST | COM | 265903104 | 7 | 400 | SH | DEFINED | 01 0 |
| DURA AUTOMOTIVE SYSTEMS CL A | COM | 265903104 | 1678 | 87554 | SH | DEFINED | 01 |
| DURA AUTOMOTIVE SYSTEMS CL A | COM | 265903104 | 164 | 8546 | SH | DEFINED | 01 0 |
| DUPONT PHOTOMASKS | COM | 26613X101 | 2857 | 54940 | SH | DEFINED | 01 |
| DYCOM INDS | COM | 267475101 | 142 | 9507 | SH | DEFINED | 01 |
| DYNEGY CL A | COM | 26816Q101 | 84435 | 2911562 | SH | DEFINED | 01 |
| DYNEGY CL A | COM | 26816Q101 | 3438 | 118562 | SH | DEFINED | 01 0 |
| DYNEGY CL A | COM | 26816Q101 | 179 | 6189 | SH | DEFINED | 01 0 |
| DYNEGY INC | COM | 26816Q101 | 3314 | 114268 | SH | DEFINED | 01 |
| DYNEGY INC | COM | 26816Q101 | 15328 | 528500 | SH | DEFINED | 01 0 |
| CBEYOND COMMUNICATIO | PRF | 268470986 | 1887 | 545002 | SH | DEFINED | 01 0 |
| CBEYOND COMMUNICATIONS - FORMA | PRF | 268470994 | 3113 | 899041 | SH | DEFINED | 01 0 |
| EGL | COM | 268484102 | 43 | 2700 | SH | DEFINED | 01 |
| EGL | COM | 268484102 | 770 | 48626 | SH | DEFINED | 01 |
| EGL | COM | 268484102 | 62 | 3900 | SH | DEFINED | 01 0 |
| E-LOAN | COM | 26861P107 | 0 | 102 | SH | DEFINED | 01 |
| EMC | COM | 268648102 | 17253 | 1447417 | SH | DEFINED | 01 |
| EMC | COM | 268648102 | 7744 | 649642 | SH | DEFINED | 01 0 |
| EMC | COM | 268648102 | 524 | 43947 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|------|
| EMC CORPORATION | COM | 268648102 | 667 | 56000 | SH | DEFINED | 01 |
| EMC CORPORATION | COM | 268648102 | 16065 | 1347954 | SH | DEFINED | 01 0 |
| EMC CORPORATION | COM | 268648102 | 279 | 23400 | SH | DEFINED | 11 1 |
| AMERICAN INTERNATIONAL GROUP | COM | 26874107 | 2811 | 38961 | SH | DEFINED | 11 1 |
| AMERICAN INTL GROUP | COM | 26874107 | 21127 | 292857 | SH | DEFINED | 11 1 |
| ENSCO INTL | COM | 26874Q100 | 15208 | 504596 | SH | DEFINED | 01 |
| ENSCO INTL | COM | 26874Q100 | 2822 | 93600 | SH | DEFINED | 01 0 |
| ENSCO INTL | COM | 26874Q100 | 384 | 12745 | SH | DEFINED | 01 0 |
| ENSCO INTL INC | COM | 26874Q100 | 36640 | 1215673 | SH | DEFINED | 01 0 |
| ENI | COM | 26874R991 | 23668 | 1614929 | SH | DEFINED | 01 |
| ENI | COM | 26874R991 | 9618 | 656245 | SH | DEFINED | 01 0 |
| ENI | COM | 26874R991 | 27735 | 1892417 | SH | DEFINED | 01 0 |
| EOG RESOURCES | COM | 26875P101 | 2569 | 63323 | SH | DEFINED | 01 |
| EOG RESOURCES | COM | 26875P101 | 1187 | 29274 | SH | DEFINED | 01 0 |
| EOG RESOURCES | COM | 26875P101 | 43 | 1050 | SH | DEFINED | 01 0 |
| E.ON ADR | COM | 268780103 | 22725 | 450000 | SH | DEFINED | 01 |
| E.ON | COM | 268780996 | 7976 | 157372 | SH | DEFINED | 01 |
| E.ON | COM | 268780996 | 10174 | 200735 | SH | DEFINED | 01 0 |
| E.PIPHANY INC | COM | 26881V100 | 3 | 462 | SH | DEFINED | 01 |
| ESS TECHNOLOGY | COM | 269151106 | 6200 | 298963 | SH | DEFINED | 01 |
| ESS TECHNOLOGY | COM | 269151106 | 9 | 390 | SH | DEFINED | 01 0 |
| ESS TECHNOLOGY | COM | 269151106 | 164 | 7900 | SH | DEFINED | 01 0 |
| E*TRADE GROUP | COM | 269246104 | 5107 | 542150 | SH | DEFINED | 01 |
| E*TRADE GROUP | COM | 269246104 | 414 | 43900 | SH | DEFINED | 01 0 |
| EARTHLINK | COM | 270321102 | 14358 | 1414559 | SH | DEFINED | 01 |
| EARTHLINK | COM | 270321102 | 523 | 51500 | SH | DEFINED | 01 0 |
| EAST WEST BANCORP | COM | 27579R104 | 4112 | 140368 | SH | DEFINED | 01 |
| EAST WEST BANCORP | COM | 27579R104 | 3 | 105 | SH | DEFINED | 01 0 |
| EAST WEST BANCORP | COM | 27579R104 | 170 | 5800 | SH | DEFINED | 01 0 |
| EASTMAN CHEMICAL | COM | 277432100 | 675 | 13850 | SH | DEFINED | 01 |
| EASTMAN CHEMICAL | COM | 277432100 | 5323 | 109103 | SH | DEFINED | 01 |
| EASTMAN CHEMICAL | COM | 277432100 | 1046 | 21431 | SH | DEFINED | 01 0 |
| EASTMAN CHEMICAL | COM | 277432100 | 5352 | 109701 | SH | DEFINED | 01 0 |
| EASTMAN CHEMICAL CO | COM | 277432100 | 7304 | 149649 | SH | DEFINED | 01 0 |
| EASTMAN KODAK | COM | 277461109 | 634 | 20350 | SH | DEFINED | 01 |
| EASTMAN KODAK | COM | 277461109 | 56640 | 1817094 | SH | DEFINED | 01 |
| EASTMAN KODAK | COM | 277461109 | 524 | 16800 | SH | DEFINED | 01 0 |
| EASTMAN KODAK | COM | 277461109 | 3120 | 100090 | SH | DEFINED | 01 0 |
| EASTMAN KODAK | COM | 277461109 | 3124 | 100207 | SH | DEFINED | 01 0 |
| EATON | COM | 278058102 | 385 | 4750 | SH | DEFINED | 01 |
| EATON | COM | 278058102 | 25243 | 311706 | SH | DEFINED | 01 |
| EATON | COM | 278058102 | 1422 | 17566 | SH | DEFINED | 01 0 |
| EATON | COM | 278058102 | 2161 | 26693 | SH | DEFINED | 01 0 |
| EATON VANCE | COM | 278265103 | 93 | 2338 | SH | DEFINED | 01 |
| EATON VANCE | COM | 278265103 | 33303 | 833650 | SH | DEFINED | 01 0 |
| EATON VANCE | COM | 278265103 | 711 | 17800 | SH | DEFINED | 01 0 |
| EBAY | COM | 278642103 | 117277 | 2070584 | SH | DEFINED | 01 |
| EBAY | COM | 278642103 | 1099 | 19400 | SH | DEFINED | 01 0 |
| EBAY | COM | 278642103 | 20583 | 363400 | SH | DEFINED | 01 0 |
| EBAY INC | COM | 278642103 | 54514 | 962482 | SH | DEFINED | 01 0 |
| ECHELON CORPORATION | COM | 27874N105 | 1 | 53 | SH | DEFINED | 01 |
| ECHOSTAR COMMUN CORP | COM | 278762109 | 20312 | 717205 | SH | DEFINED | 01 |
| ECHOSTAR COMMUN CORP | COM | 278762109 | 4503 | 159000 | SH | DEFINED | 01 0 |
| ECLIPSYS CORP | COM | 278856109 | 34 | 2100 | SH | DEFINED | 01 |
| ECLIPSYS CORP | COM | 278856109 | 2 | 132 | SH | DEFINED | 01 |
| ECOLAB | COM | 278865100 | 6737 | 147338 | SH | DEFINED | 01 |
| ECOLAB | COM | 278865100 | 1481 | 32389 | SH | DEFINED | 01 0 |
| ECOLAB | COM | 278865100 | 53 | 1162 | SH | DEFINED | 01 0 |
| EDEN BIOSCIENCE CORP | COM | 279445100 | 0 | 155 | SH | DEFINED | 01 |
| EDISON INTL | COM | 281020107 | 10183 | 607977 | SH | DEFINED | 01 |
| EDISON INTL | COM | 281020107 | 1383 | 82573 | SH | DEFINED | 01 0 |
| EDISON INTL | COM | 281020107 | 50 | 2962 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|
| EDISON SCHOOLS INC | COM | 281033100 | 47 | 3400 | SH | DEFINED | 01 |
| EDISON SCHOOLS INC | COM | 281033100 | 2 | 176 | SH | DEFINED | 01 |
| EDO CORP | COM | 281347104 | 1626 | 60215 | SH | DEFINED | 01 |
| EDUCATION MANAGEMENT | COM | 28139T101 | 687 | 16284 | SH | DEFINED | 01 |
| EDWARDS (JD) & CO | COM | 281667105 | 11216 | 621739 | SH | DEFINED | 01 |
| EDWARDS (JD) & CO | COM | 281667105 | 9579 | 531000 | SH | DEFINED | 01 |
| J D EDWARDS & CO | COM | 281667105 | 139 | 7700 | SH | DEFINED | 01 |
| EDWARDS (AG) | COM | 281760108 | 118 | 2689 | SH | DEFINED | 01 |
| EDWARDS LIFESCIENCES | COM | 28176E108 | 117 | 4184 | SH | DEFINED | 01 |
| EDWARDS LIFESCIENCES | COM | 28176E108 | 5 | 180 | SH | DEFINED | 01 |
| EFUNDS | COM | 28224R101 | 2286 | 142471 | SH | DEFINED | 01 |
| EGAIN COMMUN CORP | COM | 28225C103 | 0 | 92 | SH | DEFINED | 01 |
| ABBOT LABORATORIES | COM | 2824100 | 21555 | 409800 | SH | DEFINED | 11 |
| ABBOTT LABORATORIES | COM | 2824100 | 2488 | 47300 | SH | DEFINED | 11 |
| ABBOTT LABORATORIES | COM | 2824100 | 63 | 1200 | SH | DEFINED | 11 |
| EL PASO | COM | 28336L109 | 86777 | 1970849 | SH | DEFINED | 01 |
| EL PASO | COM | 28336L109 | 6614 | 150214 | SH | DEFINED | 01 |
| EL PASO | COM | 28336L109 | 1593 | 36181 | SH | DEFINED | 01 |
| EL PASO CORPORATION | COM | 28336L109 | 4549 | 103315 | SH | DEFINED | 01 |
| EL PASO CORPORATION | COM | 28336L109 | 557 | 12650 | SH | DEFINED | 01 |
| EL PASO ELECTRIC | COM | 283677854 | 5297 | 338495 | SH | DEFINED | 01 |
| EL PASO ELECTRIC | COM | 283677854 | 11 | 700 | SH | DEFINED | 01 |
| EL PASO ELECTRIC | COM | 283677854 | 244 | 15604 | SH | DEFINED | 01 |
| ELANTEC SEMICONDUCTO | COM | 284155108 | 4 | 85 | SH | DEFINED | 01 |
| ELANTEC SEMICONDUCTOR | COM | 284155108 | 6239 | 145865 | SH | DEFINED | 01 |
| ELANTEC SEMICONDUCTOR | COM | 284155108 | 205 | 4797 | SH | DEFINED | 01 |
| ELCOR | COM | 284443108 | 1321 | 59492 | SH | DEFINED | 01 |
| ELECTRO SCIENTIFIC | COM | 285229100 | 3 | 95 | SH | DEFINED | 01 |
| ELECTRO SCIENTIFIC INDS | COM | 285229100 | 8647 | 235917 | SH | DEFINED | 01 |
| ELECTRO SCIENTIFIC INDS | COM | 285229100 | 294 | 8034 | SH | DEFINED | 01 |
| ELECTROCOMPONENTS | COM | 285299996 | 326 | 48000 | SH | DEFINED | 01 |
| ELECTROGLAS | COM | 285324109 | 4698 | 277984 | SH | DEFINED | 01 |
| ELECTROGLAS | COM | 285324109 | 331 | 19600 | SH | DEFINED | 01 |
| ELECTROLUX CL B | COM | 285378998 | 1429 | 80414 | SH | DEFINED | 01 |
| ELECTRONIC ARTS | COM | 285512109 | 36534 | 600899 | SH | DEFINED | 01 |
| ELECTRONIC ARTS | COM | 285512109 | 50239 | 826300 | SH | DEFINED | 01 |
| ELECTRONIC ARTS INC | COM | 285512109 | 510 | 8400 | SH | DEFINED | 01 |
| ELECTRONIC DATA SYSTEMS | COM | 285661104 | 21495 | 370676 | SH | DEFINED | 01 |
| ELECTRONIC DATA SYSTEMS | COM | 285661104 | 8092 | 139542 | SH | DEFINED | 01 |
| ELECTRONIC DATA SYSTEMS | COM | 285661104 | 4122 | 71072 | SH | DEFINED | 01 |
| ELECTRONIC DATA SYSTEMS CV 7.6 | PRF | 285661203 | 25275 | 500000 | SH | DEFINED | 01 |
| EDP-ELECTICDADE DE PORTUGAL | COM | 285670998 | 38 | 18300 | SH | DEFINED | 01 |
| ELECTRONICS FOR IMAGING | COM | 286082102 | 1836 | 100324 | SH | DEFINED | 01 |
| ELECTRONICS FOR IMAGING | COM | 286082102 | 126 | 6900 | SH | DEFINED | 01 |
| ELOYALTY CORPORATION | COM | 290151307 | 0 | 12 | SH | DEFINED | 01 |
| EMCORE | COM | 290846104 | 2 | 224 | SH | DEFINED | 01 |
| EMCOR GROUP | COM | 29084Q100 | 5559 | 95850 | SH | DEFINED | 01 |
| EMCOR GROUP | COM | 29084Q100 | 205 | 3534 | SH | DEFINED | 01 |
| EMCOR GROUP INC | COM | 29084Q100 | 11 | 180 | SH | DEFINED | 01 |
| EMERSON ELECTRIC | COM | 291011104 | 27575 | 480494 | SH | DEFINED | 01 |
| EMERSON ELECTRIC | COM | 291011104 | 6118 | 106602 | SH | DEFINED | 01 |
| EMERSON ELECTRIC | COM | 291011104 | 6846 | 119276 | SH | DEFINED | 01 |
| EMISPHERE | COM | 291345106 | 493 | 29000 | SH | DEFINED | 01 |
| EMISPHERE | COM | 291345106 | 46 | 2700 | SH | DEFINED | 01 |
| EMMIS COMMUNICATIONS | COM | 291525103 | 17 | 650 | SH | DEFINED | 01 |
| EMMIS COMMUNICATIONS CL A | COM | 291525103 | 4977 | 186141 | SH | DEFINED | 01 |
| EMMIS COMMUNICATIONS CL A | COM | 291525103 | 348 | 13000 | SH | DEFINED | 01 |
| EMPIRE DISTRICT ELECTRIC | COM | 291641108 | 13154 | 614965 | SH | DEFINED | 01 |
| EMPRESA NACIONAL DE ELECTRICID | COM | 29244T101 | 2560 | 272378 | SH | DEFINED | 01 |
| EMPRESA NACIONAL DE ELECTRICID | COM | 29244T101 | 83 | 8825 | SH | DEFINED | 01 |
| EMULEX | COM | 292475209 | 24640 | 748264 | SH | DEFINED | 01 |
| EMULEX | COM | 292475209 | 267 | 8100 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| EMULEX | COM | 292475209 | 204 | 6200 | SH | DEFINED | 01 | 0 |
| EMULEX | COM | 292475209 | 29996 | 910900 | SH | DEFINED | 01 | 0 |
| ENCOMPASS SVS CORP | COM | 29255U104 | 5 | 3091 | SH | DEFINED | 01 | 0 |
| ENCORE WIRE | COM | 292562105 | 1313 | 79303 | SH | DEFINED | 01 | 0 |
| ENCORE WIRE | COM | 292562105 | 124 | 7497 | SH | DEFINED | 01 | 0 |
| ENCORE WIRE CORP | COM | 292562105 | 10 | 630 | SH | DEFINED | 01 | 0 |
| ENDESA ORD | COM | 292580990 | 9917 | 666742 | SH | DEFINED | 01 | 0 |
| ENDESA ORD | COM | 292580990 | 13479 | 906227 | SH | DEFINED | 01 | 0 |
| ENDO PHARMACEUTICAL | COM | 29264F205 | 525 | 51989 | SH | DEFINED | 01 | 0 |
| ENDOCARE | COM | 29264P104 | 1557 | 79000 | SH | DEFINED | 01 | 0 |
| ENERGEN | COM | 29265N108 | 5814 | 219776 | SH | DEFINED | 01 | 0 |
| ENERGEN | COM | 29265N108 | 243 | 9186 | SH | DEFINED | 01 | 0 |
| ENERGY EAST | COM | 29266M109 | 333 | 15300 | SH | DEFINED | 01 | 0 |
| ENERGY EAST | COM | 29266M109 | 85 | 3904 | SH | DEFINED | 01 | 0 |
| ENERGIZER HOLDINGS | COM | 29266R108 | 24 | 1000 | SH | DEFINED | 01 | 0 |
| ENERGIZER HOLDINGS | COM | 29266R108 | 2980 | 125452 | SH | DEFINED | 01 | 0 |
| ENERGIZER HOLDINGS | COM | 29266R108 | 390 | 16400 | SH | DEFINED | 01 | 0 |
| ENESERVE CORP | COM | 292799996 | 328 | 9000 | SH | DEFINED | 01 | 0 |
| ENGAGE INC | COM | 292827102 | 0 | 641 | SH | DEFINED | 01 | 0 |
| ENGELHARD | COM | 292845104 | 876 | 28220 | SH | DEFINED | 01 | 0 |
| ENGELHARD | COM | 292845104 | 1021 | 32897 | SH | DEFINED | 01 | 0 |
| ENGELHARD | COM | 292845104 | 37 | 1180 | SH | DEFINED | 01 | 0 |
| ENGINEERED SUPPORT | COM | 292866100 | 14 | 300 | SH | DEFINED | 01 | 0 |
| ENGINEERED SUPPORT SYSTEMS | COM | 292866100 | 2263 | 48924 | SH | DEFINED | 01 | 0 |
| ENGINEERED SUPPORT SYSTEMS | COM | 292866100 | 235 | 5076 | SH | DEFINED | 01 | 0 |
| ENDOCARDIAL SOLUTIONS | COM | 292962107 | 122 | 15000 | SH | DEFINED | 01 | 0 |
| ENESCO GROUP | COM | 292973104 | 291 | 42182 | SH | DEFINED | 01 | 0 |
| ENRON CORP | COM | 293561106 | 60 | 306600 | SH | DEFINED | 01 | 0 |
| ENTEGRIS | COM | 29362U104 | 8908 | 551577 | SH | DEFINED | 01 | 0 |
| ENTEGRIS | COM | 29362U104 | 776 | 48023 | SH | DEFINED | 01 | 0 |
| ENTEGRIS INC | COM | 29362U104 | 13 | 825 | SH | DEFINED | 01 | 0 |
| ENTERASYS NETWORKS | COM | 293637104 | 35 | 8300 | SH | DEFINED | 01 | 0 |
| ENTERASYS NETWORKS | COM | 293637104 | 29 | 6732 | SH | DEFINED | 01 | 0 |
| ENTERCOM COMMUNICATIONS CL A | COM | 293639100 | 5441 | 99165 | SH | DEFINED | 01 | 0 |
| ENTERCOM COMMUNICATIONS CL A | COM | 293639100 | 177 | 3233 | SH | DEFINED | 01 | 0 |
| ENERGY | COM | 29364G103 | 70133 | 1615604 | SH | DEFINED | 01 | 0 |
| ENERGY | COM | 29364G103 | 2670 | 61511 | SH | DEFINED | 01 | 0 |
| ENERGY | COM | 29364G103 | 178 | 4110 | SH | DEFINED | 01 | 0 |
| ENTERPRISE PRODS PAR | COM | 293792107 | 8 | 167 | SH | DEFINED | 01 | 0 |
| ENTRAVISION COMMUNICATIONS CL | COM | 29382R107 | 774 | 52300 | SH | DEFINED | 01 | 0 |
| ENTRADA NETWORKS INC | COM | 29382Y102 | 0 | 8 | SH | DEFINED | 01 | 0 |
| ENTRUST INC | COM | 293848107 | 560 | 110169 | SH | DEFINED | 01 | 0 |
| ENZON | COM | 293904108 | 2357 | 53220 | SH | DEFINED | 01 | 0 |
| ENZON INC | COM | 293904108 | 372 | 8400 | SH | DEFINED | 01 | 0 |
| ENZO BIOCHEM | COM | 294100102 | 1770 | 87350 | SH | DEFINED | 01 | 0 |
| Epimmune Inc | COM | 29425Y101 | 53 | 0 | SH | DEFINED | 01 | 0 |
| EPLUS INC | COM | 294268107 | 8 | 870 | SH | DEFINED | 01 | 0 |
| ECM FUND / CORP LPI 11.918 UN | COM | 294300XX1 | 1768 | 2054913 | SH | DEFINED | 01 | 0 |
| EPRESENCE | COM | 294348107 | 300 | 70850 | SH | DEFINED | 01 | 0 |
| EQUIFAX | COM | 294429105 | 107 | 3562 | SH | DEFINED | 01 | 0 |
| EQUIFAX | COM | 294429105 | 1098 | 36721 | SH | DEFINED | 01 | 0 |
| EQUIFAX | COM | 294429105 | 39 | 1317 | SH | DEFINED | 01 | 0 |
| EQUINIX RESTRICTED | COM | 29444U551 | 145 | 133312 | SH | DEFINED | 01 | 0 |
| EQUINIX RESTRICTED | COM | 29444U551 | 257 | 235941 | SH | DEFINED | 01 | 0 |
| EQUINIX RESTRICTED | COM | 29444U999 | 162 | 133949 | SH | DEFINED | 01 | 0 |
| EQUINIX RESTRICTED | COM | 29444U999 | 201 | 166401 | SH | DEFINED | 01 | 0 |
| EQUITABLE RESOURCES | COM | 294549100 | 1023 | 29391 | SH | DEFINED | 01 | 0 |
| EQUITY OFFICE PROPERTIES TRUST | COM | 294741103 | 4 | 150 | SH | DEFINED | 01 | 0 |
| EQUITY OFFICE PROPERTIES TRUST | COM | 294741103 | 15509 | 517152 | SH | DEFINED | 01 | 0 |
| EQUITY OFFICE PROPERTIES TRUST | COM | 294741103 | 3392 | 113088 | SH | DEFINED | 01 | 0 |
| EQUITY OFFICE PROPERTIES TRUST | COM | 294741103 | 25098 | 836868 | SH | DEFINED | 01 | 0 |
| EQUITY RESIDENTIAL | COM | 29476L107 | 376 | 13100 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|----------|-----------|--------|----------|-----|---------|------|
| EQUITY RESIDENTIAL PROPERTIES | COM | 29476L107 | 375 | 13050 | SH | DEFINED | 01 |
| EQUITY RESIDENTIAL PROPERTIES | COM | 29476L107 | 36684 | 1276429 | SH | DEFINED | 01 |
| EQUITY RESIDENTIAL PROPERTIES | COM | 29476L107 | 2705 | 94118 | SH | DEFINED | 01 0 |
| EQUITY RESIDENTIAL PROPERTIES | COM | 29476L107 | 2080 | 72375 | SH | DEFINED | 01 0 |
| ERICSSON LM ADR CL B | COM | 294821400 | 109 | 26036 | SH | DEFINED | 01 |
| ERIE INDY CO | COM | 29530P102 | 7 | 161 | SH | DEFINED | 01 |
| ERSTE BANK DER OESTERRICHISCHE | COM | 295599997 | 53 | 850 | SH | DEFINED | 01 |
| ESCO TECHNOLOGIES | COM | 296315104 | 8 | 197 | SH | DEFINED | 01 |
| ESCO TECHNOLOGIES | COM | 296315104 | 9 | 240 | SH | DEFINED | 01 0 |
| ESPERION THERAPEUTICS | COM | 29664R106 | 426 | 70000 | SH | DEFINED | 01 |
| ESSILOR INTERNATIONAL | COM | 296700990 | 229 | 6400 | SH | DEFINED | 01 |
| ESSEX PROPERTY TRUST | COM | 297178105 | 1892 | 36261 | SH | DEFINED | 01 |
| ESSEX PROPERTY TRUST | COM | 297178105 | 183 | 3500 | SH | DEFINED | 01 0 |
| ESTERLINE TECHNOLOGIES | COM | 297425100 | 3235 | 158565 | SH | DEFINED | 01 |
| ESTERLINE TECHNOLOGIES | COM | 297425100 | 216 | 10600 | SH | DEFINED | 01 0 |
| ETHAN ALLEN | COM | 297602104 | 685 | 18000 | SH | DEFINED | 01 |
| ETHAN ALLEN INTERIORS | COM | 297602104 | 7258 | 190697 | SH | DEFINED | 01 |
| ETHAN ALLEN INTERIORS | COM | 297602104 | 84 | 2200 | SH | DEFINED | 01 0 |
| EURONEXT | COM | 298699992 | 158 | 8400 | SH | DEFINED | 01 |
| EUROMONEY INSTITUTIONAL INVEST | COM | 298730995 | 42 | 8700 | SH | DEFINED | 01 |
| EURONET WORLDWIDE INC | COM | 298736109 | 1023 | 60200 | SH | DEFINED | 01 |
| EVERGREEN RESOURCES | COM | 299900308 | 2490 | 59712 | SH | DEFINED | 01 |
| EVOICE SERIES D CV | PRF | 300200XX5 | 1842 | 4022475 | SH | DEFINED | 01 |
| EVOICE SERIES D CV | PRF | 300200XX5 | 2243 | 4896540 | SH | DEFINED | 01 0 |
| EXAR | COM | 300645108 | 5392 | 262515 | SH | DEFINED | 01 |
| EXAR | COM | 300645108 | 148 | 7200 | SH | DEFINED | 01 0 |
| EXAR CORP | COM | 300645108 | 3 | 170 | SH | DEFINED | 01 0 |
| EXCHANGE APPLICATION | COM | 300867306 | 0 | 3 | SH | DEFINED | 01 |
| EXEL PLC | COM | 301600995 | 235 | 18997 | SH | DEFINED | 01 |
| EXELON | COM | 30161N101 | 112857 | 2130590 | SH | DEFINED | 01 |
| EXELON | COM | 30161N101 | 5871 | 110825 | SH | DEFINED | 01 0 |
| EXELON | COM | 30161N101 | 3850 | 72660 | SH | DEFINED | 01 0 |
| EXELON CORP | COM | 30161N101 | 757 | 14300 | SH | DEFINED | 01 0 |
| EXELIXIS INC | COM | 30161Q104 | 2 | 126 | SH | DEFINED | 01 |
| EXELIXIS INC | COM | 30161Q104 | 3 | 200 | SH | DEFINED | 01 0 |
| EXTENDED SYSTEMS INC | COM | 301973103 | 0 | 34 | SH | DEFINED | 01 |
| EXIDE CORP 144A | CONV BND | 302051AF4 | 246 | 16500000 | PRN | DEFINED | 01 0 |
| EXODUS COMMUNICATIONS INC | COM | 302088109 | 0 | 1207 | SH | DEFINED | 01 |
| EXPEDIA INC CL A | COM | 302125109 | 9 | 135 | SH | DEFINED | 01 |
| EXPEDIA INC WTS EXP 02/04/2009 | COM | 302125125 | 1 | 25 | SH | DEFINED | 01 |
| EXPEDITORS INTL OF WASHINGTON | COM | 302130109 | 108 | 1779 | SH | DEFINED | 01 |
| EXPRO INTERNATIONAL | COM | 302155999 | 59 | 8500 | SH | DEFINED | 01 |
| EXPRESS SCRIPTS CL A | COM | 302182100 | 34104 | 592202 | SH | DEFINED | 01 |
| EXPRESS SCRIPTS CL A | COM | 302182100 | 4472 | 77650 | SH | DEFINED | 01 0 |
| EXPRESS SCRIPTS CL A | COM | 302182100 | 4877 | 84689 | SH | DEFINED | 01 0 |
| EXPRESS SCRIPTS INC | COM | 302182100 | 35635 | 618774 | SH | DEFINED | 01 0 |
| UTD BUSINESS | COM | 3022421 | 4 | 462 | SH | DEFINED | 01 1 |
| EXTENDED STAY AMERICA | COM | 30224P101 | 57 | 3241 | SH | DEFINED | 01 |
| EXTREME NETWORKS | COM | 30226D106 | 1235 | 118724 | SH | DEFINED | 01 |
| EXTREME NETWORKS | COM | 30226D106 | 71 | 6800 | SH | DEFINED | 01 0 |
| EXULT | COM | 302284104 | 4 | 410 | SH | DEFINED | 01 |
| EXXON | COM | 30231G102 | 66 | 1500 | SH | DEFINED | 11 1 |
| EXXON CORP | COM | 30231G102 | 2503 | 57100 | SH | DEFINED | 11 1 |
| EXXON CORP | COM | 30231g102 | 16129 | 368000 | SH | DEFINED | 11 1 |
| EXXON MOBIL | COM | 30231G102 | 94 | 2150 | SH | DEFINED | 01 |
| EXXON MOBIL | COM | 30231G102 | 772060 | 17614884 | SH | DEFINED | 01 |
| EXXON MOBIL | COM | 30231G102 | 82127 | 1873760 | SH | DEFINED | 01 0 |
| EXXON MOBIL | COM | 30231G102 | 226423 | 5165939 | SH | DEFINED | 01 0 |
| EXXON MOBIL CORP | COM | 30231G102 | 8874 | 202475 | SH | DEFINED | 01 |
| EXXON MOBIL CORP | COM | 30231G102 | 4735 | 108014 | SH | DEFINED | 01 0 |
| FBR ASSET INVESTMENT | COM | 30241E303 | 1806 | 66400 | SH | DEFINED | 01 |
| FBR ASSET INVESTMENT | COM | 30241E303 | 174 | 6400 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|
| FEI | COM | 30241L109 | 45 | 1265 | SH | DEFINED | 01 |
| FMC | COM | 302491303 | 45 | 1069 | SH | DEFINED | 01 |
| FMC TECHNOLOGIES | COM | 30249U101 | 650 | 32603 | SH | DEFINED | 01 |
| FNB | COM | 302520101 | 9 | 312 | SH | DEFINED | 01 |
| FPL GROUP | COM | 302571104 | 38985 | 654666 | SH | DEFINED | 01 |
| FPL GROUP | COM | 302571104 | 2910 | 48860 | SH | DEFINED | 01 |
| FPL GROUP | COM | 302571104 | 208 | 3499 | SH | DEFINED | 01 |
| FPL GROUP PFD CVT | PRF | 302571203 | 16830 | 300000 | SH | DEFINED | 01 |
| FTI CONSULTING INC | COM | 302941109 | 3795 | 122500 | SH | DEFINED | 01 |
| FTI CONSULTING INC | COM | 302941109 | 5 | 150 | SH | DEFINED | 01 |
| FTI CONSULTING INC | COM | 302941109 | 331 | 10700 | SH | DEFINED | 01 |
| FACTORY 2-U STORES | COM | 303072102 | 508 | 39350 | SH | DEFINED | 01 |
| FACTSET RESEARCH | COM | 303075105 | 4 | 95 | SH | DEFINED | 01 |
| FACTSET RESEARCH SYSTEMS | COM | 303075105 | 5220 | 129379 | SH | DEFINED | 01 |
| FACTSET RESEARCH SYSTEMS | COM | 303075105 | 105 | 2600 | SH | DEFINED | 01 |
| FAIR ISAAC & CO INC | COM | 303250104 | 1902 | 30012 | SH | DEFINED | 01 |
| FAIR, ISAAC & CO | COM | 303250104 | 42538 | 671051 | SH | DEFINED | 01 |
| FAIR, ISAAC & CO | COM | 303250104 | 4152 | 65500 | SH | DEFINED | 01 |
| FAIR, ISAAC & CO | COM | 303250104 | 971 | 15318 | SH | DEFINED | 01 |
| UNIQ PLC | COM | 3032925 | 1 | 263 | SH | DEFINED | 01 |
| WHITBREAD PLC | COM | 3034545 | 4 | 416 | SH | DEFINED | 01 |
| FAIRCHILD SEMICONDUCT | COM | 303726103 | 149 | 5200 | SH | DEFINED | 01 |
| FAIRCHILD SEMICONDUCTOR INTL C | COM | 303726103 | 6 | 200 | SH | DEFINED | 01 |
| FAIRCHILD SEMICONDUCTOR INTL C | COM | 303726103 | 22562 | 788900 | SH | DEFINED | 01 |
| FAIRCHILD SEMICONDUCTOR INTL C | COM | 303726103 | 30501 | 1066500 | SH | DEFINED | 01 |
| FAIRMONT HOTELS & RESORTS INC | COM | 305204109 | 77 | 2722 | SH | DEFINED | 01 |
| HBOS PLC | COM | 3058750 | 108 | 9998 | SH | DEFINED | 01 |
| BRAMBLES INDUSTRIES | COM | 3061673 | 9 | 1877 | SH | DEFINED | 01 |
| GKN | COM | 3064650 | 8 | 1674 | SH | DEFINED | 01 |
| FAMILY DLR STORES | COM | 307000109 | 19839 | 592070 | SH | DEFINED | 01 |
| FAMILY DOLLAR STORES | COM | 307000109 | 27848 | 831045 | SH | DEFINED | 01 |
| FAMILY DOLLAR STORES | COM | 307000109 | 1464 | 43696 | SH | DEFINED | 01 |
| FAMILY DOLLAR STORES | COM | 307000109 | 37986 | 1133567 | SH | DEFINED | 01 |
| BANK OF IRELAND | COM | 3070732 | 12 | 1146 | SH | DEFINED | 01 |
| FANUC LTD | COM | 307295998 | 170 | 3093 | SH | DEFINED | 01 |
| KINGFISHER | COM | 3073850 | 20 | 3592 | SH | DEFINED | 01 |
| BT GROUP PLC | COM | 3091357 | 95 | 23929 | SH | DEFINED | 01 |
| CANARY WHARF | COM | 3101609 | 10 | 1609 | SH | DEFINED | 01 |
| RYANAIR HLDG | COM | 3111761 | 6 | 1548 | SH | DEFINED | 01 |
| AMGEN | COM | 31162100 | 2250 | 37700 | SH | DEFINED | 11 |
| AMGEN | COM | 31162100 | 13571 | 227400 | SH | DEFINED | 11 |
| FASTENAL | COM | 311900104 | 46418 | 616283 | SH | DEFINED | 01 |
| FASTENAL | COM | 311900104 | 6428 | 85350 | SH | DEFINED | 01 |
| FASTENAL | COM | 311900104 | 4166 | 55309 | SH | DEFINED | 01 |
| FASTENAL CO | COM | 311900104 | 44720 | 593736 | SH | DEFINED | 01 |
| NATIONAL GRI | COM | 3122387 | 32 | 4884 | SH | DEFINED | 01 |
| THUS PLC | COM | 3125944 | 1 | 2675 | SH | DEFINED | 01 |
| MARKS & SPEN PLC | COM | 3127489 | 34 | 6227 | SH | DEFINED | 01 |
| MARKS & SPENCER REDEEMABLE | COM | 3127490 | 8 | 7693 | SH | DEFINED | 01 |
| IMPERIAL CHEM NEW | COM | 3131327 | 5 | 1095 | SH | DEFINED | 01 |
| FEDDERS | COM | 313135105 | 298 | 99355 | SH | DEFINED | 01 |
| FEDERAL AG MORTGAGE | COM | 313148306 | 4 | 100 | SH | DEFINED | 01 |
| FEDERAL AGRICULTURAL MTGE CL C | COM | 313148306 | 2658 | 59736 | SH | DEFINED | 01 |
| FEDERAL AGRICULTURAL MTGE CL C | COM | 313148306 | 265 | 5964 | SH | DEFINED | 01 |
| FREDDIE MAC | COM | 313400301 | 3330 | 52542 | SH | DEFINED | 01 |
| FREDDIE MAC | COM | 313400301 | 74458 | 1174967 | SH | DEFINED | 01 |
| FREDDIE MAC | COM | 313400301 | 105 | 1660 | SH | DEFINED | 01 |
| FREDDIE MAC | COM | 313400301 | 12703 | 200453 | SH | DEFINED | 01 |
| FREDDIE MAC | COM | 313400301 | 75540 | 1192043 | SH | DEFINED | 01 |
| FEDERAL MOGUL | COM | 313549107 | 0 | 230 | SH | DEFINED | 01 |
| FANNIE MAE | COM | 313586109 | 24 | 300 | SH | DEFINED | 01 |
| FANNIE MAE | COM | 313586109 | 390992 | 4894720 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| FANNIE MAE | COM | 313586109 | 22714 | 284356 | SH | DEFINED | 01 | 0 |
| FANNIE MAE | COM | 313586109 | 126028 | 1577708 | SH | DEFINED | 01 | 0 |
| FEDERAL NATL MTGE | COM | 313586109 | 8028 | 100503 | SH | DEFINED | 01 | 0 |
| FEDERAL NATL MTGE | COM | 313586109 | 24395 | 305271 | SH | DEFINED | 01 | 0 |
| FEDERAL SIGNAL | COM | 313855108 | 36 | 1539 | SH | DEFINED | 01 | 0 |
| FEDERATED DEPT STORES | COM | 31410H101 | 388 | 9500 | SH | DEFINED | 01 | 0 |
| FEDERATED DEPT STORES | COM | 31410H101 | 32833 | 803728 | SH | DEFINED | 01 | 0 |
| FEDERATED DEPT STORES | COM | 31410H101 | 2429 | 59466 | SH | DEFINED | 01 | 0 |
| FEDERATED DEPT STORES | COM | 31410H101 | 5478 | 134087 | SH | DEFINED | 01 | 0 |
| FEDERATED DPT STORES | COM | 31410H101 | 286 | 7000 | SH | DEFINED | 01 | 0 |
| FEDERATED INVESTORS CL B | COM | 314211103 | 5 | 150 | SH | DEFINED | 01 | 0 |
| FEDERATED INVESTORS CL B | COM | 314211103 | 4122 | 127402 | SH | DEFINED | 01 | 0 |
| FEDERATED INVESTORS CL B | COM | 314211103 | 7440 | 230000 | SH | DEFINED | 01 | 0 |
| FEDEX | COM | 31428X106 | 14305 | 246219 | SH | DEFINED | 01 | 0 |
| FEDEX | COM | 31428X106 | 5456 | 93905 | SH | DEFINED | 01 | 0 |
| FEDEX | COM | 31428X106 | 565 | 9713 | SH | DEFINED | 01 | 0 |
| FELCOR LODGING TRUST | COM | 31430F101 | 6 | 266 | SH | DEFINED | 01 | 0 |
| FERRO | COM | 315405100 | 29 | 1000 | SH | DEFINED | 01 | 0 |
| FERRO | COM | 315405100 | 2068 | 71832 | SH | DEFINED | 01 | 0 |
| FERRO | COM | 315405100 | 209 | 7260 | SH | DEFINED | 01 | 0 |
| FERRO CORP | COM | 315405100 | 12 | 400 | SH | DEFINED | 01 | 0 |
| F5 NETWORKS | COM | 315616102 | 2 | 88 | SH | DEFINED | 01 | 0 |
| FIBROGEN CV SERIES E | PRF | 316000XX1 | 5000 | 1113586 | SH | DEFINED | 01 | 0 |
| FIBROGEN CV SERIES E | PRF | 316000XX1 | 10000 | 2227171 | SH | DEFINED | 01 | 0 |
| FIDELITY NATL FINANCIAL | COM | 316326107 | 1363 | 51687 | SH | DEFINED | 01 | 0 |
| FIFTH THIRD BANCORP | COM | 316773100 | 18512 | 274331 | SH | DEFINED | 01 | 0 |
| FIFTH THIRD BANCORP | COM | 316773100 | 10518 | 155866 | SH | DEFINED | 01 | 0 |
| FIFTH THIRD BANCORP | COM | 316773100 | 7990 | 118402 | SH | DEFINED | 01 | 0 |
| FILENET | COM | 316869106 | 1849 | 108181 | SH | DEFINED | 01 | 0 |
| FINANCIAL FEDERAL | COM | 317492106 | 2791 | 85039 | SH | DEFINED | 01 | 0 |
| FINANCIAL FEDERAL | COM | 317492106 | 7 | 190 | SH | DEFINED | 01 | 0 |
| FINANCIAL FEDERAL | COM | 317492106 | 102 | 3100 | SH | DEFINED | 01 | 0 |
| FININFO | COM | 317869998 | 187 | 7000 | SH | DEFINED | 01 | 0 |
| FINISAR | COM | 31787A101 | 17954 | 2331872 | SH | DEFINED | 01 | 0 |
| FINISAR | COM | 31787A101 | 1635 | 212300 | SH | DEFINED | 01 | 0 |
| FINISAR | COM | 31787A101 | 7884 | 1023922 | SH | DEFINED | 01 | 0 |
| FINISAR CORP | COM | 31787A101 | 12255 | 1591400 | SH | DEFINED | 01 | 0 |
| FINOVA GROUP INC. | COM | 317928109 | 0 | 293 | SH | DEFINED | 01 | 0 |
| FIREPOND INC | COM | 318224102 | 0 | 53 | SH | DEFINED | 01 | 0 |
| FIRST AMERICAN | COM | 318522307 | 7457 | 350445 | SH | DEFINED | 01 | 0 |
| FIRST AMERICAN | COM | 318522307 | 545 | 25600 | SH | DEFINED | 01 | 0 |
| FIRST AMERICAN CORP | COM | 318522307 | 13 | 605 | SH | DEFINED | 01 | 0 |
| FIRST BANCORP | COM | 318672102 | 4145 | 143420 | SH | DEFINED | 01 | 0 |
| FIRST BANCORP | COM | 318672102 | 179 | 6210 | SH | DEFINED | 01 | 0 |
| FIRST BANCORP/PR | COM | 318672102 | 10 | 350 | SH | DEFINED | 01 | 0 |
| FIRST CHOICE HOLIDAYS PLC | COM | 319459996 | 67 | 35300 | SH | DEFINED | 01 | 0 |
| FIRST CITIZENS BANK | COM | 31946M103 | 10 | 93 | SH | DEFINED | 01 | 0 |
| FIRST COMWLTH FINL P | COM | 319829107 | 2 | 146 | SH | DEFINED | 01 | 0 |
| FIRST DATA | COM | 319963104 | 38816 | 444897 | SH | DEFINED | 01 | 0 |
| FIRST DATA | COM | 319963104 | 10164 | 116487 | SH | DEFINED | 01 | 0 |
| FIRST DATA | COM | 319963104 | 1261 | 14441 | SH | DEFINED | 01 | 0 |
| FIRST FINL BANCORP | COM | 320209109 | 7 | 470 | SH | DEFINED | 01 | 0 |
| FIRST HORIZON PHARMA | COM | 32051K106 | 2 | 100 | SH | DEFINED | 01 | 0 |
| FIRST HORIZON PHARMACEUTICAL | COM | 32051K106 | 2567 | 114800 | SH | DEFINED | 01 | 0 |
| FIRST HORIZON PHARMACEUTICAL | COM | 32051K106 | 144 | 6450 | SH | DEFINED | 01 | 0 |
| FIRST INDUSTRIAL REL | COM | 32054K103 | 9 | 277 | SH | DEFINED | 01 | 0 |
| FIRST MIDWEST BANC | COM | 320867104 | 16 | 575 | SH | DEFINED | 01 | 0 |
| FIRST MIDWEST BANCORP | COM | 320867104 | 7082 | 243896 | SH | DEFINED | 01 | 0 |
| FIRST MIDWEST BANCORP | COM | 320867104 | 238 | 8211 | SH | DEFINED | 01 | 0 |
| FIRST HEALTH GROUP | COM | 320960107 | 35926 | 1488839 | SH | DEFINED | 01 | 0 |
| FIRST HEALTH GROUP | COM | 320960107 | 56044 | 2322574 | SH | DEFINED | 01 | 0 |
| FIRST HEALTH GROUP | COM | 320960107 | 2906 | 120400 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| FIRST HEALTH GROUP | COM | 320960107 | 15700 | 650656 | SH | DEFINED | 01 | 0 |
| FIRST QUANTUM MINERALS | COM | 335934998 | 802 | 400000 | SH | DEFINED | 01 | |
| FIRST REPUBLIC BANK | COM | 336158100 | 1186 | 41777 | SH | DEFINED | 01 | |
| FIRST TECHNOLOGY PLC | COM | 336297999 | 124 | 18500 | SH | DEFINED | 01 | |
| FIRST SOURCE | COM | 336901103 | 521 | 22001 | SH | DEFINED | 01 | |
| FIRST SOURCE | COM | 336901103 | 47 | 1974 | SH | DEFINED | 01 | 0 |
| FIRST TN NAT'L CORP | COM | 337162101 | 152 | 4329 | SH | DEFINED | 01 | |
| MORLEY II STABLE | COM | 337199996 | 8128 | 336384 | SH | DEFINED | 01 | 0 |
| FIRST VIRGINIA BANKS | COM | 337477103 | 1153 | 21503 | SH | DEFINED | 01 | |
| FISERV | COM | 337738108 | 166390 | 3617944 | SH | DEFINED | 01 | |
| FISERV | COM | 337738108 | 11141 | 242247 | SH | DEFINED | 01 | 0 |
| FISERV | COM | 337738108 | 56661 | 1232046 | SH | DEFINED | 01 | 0 |
| FISERV INC | COM | 337738108 | 1242 | 27000 | SH | DEFINED | 01 | |
| FISERV INC | COM | 337738108 | 4195 | 91221 | SH | DEFINED | 01 | 0 |
| FIRSTFED FINANCIAL | COM | 337907109 | 3454 | 132101 | SH | DEFINED | 01 | |
| FIRSTFED FINANCIAL | COM | 337907109 | 200 | 7643 | SH | DEFINED | 01 | 0 |
| FIRSTFED FINL CORP | COM | 337907109 | 9 | 370 | SH | DEFINED | 01 | 0 |
| FIRSTMERIT | COM | 337915102 | 84 | 2916 | SH | DEFINED | 01 | |
| FLAGSTAR BANCORP | COM | 337930101 | 2106 | 90450 | SH | DEFINED | 01 | |
| FLAGSTAR BANCORP | COM | 337930101 | 200 | 8600 | SH | DEFINED | 01 | 0 |
| FLAGSTAR BANCORP INC | COM | 337930101 | 9 | 370 | SH | DEFINED | 01 | 0 |
| FIRSTENERGY | COM | 337932107 | 55671 | 1609889 | SH | DEFINED | 01 | |
| FIRSTENERGY | COM | 337932107 | 2864 | 82810 | SH | DEFINED | 01 | 0 |
| FIRSTENERGY | COM | 337932107 | 12653 | 365906 | SH | DEFINED | 01 | 0 |
| FISHER SCIENTIFIC IN | COM | 338032204 | 118 | 4200 | SH | DEFINED | 01 | |
| FISHER SCIENTIFIC IN | COM | 338032204 | 8 | 290 | SH | DEFINED | 01 | |
| FISHER SCIENTIFIC IN | COM | 338032204 | 2 | 70 | SH | DEFINED | 01 | 0 |
| FISKARS CORP | COM | 338060999 | 54 | 6800 | SH | DEFINED | 01 | |
| FIVE STAR QUALITY CARE | COM | 33832D106 | 0 | 9 | SH | DEFINED | 01 | |
| FLEETBOSTON FINANCIAL | COM | 339030108 | 7 | 200 | SH | DEFINED | 01 | |
| FLEETBOSTON FINANCIAL | COM | 339030108 | 60782 | 1736627 | SH | DEFINED | 01 | |
| FLEETBOSTON FINANCIAL | COM | 339030108 | 10758 | 307401 | SH | DEFINED | 01 | 0 |
| FLEETBOSTON FINANCIAL | COM | 339030108 | 40818 | 1166215 | SH | DEFINED | 01 | 0 |
| FLEETBOSTON FINL CO | COM | 339030108 | 37482 | 1070637 | SH | DEFINED | 01 | 0 |
| FLEETWOOD ENTERPRISES | COM | 339099103 | 3698 | 342402 | SH | DEFINED | 01 | |
| FLEETWOOD ENTERPRISES | COM | 339099103 | 4320 | 400000 | SH | DEFINED | 01 | 0 |
| FLEMING COMPANIES | COM | 339130106 | 34 | 1500 | SH | DEFINED | 01 | |
| FLEMING COMPANIES | COM | 339130106 | 3053 | 136315 | SH | DEFINED | 01 | |
| FL EAST COAST RY CO | COM | 340632108 | 4 | 155 | SH | DEFINED | 01 | |
| FLORIDA ROCK INDS | COM | 341140101 | 5230 | 131270 | SH | DEFINED | 01 | |
| FLORIDA ROCK INDS | COM | 341140101 | 131 | 3300 | SH | DEFINED | 01 | 0 |
| FLUOR | COM | 343412102 | 16397 | 401984 | SH | DEFINED | 01 | |
| FLUOR | COM | 343412102 | 828 | 20309 | SH | DEFINED | 01 | 0 |
| FLUOR | COM | 343412102 | 1583 | 38815 | SH | DEFINED | 01 | 0 |
| FLOW INTL | COM | 343468104 | 458 | 46786 | SH | DEFINED | 01 | |
| FLOWERS FOODS INC | COM | 343498101 | 51 | 2000 | SH | DEFINED | 01 | |
| FLOWERS FOODS INC | COM | 343498101 | 3 | 115 | SH | DEFINED | 01 | |
| FLOWSERVE | COM | 34354P105 | 115 | 3600 | SH | DEFINED | 01 | |
| FLOWSERVE | COM | 34354P105 | 49 | 1541 | SH | DEFINED | 01 | |
| FLUGHAFEN WIEN AG | COM | 343599999 | 225 | 7200 | SH | DEFINED | 01 | |
| FOAMEX | COM | 344123104 | 14 | 1400 | SH | DEFINED | 01 | 0 |
| FOCAL COM | COM | 344155205 | 0 | 3 | SH | DEFINED | 01 | |
| FOMENTO ECONOMICO MEXICANO ADR | COM | 344419106 | 128 | 2717 | SH | DEFINED | 01 | |
| FOOT LOCKER INC | COM | 344849104 | 45 | 2800 | SH | DEFINED | 01 | |
| FOOT LOCKER INC | COM | 344849104 | 684 | 42267 | SH | DEFINED | 01 | |
| FOOTSTAR | COM | 344912100 | 1868 | 61282 | SH | DEFINED | 01 | |
| FORD MOTOR | COM | 345370860 | 8 | 500 | SH | DEFINED | 01 | |
| FORD MOTOR | COM | 345370860 | 4948 | 300091 | SH | DEFINED | 01 | |
| FORD MOTOR | COM | 345370860 | 7567 | 458891 | SH | DEFINED | 01 | 0 |
| FORD MOTOR | COM | 345370860 | 7363 | 446467 | SH | DEFINED | 01 | 0 |
| FORD MOTOR CO | COM | 345370860 | 28 | 1711 | SH | DEFINED | 01 | 0 |
| FORD | PRF | 345395206 | 11248 | 200000 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|
| FOREST CITY ENTERPRISES CL A | COM | 345550107 | 2984 | 78533 | SH | DEFINED | 01 |
| FOREST CITY ENTERPRISES CL A | COM | 345550107 | 380 | 10000 | SH | DEFINED | 01 |
| FOREST LABORATORIES | COM | 345838106 | 12 | 150 | SH | DEFINED | 01 |
| FOREST LABORATORIES | COM | 345838106 | 119564 | 1463446 | SH | DEFINED | 01 |
| FOREST LABORATORIES | COM | 345838106 | 3699 | 45274 | SH | DEFINED | 01 |
| FOREST LABORATORIES | COM | 345838106 | 51719 | 633025 | SH | DEFINED | 01 |
| FOREST LABS | COM | 345838106 | 1634 | 20000 | SH | DEFINED | 01 |
| FOREST LABS | COM | 345838106 | 16405 | 200800 | SH | DEFINED | 01 |
| FOREST OIL | COM | 346091705 | 50 | 1680 | SH | DEFINED | 01 |
| FORRESTER RESEARCH INC | COM | 346563109 | 42 | 2200 | SH | DEFINED | 01 |
| GRANADA PLC | COM | 348900994 | 2143 | 1098120 | SH | DEFINED | 01 |
| GRANADA PLC | COM | 348900994 | 263 | 134840 | SH | DEFINED | 01 |
| FORTUM OYJ | COM | 349581991 | 638 | 120000 | SH | DEFINED | 01 |
| FORTUNE BRANDS | COM | 349631101 | 25 | 500 | SH | DEFINED | 01 |
| FORTUNE BRANDS | COM | 349631101 | 181 | 3664 | SH | DEFINED | 01 |
| FORTUNE BRANDS | COM | 349631101 | 1859 | 37651 | SH | DEFINED | 01 |
| FORTUNE BRANDS | COM | 349631101 | 67 | 1351 | SH | DEFINED | 01 |
| FORWARD AIR | COM | 349853101 | 4913 | 155229 | SH | DEFINED | 01 |
| FORWARD AIR | COM | 349853101 | 158 | 5000 | SH | DEFINED | 01 |
| FORWARD AIR CORP | COM | 349853101 | 3 | 110 | SH | DEFINED | 01 |
| FOSSIL | COM | 349882100 | 2463 | 92633 | SH | DEFINED | 01 |
| FOUNDRY NETWORKS | COM | 35063R100 | 702 | 97600 | SH | DEFINED | 01 |
| 4 KIDS ENTERTAINMENT | COM | 350865101 | 2040 | 102381 | SH | DEFINED | 01 |
| 4 KIDS ENTERTAINMENT | COM | 350865101 | 122 | 6100 | SH | DEFINED | 01 |
| 4KIDS ENTERTAINMENT | COM | 350865101 | 3 | 130 | SH | DEFINED | 01 |
| FOUR SEASONS | COM | 351009998 | 1656 | 31267 | SH | DEFINED | 01 |
| FOX ENTERTAINMENT GR | COM | 35138T107 | 95 | 4000 | SH | DEFINED | 01 |
| FOX ENTERTAINMENT GR | COM | 35138T107 | 17 | 731 | SH | DEFINED | 01 |
| FRANCE TELECOM | COM | 352190995 | 6354 | 207411 | SH | DEFINED | 01 |
| FRANCE TELECOM | COM | 352190995 | 8845 | 288665 | SH | DEFINED | 01 |
| FRANKLIN COVEY | COM | 353469109 | 156 | 61002 | SH | DEFINED | 01 |
| FRANKLIN RESOURCES | COM | 354613101 | 273 | 6518 | SH | DEFINED | 01 |
| FRANKLIN RESOURCES | COM | 354613101 | 2777 | 66241 | SH | DEFINED | 01 |
| FRANKLIN RESOURCES | COM | 354613101 | 100 | 2377 | SH | DEFINED | 01 |
| FREDS INC | COM | 356108100 | 4240 | 117790 | SH | DEFINED | 01 |
| FREDS INC | COM | 356108100 | 5 | 140 | SH | DEFINED | 01 |
| FREDS INC | COM | 356108100 | 6921 | 192250 | SH | DEFINED | 01 |
| FREEMARKETS INC | COM | 356602102 | 4 | 182 | SH | DEFINED | 01 |
| FREEPORT-MCMORAN COPPER & GOLD | COM | 35671D857 | 6 | 350 | SH | DEFINED | 01 |
| FREEPORT-MCMORAN COPPER & GOLD | COM | 35671D857 | 1386 | 78616 | SH | DEFINED | 01 |
| FREEPORT-MCMORAN COPPER & GOLD | COM | 35671D857 | 643 | 36489 | SH | DEFINED | 01 |
| FREEPORT-MCMORAN COPPER & GOLD | COM | 35671D857 | 8921 | 506309 | SH | DEFINED | 01 |
| FREMONT GENERAL | COM | 357288109 | 1324 | 217030 | SH | DEFINED | 01 |
| FRESENIUS AG PFD | PRF | 358029999 | 205 | 2700 | SH | DEFINED | 01 |
| FRONTIER AIRLINES | COM | 359065109 | 1964 | 107163 | SH | DEFINED | 01 |
| FRONTIER FINL CORP W | COM | 35907K105 | 2 | 85 | SH | DEFINED | 01 |
| FRONTLINE CAPITAL GROUP | COM | 35921N101 | 0 | 103 | SH | DEFINED | 01 |
| FUELCELL ENERGY INC | COM | 35952H106 | 364 | 23118 | SH | DEFINED | 01 |
| FUGRO NV | COM | 359539996 | 309 | 5490 | SH | DEFINED | 01 |
| FUJI HEAVY INDS | COM | 359555992 | 1040 | 213000 | SH | DEFINED | 01 |
| FUJI SEAL INC | COM | 359575990 | 155 | 5000 | SH | DEFINED | 01 |
| FUJI PHOTO FILM ORD JP;4901/Y | COM | 359586104 | 3681 | 117000 | SH | DEFINED | 01 |
| FUJI PHOTO FILM ORD JP;4901/Y | COM | 359586104 | 1825 | 58000 | SH | DEFINED | 01 |
| FUJI PHOTO FILM ORD JP;4901/Y | COM | 359586104 | 6953 | 221000 | SH | DEFINED | 01 |
| FUJITSU LTD | COM | 359591997 | 720 | 94000 | SH | DEFINED | 01 |
| FULLER (HB) | COM | 359694106 | 1976 | 65970 | SH | DEFINED | 01 |
| FULLER (HB) | COM | 359694106 | 204 | 6800 | SH | DEFINED | 01 |
| FULLER H B COMPANY | COM | 359694106 | 13 | 440 | SH | DEFINED | 01 |
| FULTON FINL CORP | COM | 360271100 | 7 | 265 | SH | DEFINED | 01 |
| FURNITURE BRAND INTL | COM | 360921100 | 14 | 370 | SH | DEFINED | 01 |
| FURNITURE BRANDS INTL | COM | 360921100 | 33 | 900 | SH | DEFINED | 01 |
| FURNITURE BRANDS INTL | COM | 360921100 | 69 | 1912 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|----------------------------|-----|-----------|---------|----------|----|---------|----|
| G&K SERVICES CL A | COM | 361268105 | 2851 | 76510 | SH | DEFINED | 01 |
| GATX | COM | 361448103 | 64 | 2000 | SH | DEFINED | 01 |
| GATX | COM | 361448103 | 53 | 1670 | SH | DEFINED | 01 |
| GBC BANCORP | COM | 361475106 | 1184 | 35408 | SH | DEFINED | 01 |
| GFK AG | COM | 361670995 | 273 | 13300 | SH | DEFINED | 01 |
| GABRIEL RES PVT 144A | COM | 361970957 | 509 | 215000 | SH | DEFINED | 01 |
| GKN PLC | COM | 362001992 | 235 | 47852 | SH | DEFINED | 01 |
| GABLE RESIDENTIAL TRUST | COM | 362418105 | 1922 | 61883 | SH | DEFINED | 01 |
| GABLE RESIDENTIAL TRUST | COM | 362418105 | 202 | 6500 | SH | DEFINED | 01 |
| GADZOOX NETWORK | COM | 362555104 | 0 | 138 | SH | DEFINED | 01 |
| GALLAGHER (ARTHUR J) | COM | 363576109 | 1300 | 39657 | SH | DEFINED | 01 |
| GALYAN'S TRADING | COM | 36458R101 | 5852 | 382500 | SH | DEFINED | 01 |
| GALYAN'S TRADING | COM | 36458R101 | 513 | 33500 | SH | DEFINED | 01 |
| GAMESTOP CORP | COM | 36466R101 | 8145 | 403200 | SH | DEFINED | 01 |
| GAMESTOP CORP | COM | 36466R101 | 113 | 5600 | SH | DEFINED | 01 |
| GAMESTOP CORP | COM | 36466R101 | 11968 | 592500 | SH | DEFINED | 01 |
| GAMESTOP CORP | COM | 36466R101 | 1424 | 70500 | SH | DEFINED | 11 |
| GAMESTOP CORP | COM | 36466R101 | 51 | 2500 | SH | DEFINED | 11 |
| GANNETT | COM | 364730101 | 240521 | 3160581 | SH | DEFINED | 01 |
| GANNETT | COM | 364730101 | 7345 | 96508 | SH | DEFINED | 01 |
| GANNETT | COM | 364730101 | 30745 | 404034 | SH | DEFINED | 01 |
| GANNETT CO INC | COM | 364730101 | 15704 | 206355 | SH | DEFINED | 01 |
| GAP | COM | 364760108 | 5532 | 367829 | SH | DEFINED | 01 |
| GAP | COM | 364760108 | 3296 | 219168 | SH | DEFINED | 01 |
| GAP | COM | 364760108 | 5382 | 357864 | SH | DEFINED | 01 |
| GAP INC. THE | COM | 364760108 | 17 | 1150 | SH | DEFINED | 01 |
| GARDNER DENVER | COM | 365558105 | 2927 | 119480 | SH | DEFINED | 01 |
| GARDNER DENVER | COM | 365558105 | 195 | 7940 | SH | DEFINED | 01 |
| GARDNER DENVER INC | COM | 365558105 | 9 | 380 | SH | DEFINED | 01 |
| GART SPORTS | COM | 366630101 | 2115 | 70040 | SH | DEFINED | 01 |
| GART SPORTS | COM | 366630101 | 207 | 6860 | SH | DEFINED | 01 |
| GART SPORTS COMPANY | COM | 366630101 | 11 | 370 | SH | DEFINED | 01 |
| GARTNER GROUP CL B | COM | 366651206 | 41 | 3164 | SH | DEFINED | 01 |
| GATEWAY | COM | 367626108 | 51 | 7969 | SH | DEFINED | 01 |
| GATEWAY | COM | 367626108 | 519 | 82100 | SH | DEFINED | 01 |
| GATEWAY | COM | 367626108 | 19 | 2945 | SH | DEFINED | 01 |
| GAYLORD ENTERTAINMEN | COM | 367905106 | 40 | 1500 | SH | DEFINED | 01 |
| GAYLORD ENTERTAINMEN | COM | 367905106 | 4 | 149 | SH | DEFINED | 01 |
| OAO GAZPROM ADR | COM | 368287207 | 96 | 6764 | SH | DEFINED | 01 |
| GEBERIT AG | COM | 368394995 | 168 | 650 | SH | DEFINED | 01 |
| GEMSTAR-TV GUIDE INTL INC | COM | 36866W106 | 8026 | 542615 | SH | DEFINED | 01 |
| GEMSTAR-TV GUIDE INTL INC | COM | 36866W106 | 299 | 20200 | SH | DEFINED | 01 |
| GENCORP | COM | 368682100 | 31 | 2000 | SH | DEFINED | 01 |
| GENCORP | COM | 368682100 | 2861 | 181993 | SH | DEFINED | 01 |
| GENE LOGIC INC | COM | 368689105 | 1499 | 77068 | SH | DEFINED | 01 |
| GENE LOGIC INC | COM | 368689105 | 3 | 140 | SH | DEFINED | 01 |
| GENE LOGIC INC | COM | 368689105 | 117 | 6000 | SH | DEFINED | 01 |
| GENENTECH | COM | 368710406 | 18 | 350 | SH | DEFINED | 01 |
| GENENTECH | COM | 368710406 | 140387 | 2782724 | SH | DEFINED | 01 |
| GENENTECH | COM | 368710406 | 59014 | 1169759 | SH | DEFINED | 01 |
| GENENTECH INC | COM | 368710406 | 100 | 1982 | SH | DEFINED | 01 |
| GENERAL COMMUNICATION CL A | COM | 369385109 | 2504 | 287822 | SH | DEFINED | 01 |
| GENERAL COMMUNICATION CL A | COM | 369385109 | 95 | 10870 | SH | DEFINED | 01 |
| GENERAL DYNAMICS | COM | 369550108 | 9 | 100 | SH | DEFINED | 01 |
| GENERAL DYNAMICS | COM | 369550108 | 3760 | 40024 | SH | DEFINED | 01 |
| GENERAL DYNAMICS | COM | 369550108 | 4804 | 51133 | SH | DEFINED | 01 |
| GENERAL DYNAMICS | COM | 369550108 | 21311 | 226835 | SH | DEFINED | 01 |
| GENERAL ELECTRIC | COM | 369604103 | 26234 | 700477 | SH | DEFINED | 01 |
| GENERAL ELECTRIC | COM | 369604103 | 1111997 | 29692829 | SH | DEFINED | 01 |
| GENERAL ELECTRIC | COM | 369604103 | 148647 | 3969176 | SH | DEFINED | 01 |
| GENERAL ELECTRIC | COM | 369604103 | 102679 | 2741758 | SH | DEFINED | 01 |
| GENERAL ELECTRIC | COM | 369604103 | 309656 | 8268511 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------|-----|-----------|--------|---------|----|---------|----|---|
| GENERAL ELECTRIC | COM | 369604103 | 4244 | 113333 | SH | DEFINED | 11 | 1 |
| GENERAL ELECTRIC COMPANY | COM | 369604103 | 30379 | 811210 | SH | DEFINED | 11 | 1 |
| GENERAL GROWTH | COM | 370021107 | 5 | 105 | SH | DEFINED | 01 | |
| GENERAL MILLS | COM | 370334104 | 6769 | 138560 | SH | DEFINED | 01 | |
| GENERAL MILLS | COM | 370334104 | 152707 | 3126064 | SH | DEFINED | 01 | |
| GENERAL MILLS | COM | 370334104 | 19153 | 392058 | SH | DEFINED | 01 | 0 |
| GENERAL MILLS | COM | 370334104 | 4522 | 92573 | SH | DEFINED | 01 | 0 |
| GENERAL MILLS | COM | 370334104 | 79524 | 1627913 | SH | DEFINED | 01 | 0 |
| GENERAL MOTORS | COM | 370442105 | 60 | 1000 | SH | DEFINED | 01 | |
| GENERAL MOTORS | COM | 370442105 | 89217 | 1475878 | SH | DEFINED | 01 | |
| GENERAL MOTORS | COM | 370442105 | 991 | 16400 | SH | DEFINED | 01 | 0 |
| GENERAL MOTORS | COM | 370442105 | 10318 | 170690 | SH | DEFINED | 01 | 0 |
| GENERAL MOTORS | COM | 370442105 | 12408 | 205277 | SH | DEFINED | 01 | 0 |
| GENERAL MOTORS | PRF | 370442733 | 23709 | 867500 | SH | DEFINED | 01 | |
| GENERAL MOTORS | PRF | 370442733 | 3621 | 132500 | SH | DEFINED | 01 | 0 |
| GENERAL MOTORS CL H | COM | 370442832 | 1956 | 118908 | SH | DEFINED | 01 | |
| GENESCO | COM | 371532102 | 1844 | 66893 | SH | DEFINED | 01 | |
| GENESEE & WYOMING | COM | 371559105 | 1875 | 79200 | SH | DEFINED | 01 | |
| GENESEE & WYOMING | COM | 371559105 | 9 | 345 | SH | DEFINED | 01 | 0 |
| GENESEE & WYOMING | COM | 371559105 | 185 | 7800 | SH | DEFINED | 01 | 0 |
| GENESIS HEALTH VENTU | COM | 37183F107 | 8 | 432 | SH | DEFINED | 01 | |
| GENESIS MICROCHIP | COM | 37184C103 | 543 | 20900 | SH | DEFINED | 01 | |
| GENTEX | COM | 371901109 | 76 | 2577 | SH | DEFINED | 01 | |
| GENTEX | COM | 371901109 | 1019 | 34400 | SH | DEFINED | 01 | 0 |
| GENTEX CORP | COM | 371901109 | 46552 | 1571100 | SH | DEFINED | 01 | 0 |
| GENLYTE GROUP INC | COM | 372302109 | 19 | 500 | SH | DEFINED | 01 | 0 |
| GENLYTE GROUP INC | COM | 372302109 | 7 | 180 | SH | DEFINED | 01 | 0 |
| GENTA INC | COM | 37245M207 | 6 | 385 | SH | DEFINED | 01 | |
| GENTA INC | COM | 37245M207 | 2 | 130 | SH | DEFINED | 01 | 0 |
| GENUINE PARTS | COM | 372460105 | 5794 | 157562 | SH | DEFINED | 01 | |
| GENUINE PARTS | COM | 372460105 | 3841 | 104481 | SH | DEFINED | 01 | |
| GENUINE PARTS | COM | 372460105 | 1620 | 44058 | SH | DEFINED | 01 | 0 |
| GENUINE PARTS | COM | 372460105 | 58 | 1580 | SH | DEFINED | 01 | 0 |
| GENUS | COM | 372461103 | 212 | 50000 | SH | DEFINED | 01 | |
| GENTIVA HEALTH SERVICES | COM | 37247A102 | 1 | 48 | SH | DEFINED | 01 | |
| GENZYME CORP | COM | 372917104 | 197 | 4500 | SH | DEFINED | 01 | 0 |
| GENZYME-GENERAL DIVISION | COM | 372917104 | 16607 | 380308 | SH | DEFINED | 01 | |
| GENZYME-GENERAL DIVISION | COM | 372917104 | 2348 | 53768 | SH | DEFINED | 01 | 0 |
| GENZYME-GENERAL DIVISION | COM | 372917104 | 21255 | 486730 | SH | DEFINED | 01 | 0 |
| GEORGIA GULF | COM | 373200203 | 4849 | 180607 | SH | DEFINED | 01 | |
| GEORGIA GULF | COM | 373200203 | 126 | 4703 | SH | DEFINED | 01 | 0 |
| GEORGIA GULF CORP | COM | 373200203 | 4 | 150 | SH | DEFINED | 01 | 0 |
| GEORGIA-PACIFIC | COM | 373298108 | 17866 | 596540 | SH | DEFINED | 01 | |
| GEORGIA-PACIFIC | COM | 373298108 | 3095 | 103334 | SH | DEFINED | 01 | 0 |
| GEORGIA-PACIFIC | COM | 373298108 | 581 | 19389 | SH | DEFINED | 01 | 0 |
| GERBER SCIENTIFIC | COM | 373730100 | 498 | 67710 | SH | DEFINED | 01 | |
| GETTY IMAGES INC | COM | 374276103 | 10 | 330 | SH | DEFINED | 01 | |
| GILBRALTER STEEL | COM | 37476F103 | 1850 | 85000 | SH | DEFINED | 01 | |
| GILBRALTER STEEL | COM | 37476F103 | 159 | 7300 | SH | DEFINED | 01 | 0 |
| GILEAD SCIENCES | COM | 375558103 | 40939 | 1137500 | SH | DEFINED | 01 | |
| GILEAD SCIENCES | COM | 375558103 | 38900 | 1080820 | SH | DEFINED | 01 | 0 |
| GILEAD SCIENCES | COM | 375558103 | 770 | 21400 | SH | DEFINED | 01 | 0 |
| GILEAD SCIENCES | COM | 375558103 | 24268 | 674298 | SH | DEFINED | 01 | 0 |
| GILLETTE | COM | 375766102 | 10 | 300 | SH | DEFINED | 01 | |
| GILLETTE | COM | 375766102 | 193516 | 5689977 | SH | DEFINED | 01 | |
| GILLETTE | COM | 375766102 | 9592 | 282028 | SH | DEFINED | 01 | 0 |
| GILLETTE | COM | 375766102 | 61816 | 1817621 | SH | DEFINED | 01 | 0 |
| GILLETTE CO | COM | 375766102 | 25401 | 746804 | SH | DEFINED | 01 | 0 |
| GJENSIDIGE NOR SPAREBANK | COM | 376499992 | 27 | 800 | SH | DEFINED | 01 | |
| GLADSTONE CAP CORP | COM | 376535100 | 7 | 390 | SH | DEFINED | 01 | 0 |
| GLADSTONE CAPITAL | COM | 376535100 | 1314 | 73800 | SH | DEFINED | 01 | |
| GLADSTONE CAPITAL | COM | 376535100 | 146 | 8200 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|---------------------------|-----|-----------|-------|---------|----|---------|----|
| GIVAUDAN AG | COM | 376543997 | 716 | 2230 | SH | DEFINED | 01 |
| GLAMIS GOLD LTD | COM | 376775102 | 588 | 110000 | SH | DEFINED | 01 |
| GLATFELTER | COM | 377316104 | 33 | 1843 | SH | DEFINED | 01 |
| GLAXOSMITHKLINE PLC-ADR | COM | 37733W105 | 13865 | 295000 | SH | DEFINED | 01 |
| GLAXOSMITHKLINE PLC-ADR | COM | 37733W105 | 531 | 11300 | SH | DEFINED | 01 |
| GLAXOSMITHKLINE | COM | 377555990 | 46697 | 1982667 | SH | DEFINED | 01 |
| GLAXOSMITHKLINE | COM | 377555990 | 7218 | 306441 | SH | DEFINED | 01 |
| GLAXOSMITHKLINE | COM | 377555990 | 28403 | 1205904 | SH | DEFINED | 01 |
| GLENAYRE TECHNOLOGIE | COM | 377899109 | 0 | 212 | SH | DEFINED | 01 |
| GLENBOROUGH REALTY T | COM | 37803P105 | 3 | 139 | SH | DEFINED | 01 |
| GLOBAL BIO-CHEM TECH | COM | 379305998 | 126 | 360000 | SH | DEFINED | 01 |
| GLOBAL INDUSTRIES | COM | 379336100 | 12 | 1300 | SH | DEFINED | 01 |
| GLOBAL INDUSTRIES | COM | 379336100 | 257 | 27543 | SH | DEFINED | 01 |
| GLOBAL IMAGING SYS | COM | 37934A100 | 780 | 42400 | SH | DEFINED | 01 |
| GLOBAL SPORT INC | COM | 37937A107 | 6 | 350 | SH | DEFINED | 01 |
| GLOBAL PAYMENTS | COM | 37940X102 | 6615 | 180450 | SH | DEFINED | 01 |
| GLOBAL PAYMENTS | COM | 37940X102 | 176 | 4800 | SH | DEFINED | 01 |
| GLOBAL PAYMENTS INC | COM | 37940X102 | 4 | 120 | SH | DEFINED | 01 |
| GLOBIX CORP | COM | 37957F101 | 0 | 104 | SH | DEFINED | 01 |
| GLOBESPAN VIRATA INC | COM | 37957V106 | 104 | 7000 | SH | DEFINED | 01 |
| GLOBESPAN VIRATA INC | COM | 37957V106 | 3124 | 209429 | SH | DEFINED | 01 |
| GOLD BANC CORP | COM | 379907108 | 10 | 1140 | SH | DEFINED | 01 |
| GOAMERICA INC | COM | 38020R106 | 1 | 371 | SH | DEFINED | 01 |
| GOLDCREST CO LTD | COM | 380455998 | 123 | 3500 | SH | DEFINED | 01 |
| GOLD FIELDS | COM | 380570994 | 6815 | 652000 | SH | DEFINED | 01 |
| GOLD FIELDS | COM | 380570994 | 105 | 10000 | SH | DEFINED | 01 |
| GOLDFIELDS ADR | COM | 38059T106 | 2457 | 235800 | SH | DEFINED | 01 |
| GOLDFIELDS ADR | COM | 38059T106 | 183 | 17600 | SH | DEFINED | 01 |
| GOLDCORP | COM | 380956995 | 2609 | 150000 | SH | DEFINED | 01 |
| GOLDEN STATE BANCORP | COM | 381197102 | 9211 | 310238 | SH | DEFINED | 01 |
| GOLDEN STATE BANCORP | COM | 381197102 | 621 | 20900 | SH | DEFINED | 01 |
| GOLDEN STATE BANCORP | COM | 381197102 | 238 | 8000 | SH | DEFINED | 01 |
| GOLDEN STAR RESOURCES LTD | COM | 38119T104 | 432 | 250000 | SH | DEFINED | 01 |
| GOLDEN WEST FINANCIAL | COM | 381317106 | 17185 | 270617 | SH | DEFINED | 01 |
| GOLDEN WEST FINANCIAL | COM | 381317106 | 3311 | 52139 | SH | DEFINED | 01 |
| GOLDEN WEST FINANCIAL | COM | 381317106 | 389 | 6133 | SH | DEFINED | 01 |
| GOLDMAN SACHS GROUP | COM | 38141G104 | 13130 | 145484 | SH | DEFINED | 01 |
| GOLDMAN SACHS GROUP | COM | 38141G104 | 498 | 5520 | SH | DEFINED | 01 |
| GOODRICH | COM | 382388106 | 698 | 22050 | SH | DEFINED | 01 |
| GOODRICH | COM | 382388106 | 27041 | 854647 | SH | DEFINED | 01 |
| GOODRICH | COM | 382388106 | 815 | 25768 | SH | DEFINED | 01 |
| GOODRICH | COM | 382388106 | 2306 | 72889 | SH | DEFINED | 01 |
| GOODRICH CORPORATION | COM | 382388106 | 4746 | 150000 | SH | DEFINED | 01 |
| GOODYEAR TIRE & RUBBER | COM | 382550101 | 102 | 4010 | SH | DEFINED | 01 |
| GOODYEAR TIRE & RUBBER | COM | 382550101 | 1057 | 41335 | SH | DEFINED | 01 |
| GOODYEAR TIRE & RUBBER | COM | 382550101 | 38 | 1483 | SH | DEFINED | 01 |
| GOODY'S FAMILY CLOTHING | COM | 382588101 | 782 | 99566 | SH | DEFINED | 01 |
| GORP.COM(SER B PEF) | PRF | 382900XX1 | 151 | 97087 | SH | DEFINED | 01 |
| GORP.COM(SER B PEF) | PRF | 382900XX1 | 1363 | 873786 | SH | DEFINED | 01 |
| W R GRACE | COM | 38388F108 | 0 | 124 | SH | DEFINED | 01 |
| GRACO | COM | 384109104 | 5085 | 124475 | SH | DEFINED | 01 |
| GAMESA ORDS | COM | 38414M911 | 136 | 8000 | SH | DEFINED | 01 |
| GRAINGER (WW) | COM | 384802104 | 8452 | 150313 | SH | DEFINED | 01 |
| GRAINGER (WW) | COM | 384802104 | 1894 | 33697 | SH | DEFINED | 01 |
| GRAINGER (WW) | COM | 384802104 | 267 | 4750 | SH | DEFINED | 01 |
| Granite Broadcasting Corp | COM | 387241102 | 18 | 8566 | SH | DEFINED | 01 |
| GRANITE CONSTRUCTION | COM | 387328107 | 52 | 2100 | SH | DEFINED | 01 |
| GRANITE CONSTRUCTION | COM | 387328107 | 42 | 1718 | SH | DEFINED | 01 |
| GRANT PRIDECO | COM | 38821G101 | 8800 | 643277 | SH | DEFINED | 01 |
| GRANT PRIDECO | COM | 38821G101 | 14510 | 1060700 | SH | DEFINED | 01 |
| GRANT PRIDECO INC | COM | 38821G101 | 3091 | 225948 | SH | DEFINED | 01 |
| GRANT PRIDECO INC | COM | 38821G101 | 89 | 6500 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|-------|----------|----|---------|----|
| GREAT AMERICAN FINANCIAL RESOU | COM | 389915109 | 7 | 410 | SH | DEFINED | 01 |
| GREAT ATLANTIC & PACIFIC TEA | COM | 390064103 | 3289 | 117956 | SH | DEFINED | 01 |
| GREAT LAKES CHEMICAL | COM | 390568103 | 40 | 1405 | SH | DEFINED | 01 |
| GREAT LAKES CHEMICAL | COM | 390568103 | 358 | 12722 | SH | DEFINED | 01 |
| GREAT LAKES CHEMICAL | COM | 390568103 | 13 | 456 | SH | DEFINED | 01 |
| GUS PLC | COM | 391091998 | 248 | 24909 | SH | DEFINED | 01 |
| GREAT PLAINS ENERGY | COM | 391164100 | 56 | 2257 | SH | DEFINED | 01 |
| GREATER BAY BANCORP | COM | 391648102 | 4018 | 117767 | SH | DEFINED | 01 |
| GREATER BAY BANCORP | COM | 391648102 | 5 | 150 | SH | DEFINED | 01 |
| GREATER BAY BANCORP | COM | 391648102 | 7500 | 219800 | SH | DEFINED | 01 |
| GREEN MOUNTAIN COFFEE | COM | 393122106 | 1200 | 57800 | SH | DEFINED | 01 |
| GREEN MOUNTAIN COFFEE | COM | 393122106 | 100 | 4800 | SH | DEFINED | 01 |
| GREEN MOUNTAIN INC | COM | 393122106 | 2 | 100 | SH | DEFINED | 01 |
| GREEN MOUNTAIN POWER | COM | 393154109 | 318 | 17434 | SH | DEFINED | 01 |
| GREENPOINT FINANCIAL | COM | 395384100 | 11482 | 262746 | SH | DEFINED | 01 |
| GREENPOINT FINANCIAL | COM | 395384100 | 865 | 19800 | SH | DEFINED | 01 |
| GREENPOINT FINANCIAL | COM | 395384100 | 332 | 7600 | SH | DEFINED | 01 |
| GREIF BROS CORP | COM | 397624107 | 14 | 400 | SH | DEFINED | 01 |
| GREIF BROS CORP | COM | 397624107 | 5 | 144 | SH | DEFINED | 01 |
| GREY ADVERTISING INC | COM | 39787M108 | 3 | 5 | SH | DEFINED | 01 |
| GREY WOLF | COM | 397888108 | 49 | 12300 | SH | DEFINED | 01 |
| GREY WOLF | COM | 397888108 | 2420 | 610901 | SH | DEFINED | 01 |
| GREY WOLF | COM | 397888108 | 258 | 65200 | SH | DEFINED | 01 |
| GREY WOLF INC | COM | 397888108 | 3 | 800 | SH | DEFINED | 01 |
| GRIFFON | COM | 398433102 | 3367 | 201013 | SH | DEFINED | 01 |
| GRIFFON | COM | 398433102 | 162 | 9700 | SH | DEFINED | 01 |
| GRIFFON CORP | COM | 398433102 | 11 | 676 | SH | DEFINED | 01 |
| GROUP 1 AUTOMOTIVE | COM | 398905109 | 5810 | 148794 | SH | DEFINED | 01 |
| GROUP 1 AUTOMOTIVE | COM | 398905109 | 219 | 5600 | SH | DEFINED | 01 |
| GROUP ONE AUTOMOTIVE | COM | 398905109 | 6 | 160 | SH | DEFINED | 01 |
| GROUP 4 FALCK A/S | COM | 399011998 | 95 | 791 | SH | DEFINED | 01 |
| BOUYGUES | COM | 4002121 | 23 | 692 | SH | DEFINED | 01 |
| GRUPO DRAGADOS | COM | 400380994 | 1336 | 104500 | SH | DEFINED | 01 |
| GRUPO FERROVIAL | COM | 400400990 | 115 | 5153 | SH | DEFINED | 01 |
| GRUPO FINANCIERO BBVA BANCOMER | COM | 400401550 | 16178 | 14765839 | SH | DEFINED | 01 |
| GRUPO FINANCIERO BBVA BANCOMER | COM | 400401550 | 228 | 207687 | SH | DEFINED | 01 |
| GRUPO MODELO - C ... MX;GMOD. | COM | 400488987 | 5197 | 2034178 | SH | DEFINED | 01 |
| GRUPO MODELO - C ... MX;GMOD. | COM | 400488987 | 169 | 66199 | SH | DEFINED | 01 |
| GRUPO TELEVISIA ADR | COM | 40049J206 | 53 | 1100 | SH | DEFINED | 01 |
| GRUPO TELEVISIA ADR | COM | 40049J206 | 61905 | 1276138 | SH | DEFINED | 01 |
| GRUPO TELEVISIA ADR | COM | 40049J206 | 11356 | 234088 | SH | DEFINED | 01 |
| G-TELEVISIA | COM | 40049J206 | 53 | 1100 | SH | DEFINED | 01 |
| UMICORE | COM | 4005001 | 2 | 58 | SH | DEFINED | 01 |
| GTECH HOLDINGS | COM | 400518106 | 3804 | 78012 | SH | DEFINED | 01 |
| GTECH HOLDINGS | COM | 400518106 | 239 | 4900 | SH | DEFINED | 01 |
| GRUPO AEROPORTUARIO S-ADR | COM | 40051E202 | 104 | 6900 | SH | DEFINED | 01 |
| GUANGDONG ALLIANCE | COM | 400651998 | 1 | 52309 | SH | DEFINED | 01 |
| AIR LIQUIDE (| COM | 4011406 | 36 | 243 | SH | DEFINED | 01 |
| EADS | COM | 4012250 | 6 | 447 | SH | DEFINED | 01 |
| GUCCI GROUP | COM | 401566104 | 93 | 1000 | SH | DEFINED | 01 |
| GUCCI GROUP | COM | 401566104 | 42 | 454 | SH | DEFINED | 01 |
| ALLEANZA ASSICURAZ | COM | 4015970 | 10 | 1000 | SH | DEFINED | 01 |
| GUESS INC | COM | 401617105 | 1 | 174 | SH | DEFINED | 01 |
| GUIDANT | COM | 401698105 | 19660 | 453848 | SH | DEFINED | 01 |
| GUIDANT | COM | 401698105 | 3345 | 77217 | SH | DEFINED | 01 |
| GUIDANT | COM | 401698105 | 32189 | 743071 | SH | DEFINED | 01 |
| GUIDANT CORP | COM | 401698105 | 13047 | 301190 | SH | DEFINED | 01 |
| ALLIED IRISH | COM | 4020684 | 33 | 2712 | SH | DEFINED | 01 |
| ADIDAS-SALOM | COM | 4031976 | 7 | 100 | SH | DEFINED | 01 |
| GYMBOREE | COM | 403777105 | 3629 | 246003 | SH | DEFINED | 01 |
| GYMBOREE | COM | 403777105 | 3 | 200 | SH | DEFINED | 01 |
| GYMBOREE | COM | 403777105 | 195 | 13200 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|----------|-----------|--------|---------|-----|---------|------|
| HCA | COM | 404119109 | 390040 | 8848467 | SH | DEFINED | 01 |
| HCA | COM | 404119109 | 6423 | 145710 | SH | DEFINED | 01 0 |
| HCA | COM | 404119109 | 83043 | 1883925 | SH | DEFINED | 01 0 |
| HCA INC | COM | 404119109 | 19046 | 432121 | SH | DEFINED | 01 0 |
| HCC INSURANCE | COM | 404132102 | 40449 | 1447150 | SH | DEFINED | 01 0 |
| HCC INSURANCE HOLDINGS | COM | 404132102 | 5178 | 185251 | SH | DEFINED | 01 0 |
| HCC INSURANCE HOLDINGS | COM | 404132102 | 741 | 26500 | SH | DEFINED | 01 0 |
| HDFC BANK ADR | COM | 40415F101 | 2384 | 155300 | SH | DEFINED | 01 |
| HDFC BANK ADR | COM | 40415F101 | 75 | 4900 | SH | DEFINED | 01 0 |
| HEI | COM | 404160103 | 683 | 112000 | SH | DEFINED | 01 |
| HBOS | COM | 404200990 | 7145 | 661563 | SH | DEFINED | 01 |
| HBOS | COM | 404200990 | 10314 | 954842 | SH | DEFINED | 01 0 |
| HK PROPERTY | COM | 404207995 | 1 | 52309 | SH | DEFINED | 01 |
| HNC SOFTWARE | COM | 40425P107 | 3949 | 235049 | SH | DEFINED | 01 |
| HNC SOFTWARE | COM | 40425P107 | 2 | 140 | SH | DEFINED | 01 0 |
| HNC SOFTWARE | COM | 40425P107 | 151 | 9000 | SH | DEFINED | 01 0 |
| HPL TECHNOLOGIES INC | COM | 40426C105 | 3 | 170 | SH | DEFINED | 01 0 |
| HRPT PROPERTIES TR | COM | 40426W101 | 8 | 923 | SH | DEFINED | 01 |
| HSBC HOLDINGS | COM | 404400996 | 31972 | 2765051 | SH | DEFINED | 01 |
| HSBC HOLDINGS | COM | 404400996 | 7563 | 654087 | SH | DEFINED | 01 0 |
| HSBC HOLDINGS | COM | 404400996 | 9561 | 826883 | SH | DEFINED | 01 0 |
| HAEMONETICS | COM | 405024100 | 102 | 3200 | SH | DEFINED | 01 |
| HAEMONETICS | COM | 405024100 | 2582 | 81360 | SH | DEFINED | 01 |
| ATLAS COPCO | COM | 4050971 | 7 | 300 | SH | DEFINED | 01 1 |
| ATLAS COPCO | COM | 4050982 | 2 | 100 | SH | DEFINED | 01 1 |
| HAGEMEYER | COM | 405111998 | 511 | 23050 | SH | DEFINED | 01 |
| HAGGAR | COM | 405173105 | 240 | 19506 | SH | DEFINED | 01 |
| HAIN CELESTIAL GROUP | COM | 405217100 | 49 | 2200 | SH | DEFINED | 01 |
| HAIN CELESTIAL GROUP | COM | 405217100 | 2313 | 103962 | SH | DEFINED | 01 |
| ASSIC GENERALI | COM | 4056719 | 87 | 3530 | SH | DEFINED | 01 1 |
| L'OREAL | COM | 4057808 | 104 | 1405 | SH | DEFINED | 01 1 |
| BCO ESPIR SA | COM | 4058061 | 5 | 489 | SH | DEFINED | 01 1 |
| HALL, KINION & ASSOCIATES | COM | 406069104 | 329 | 39684 | SH | DEFINED | 01 |
| LVMH MOET | COM | 4061412 | 52 | 1018 | SH | DEFINED | 01 1 |
| HALLA CLIMATE CONTRO | COM | 406150995 | 318 | 7000 | SH | DEFINED | 01 |
| HALLIBURTON | COM | 406216101 | 180 | 10548 | SH | DEFINED | 01 |
| HALLIBURTON | COM | 406216101 | 1857 | 108799 | SH | DEFINED | 01 0 |
| HALLIBURTON | COM | 406216101 | 67 | 3904 | SH | DEFINED | 01 0 |
| AUTOPISTAS C | COM | 4065663 | 6 | 686 | SH | DEFINED | 01 1 |
| BCA POP DI M | COM | 4072168 | 3 | 680 | SH | DEFINED | 01 1 |
| SAGEM(APPLIC GEN) | COM | 4074432 | 5 | 84 | SH | DEFINED | 01 1 |
| INTESABCI S.P.A. | COM | 4076836 | 43 | 14463 | SH | DEFINED | 01 1 |
| INTESABCI SPA | COM | 4076847 | 4 | 2000 | SH | DEFINED | 01 1 |
| MONDADORI | COM | 4084895 | 4 | 500 | SH | DEFINED | 01 1 |
| HANCOCK FABRICS | COM | 409900107 | 3036 | 168228 | SH | DEFINED | 01 |
| HANCOCK FABRICS | COM | 409900107 | 162 | 9000 | SH | DEFINED | 01 0 |
| BERGESEN DY ASA CL A | COM | 4100898 | 3 | 135 | SH | DEFINED | 01 1 |
| HANCOCK HLDG CO | COM | 410120109 | 3 | 51 | SH | DEFINED | 01 |
| HANCOCK JOHN FINL SV | COM | 41014S106 | 16238 | 425210 | SH | DEFINED | 01 0 |
| JOHN HANCOCK FINANCIAL SERVICE | COM | 41014S106 | 6 | 150 | SH | DEFINED | 01 |
| JOHN HANCOCK FINANCIAL SERVICE | COM | 41014S106 | 5034 | 131838 | SH | DEFINED | 01 |
| JOHN HANCOCK FINANCIAL SERVICE | COM | 41014S106 | 2890 | 75680 | SH | DEFINED | 01 0 |
| JOHN HANCOCK FINANCIAL SERVICE | COM | 41014S106 | 7551 | 197716 | SH | DEFINED | 01 0 |
| HANDLEMAN | COM | 410252100 | 1122 | 109305 | SH | DEFINED | 01 |
| HANDLEMAN | COM | 410252100 | 85 | 8237 | SH | DEFINED | 01 0 |
| HANDSPRING INC | COM | 410293104 | 615 | 132162 | SH | DEFINED | 01 |
| ELEC DE PORT | COM | 4103596 | 18 | 8755 | SH | DEFINED | 01 1 |
| HANG LUNG PROPERTIES LTD | COM | 410435994 | 65 | 69000 | SH | DEFINED | 01 |
| HANNOVER RUECKVERSICHERUNGS | COM | 410755995 | 401 | 5500 | SH | DEFINED | 01 |
| HANOVER COMPRESSOR | COM | 410768105 | 40 | 2247 | SH | DEFINED | 01 |
| HANOVER COMPRESS CVT | CONV BND | 410768AC9 | 2434 | 3000000 | PRN | DEFINED | 01 0 |
| HANOVER DIRECT INC | COM | 410783104 | 0 | 889 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|------|
| HANSON ADR | COM | 411352404 | 64428 | 1680000 | SH | DEFINED | 01 |
| HANSON ADR | COM | 411352404 | 1430 | 37300 | SH | DEFINED | 01 0 |
| HANSON ADR | COM | 411352404 | 3161 | 82441 | SH | DEFINED | 01 0 |
| HANSON PLC ADR | COM | 411352404 | 924 | 24100 | SH | DEFINED | 01 0 |
| BWT | COM | 4119054 | 1 | 30 | SH | DEFINED | 01 1 |
| BANK OF PIRAEUS | COM | 4122676 | 3 | 520 | SH | DEFINED | 01 1 |
| HARLAND (JOHN H) | COM | 412693103 | 5326 | 183210 | SH | DEFINED | 01 |
| HARLAND (JOHN H) | COM | 412693103 | 174 | 6000 | SH | DEFINED | 01 0 |
| HARLAND JOHN H | COM | 412693103 | 12 | 420 | SH | DEFINED | 01 0 |
| HARLEY DAVIDSON | COM | 412822108 | 772 | 14000 | SH | DEFINED | 01 |
| HARLEY-DAVIDSON | COM | 412822108 | 13073 | 237139 | SH | DEFINED | 01 |
| HARLEY-DAVIDSON | COM | 412822108 | 4654 | 84408 | SH | DEFINED | 01 0 |
| HARLEY-DAVIDSON | COM | 412822108 | 12441 | 225653 | SH | DEFINED | 01 0 |
| HARMAN INTERNATIONAL | COM | 413086109 | 16 | 330 | SH | DEFINED | 01 0 |
| HARMAN INTL INDS | COM | 413086109 | 44 | 900 | SH | DEFINED | 01 |
| HARMAN INTL INDS | COM | 413086109 | 9245 | 187350 | SH | DEFINED | 01 |
| HARMAN INTL INDS | COM | 413086109 | 244 | 4938 | SH | DEFINED | 01 0 |
| HARMONY GOLD MINING | COM | 413138991 | 3083 | 275000 | SH | DEFINED | 01 |
| HARMONIC | COM | 413160102 | 2103 | 181233 | SH | DEFINED | 01 |
| HARMONY GOLD MINING WTS EXP 06 | COM | 413216110 | 252 | 33000 | SH | DEFINED | 01 |
| HARRAH'S ENTERTAINMENT | COM | 413619107 | 28437 | 642494 | SH | DEFINED | 01 |
| HARRAH'S ENTERTAINMENT | COM | 413619107 | 1259 | 28441 | SH | DEFINED | 01 0 |
| HARRAH'S ENTERTAINMENT | COM | 413619107 | 1058 | 23902 | SH | DEFINED | 01 0 |
| HARRIS | COM | 413875105 | 80 | 2231 | SH | DEFINED | 01 |
| ELSEVIER NV | COM | 4148810 | 25 | 1847 | SH | DEFINED | 01 1 |
| DANISCO A/S | COM | 4155586 | 3 | 100 | SH | DEFINED | 01 1 |
| HARSCO | COM | 415864107 | 58 | 1470 | SH | DEFINED | 01 |
| HARSCO CORP | COM | 415864107 | 17 | 445 | SH | DEFINED | 01 0 |
| HARTE-HANKS | COM | 416196103 | 75 | 2351 | SH | DEFINED | 01 |
| THALES (EX THOMPSON CSF) | COM | 4162791 | 14 | 400 | SH | DEFINED | 01 1 |
| CAP GEMINI | COM | 4163437 | 22 | 298 | SH | DEFINED | 01 1 |
| HARTFORD FINANCIAL SERVICES GR | COM | 416515104 | 18864 | 276915 | SH | DEFINED | 01 |
| HARTFORD FINANCIAL SERVICES GR | COM | 416515104 | 4232 | 62126 | SH | DEFINED | 01 0 |
| HARTFORD FINANCIAL SERVICES GR | COM | 416515104 | 9724 | 142757 | SH | DEFINED | 01 0 |
| HARTFORD FINL SVCS | COM | 416515104 | 341 | 5010 | SH | DEFINED | 01 0 |
| HARVARD BIOSCIENCE | COM | 416906105 | 2 | 200 | SH | DEFINED | 01 0 |
| HARVARD BIOSCIENCE, INC. | COM | 416906105 | 1327 | 151000 | SH | DEFINED | 01 |
| HARVARD BIOSCIENCE, INC. | COM | 416906105 | 143 | 16300 | SH | DEFINED | 01 0 |
| CARLSBERG | COM | 4169208 | 4 | 100 | SH | DEFINED | 01 1 |
| CARLSBERG | COM | 4169219 | 4 | 100 | SH | DEFINED | 01 1 |
| CIMPOR CIMEN | COM | 4175896 | 7 | 392 | SH | DEFINED | 01 1 |
| CASINO GUICH | COM | 4178419 | 14 | 195 | SH | DEFINED | 01 1 |
| HASBRO | COM | 418056107 | 337 | 21300 | SH | DEFINED | 01 |
| HASBRO | COM | 418056107 | 66 | 4169 | SH | DEFINED | 01 |
| HASBRO | COM | 418056107 | 693 | 43785 | SH | DEFINED | 01 0 |
| HASBRO | COM | 418056107 | 25 | 1570 | SH | DEFINED | 01 0 |
| CRH | COM | 4182249 | 24 | 1367 | SH | DEFINED | 01 1 |
| DCC PLC | COM | 4189477 | 2 | 189 | SH | DEFINED | 01 1 |
| HAVAS ADVERTISING | COM | 419313101 | 226 | 25088 | SH | DEFINED | 01 |
| HAVERTY FURNITURE | COM | 419596101 | 1 | 78 | SH | DEFINED | 01 |
| HAWAIIAN ELEC IND | COM | 419870100 | 20 | 460 | SH | DEFINED | 01 0 |
| HAWAIIAN ELECTRIC INDS | COM | 419870100 | 2122 | 48209 | SH | DEFINED | 01 |
| HAWAIIAN ELECTRIC INDS | COM | 419870100 | 211 | 4800 | SH | DEFINED | 01 0 |
| HAYS PLC | COM | 420400996 | 3382 | 1315711 | SH | DEFINED | 01 0 |
| CLUB MEDITER | COM | 4204370 | 2 | 40 | SH | DEFINED | 01 1 |
| HAWTHORNE FINANCIAL | COM | 420542102 | 9 | 290 | SH | DEFINED | 01 0 |
| HAYES LEMMERZ INTERNATIONAL IN | COM | 420781106 | 0 | 86 | SH | DEFINED | 01 |
| COMMERCIAL BANK OF GREECE | COM | 4212823 | 7 | 300 | SH | DEFINED | 01 1 |
| HEALTH CARE PROP INV | COM | 421915109 | 6 | 153 | SH | DEFINED | 01 |
| HEALTHSOUTH | COM | 421924101 | 1874 | 130623 | SH | DEFINED | 01 |
| HEALTHSOUTH | COM | 421924101 | 1427 | 99409 | SH | DEFINED | 01 0 |
| HEALTHSOUTH | COM | 421924101 | 51 | 3567 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | |
|------------------------------------|-----------|-------|---------|----|---------|------|
| HEALTH MANAGEMENT ASSOCIATES C COM | 421933102 | 41584 | 2005971 | SH | DEFINED | 01 |
| HEALTH MANAGEMENT ASSOCIATES C COM | 421933102 | 6506 | 313857 | SH | DEFINED | 01 0 |
| HEALTH MANAGEMENT ASSOCIATES C COM | 421933102 | 1102 | 53148 | SH | DEFINED | 01 0 |
| HEALTH MGMT ASSOC COM | 421933102 | 2254 | 108730 | SH | DEFINED | 01 0 |
| HEALTHCARE RLTY TR COM | 421946104 | 1315 | 43338 | SH | DEFINED | 01 |
| HEALTHCARE RLTY TR COM | 421946104 | 121 | 4000 | SH | DEFINED | 01 0 |
| HEADWATERS COM | 42210P102 | 1858 | 121426 | SH | DEFINED | 01 |
| HEADWATERS COM | 42210P102 | 191 | 12474 | SH | DEFINED | 01 0 |
| HEADWATERS INC COM | 42210P102 | 4 | 260 | SH | DEFINED | 01 0 |
| HEALTH CARE REIT INC COM | 42217K106 | 3 | 103 | SH | DEFINED | 01 |
| HEALTH NET COM | 42222G108 | 118 | 4316 | SH | DEFINED | 01 |
| HEARST-ARGYLE TELEVI COM | 422317107 | 6 | 253 | SH | DEFINED | 01 |
| HEARTLAND EXPRESS COM | 422347104 | 6566 | 328894 | SH | DEFINED | 01 |
| HEARTLAND EXPRESS COM | 422347104 | 1996 | 100013 | SH | DEFINED | 01 0 |
| HEARTLAND EXPRESS IN COM | 422347104 | 9 | 416 | SH | DEFINED | 01 0 |
| HEIDRICK & STRUGGLES INTL COM | 422819102 | 1959 | 94181 | SH | DEFINED | 01 |
| HEIDELBERGER ZEMENT COM | 422820100 | 190 | 4100 | SH | DEFINED | 01 |
| HEINEKEN NV COM | 423011998 | 272 | 6685 | SH | DEFINED | 01 |
| HERO HONDA MOTORS COM | 423055995 | 2400 | 350780 | SH | DEFINED | 01 |
| HERO HONDA MOTORS COM | 423055995 | 78 | 11350 | SH | DEFINED | 01 0 |
| HEINZ (HJ) COM | 423074103 | 153 | 3700 | SH | DEFINED | 01 |
| HEINZ (HJ) COM | 423074103 | 13588 | 327431 | SH | DEFINED | 01 |
| HEINZ (HJ) COM | 423074103 | 3887 | 93659 | SH | DEFINED | 01 0 |
| HEINZ (HJ) COM | 423074103 | 13637 | 328594 | SH | DEFINED | 01 0 |
| HEINZ H.J. COM | 423074103 | 3598 | 86720 | SH | DEFINED | 01 0 |
| UNICREDITO COM | 4232445 | 53 | 12250 | SH | DEFINED | 01 1 |
| HELIX TECHNOLOGY COM | 423319102 | 3856 | 152299 | SH | DEFINED | 01 |
| HELIX TECHNOLOGY COM | 423319102 | 4 | 140 | SH | DEFINED | 01 0 |
| HELIX TECHNOLOGY COM | 423319102 | 102 | 4034 | SH | DEFINED | 01 0 |
| HELMERICH & PAYNE COM | 423452101 | 657 | 16400 | SH | DEFINED | 01 |
| HELMERICH & PAYNE COM | 423452101 | 1964 | 48979 | SH | DEFINED | 01 |
| HELMERICH & PAYNE COM | 423452101 | 164 | 4100 | SH | DEFINED | 01 0 |
| ALPHA BANK COM | 4235864 | 10 | 620 | SH | DEFINED | 01 1 |
| D'IETEREN TR COM | 4247494 | 2 | 13 | SH | DEFINED | 01 1 |
| D S 1912 DK1000 COM | 4248754 | 22 | 3 | SH | DEFINED | 01 1 |
| D/S SVENBORG COM | 4253048 | 19 | 2 | SH | DEFINED | 01 1 |
| DELHAIZE-LE COM | 4262118 | 12 | 250 | SH | DEFINED | 01 1 |
| HENRY (JACK) & ASSOC COM | 426281101 | 339 | 15300 | SH | DEFINED | 01 0 |
| HENRY (JACK) & ASSOCIATES COM | 426281101 | 365 | 16451 | SH | DEFINED | 01 |
| HENRY (JACK) & ASSOCIATES COM | 426281101 | 4436 | 200000 | SH | DEFINED | 01 0 |
| DNB HOLDING ASA COM | 4263304 | 16 | 3100 | SH | DEFINED | 01 1 |
| HERCULES COM | 427056106 | 200 | 15000 | SH | DEFINED | 01 |
| HERCULES COM | 427056106 | 41 | 3114 | SH | DEFINED | 01 |
| HERCULES COM | 427056106 | 366 | 27499 | SH | DEFINED | 01 0 |
| HERCULES COM | 427056106 | 13 | 986 | SH | DEFINED | 01 0 |
| HERLEY INDUST INC COM | 427398102 | 1600 | 80000 | SH | DEFINED | 01 |
| HERLEY INDUST INC COM | 427398102 | 9 | 480 | SH | DEFINED | 01 0 |
| HERLEY INDUST INC COM | 427398102 | 118 | 5900 | SH | DEFINED | 01 0 |
| HERSHEY FOODS COM | 427866108 | 1609 | 23477 | SH | DEFINED | 01 |
| HERSHEY FOODS COM | 427866108 | 2356 | 34376 | SH | DEFINED | 01 0 |
| HERSHEY FOODS COM | 427866108 | 85 | 1233 | SH | DEFINED | 01 0 |
| HEWLETT PACKARD COM | 428236103 | 1184 | 66000 | SH | DEFINED | 01 |
| HEWLETT PACKARD COM | 428236103 | 14219 | 792600 | SH | DEFINED | 01 0 |
| HEWLETT-PACKARD COM | 428236103 | 9 | 500 | SH | DEFINED | 01 |
| HEWLETT-PACKARD COM | 428236103 | 83259 | 4640937 | SH | DEFINED | 01 |
| HEWLETT-PACKARD COM | 428236103 | 10365 | 577770 | SH | DEFINED | 01 0 |
| HEWLETT-PACKARD COM | 428236103 | 25317 | 1411204 | SH | DEFINED | 01 0 |
| HIBERNIA CL A COM | 428656102 | 973 | 50953 | SH | DEFINED | 01 |
| HICKORY TECHNOLOGY C COM | 429060106 | 2 | 100 | SH | DEFINED | 01 0 |
| ELECTRABEL COM | 4294791 | 36 | 174 | SH | DEFINED | 01 1 |
| HIGH SPEED ACCESS COM | 42979U102 | 0 | 86 | SH | DEFINED | 01 |
| ELAN CORP PLC COM | 4305507 | 14 | 1030 | SH | DEFINED | 01 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-----------------------------|-----|-----------|--------|---------|----|---------|----|---|
| ELKEM ASA | COM | 4310385 | 3 | 135 | SH | DEFINED | 01 | 1 |
| HIGHWOOD PROPERTY | COM | 431284108 | 11 | 374 | SH | DEFINED | 01 | |
| HILB, ROGAL & HAMILTON | COM | 431294107 | 2712 | 86900 | SH | DEFINED | 01 | |
| HILLENBRAND INDS | COM | 431573104 | 4139 | 67159 | SH | DEFINED | 01 | |
| HILLENBRAND INDS | COM | 431573104 | 129 | 2100 | SH | DEFINED | 01 | 0 |
| BAYER | COM | 4325419 | 40 | 1100 | SH | DEFINED | 01 | 1 |
| HILTON GROUP PLC | COM | 432835999 | 204 | 57443 | SH | DEFINED | 01 | |
| HILTON HOTELS | COM | 432848109 | 6494 | 454153 | SH | DEFINED | 01 | |
| HILTON HOTELS | COM | 432848109 | 97 | 6800 | SH | DEFINED | 01 | 0 |
| HILTON HOTELS | COM | 432848109 | 1338 | 93591 | SH | DEFINED | 01 | 0 |
| HILTON HOTELS | COM | 432848109 | 10469 | 732158 | SH | DEFINED | 01 | 0 |
| HINDUSTAN LEVER | COM | 433099991 | 3066 | 663702 | SH | DEFINED | 01 | |
| HINDUSTAN LEVER | COM | 433099991 | 97 | 21049 | SH | DEFINED | 01 | 0 |
| HITE BREWERY (00140) | COM | 433567997 | 3693 | 60120 | SH | DEFINED | 01 | |
| HITE BREWERY (00140) | COM | 433567997 | 117 | 1910 | SH | DEFINED | 01 | 0 |
| HIT ENTERTAINMENT PLC | COM | 433571999 | 238 | 47000 | SH | DEFINED | 01 | |
| HITACHI | COM | 433578101 | 14604 | 1997490 | SH | DEFINED | 01 | |
| HITACHI | COM | 433578101 | 3631 | 496600 | SH | DEFINED | 01 | 0 |
| HITACHI | COM | 433578101 | 13482 | 1844000 | SH | DEFINED | 01 | 0 |
| HISPANIC BROADCASTING | COM | 43357B104 | 4920 | 168947 | SH | DEFINED | 01 | |
| HISPANIC BROADCASTING | COM | 43357B104 | 183 | 6300 | SH | DEFINED | 01 | 0 |
| HITACHI MAXELL | COM | 433599990 | 13 | 1000 | SH | DEFINED | 01 | |
| HK EXCHANGES & CLEAR (0388) | COM | 434150991 | 155 | 100000 | SH | DEFINED | 01 | |
| GEORG FISCHER | COM | 4341783 | 2 | 10 | SH | DEFINED | 01 | 1 |
| ROCHE HOLDS GENUSSSCHEINE | COM | 434510996 | 14050 | 180735 | SH | DEFINED | 01 | |
| ROCHE HOLDS GENUSSSCHEINE | COM | 434510996 | 19163 | 246488 | SH | DEFINED | 01 | 0 |
| HOKUTO CORPORATION | COM | 434900999 | 131 | 5280 | SH | DEFINED | 01 | |
| HOLLINGER INTL INC | COM | 435569108 | 8 | 585 | SH | DEFINED | 01 | |
| SYNGENTA AG | COM | 4356646 | 21 | 347 | SH | DEFINED | 01 | 1 |
| HOLLY CORP | COM | 435758305 | 1150 | 62000 | SH | DEFINED | 01 | |
| HOLLY CORP | COM | 435758305 | 2 | 90 | SH | DEFINED | 01 | 0 |
| HOLLY CORP | COM | 435758305 | 109 | 5900 | SH | DEFINED | 01 | 0 |
| FLUGHAFEN WIEN AG | COM | 4359690 | 1 | 27 | SH | DEFINED | 01 | 1 |
| HOLLYWOOD ENTERTAINM | COM | 436141105 | 12 | 700 | SH | DEFINED | 01 | 0 |
| HOLLYWOOD ENTERTAINMENT | COM | 436141105 | 559 | 33250 | SH | DEFINED | 01 | |
| HOLMES PLACE | COM | 436269997 | 225 | 110000 | SH | DEFINED | 01 | |
| HOLMEN CL B | COM | 436435994 | 212 | 8800 | SH | DEFINED | 01 | |
| HOLOGIC | COM | 436440101 | 898 | 58141 | SH | DEFINED | 01 | |
| HOME DEPOT | COM | 437076102 | 24 | 500 | SH | DEFINED | 01 | |
| HOME DEPOT | COM | 437076102 | 397233 | 8171843 | SH | DEFINED | 01 | |
| HOME DEPOT | COM | 437076102 | 31561 | 649273 | SH | DEFINED | 01 | 0 |
| HOME DEPOT | COM | 437076102 | 109456 | 2251710 | SH | DEFINED | 01 | 0 |
| HOME DEPOT | COM | 437076102 | 9814 | 201900 | SH | DEFINED | 11 | 1 |
| HOME DEPOT | COM | 437076102 | 1439 | 29600 | SH | DEFINED | 12 | 1 |
| HOME DEPOT INC | COM | 437076102 | 23163 | 476493 | SH | DEFINED | 01 | |
| HOME DEPOT INC | COM | 437076102 | 82604 | 1699296 | SH | DEFINED | 01 | 0 |
| ZELTIA SA | COM | 4370839 | 5 | 483 | SH | DEFINED | 01 | 1 |
| HOME PROPERTIES | COM | 437306103 | 3 | 73 | SH | DEFINED | 01 | |
| HOMESTORE.COM | COM | 437852106 | 3 | 1054 | SH | DEFINED | 01 | |
| PUBLICIS GROUPE SA | COM | 4380429 | 11 | 321 | SH | DEFINED | 01 | 1 |
| HON HAI PRECISION GDR 144A | COM | 438090102 | 124 | 13308 | SH | DEFINED | 01 | 0 |
| HON INDS | COM | 438092108 | 63 | 2228 | SH | DEFINED | 01 | |
| HONDA MOTOR | COM | 438120990 | 12333 | 293457 | SH | DEFINED | 01 | |
| HONDA MOTOR | COM | 438120990 | 16374 | 389600 | SH | DEFINED | 01 | 0 |
| HONEYWELL INTL | COM | 438516106 | 57 | 1500 | SH | DEFINED | 01 | |
| HONEYWELL INTL | COM | 438516106 | 85794 | 2241784 | SH | DEFINED | 01 | |
| HONEYWELL INTL | COM | 438516106 | 9573 | 250167 | SH | DEFINED | 01 | 0 |
| HONEYWELL INTL | COM | 438516106 | 19216 | 502107 | SH | DEFINED | 01 | 0 |
| HONEYWELL INTL INC | COM | 438516106 | 731 | 19100 | SH | DEFINED | 01 | 0 |
| HON HAI PRECISION INDS | COM | 438800997 | 3277 | 703656 | SH | DEFINED | 01 | |
| HOOPER HOLMES | COM | 439104100 | 60 | 5700 | SH | DEFINED | 01 | |
| HOOPER HOLMES | COM | 439104100 | 2081 | 198380 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|------|
| HORACE MANN EDUCATORS | COM | 440327104 | 37 | 1670 | SH | DEFINED | 01 |
| HORIZON OFFSHORE INC | COM | 44043J105 | 3 | 220 | SH | DEFINED | 01 0 |
| HORIZON PCS INC WTS EXP 10/01/ | COM | 44043U118 | 799 | 26625 | SH | DEFINED | 01 |
| HORIZON PCS INC WTS EXP 10/01/ | COM | 44043U118 | 160 | 5325 | SH | DEFINED | 01 0 |
| HORMEL FOODS | COM | 440452100 | 131 | 4771 | SH | DEFINED | 01 |
| HOSPITALITY PROPERTIES TRUST | COM | 44106M102 | 75 | 2209 | SH | DEFINED | 01 |
| HOST MARRIOTT | COM | 44107P104 | 47 | 3900 | SH | DEFINED | 01 |
| HOST MARRIOTT | COM | 44107P104 | 7 | 612 | SH | DEFINED | 01 |
| HOT TOPIC | COM | 441339108 | 3434 | 164314 | SH | DEFINED | 01 |
| HOUSEHOLD INTL | COM | 441815107 | 32315 | 568917 | SH | DEFINED | 01 |
| HOUSEHOLD INTL | COM | 441815107 | 131 | 2300 | SH | DEFINED | 01 0 |
| HOUSEHOLD INTL | COM | 441815107 | 7974 | 140388 | SH | DEFINED | 01 0 |
| HOUSEHOLD INTL | COM | 441815107 | 6734 | 118562 | SH | DEFINED | 01 0 |
| COCA-COLA HELLENIC BOT. | COM | 4420723 | 6 | 420 | SH | DEFINED | 01 1 |
| HOUSTON EXPLOR | COM | 442120101 | 3 | 98 | SH | DEFINED | 01 |
| IBERDROLA SA | COM | 4424640 | 35 | 2660 | SH | DEFINED | 01 1 |
| INSTUMENTAR | COM | 4434166 | 5 | 200 | SH | DEFINED | 01 1 |
| HUBBELL CL B | COM | 443510201 | 68 | 2076 | SH | DEFINED | 01 |
| HUDSON CITY BANCORP | COM | 443683107 | 8 | 243 | SH | DEFINED | 01 |
| IHC CALAND N | COM | 4441155 | 3 | 65 | SH | DEFINED | 01 1 |
| HUDSON UNITED BANCORP | COM | 444165104 | 4520 | 142072 | SH | DEFINED | 01 |
| HUFFY | COM | 444356109 | 217 | 31874 | SH | DEFINED | 01 |
| HUGHES SUPPLY | COM | 444482103 | 2820 | 72372 | SH | DEFINED | 01 |
| HUGHES SUPPLY | COM | 444482103 | 17 | 440 | SH | DEFINED | 01 0 |
| HUGO BOSS PFD | PRF | 444600993 | 208 | 9100 | SH | DEFINED | 01 |
| HUMANA | COM | 444859102 | 384 | 28400 | SH | DEFINED | 01 |
| HUMANA | COM | 444859102 | 17849 | 1319195 | SH | DEFINED | 01 |
| HUMANA | COM | 444859102 | 579 | 42759 | SH | DEFINED | 01 0 |
| HUMANA | COM | 444859102 | 699 | 51633 | SH | DEFINED | 01 0 |
| HUMAN GENOME SCIENCES | COM | 444903108 | 80 | 3672 | SH | DEFINED | 01 |
| IRISH LIFE & | COM | 4455253 | 9 | 684 | SH | DEFINED | 01 1 |
| HUNT (JB) TRANSPORT SERVICES | COM | 445658107 | 2454 | 86294 | SH | DEFINED | 01 |
| HUNT (JB) TRANSPORT SERVICES | COM | 445658107 | 165 | 5800 | SH | DEFINED | 01 0 |
| J.B. HUNT TRANSPORT | COM | 445658107 | 12 | 400 | SH | DEFINED | 01 0 |
| HUNTER DOUGLAS NV | COM | 445666993 | 186 | 6300 | SH | DEFINED | 01 |
| SNIA ORDS | COM | 4457594 | 2 | 1300 | SH | DEFINED | 01 1 |
| IMETAL | COM | 4457765 | 4 | 34 | SH | DEFINED | 01 1 |
| HUNTINGTON BANCSHARES | COM | 446150104 | 122 | 6204 | SH | DEFINED | 01 |
| HUNTINGTON BANCSHARES | COM | 446150104 | 1254 | 63654 | SH | DEFINED | 01 0 |
| HUNTINGTON BANCSHARES | COM | 446150104 | 45 | 2284 | SH | DEFINED | 01 0 |
| JERONIMO MAR | COM | 4477235 | 2 | 200 | SH | DEFINED | 01 1 |
| HUTCHINSON TECHNOLOGY | COM | 448407106 | 2324 | 107717 | SH | DEFINED | 01 |
| HUTTIG BUILDING PRODUCTS INC | COM | 448451104 | 0 | 23 | SH | DEFINED | 01 |
| ICN PHARMACEUTICALS | COM | 448924100 | 88 | 2765 | SH | DEFINED | 01 |
| ICN PHARMACEUTICALS | COM | 448924100 | 194 | 6100 | SH | DEFINED | 01 0 |
| IDT CORP | COM | 448947101 | 2 | 88 | SH | DEFINED | 01 |
| KESKO OYJ | COM | 4490005 | 2 | 200 | SH | DEFINED | 01 1 |
| HYPERION SOLUTIONS | COM | 44914M104 | 30 | 1100 | SH | DEFINED | 01 |
| HYPERION SOLUTIONS | COM | 44914M104 | 3992 | 147823 | SH | DEFINED | 01 |
| HYPERION SOLUTIONS | COM | 44914M104 | 11 | 420 | SH | DEFINED | 01 0 |
| HYPERION SOLUTIONS | COM | 44914M104 | 124 | 4600 | SH | DEFINED | 01 0 |
| HYSEQ INC | COM | 449163302 | 0 | 38 | SH | DEFINED | 01 |
| HYUNDAI MOTOR C | COM | 449181999 | 4115 | 133194 | SH | DEFINED | 01 |
| HYUNDAI MOTOR C | COM | 449181999 | 128 | 4131 | SH | DEFINED | 01 0 |
| ICOS | COM | 449295104 | 5 | 100 | SH | DEFINED | 01 |
| ICOS | COM | 449295104 | 17601 | 382699 | SH | DEFINED | 01 |
| ICOS | COM | 449295104 | 29582 | 643200 | SH | DEFINED | 01 0 |
| ICOS CORP | COM | 449295104 | 221 | 4800 | SH | DEFINED | 01 0 |
| IDEC PHARM | COM | 449370105 | 103567 | 1610702 | SH | DEFINED | 01 0 |
| IDEC PHARMACEUTICALS | COM | 449370105 | 6 | 100 | SH | DEFINED | 01 |
| IDEC PHARMACEUTICALS | COM | 449370105 | 108329 | 1684746 | SH | DEFINED | 01 |
| IDEC PHARMACEUTICALS | COM | 449370105 | 6102 | 94900 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-----------------------------|-----|-----------|--------|---------|----|---------|----|---|
| IDEC PHARMACEUTICALS | COM | 449370105 | 56471 | 878250 | SH | DEFINED | 01 | 0 |
| IDX SYSTEMS | COM | 449491109 | 2 | 120 | SH | DEFINED | 01 | |
| IGEN INC | COM | 449536101 | 3 | 77 | SH | DEFINED | 01 | |
| IHOP | COM | 449623107 | 2140 | 63495 | SH | DEFINED | 01 | |
| IMC GLOBAL | COM | 449669100 | 112 | 7600 | SH | DEFINED | 01 | |
| IMC GLOBAL | COM | 449669100 | 59 | 3978 | SH | DEFINED | 01 | |
| IMCO RECYCLING | COM | 449681105 | 403 | 45262 | SH | DEFINED | 01 | |
| IMCO RECYCLING INC | COM | 449681105 | 7 | 800 | SH | DEFINED | 01 | 0 |
| I-MANY | COM | 44973Q103 | 848 | 171000 | SH | DEFINED | 01 | |
| I-MAY INC | COM | 44973Q103 | 1 | 270 | SH | DEFINED | 01 | 0 |
| KBC | COM | 4497749 | 21 | 648 | SH | DEFINED | 01 | 1 |
| INT MEDIA GROUP INC | COM | 44979N104 | 0 | 79 | SH | DEFINED | 01 | |
| IPCS INC WTS EXP 06/15/2010 | COM | 44980Y115 | 148 | 14810 | SH | DEFINED | 01 | |
| IPCS INC WTS EXP 06/15/2010 | COM | 44980Y115 | 32 | 3155 | SH | DEFINED | 01 | 0 |
| IMS HEALTH | COM | 449934108 | 162 | 7240 | SH | DEFINED | 01 | |
| IMS HEALTH | COM | 449934108 | 1681 | 74896 | SH | DEFINED | 01 | 0 |
| IMS HEALTH | COM | 449934108 | 60 | 2687 | SH | DEFINED | 01 | 0 |
| IMS HEALTH INC | COM | 449934108 | 225 | 10000 | SH | DEFINED | 01 | |
| KVAERNER ASA CL A | COM | 4502029 | 1 | 673 | SH | DEFINED | 01 | 1 |
| LAFARGE | COM | 4502706 | 33 | 368 | SH | DEFINED | 01 | 1 |
| ITC DELTACOM INC | COM | 45031T104 | 0 | 296 | SH | DEFINED | 01 | |
| STARWOOD FINANCIAL INC | COM | 45031U101 | 5 | 185 | SH | DEFINED | 01 | |
| ITC GDR | COM | 45031U994 | 2282 | 159809 | SH | DEFINED | 01 | |
| ITC GDR | COM | 45031U994 | 74 | 5211 | SH | DEFINED | 01 | 0 |
| ITT EDUCATIONAL SERVICES | COM | 45068B109 | 5405 | 120111 | SH | DEFINED | 01 | |
| ITT EDUCATIONAL SERVICES | COM | 45068B109 | 220 | 4900 | SH | DEFINED | 01 | 0 |
| ITT EDUCATIONAL SVCS | COM | 45068B109 | 5 | 120 | SH | DEFINED | 01 | 0 |
| ITXC CORP | COM | 45069F109 | 527 | 87100 | SH | DEFINED | 01 | |
| ITXC CORP | COM | 45069F109 | 49 | 8100 | SH | DEFINED | 01 | 0 |
| IXIA | COM | 45071R109 | 2 | 195 | SH | DEFINED | 01 | |
| IBASIS INC | COM | 450732102 | 0 | 68 | SH | DEFINED | 01 | |
| IBERDROLA | COM | 450740105 | 1265 | 96900 | SH | DEFINED | 01 | |
| ITT INDS | COM | 450911102 | 894 | 14183 | SH | DEFINED | 01 | |
| ITT INDS | COM | 450911102 | 1412 | 22394 | SH | DEFINED | 01 | 0 |
| ITT INDS | COM | 450911102 | 51 | 803 | SH | DEFINED | 01 | 0 |
| IAM GOLD | COM | 450913108 | 1442 | 400000 | SH | DEFINED | 01 | |
| ICON | COM | 45103T107 | 714 | 21000 | SH | DEFINED | 01 | |
| IDACORP | COM | 451107106 | 1551 | 38307 | SH | DEFINED | 01 | |
| IDEX | COM | 45167R104 | 4893 | 132239 | SH | DEFINED | 01 | |
| IDEXX LABORATORIES | COM | 45168D104 | 2771 | 103214 | SH | DEFINED | 01 | |
| IDEXX LABS INC | COM | 45168D104 | 483 | 18000 | SH | DEFINED | 01 | |
| IGATE CORPORATION | COM | 45169U105 | 1 | 162 | SH | DEFINED | 01 | |
| IKON OFFICE SOLUTION | COM | 451713101 | 18 | 1510 | SH | DEFINED | 01 | 0 |
| IKON OFFICE SOLUTIONS | COM | 451713101 | 5516 | 470579 | SH | DEFINED | 01 | |
| IKON OFFICE SOLUTIONS | COM | 451713101 | 369 | 31500 | SH | DEFINED | 01 | 0 |
| ILEX ONCOLOGY | COM | 451923106 | 1435 | 83100 | SH | DEFINED | 01 | |
| ILEX ONCOLOGY | COM | 451923106 | 90 | 5200 | SH | DEFINED | 01 | 0 |
| ILEX ONCOLOGY INC | COM | 451923106 | 2 | 130 | SH | DEFINED | 01 | 0 |
| KERRY GROUP | COM | 4519579 | 5 | 406 | SH | DEFINED | 01 | 1 |
| ILLINOIS TOOL WORKERS | COM | 452308109 | 17306 | 239200 | SH | DEFINED | 11 | 1 |
| ILLINOIS TOOL WORKS | COM | 452308109 | 9743 | 134679 | SH | DEFINED | 01 | |
| ILLINOIS TOOL WORKS | COM | 452308109 | 296657 | 4100300 | SH | DEFINED | 01 | |
| ILLINOIS TOOL WORKS | COM | 452308109 | 20975 | 289966 | SH | DEFINED | 01 | 0 |
| ILLINOIS TOOL WORKS | COM | 452308109 | 7120 | 98406 | SH | DEFINED | 01 | 0 |
| ILLINOIS TOOL WORKS | COM | 452308109 | 54998 | 760183 | SH | DEFINED | 01 | 0 |
| ILLINOIS TOOL WORKS | COM | 452308109 | 2301 | 31800 | SH | DEFINED | 11 | 1 |
| ILLUMINA INC | COM | 452327109 | 1 | 128 | SH | DEFINED | 01 | |
| IMATION | COM | 45245A107 | 40 | 1499 | SH | DEFINED | 01 | |
| IMCLONE SYSTEMS | COM | 45245W109 | 54 | 2193 | SH | DEFINED | 01 | |
| IMANAGE | COM | 45245Y105 | 1388 | 207800 | SH | DEFINED | 01 | |
| IMAGISTICS INTL | COM | 45247T104 | 954 | 59957 | SH | DEFINED | 01 | |
| WARTSILA OY | COM | 4525189 | 2 | 100 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|------|
| IMMERSION CORP | COM | 452521107 | 0 | 85 | SH | DEFINED | 01 |
| IMMUNEX | COM | 452528102 | 51161 | 1690698 | SH | DEFINED | 01 |
| IMMUNEX | COM | 452528102 | 7844 | 259210 | SH | DEFINED | 01 0 |
| IMMUNEX | COM | 452528102 | 1662 | 54923 | SH | DEFINED | 01 0 |
| IMMUNEX CORP | COM | 452528102 | 1593 | 52688 | SH | DEFINED | 01 0 |
| IMMUNOGEN INC | COM | 45253H101 | 2 | 200 | SH | DEFINED | 01 0 |
| IMPALA PLATINUM HOLDINGS LTD | COM | 452554991 | 4881 | 91998 | SH | DEFINED | 01 |
| IMPALA PLATINUM HOLDINGS LTD | COM | 452554991 | 75 | 1412 | SH | DEFINED | 01 0 |
| IMPATH | COM | 45255G101 | 3937 | 95933 | SH | DEFINED | 01 |
| IMPAX LABORATORIES INC | COM | 45256B101 | 432 | 62300 | SH | DEFINED | 01 |
| IMPERIAL CHEMICAL IND GB; ICI | COM | 452704992 | 136 | 27834 | SH | DEFINED | 01 |
| IMMUNOMEDICS INC | COM | 452907108 | 10 | 523 | SH | DEFINED | 01 |
| INAMED | COM | 453235103 | 2029 | 61657 | SH | DEFINED | 01 |
| INCO | COM | 453258402 | 80 | 4078 | SH | DEFINED | 01 |
| INCO | COM | 453258402 | 904 | 46183 | SH | DEFINED | 01 0 |
| INCO | COM | 453258402 | 32 | 1657 | SH | DEFINED | 01 0 |
| SYNTHES-STR | COM | 4532673 | 5 | 7 | SH | DEFINED | 01 1 |
| INCYTE GENOMICS | COM | 45337C102 | 32 | 2700 | SH | DEFINED | 01 |
| INCYTE GENOMICS | COM | 45337C102 | 29 | 2450 | SH | DEFINED | 01 |
| INCYTE GENOMICS INC | COM | 45337C102 | 2 | 150 | SH | DEFINED | 01 0 |
| INDEPENDENCE COMM BK | COM | 453414104 | 16 | 580 | SH | DEFINED | 01 0 |
| INDEPENDENCE COMMUNITY BANK | COM | 453414104 | 2055 | 73044 | SH | DEFINED | 01 |
| INDEPENDENCE COMMUNITY BANK | COM | 453414104 | 205 | 7300 | SH | DEFINED | 01 0 |
| INDEPENDENT BANK CO | COM | 453836108 | 2188 | 84550 | SH | DEFINED | 01 |
| INDEPENDENT BANK CO | COM | 453836108 | 14 | 540 | SH | DEFINED | 01 0 |
| INDEPENDENT BANK CO | COM | 453836108 | 208 | 8050 | SH | DEFINED | 01 0 |
| INDEPENDENT BANK | COM | 453838104 | 1710 | 59463 | SH | DEFINED | 01 |
| INDEPENDENT BANK | COM | 453838104 | 181 | 6282 | SH | DEFINED | 01 0 |
| INDEPENDENT BK CO MI | COM | 453838104 | 12 | 418 | SH | DEFINED | 01 0 |
| INDEPENDENT NEWS | COM | 453900995 | 288 | 150000 | SH | DEFINED | 01 |
| LAGARDERE SC | COM | 4547213 | 15 | 313 | SH | DEFINED | 01 1 |
| INDITEX SA | COM | 455366997 | 3595 | 191220 | SH | DEFINED | 01 0 |
| MAYR-MELWOKF KARTON | COM | 4563640 | 1 | 21 | SH | DEFINED | 01 1 |
| SMEDVIG ASA-A SHARES | COM | 4564665 | 2 | 270 | SH | DEFINED | 01 1 |
| INDUSTRIE NATUZZI ADR | COM | 456478106 | 1764 | 119600 | SH | DEFINED | 01 |
| INDUSTRIE NATUZZI ADR | COM | 456478106 | 137 | 9300 | SH | DEFINED | 01 0 |
| INDYMAC BANCORP | COM | 456607100 | 51 | 2060 | SH | DEFINED | 01 |
| INET TECH | COM | 45662V105 | 2 | 169 | SH | DEFINED | 01 |
| INFOCUS | COM | 45665B106 | 28 | 1495 | SH | DEFINED | 01 |
| INFORMATICA CORP | COM | 45666Q102 | 2 | 218 | SH | DEFINED | 01 |
| INFONET SERVICES | COM | 45666T106 | 2 | 722 | SH | DEFINED | 01 |
| INFORMATION HOLDINGS | COM | 456727106 | 1922 | 66745 | SH | DEFINED | 01 |
| INFORTE CORP | COM | 45677R107 | 530 | 45000 | SH | DEFINED | 01 |
| INFORTE CORP | COM | 45677R107 | 2 | 130 | SH | DEFINED | 01 0 |
| INFORTE CORP | COM | 45677R107 | 48 | 4100 | SH | DEFINED | 01 0 |
| INFOSPACE INC | COM | 45678T102 | 3 | 2164 | SH | DEFINED | 01 |
| INFORMATION RESOURCE | COM | 456905108 | 2 | 210 | SH | DEFINED | 01 0 |
| INFORMATION RESOURCES | COM | 456905108 | 1975 | 214929 | SH | DEFINED | 01 |
| INFORMATION RESOURCES | COM | 456905108 | 123 | 13400 | SH | DEFINED | 01 0 |
| INGRAM MICRO | COM | 457153104 | 28 | 1700 | SH | DEFINED | 01 |
| INGRAM MICRO | COM | 457153104 | 4 | 266 | SH | DEFINED | 01 |
| INHALE THERAPEUTIC | COM | 457191104 | 5 | 459 | SH | DEFINED | 01 |
| INKTOMI CORP | COM | 457277101 | 2 | 538 | SH | DEFINED | 01 |
| MEDIOBANCA S | COM | 4574813 | 18 | 1750 | SH | DEFINED | 01 1 |
| INPUT/OUTPUT | COM | 457652105 | 1457 | 157471 | SH | DEFINED | 01 |
| INSIGHT ENTERPRISES | COM | 45765U103 | 4259 | 188102 | SH | DEFINED | 01 |
| INSITUFORM TECHNOLOGIES CL A | COM | 457667103 | 2056 | 81354 | SH | DEFINED | 01 |
| INSMED | COM | 457669208 | 280 | 100000 | SH | DEFINED | 01 |
| INSIGHT COMMUNICATIONS | COM | 45768V108 | 86 | 4100 | SH | DEFINED | 01 |
| INSIGHT COMMUNICATIONS | COM | 45768V108 | 1891 | 90254 | SH | DEFINED | 01 |
| INSIGHT COMMUNICATIONS | COM | 45768V108 | 140 | 6700 | SH | DEFINED | 01 0 |
| INRANGE TECHNOLOGIES | COM | 45769V206 | 1 | 130 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|
| INRANGE TECHNOLOGIES CL B | COM | 45769V206 | 923 | 114270 | SH | DEFINED | 01 |
| INRANGE TECHNOLOGIES CL B | COM | 45769V206 | 49 | 6030 | SH | DEFINED | 01 |
| INSURANCE AUTO AUCTIONS | COM | 457875102 | 623 | 37232 | SH | DEFINED | 01 |
| PROSIEBEN SAT.1 -- (DEM) | COM | 4579131 | 3 | 357 | SH | DEFINED | 01 |
| INTEGRA LIFESCIENCES | COM | 457985208 | 4 | 140 | SH | DEFINED | 01 |
| INTEGRA LIFESCIENCES HOLDINGS | COM | 457985208 | 2429 | 86366 | SH | DEFINED | 01 |
| INTEGRA LIFESCIENCES HOLDINGS | COM | 457985208 | 133 | 4734 | SH | DEFINED | 01 |
| INSUR SERV OFFICE IN | COM | 45806@109 | 282 | 11767 | SH | DEFINED | 01 |
| INSWEB CORPORATION | COM | 45809K202 | 0 | 17 | SH | DEFINED | 01 |
| INTEGRATED DEV TECH | COM | 458118106 | 322 | 9700 | SH | DEFINED | 01 |
| INTEGRATED DEVICE TECHNOLOGY | COM | 458118106 | 910 | 27365 | SH | DEFINED | 01 |
| INTEGRATED ELECTRICA | COM | 45811E103 | 5 | 1120 | SH | DEFINED | 01 |
| INTEGRATED ELECTRICAL SERVICES | COM | 45811E103 | 690 | 138041 | SH | DEFINED | 01 |
| INTEGRATED ELECTRICAL SERVICES | COM | 45811E103 | 63 | 12600 | SH | DEFINED | 01 |
| INTEGRATED CIRCUIT SYSTEMS | COM | 45811K208 | 3191 | 156436 | SH | DEFINED | 01 |
| INTEGRATED CIRCUIT SYSTEMS | COM | 45811K208 | 4749 | 232800 | SH | DEFINED | 01 |
| INTERGRATED CIRCUIT | COM | 45811K208 | 3 | 130 | SH | DEFINED | 01 |
| INTEL | COM | 458140100 | 17648 | 580368 | SH | DEFINED | 01 |
| INTEL | COM | 458140100 | 776574 | 25536829 | SH | DEFINED | 01 |
| INTEL | COM | 458140100 | 68165 | 2241260 | SH | DEFINED | 01 |
| INTEL | COM | 458140100 | 56031 | 1842489 | SH | DEFINED | 01 |
| INTEL | COM | 458140100 | 125025 | 4111334 | SH | DEFINED | 01 |
| INTEL CORP | COM | 458140100 | 2326 | 76500 | SH | DEFINED | 11 |
| INTEL CORP | COM | 458140100 | 14506 | 459000 | SH | DEFINED | 11 |
| INTEGRA BANK CORPORATION | COM | 45814P105 | 2 | 78 | SH | DEFINED | 01 |
| VALORA HOLDI | COM | 4581619 | 2 | 10 | SH | DEFINED | 01 |
| INTERBREW 144A | COM | 458300993 | 121 | 4353 | SH | DEFINED | 01 |
| INTER-TEL | COM | 458372109 | 3783 | 204862 | SH | DEFINED | 01 |
| INTER-TEL | COM | 458372109 | 12 | 660 | SH | DEFINED | 01 |
| INTER-TEL | COM | 458372109 | 175 | 9500 | SH | DEFINED | 01 |
| INTERACTIVE DATA | COM | 45840J107 | 8 | 435 | SH | DEFINED | 01 |
| INTERFACE | COM | 458665106 | 990 | 155930 | SH | DEFINED | 01 |
| INTERDIGITAL COMM CO | COM | 45866A105 | 2 | 228 | SH | DEFINED | 01 |
| INTERGRAPH | COM | 458683109 | 634 | 36000 | SH | DEFINED | 01 |
| INTERLAND INC | COM | 458727104 | 1 | 505 | SH | DEFINED | 01 |
| INTERNET SOFTWARE | COM | 458742103 | 0 | 133 | SH | DEFINED | 01 |
| INTERMAGNETICS GENER | COM | 458771102 | 3 | 112 | SH | DEFINED | 01 |
| INTERMAGNETICS GENERAL | COM | 458771102 | 3245 | 119066 | SH | DEFINED | 01 |
| INTERMAGNETICS GENERAL | COM | 458771102 | 189 | 6941 | SH | DEFINED | 01 |
| INTERMEDIA COMMUNICATIONS PIK | PRF | 458801404 | 66553 | 81660 | SH | DEFINED | 01 |
| INTERMEDIA COMMUNICATIONS PIK | PRF | 458801404 | 18204 | 22335 | SH | DEFINED | 01 |
| INTERMET | COM | 45881K104 | 549 | 78183 | SH | DEFINED | 01 |
| MICHELIN(CGD | COM | 4588364 | 11 | 300 | SH | DEFINED | 01 |
| INTERMUNE INC | COM | 45884X103 | 2021 | 67233 | SH | DEFINED | 01 |
| INTERMUNE INC | COM | 45884X103 | 3 | 90 | SH | DEFINED | 01 |
| INTERMUNE INC | COM | 45884X103 | 93 | 3100 | SH | DEFINED | 01 |
| INTERNAP NETWORKS | COM | 45885A102 | 0 | 639 | SH | DEFINED | 01 |
| DANSKE BANK | COM | 4588825 | 31 | 1960 | SH | DEFINED | 01 |
| International Alum Corp | COM | 458884103 | 0 | 1 | SH | DEFINED | 01 |
| INTERNATIONAL BNCSHR | COM | 459044103 | 9 | 210 | SH | DEFINED | 01 |
| IBM | COM | 459200101 | 2278 | 21900 | SH | DEFINED | 11 |
| IBM | COM | 459200101 | 15288 | 147000 | SH | DEFINED | 11 |
| INTL BUSINESS MACH | COM | 459200101 | 8112 | 78000 | SH | DEFINED | 01 |
| INTL BUSINESS MACH | COM | 459200101 | 82654 | 794720 | SH | DEFINED | 01 |
| INTL BUSINESS MACHINES | COM | 459200101 | 16 | 150 | SH | DEFINED | 01 |
| INTL BUSINESS MACHINES | COM | 459200101 | 859262 | 8262136 | SH | DEFINED | 01 |
| INTL BUSINESS MACHINES | COM | 459200101 | 50449 | 485078 | SH | DEFINED | 01 |
| INTL BUSINESS MACHINES | COM | 459200101 | 188492 | 1812427 | SH | DEFINED | 01 |
| IMTECH NV | COM | 459370995 | 360 | 17950 | SH | DEFINED | 01 |
| INTL FLAVORS/FRAGRANCES | COM | 459506101 | 80 | 2287 | SH | DEFINED | 01 |
| INTL FLAVORS/FRAGRANCES | COM | 459506101 | 840 | 24031 | SH | DEFINED | 01 |
| INTL FLAVORS/FRAGRANCES | COM | 459506101 | 30 | 862 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| DOUGLAS HLDG | COM | 4596680 | 3 | 110 | SH | DEFINED | 01 | 1 |
| CONTINENTAL | COM | 4598589 | 4 | 300 | SH | DEFINED | 01 | 1 |
| INTL GAME TECHNOLOGY | COM | 459902102 | 96297 | 1545211 | SH | DEFINED | 01 | |
| INTL GAME TECHNOLOGY | COM | 459902102 | 62 | 1000 | SH | DEFINED | 01 | 0 |
| INTL GAME TECHNOLOGY | COM | 459902102 | 2498 | 40083 | SH | DEFINED | 01 | 0 |
| INTL GAME TECHNOLOGY | COM | 459902102 | 12461 | 199942 | SH | DEFINED | 01 | 0 |
| INTL MULTIFOODS | COM | 460043102 | 1381 | 58113 | SH | DEFINED | 01 | |
| ING GROEP | COM | 460122997 | 14534 | 533950 | SH | DEFINED | 01 | |
| ING GROEP | COM | 460122997 | 5495 | 201874 | SH | DEFINED | 01 | 0 |
| INTERNATIONAL PAPER | COM | 460146103 | 6845 | 159145 | SH | DEFINED | 01 | |
| INTERNATIONAL PAPER | COM | 460146103 | 45532 | 1058734 | SH | DEFINED | 01 | 0 |
| INTL PAPER | COM | 460146103 | 9 | 200 | SH | DEFINED | 01 | |
| INTL PAPER | COM | 460146103 | 234696 | 5456776 | SH | DEFINED | 01 | |
| INTL PAPER | COM | 460146103 | 7837 | 182182 | SH | DEFINED | 01 | 0 |
| INTL PAPER | COM | 460146103 | 33992 | 790312 | SH | DEFINED | 01 | 0 |
| INTL PURSUIT CVT PFD | PRF | 460200XX1 | 950 | 5000 | SH | DEFINED | 01 | 0 |
| INTL RECTIFIER | COM | 460254105 | 97 | 2133 | SH | DEFINED | 01 | |
| INTL SPEEDWAY CL A | COM | 460335201 | 82 | 1778 | SH | DEFINED | 01 | |
| INTL SPECIALTY PRODS | COM | 460337108 | 2 | 224 | SH | DEFINED | 01 | |
| INTERNEURON PHARMACE | COM | 460573108 | 1174 | 134100 | SH | DEFINED | 01 | |
| INTERNEURON PHARMACE | COM | 460573108 | 2 | 200 | SH | DEFINED | 01 | 0 |
| INTERNEURON PHARMACE | COM | 460573108 | 69 | 7900 | SH | DEFINED | 01 | 0 |
| INTERNET CAPITAL GP | COM | 46059C106 | 0 | 46 | SH | DEFINED | 01 | |
| iPIX | COM | 46059S200 | 124 | 44426 | SH | DEFINED | 01 | |
| INTERNET SECURITY SYSTEMS | COM | 46060X107 | 434 | 19020 | SH | DEFINED | 01 | |
| INTERPUBLIC GROUP | COM | 460690100 | 69 | 2000 | SH | DEFINED | 01 | 0 |
| INTERPUBLIC GROUP OF COMPANIES | COM | 460690100 | 18130 | 528875 | SH | DEFINED | 01 | |
| INTERPUBLIC GROUP OF COMPANIES | COM | 460690100 | 3280 | 95689 | SH | DEFINED | 01 | 0 |
| INTERPUBLIC GROUP OF COMPANIES | COM | 460690100 | 12949 | 377734 | SH | DEFINED | 01 | 0 |
| INTERSIL | COM | 46069S109 | 9447 | 333230 | SH | DEFINED | 01 | |
| INTERSIL | COM | 46069S109 | 4743 | 167300 | SH | DEFINED | 01 | 0 |
| INTERSTATE BAKERIES | COM | 46072H108 | 44 | 1823 | SH | DEFINED | 01 | |
| INTRAWEST | COM | 460915200 | 40 | 2200 | SH | DEFINED | 01 | |
| INTRAWEST | COM | 460915200 | 112 | 6200 | SH | DEFINED | 01 | |
| INTERTAN INC | COM | 461120107 | 5893 | 519200 | SH | DEFINED | 01 | |
| INTERTAN INC | COM | 461120107 | 497 | 43800 | SH | DEFINED | 01 | 0 |
| INTERTRUST TECH | COM | 46113Q109 | 0 | 360 | SH | DEFINED | 01 | |
| INTERVOICE-BRITE | COM | 461142101 | 753 | 117635 | SH | DEFINED | 01 | |
| INTERWOVEN | COM | 46114T102 | 523 | 104665 | SH | DEFINED | 01 | |
| INTRADO INC | COM | 46117A100 | 911 | 41800 | SH | DEFINED | 01 | |
| INTRAWARE INC | COM | 46118M103 | 0 | 67 | SH | DEFINED | 01 | |
| INTRAWEST CORP | COM | 461190993 | 103 | 5800 | SH | DEFINED | 01 | |
| INTUIT | COM | 461202103 | 40406 | 1053366 | SH | DEFINED | 01 | |
| INTUIT | COM | 461202103 | 89257 | 2326674 | SH | DEFINED | 01 | 0 |
| INTUIT | COM | 461202103 | 5808 | 151357 | SH | DEFINED | 01 | 0 |
| INTUIT | COM | 461202103 | 34758 | 906110 | SH | DEFINED | 01 | 0 |
| INVACARE | COM | 461203101 | 3550 | 94419 | SH | DEFINED | 01 | |
| UNAXIS HOLDING | COM | 4612757 | 4 | 32 | SH | DEFINED | 01 | 1 |
| INVESTMENT TECHNOLOGY GROUP | COM | 46145F105 | 84 | 1600 | SH | DEFINED | 01 | |
| INVESTMENT TECHNOLOGY GROUP | COM | 46145F105 | 814 | 15435 | SH | DEFINED | 01 | |
| INVESTORS GROUP INC | COM | 46152H994 | 230 | 13100 | SH | DEFINED | 01 | |
| DEUTSCHE POST AG | COM | 4617859 | 8 | 506 | SH | DEFINED | 01 | 1 |
| INVITROGEN | COM | 46185R100 | 16472 | 479958 | SH | DEFINED | 01 | |
| INVITROGEN | COM | 46185R100 | 2317 | 67500 | SH | DEFINED | 01 | 0 |
| INVITROGEN | COM | 46185R100 | 8929 | 260166 | SH | DEFINED | 01 | 0 |
| INVITROGEN CORP | COM | 46185R100 | 41933 | 1221774 | SH | DEFINED | 01 | 0 |
| INVESTORS FINANCIAL SERVICES | COM | 461915100 | 21292 | 279977 | SH | DEFINED | 01 | |
| INVESTORS FINANCIAL SERVICES | COM | 461915100 | 3081 | 40500 | SH | DEFINED | 01 | 0 |
| INVESTORS FINANCIAL SERVICES | COM | 461915100 | 484 | 6367 | SH | DEFINED | 01 | 0 |
| INVESTORS FINL SERV | COM | 461915100 | 35686 | 469230 | SH | DEFINED | 01 | 0 |
| IOMEGA CORP | COM | 462030305 | 2 | 203 | SH | DEFINED | 01 | |
| IONA TECHNOLOGIES ADR | COM | 46206P109 | 1634 | 96500 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|----------------------------|-----|-----------|-------|---------|----|---------|----|
| IONICS | COM | 462218108 | 1720 | 53644 | SH | DEFINED | 01 |
| NATL BANK OF GREECE | COM | 4625959 | 16 | 820 | SH | DEFINED | 01 |
| IRISH LIFE & PERMANENT PLC | COM | 462600990 | 95 | 7500 | SH | DEFINED | 01 |
| IRISH LIFE & PERMANENT | COM | 462603994 | 492 | 39000 | SH | DEFINED | 01 |
| IRON MOUNTAIN | COM | 462846106 | 11 | 337 | SH | DEFINED | 01 |
| IRON MOUNTAIN INC | COM | 462846106 | 523 | 16500 | SH | DEFINED | 01 |
| TELEKOM AUSTRIA AG | COM | 4635088 | 3 | 366 | SH | DEFINED | 01 |
| IRWIN FINANCIAL | COM | 464119106 | 1508 | 80609 | SH | DEFINED | 01 |
| IRWIN FINANCIAL | COM | 464119106 | 116 | 6194 | SH | DEFINED | 01 |
| IRWIN FINANCIAL CORP | COM | 464119106 | 7 | 340 | SH | DEFINED | 01 |
| ISHARES MSCI AUSTRAL | COM | 464286103 | 5 | 460 | SH | DEFINED | 01 |
| ISHARES MSCI CANADA | COM | 464286509 | 3 | 231 | SH | DEFINED | 01 |
| ISHARES MSCI SINGAPO | COM | 464286673 | 2 | 302 | SH | DEFINED | 01 |
| ISHARES MSCI JAPAN I | COM | 464286848 | 14 | 1713 | SH | DEFINED | 01 |
| ISHARES MSCI HONG KG | COM | 464286871 | 3 | 307 | SH | DEFINED | 01 |
| MSCI EAFE I-SHARES | COM | 464287465 | 20839 | 173660 | SH | DEFINED | 01 |
| GS SEMICON INDEX FD | COM | 464287523 | 3 | 39 | SH | DEFINED | 01 |
| ISHARES TRUST DJ US | COM | 464287580 | 11 | 173 | SH | DEFINED | 01 |
| ISHARES TRUST-S&P400 | COM | 464287606 | 21 | 179 | SH | DEFINED | 01 |
| ISHARES RUSSELL 2000 | COM | 464287655 | 4618 | 46000 | SH | DEFINED | 01 |
| ISHARES S&P SMALLCAP 600 | COM | 464287804 | 4670 | 38000 | SH | DEFINED | 01 |
| ISHARES TRUST-S&P | COM | 464287861 | 74 | 1253 | SH | DEFINED | 01 |
| ISIS PHAMACEUTICALS | COM | 464330109 | 1689 | 105066 | SH | DEFINED | 01 |
| ISIS PHAMACEUTICALS | COM | 464330109 | 3 | 180 | SH | DEFINED | 01 |
| ISIS PHAMACEUTICALS | COM | 464330109 | 116 | 7200 | SH | DEFINED | 01 |
| NORSK HYDRO | COM | 4645805 | 37 | 757 | SH | DEFINED | 01 |
| OMV AG | COM | 4651459 | 6 | 60 | SH | DEFINED | 01 |
| ITRON | COM | 465741106 | 4248 | 142815 | SH | DEFINED | 01 |
| ITRON | COM | 465741106 | 223 | 7500 | SH | DEFINED | 01 |
| ITRON INC | COM | 465741106 | 4 | 140 | SH | DEFINED | 01 |
| I2 TECHNOLOGIES | COM | 465754109 | 67 | 13185 | SH | DEFINED | 01 |
| IVAX | COM | 465823102 | 1685 | 105000 | SH | DEFINED | 01 |
| IVAX | COM | 465823102 | 702 | 43711 | SH | DEFINED | 01 |
| NOVOZYMES | COM | 4658535 | 3 | 175 | SH | DEFINED | 01 |
| IVEX PACKAGING | COM | 465855104 | 2124 | 92650 | SH | DEFINED | 01 |
| IVEX PACKAGING | COM | 465855104 | 205 | 8950 | SH | DEFINED | 01 |
| IVEX PACKAGING CORP | COM | 465855104 | 10 | 460 | SH | DEFINED | 01 |
| JAAKKO POYRY GROUP | COM | 465910990 | 38 | 2500 | SH | DEFINED | 01 |
| JDN REALTY | COM | 465917102 | 12781 | 1000106 | SH | DEFINED | 01 |
| JDN REALTY | COM | 465917102 | 1217 | 95272 | SH | DEFINED | 01 |
| IXYS CORP | COM | 46600W106 | 544 | 46600 | SH | DEFINED | 01 |
| IXYS CORP | COM | 46600W106 | 2 | 170 | SH | DEFINED | 01 |
| J & J SNACK FOODS | COM | 466032109 | 994 | 26601 | SH | DEFINED | 01 |
| JDS UNIPHASE | COM | 46612J101 | 424 | 71954 | SH | DEFINED | 01 |
| JDS UNIPHASE | COM | 46612J101 | 2026 | 343960 | SH | DEFINED | 01 |
| JDS UNIPHASE | COM | 46612J101 | 73 | 12342 | SH | DEFINED | 01 |
| JDS UNIPHASE CORP | COM | 46612J101 | 283 | 48000 | SH | DEFINED | 01 |
| JDS UNIPHASE CORP | COM | 46612J101 | 12 | 2175 | SH | DEFINED | 01 |
| JDA SOFTWARE GROUP I | COM | 46612K108 | 2486 | 78000 | SH | DEFINED | 01 |
| JDA SOFTWARE GROUP I | COM | 46612K108 | 12 | 370 | SH | DEFINED | 01 |
| JDA SOFTWARE GROUP I | COM | 46612K108 | 137 | 4300 | SH | DEFINED | 01 |
| OEST | COM | 4661607 | 9 | 110 | SH | DEFINED | 01 |
| J. JILL GROUP | COM | 466189107 | 1750 | 61500 | SH | DEFINED | 01 |
| JLG INDS | COM | 466210101 | 1916 | 129456 | SH | DEFINED | 01 |
| JNI CORP | COM | 46622G105 | 948 | 133168 | SH | DEFINED | 01 |
| JNI CORP | COM | 46622G105 | 1 | 180 | SH | DEFINED | 01 |
| JNI CORP | COM | 46622G105 | 66 | 9300 | SH | DEFINED | 01 |
| J P MORGAN CHASE | COM | 46625H100 | 1296 | 36330 | SH | DEFINED | 01 |
| J.P. MORGAN CHASE | COM | 46625H100 | 16 | 450 | SH | DEFINED | 01 |
| J.P. MORGAN CHASE | COM | 46625H100 | 65064 | 1825091 | SH | DEFINED | 01 |
| J.P. MORGAN CHASE | COM | 46625H100 | 19243 | 539775 | SH | DEFINED | 01 |
| J.P. MORGAN CHASE | COM | 46625H100 | 45486 | 1275906 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------|-----|-----------|--------|----------|----|---------|------|
| JABIL CIRCUIT | COM | 466313103 | 7644 | 324825 | SH | DEFINED | 01 |
| JABIL CIRCUIT | COM | 466313103 | 1175 | 49954 | SH | DEFINED | 01 0 |
| JABIL CIRCUIT | COM | 466313103 | 221 | 9392 | SH | DEFINED | 01 0 |
| JABIL CIRCUIT | COM | 466313103 | 612 | 26000 | SH | DEFINED | 01 |
| JACK IN THE BOX | COM | 466367109 | 3590 | 121069 | SH | DEFINED | 01 |
| OUTOKUMPU OY | COM | 4665148 | 6 | 500 | SH | DEFINED | 01 1 |
| PERNOD-RICAR | COM | 4682329 | 10 | 125 | SH | DEFINED | 01 1 |
| PIRELLI SPA | COM | 4689900 | 8 | 5000 | SH | DEFINED | 01 1 |
| ASCOM HOLDIN | COM | 4697378 | 1 | 56 | SH | DEFINED | 01 1 |
| JACOBS ENGINEERING GROUP | COM | 469814107 | 72 | 1007 | SH | DEFINED | 01 |
| INDEPENDENT | COM | 4699103 | 2 | 1216 | SH | DEFINED | 01 1 |
| JAKKS PACIFIC | COM | 47012E106 | 3716 | 163301 | SH | DEFINED | 01 |
| JAKKS PACIFIC | COM | 47012E106 | 174 | 7660 | SH | DEFINED | 01 0 |
| JAKKS PACIFIC INC | COM | 47012E106 | 9 | 420 | SH | DEFINED | 01 0 |
| NEW BARCO | COM | 4704096 | 1 | 28 | SH | DEFINED | 01 1 |
| JARDINE LLOYD THOMPSON | COM | 471110999 | 154 | 18000 | SH | DEFINED | 01 |
| RENAULT | COM | 4712798 | 8 | 166 | SH | DEFINED | 01 1 |
| KUOMI REISEN NAMEN B | COM | 4714750 | 5 | 16 | SH | DEFINED | 01 1 |
| RAS | COM | 4718246 | 24 | 1800 | SH | DEFINED | 01 1 |
| JCG HOLDINGS LTD | COM | 472168996 | 93 | 154000 | SH | DEFINED | 01 |
| JEFFERIES GROUP | COM | 472319102 | 6676 | 138489 | SH | DEFINED | 01 |
| JEFFERIES GROUP | COM | 472319102 | 275 | 5700 | SH | DEFINED | 01 0 |
| JEFFERIES GROUP -NEW | COM | 472319102 | 12 | 260 | SH | DEFINED | 01 0 |
| RAUTARUUKKI OYJ | COM | 4727662 | 2 | 500 | SH | DEFINED | 01 1 |
| TOMRA SYSTEM | COM | 4730875 | 4 | 400 | SH | DEFINED | 01 1 |
| TELENOR AS | COM | 4732495 | 5 | 1186 | SH | DEFINED | 01 1 |
| AVENTIS | COM | 4736817 | 149 | 2153 | SH | DEFINED | 01 1 |
| RINASCENTE (L | COM | 4740034 | 4 | 1000 | SH | DEFINED | 01 1 |
| MERCK KGAA | COM | 4741844 | 12 | 400 | SH | DEFINED | 01 1 |
| JEFFERSON-PILOT | COM | 475070108 | 346 | 6900 | SH | DEFINED | 01 |
| JEFFERSON-PILOT | COM | 475070108 | 53520 | 1068692 | SH | DEFINED | 01 |
| JEFFERSON-PILOT | COM | 475070108 | 1909 | 38119 | SH | DEFINED | 01 0 |
| JEFFERSON-PILOT | COM | 475070108 | 2319 | 46289 | SH | DEFINED | 01 0 |
| INTERBREW + | COM | 4755317 | 11 | 397 | SH | DEFINED | 01 1 |
| JENOPTIK AG | COM | 476199997 | 283 | 16200 | SH | DEFINED | 01 |
| SKF AB | COM | 4767066 | 2 | 100 | SH | DEFINED | 01 1 |
| SKF AB | COM | 4767099 | 2 | 100 | SH | DEFINED | 01 1 |
| CIE DE ST-GO | COM | 4768371 | 40 | 242 | SH | DEFINED | 01 1 |
| RWE AG | COM | 4768962 | 53 | 1401 | SH | DEFINED | 01 1 |
| RWE AG | COM | 4769147 | 6 | 200 | SH | DEFINED | 01 1 |
| JIANGSU EXP CO LTD H | COM | 477311997 | 91 | 380000 | SH | DEFINED | 01 |
| JO-ANN STORES CL A | COM | 47758P109 | 922 | 57131 | SH | DEFINED | 01 |
| JOHN NUVEEN | COM | 478035108 | 7 | 121 | SH | DEFINED | 01 |
| JOHNSON & JOHNSON | COM | 478160104 | 10992 | 169246 | SH | DEFINED | 01 |
| JOHNSON & JOHNSON | COM | 478160104 | 737195 | 11350198 | SH | DEFINED | 01 |
| JOHNSON & JOHNSON | COM | 478160104 | 74730 | 1150584 | SH | DEFINED | 01 0 |
| JOHNSON & JOHNSON | COM | 478160104 | 54669 | 841717 | SH | DEFINED | 01 0 |
| JOHNSON & JOHNSON | COM | 478160104 | 208436 | 3209151 | SH | DEFINED | 01 0 |
| JOHNSON CONTROLS | COM | 478366107 | 11532 | 130586 | SH | DEFINED | 01 |
| JOHNSON CONTROLS | COM | 478366107 | 2757 | 31220 | SH | DEFINED | 01 0 |
| JOHNSON CONTROLS | COM | 478366107 | 379 | 4297 | SH | DEFINED | 01 0 |
| SCHIBSTED AS | COM | 4790534 | 2 | 200 | SH | DEFINED | 01 1 |
| JONES APPAREL GROUP | COM | 480074103 | 1051 | 30069 | SH | DEFINED | 01 |
| JONES APPAREL GROUP | COM | 480074103 | 1111 | 31784 | SH | DEFINED | 01 0 |
| JONES APPAREL GROUP | COM | 480074103 | 40 | 1140 | SH | DEFINED | 01 0 |
| JONES LANG LASALLE | COM | 48020Q107 | 1764 | 79646 | SH | DEFINED | 01 |
| JONES LANG LASALLE | COM | 48020Q107 | 155 | 7000 | SH | DEFINED | 01 0 |
| JOURNAL REGISTER | COM | 481138105 | 3543 | 167147 | SH | DEFINED | 01 |
| JOURNAL REGISTER | COM | 481138105 | 229 | 10800 | SH | DEFINED | 01 0 |
| JOURNAL REGISTER CO | COM | 481138105 | 14 | 650 | SH | DEFINED | 01 0 |
| SIRTI SPA | COM | 4811899 | 1 | 500 | SH | DEFINED | 01 1 |
| SKAND ENSKIL | COM | 4813345 | 17 | 1720 | SH | DEFINED | 01 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------|-----|-----------|-------|---------|----|---------|------|
| JULIUS BAER HOLDING LTD | COM | 481600997 | 482 | 1503 | SH | DEFINED | 01 |
| SMURFIT (JEFF | COM | 4816151 | 6 | 2555 | SH | DEFINED | 01 1 |
| VINCI | COM | 4818083 | 16 | 254 | SH | DEFINED | 01 1 |
| SGL CARBON AG | COM | 4818351 | 2 | 100 | SH | DEFINED | 01 1 |
| JUNIPER NETWORKS | COM | 48203R104 | 80 | 6373 | SH | DEFINED | 01 |
| JUPITER MEDIA METRIX INC | COM | 48206U104 | 0 | 40 | SH | DEFINED | 01 |
| SOLVAY SA | COM | 4821100 | 13 | 200 | SH | DEFINED | 01 1 |
| SGS SOCIETE GENERALE | COM | 4824778 | 3 | 10 | SH | DEFINED | 01 1 |
| KLA-TENCOR | COM | 482480100 | 79656 | 1197847 | SH | DEFINED | 01 |
| KLA-TENCOR | COM | 482480100 | 4051 | 60909 | SH | DEFINED | 01 0 |
| KLA-TENCOR | COM | 482480100 | 9527 | 143266 | SH | DEFINED | 01 0 |
| KLA-TENCOR CORP | COM | 482480100 | 186 | 2800 | SH | DEFINED | 01 0 |
| K-MART CORPORATION | COM | 482584109 | 2 | 1060 | SH | DEFINED | 01 |
| KPMG CONSULTING INC | COM | 48265R109 | 7 | 335 | SH | DEFINED | 01 |
| K-SWISS CL A | COM | 482686102 | 1191 | 28366 | SH | DEFINED | 01 |
| K2 | COM | 482732104 | 359 | 55044 | SH | DEFINED | 01 |
| KADANT INC | COM | 48282T104 | 0 | 25 | SH | DEFINED | 01 |
| KABA HOLDING AG-REG B | COM | 482999992 | 206 | 910 | SH | DEFINED | 01 |
| KAISER ALUMINUM CORP | COM | 483007100 | 0 | 286 | SH | DEFINED | 01 |
| SCHNEIDER | COM | 4834108 | 24 | 468 | SH | DEFINED | 01 1 |
| VIVENDI | COM | 4834777 | 110 | 2820 | SH | DEFINED | 01 1 |
| KAMAN CL A | COM | 483548103 | 1163 | 68614 | SH | DEFINED | 01 |
| KANA SOFTWARE INC | COM | 483600300 | 1 | 60 | SH | DEFINED | 01 |
| KANGWON LAND INC | COM | 484287990 | 132 | 862 | SH | DEFINED | 01 |
| SCHERING AG | COM | 4845757 | 35 | 600 | SH | DEFINED | 01 1 |
| SAP AG | COM | 4846288 | 126 | 835 | SH | DEFINED | 01 1 |
| SSAB (SVENSKT | COM | 4847195 | 2 | 200 | SH | DEFINED | 01 1 |
| KANSAS CITY SOUTHERN INDS | COM | 485170302 | 2891 | 181392 | SH | DEFINED | 01 |
| STOREBRAND A | COM | 4852832 | 3 | 600 | SH | DEFINED | 01 1 |
| SULZER AG | COM | 4854719 | 2 | 10 | SH | DEFINED | 01 1 |
| KAO | COM | 485570998 | 3709 | 199000 | SH | DEFINED | 01 |
| KAO | COM | 485570998 | 1827 | 98000 | SH | DEFINED | 01 0 |
| KAO | COM | 485570998 | 6970 | 374000 | SH | DEFINED | 01 0 |
| KARSTADT | COM | 485700991 | 70 | 2166 | SH | DEFINED | 01 |
| KAWASUMI LABS INC | COM | 486524994 | 223 | 24000 | SH | DEFINED | 01 |
| SVENSKA CELL | COM | 4865379 | 16 | 500 | SH | DEFINED | 01 1 |
| KAYDON | COM | 486587108 | 28 | 1034 | SH | DEFINED | 01 |
| KCI KONECRANES INTERNATIONAL | COM | 486663990 | 206 | 6650 | SH | DEFINED | 01 |
| KEANE | COM | 486665102 | 48 | 2846 | SH | DEFINED | 01 |
| KB HOME | COM | 48666K109 | 61 | 1403 | SH | DEFINED | 01 |
| KB HOME | COM | 48666K109 | 14 | 320 | SH | DEFINED | 01 0 |
| KB HOME | COM | 48666K109 | 554 | 12755 | SH | DEFINED | 01 0 |
| KB HOME | COM | 48666K109 | 20 | 457 | SH | DEFINED | 01 0 |
| TECHNIP | COM | 4874160 | 5 | 34 | SH | DEFINED | 01 1 |
| KEITHLEY INSTRUMENTS | COM | 487584104 | 1061 | 48275 | SH | DEFINED | 01 |
| T.I.M.SPA | COM | 4876746 | 97 | 20000 | SH | DEFINED | 01 1 |
| KELLOGG | COM | 487836108 | 34 | 1000 | SH | DEFINED | 01 |
| KELLOGG | COM | 487836108 | 35085 | 1045139 | SH | DEFINED | 01 |
| KELLOGG | COM | 487836108 | 3683 | 109699 | SH | DEFINED | 01 0 |
| KELLOGG | COM | 487836108 | 1610 | 47973 | SH | DEFINED | 01 0 |
| KELLOGG CO. | COM | 487836108 | 151 | 4500 | SH | DEFINED | 01 0 |
| KELLWOOD | COM | 488044108 | 25258 | 1039885 | SH | DEFINED | 01 |
| KELLWOOD | COM | 488044108 | 2246 | 92461 | SH | DEFINED | 01 0 |
| KELLY SERVICES CL A | COM | 488152208 | 41 | 1458 | SH | DEFINED | 01 |
| KEMET | COM | 488360108 | 8597 | 443817 | SH | DEFINED | 01 |
| KEMET | COM | 488360108 | 887 | 45800 | SH | DEFINED | 01 0 |
| ITALGAS (SOC | COM | 4884073 | 10 | 1000 | SH | DEFINED | 01 1 |
| KENNAMETAL | COM | 489170100 | 2879 | 71221 | SH | DEFINED | 01 |
| KENNAMETAL | COM | 489170100 | 4852 | 120000 | SH | DEFINED | 01 0 |
| KENSEY NASH CORP | COM | 490057106 | 23 | 1400 | SH | DEFINED | 01 |
| TRELLEBORG SER B | COM | 4902384 | 2 | 200 | SH | DEFINED | 01 1 |
| TOTAL FINA ELF SA | COM | 4905413 | 298 | 1931 | SH | DEFINED | 01 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------|-----|-----------|-------|---------|----|---------|----|---|
| UNION FENOSA | COM | 4913223 | 12 | 717 | SH | DEFINED | 01 | 1 |
| KEPPEL CORP ORD | COM | 492051990 | 2466 | 1109000 | SH | DEFINED | 01 | |
| KEPPEL CORP ORD | COM | 492051990 | 73 | 33000 | SH | DEFINED | 01 | 0 |
| VA TECHNOLOG | COM | 4921635 | 1 | 35 | SH | DEFINED | 01 | 1 |
| KERR MCGEE | COM | 492386107 | 115 | 1830 | SH | DEFINED | 01 | 0 |
| KERR-MCGEE | COM | 492386107 | 324 | 5150 | SH | DEFINED | 01 | 0 |
| KERR-MCGEE | COM | 492386107 | 71742 | 1141467 | SH | DEFINED | 01 | |
| KERR-MCGEE | COM | 492386107 | 1759 | 27998 | SH | DEFINED | 01 | 0 |
| KERR-MCGEE | COM | 492386107 | 54593 | 868644 | SH | DEFINED | 01 | 0 |
| KERRY GROUP PLC CL A | COM | 492400999 | 386 | 29000 | SH | DEFINED | 01 | |
| KEY ENERGY SERVICES | COM | 492914106 | 2954 | 275646 | SH | DEFINED | 01 | |
| KEY ENERGY SERVICES | COM | 492914106 | 2 | 200 | SH | DEFINED | 01 | 0 |
| KEY ENERGY SERVICES | COM | 492914106 | 235 | 21940 | SH | DEFINED | 01 | 0 |
| KEY PRODUCTION | COM | 493138101 | 3510 | 185192 | SH | DEFINED | 01 | |
| KEY PRODUCTION | COM | 493138101 | 208 | 11000 | SH | DEFINED | 01 | 0 |
| KEY PRODUCTION CO | COM | 493138101 | 7 | 400 | SH | DEFINED | 01 | 0 |
| KEYCORP | COM | 493267108 | 286 | 10750 | SH | DEFINED | 01 | |
| KEYCORP | COM | 493267108 | 281 | 10523 | SH | DEFINED | 01 | |
| KEYCORP | COM | 493267108 | 2860 | 107321 | SH | DEFINED | 01 | 0 |
| KEYCORP | COM | 493267108 | 103 | 3851 | SH | DEFINED | 01 | 0 |
| KEY3MEDIA GROUP | COM | 49326R104 | 3 | 581 | SH | DEFINED | 01 | |
| KEYNOTE SYSTEMS INC | COM | 493308100 | 1 | 55 | SH | DEFINED | 01 | |
| KEYSPAN | COM | 49337W100 | 52718 | 1448706 | SH | DEFINED | 01 | |
| KEYSPAN | COM | 49337W100 | 1417 | 38939 | SH | DEFINED | 01 | 0 |
| KEYSPAN | COM | 49337W100 | 97 | 2664 | SH | DEFINED | 01 | 0 |
| VALEO | COM | 4937579 | 8 | 180 | SH | DEFINED | 01 | 1 |
| VOLVO (AB) | COM | 4937728 | 6 | 300 | SH | DEFINED | 01 | 1 |
| VOLVO (AB) | COM | 4937739 | 14 | 700 | SH | DEFINED | 01 | 1 |
| WATERFORD WEDGEWOOD | COM | 4942636 | 1 | 1594 | SH | DEFINED | 01 | 1 |
| KIMBALL INTL INC | COM | 494274103 | 2 | 125 | SH | DEFINED | 01 | |
| KILROY REALTY | COM | 49427F108 | 2379 | 84315 | SH | DEFINED | 01 | |
| E.ON AG | COM | 4942904 | 97 | 1900 | SH | DEFINED | 01 | 1 |
| KIMBERLY CLARK | COM | 494368103 | 5689 | 88000 | SH | DEFINED | 01 | |
| KIMBERLY CLARK | COM | 494368103 | 160 | 2485 | SH | DEFINED | 01 | 0 |
| KIMBERLY-CLARK | COM | 494368103 | 21032 | 325320 | SH | DEFINED | 01 | |
| KIMBERLY-CLARK | COM | 494368103 | 9418 | 145676 | SH | DEFINED | 01 | 0 |
| KIMBERLY-CLARK | COM | 494368103 | 16135 | 249576 | SH | DEFINED | 01 | 0 |
| PECHINEY | COM | 4943792 | 9 | 177 | SH | DEFINED | 01 | 1 |
| KIMCO REALTY CORP | COM | 49446R109 | 7 | 228 | SH | DEFINED | 01 | |
| KINDER MORGAN | COM | 49455P101 | 24849 | 513088 | SH | DEFINED | 01 | |
| KINDER MORGAN | COM | 49455P101 | 1633 | 33727 | SH | DEFINED | 01 | 0 |
| KINDER MORGAN | COM | 49455P101 | 823 | 16998 | SH | DEFINED | 01 | 0 |
| KINDRED HEALTHCARE | COM | 494580103 | 36 | 900 | SH | DEFINED | 01 | |
| KINDRED HEALTHCARE | COM | 494580103 | 5 | 124 | SH | DEFINED | 01 | |
| KINGFISHER ORD | COM | 495286999 | 185 | 33588 | SH | DEFINED | 01 | |
| KING PHARMACEUTICAL | COM | 495582108 | 81 | 2300 | SH | DEFINED | 01 | 0 |
| KING PHARMACEUTICALS | COM | 495582108 | 29480 | 842085 | SH | DEFINED | 01 | |
| KING PHARMACEUTICALS | COM | 495582108 | 2835 | 80989 | SH | DEFINED | 01 | 0 |
| KING PHARMACEUTICALS | COM | 495582108 | 9318 | 266184 | SH | DEFINED | 01 | 0 |
| KINGSGATE CONSOLIDATED | COM | 496500992 | 1057 | 1100000 | SH | DEFINED | 01 | |
| KINROSS GOLD | COM | 496902107 | 2163 | 1700000 | SH | DEFINED | 01 | |
| VNU-VER NED UITGEV | COM | 4970950 | 10 | 315 | SH | DEFINED | 01 | 1 |
| KIRBY | COM | 497266106 | 4040 | 135101 | SH | DEFINED | 01 | |
| KIRBY | COM | 497266106 | 194 | 6500 | SH | DEFINED | 01 | 0 |
| KIRBY CORP | COM | 497266106 | 12 | 430 | SH | DEFINED | 01 | 0 |
| KNIGHT-RIDDER | COM | 499040103 | 103 | 1500 | SH | DEFINED | 01 | |
| KNIGHT-RIDDER | COM | 499040103 | 21778 | 317059 | SH | DEFINED | 01 | |
| KNIGHT-RIDDER | COM | 499040103 | 1464 | 21317 | SH | DEFINED | 01 | 0 |
| KNIGHT-RIDDER | COM | 499040103 | 2614 | 38056 | SH | DEFINED | 01 | 0 |
| KNIGHT TRADING GROUP INC | COM | 499063105 | 4 | 551 | SH | DEFINED | 01 | |
| KNOWLEDGE MECHANICS | COM | 49925M993 | 19 | 1175160 | SH | DEFINED | 01 | |
| KNOWLEDGE MECHANICS | COM | 49925M993 | 23 | 1436310 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-----------------------------|-----|-----------|-------|---------|----|---------|------|
| KOBENHAVNS LUFTHAVNE | COM | 500000997 | 107 | 1500 | SH | DEFINED | 01 |
| KOC HOLDINGS AS | COM | 500055991 | 135 | 5492000 | SH | DEFINED | 01 0 |
| KOGER EQUITY | COM | 500228101 | 225 | 12631 | SH | DEFINED | 01 |
| KOHL'S | COM | 500255104 | 14924 | 209756 | SH | DEFINED | 01 |
| KOHL'S | COM | 500255104 | 6039 | 84880 | SH | DEFINED | 01 0 |
| KOHL'S | COM | 500255104 | 24671 | 346746 | SH | DEFINED | 01 0 |
| KOHL'S CORP | COM | 500255104 | 443 | 6206 | SH | DEFINED | 01 0 |
| KONINKLIJKE KPN NA | COM | 500468996 | 7567 | 1477637 | SH | DEFINED | 01 |
| KONINKLIJKE KPN NA | COM | 500468996 | 2686 | 524365 | SH | DEFINED | 01 0 |
| KONINKLIJKE KPN NA | COM | 500468996 | 9992 | 1951106 | SH | DEFINED | 01 0 |
| PHILIPS ELECTRON NYS | COM | 500472303 | 16191 | 535774 | SH | DEFINED | 01 |
| PHILIPS ELECTRON NYS | COM | 500472303 | 598 | 19800 | SH | DEFINED | 01 0 |
| KONAMI CORP | COM | 500485990 | 223 | 10000 | SH | DEFINED | 01 |
| KOOKMIN BANK NEW | COM | 500497995 | 9682 | 231503 | SH | DEFINED | 01 |
| KOOKMIN BANK NEW | COM | 500497995 | 306 | 7316 | SH | DEFINED | 01 0 |
| KOPIN | COM | 500600101 | 1833 | 201208 | SH | DEFINED | 01 |
| KOREA ELEC POWER | COM | 500610993 | 90 | 4611 | SH | DEFINED | 01 |
| KOREA TELECOM ADR | COM | 50063P103 | 3477 | 145000 | SH | DEFINED | 01 |
| KOREA TELECOM ADR | COM | 50063P103 | 5875 | 245000 | SH | DEFINED | 01 0 |
| KORN/FERRY INTL | COM | 500643200 | 13 | 1296 | SH | DEFINED | 01 |
| KOSAN BIOSCIENCED INC | COM | 50064W107 | 5 | 703 | SH | DEFINED | 01 |
| KRAFT FOODS CL A | COM | 50075N104 | 54857 | 1419329 | SH | DEFINED | 01 |
| KRAFT FOODS CL A | COM | 50075N104 | 12170 | 314866 | SH | DEFINED | 01 0 |
| KRISPY KREME DONUTS | COM | 501014104 | 237 | 5800 | SH | DEFINED | 01 0 |
| KRISPY KREME DOUGHNUTS | COM | 501014104 | 6 | 150 | SH | DEFINED | 01 |
| KRISPY KREME DOUGHNUTS | COM | 501014104 | 15571 | 381174 | SH | DEFINED | 01 |
| KRISPY KREME DOUGHNUTS | COM | 501014104 | 30638 | 750000 | SH | DEFINED | 01 0 |
| KROGER | COM | 501044101 | 46902 | 2116473 | SH | DEFINED | 01 |
| KROGER | COM | 501044101 | 6737 | 303977 | SH | DEFINED | 01 0 |
| KROGER | COM | 501044101 | 7665 | 345934 | SH | DEFINED | 01 0 |
| KROGER CO. | COM | 501044101 | 355 | 16000 | SH | DEFINED | 01 |
| KROGER CO. | COM | 501044101 | 49864 | 2250058 | SH | DEFINED | 01 0 |
| KROLL | COM | 501049100 | 1150 | 69076 | SH | DEFINED | 01 |
| KRONOS | COM | 501052104 | 6147 | 130824 | SH | DEFINED | 01 |
| KRONOS | COM | 501052104 | 188 | 4000 | SH | DEFINED | 01 0 |
| KRONOS INC | COM | 501052104 | 4 | 80 | SH | DEFINED | 01 0 |
| KULICKE & SOFFA INDS | COM | 501242101 | 3141 | 150937 | SH | DEFINED | 01 |
| KULICKE &SOFFA IND | COM | 501242101 | 14 | 670 | SH | DEFINED | 01 0 |
| KUONI REISEN AG REG SHARES | COM | 501255996 | 295 | 890 | SH | DEFINED | 01 |
| KYOCERA CORPORATION | COM | 501556104 | 63 | 916 | SH | DEFINED | 01 |
| KYUSHU ELECTRIC POWER | COM | 501588990 | 817 | 58000 | SH | DEFINED | 01 |
| KYUSHU ELECTRIC POWER | COM | 501588990 | 1669 | 118400 | SH | DEFINED | 01 0 |
| LI & FUNG LTD-NEW | COM | 501918999 | 342 | 214000 | SH | DEFINED | 01 |
| LTV CORP NEW | COM | 501921100 | 0 | 471 | SH | DEFINED | 01 |
| LNR PPTY CORP | COM | 501940100 | 3858 | 110008 | SH | DEFINED | 01 |
| LSI LOGIC | COM | 502161102 | 15156 | 891548 | SH | DEFINED | 01 |
| LSI LOGIC | COM | 502161102 | 2297 | 135162 | SH | DEFINED | 01 0 |
| LSI LOGIC | COM | 502161102 | 517 | 30386 | SH | DEFINED | 01 0 |
| LTX | COM | 502392103 | 13705 | 504069 | SH | DEFINED | 01 |
| LTX | COM | 502392103 | 16996 | 625100 | SH | DEFINED | 01 0 |
| LTX CORP | COM | 502392103 | 160 | 5900 | SH | DEFINED | 01 0 |
| L-3 COMMUNICATIONS | COM | 502424104 | 1456 | 13000 | SH | DEFINED | 01 |
| L-3 COMMUNICATIONS HOLDINGS | COM | 502424104 | 3475 | 31033 | SH | DEFINED | 01 |
| L-3 COMMUNICATIONS HOLDINGS | COM | 502424104 | 246 | 2200 | SH | DEFINED | 01 0 |
| L-3 COMMUNICATIONS HOLDINGS | COM | 502424104 | 90 | 800 | SH | DEFINED | 01 0 |
| METRO AG | COM | 5041413 | 24 | 700 | SH | DEFINED | 01 1 |
| LA QUINTA CORP | COM | 50419U202 | 8 | 1159 | SH | DEFINED | 01 |
| SWEDISH MATC | COM | 5048566 | 5 | 743 | SH | DEFINED | 01 1 |
| UPM-KYMMENE | COM | 5051252 | 27 | 800 | SH | DEFINED | 01 1 |
| OTE (HELLENIC TLCM) | COM | 5051605 | 17 | 1140 | SH | DEFINED | 01 1 |
| LA-Z-BOY | COM | 505336107 | 5133 | 186990 | SH | DEFINED | 01 |
| LABOR READY | COM | 505401208 | 1603 | 205505 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| LABOR READY | COM | 505401208 | 89 | 11400 | SH | DEFINED | 01 | 0 |
| LABOR READY INC | COM | 505401208 | 1 | 150 | SH | DEFINED | 01 | 0 |
| LAB CORP OF AMERICA | COM | 50540R409 | 230 | 2400 | SH | DEFINED | 01 | 0 |
| LABORATORY CORP AMERICA HOLDGI | COM | 50540R409 | 10 | 100 | SH | DEFINED | 01 | |
| LABORATORY CORP AMERICA HOLDGI | COM | 50540R409 | 77220 | 805560 | SH | DEFINED | 01 | |
| LABORATORY CORP AMERICA HOLDGI | COM | 50540R409 | 53358 | 556632 | SH | DEFINED | 01 | 0 |
| LABRANCHE | COM | 505447102 | 65 | 2083 | SH | DEFINED | 01 | |
| LACLEDE GROUP | COM | 505597104 | 1349 | 57915 | SH | DEFINED | 01 | |
| LAFARGE | COM | 505861989 | 7840 | 87681 | SH | DEFINED | 01 | |
| LAFARGE | COM | 505861989 | 3900 | 43611 | SH | DEFINED | 01 | 0 |
| LAFARGE | COM | 505861989 | 10663 | 119245 | SH | DEFINED | 01 | 0 |
| LAFARGE NORTH AMERICA INC | COM | 505862102 | 15792 | 365198 | SH | DEFINED | 01 | |
| LAFARGE NORTH AMERICA INC | COM | 505862102 | 602 | 13930 | SH | DEFINED | 01 | 0 |
| PUBLIGROUPE AG | COM | 5064744 | 1 | 6 | SH | DEFINED | 01 | 1 |
| TELE2 AB | COM | 5065060 | 11 | 350 | SH | DEFINED | 01 | 1 |
| BAYER AG | COM | 5069211 | 75 | 2200 | SH | DEFINED | 01 | 1 |
| STORA ENSO | COM | 5072673 | 12 | 925 | SH | DEFINED | 01 | 1 |
| MEDIASET | COM | 5077946 | 26 | 3000 | SH | DEFINED | 01 | 1 |
| BASF AG | COM | 5086577 | 69 | 1700 | SH | DEFINED | 01 | 1 |
| GEHE AG | COM | 5105182 | 8 | 200 | SH | DEFINED | 01 | 1 |
| BEIERSDORF A | COM | 5107401 | 24 | 200 | SH | DEFINED | 01 | 1 |
| HEIDELBERGER | COM | 5120679 | 5 | 100 | SH | DEFINED | 01 | 1 |
| LAM RESEARCH | COM | 512807108 | 40969 | 1397282 | SH | DEFINED | 01 | |
| LAM RESEARCH | COM | 512807108 | 31848 | 1086200 | SH | DEFINED | 01 | 0 |
| LAM RESEARCH CORP | COM | 512807108 | 235 | 8000 | SH | DEFINED | 01 | 0 |
| LAMAR ADVERTISING | COM | 512815101 | 1105 | 27186 | SH | DEFINED | 01 | |
| FRESENIUS ME | COM | 5129074 | 11 | 179 | SH | DEFINED | 01 | 1 |
| LANCASTER COLONY | COM | 513847103 | 56 | 1518 | SH | DEFINED | 01 | |
| ALITALIA LINEE | COM | 5140343 | 3 | 4000 | SH | DEFINED | 01 | 1 |
| LANCE | COM | 514606102 | 1274 | 89093 | SH | DEFINED | 01 | |
| LANDAMERICA FINANCIAL GROUP | COM | 514936103 | 1974 | 57000 | SH | DEFINED | 01 | |
| LANDS' END | COM | 515086106 | 50 | 1096 | SH | DEFINED | 01 | |
| LANDRY'S RESTAURANTS | COM | 51508L103 | 3598 | 156684 | SH | DEFINED | 01 | |
| LANDRY'S RESTAURANTS | COM | 51508L103 | 11 | 480 | SH | DEFINED | 01 | 0 |
| LANDRY'S RESTAURANTS | COM | 51508L103 | 217 | 9450 | SH | DEFINED | 01 | 0 |
| LANDSTAR SYSTEM | COM | 515098101 | 4963 | 53478 | SH | DEFINED | 01 | |
| LANDSTAR SYSTEM | COM | 515098101 | 185 | 1991 | SH | DEFINED | 01 | 0 |
| LANDSTAR SYSTEM INC | COM | 515098101 | 4 | 40 | SH | DEFINED | 01 | 0 |
| LANTE CORP | COM | 516540101 | 0 | 84 | SH | DEFINED | 01 | |
| LANTRONIX | COM | 516548104 | 550 | 212200 | SH | DEFINED | 01 | |
| FRANCE TELECOM | COM | 5176177 | 59 | 1918 | SH | DEFINED | 01 | 1 |
| LATITUDE COMMUNICATIONS | COM | 518292107 | 184 | 80069 | SH | DEFINED | 01 | |
| LATTICE GROUP | COM | 518411996 | 163 | 65700 | SH | DEFINED | 01 | |
| LATTICE SEMICONDUCTR | COM | 518415104 | 70 | 3986 | SH | DEFINED | 01 | |
| ESTE LAUDER | COM | 518439104 | 9 | 271 | SH | DEFINED | 01 | |
| CIAB SPECIALTY | COM | 5196744 | 7 | 98 | SH | DEFINED | 01 | 1 |
| ROYAL DUTCH | COM | 5202704 | 364 | 6654 | SH | DEFINED | 01 | 1 |
| VEDIOR | COM | 5205361 | 3 | 233 | SH | DEFINED | 01 | 1 |
| LAWSON PRODUCTS | COM | 520776105 | 852 | 29571 | SH | DEFINED | 01 | |
| LAWSON SOFTWARE | COM | 520780107 | 2637 | 219700 | SH | DEFINED | 01 | |
| LAWSON SOFTWARE | COM | 520780107 | 4745 | 395450 | SH | DEFINED | 01 | 0 |
| HAGEMeyer | COM | 5209073 | 5 | 248 | SH | DEFINED | 01 | 1 |
| LEAP WIRELESS INTL | COM | 521863100 | 8 | 1000 | SH | DEFINED | 01 | |
| LEAP WIRELESS INTL | COM | 521863100 | 2281 | 270945 | SH | DEFINED | 01 | |
| LEAP WIRELESS INTL | COM | 521863100 | 176 | 20861 | SH | DEFINED | 01 | 0 |
| LEAP WIRELESS INTL I | COM | 521863100 | 3 | 340 | SH | DEFINED | 01 | 0 |
| LEAR | COM | 521865105 | 1768 | 37152 | SH | DEFINED | 01 | |
| LEAR | COM | 521865105 | 64 | 1350 | SH | DEFINED | 01 | 0 |
| LEARNING TREE INTL | COM | 522015106 | 1243 | 51366 | SH | DEFINED | 01 | |
| LEARNING TREE INTL | COM | 522015106 | 102 | 4234 | SH | DEFINED | 01 | 0 |
| GROUP 4 FALCK A/S | COM | 5223459 | 6 | 53 | SH | DEFINED | 01 | 1 |
| SAMPO-LEONIA PLC | COM | 5226038 | 9 | 1000 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|---------------------------|-----|-----------|--------|---------|----|---------|----|---|
| ALLIANZ AG | COM | 5231485 | 154 | 645 | SH | DEFINED | 01 | 1 |
| UPONOR OYJ | COM | 5232671 | 2 | 100 | SH | DEFINED | 01 | 1 |
| LEE ENTERPRISES | COM | 523768109 | 62 | 1663 | SH | DEFINED | 01 | |
| LEE ENTERPRISES INC | COM | 523768109 | 3 | 90 | SH | DEFINED | 01 | 0 |
| LEGATO | COM | 524651106 | 198 | 22000 | SH | DEFINED | 01 | |
| LEGATO | COM | 524651106 | 1 | 160 | SH | DEFINED | 01 | 0 |
| LEGATO SYSTEMS | COM | 524651106 | 8374 | 929252 | SH | DEFINED | 01 | |
| LEGATO SYSTEMS | COM | 524651106 | 346 | 38400 | SH | DEFINED | 01 | 0 |
| LEGGETT & PLATT | COM | 524660107 | 6940 | 279847 | SH | DEFINED | 01 | |
| LEGGETT & PLATT | COM | 524660107 | 1235 | 49801 | SH | DEFINED | 01 | 0 |
| LEGGETT & PLATT | COM | 524660107 | 3819 | 153986 | SH | DEFINED | 01 | 0 |
| LEGG MASON | COM | 524901105 | 31969 | 602283 | SH | DEFINED | 01 | |
| LEGG MASON | COM | 524901105 | 7024 | 132332 | SH | DEFINED | 01 | 0 |
| LEGG MASON | COM | 524901105 | 813 | 15308 | SH | DEFINED | 01 | 0 |
| LEGG MASON INC | COM | 524901105 | 151661 | 2857214 | SH | DEFINED | 01 | 0 |
| LEHMAN BROS HOLDINGS | COM | 524908100 | 2398 | 37100 | SH | DEFINED | 01 | 0 |
| LEHMAN BROTHERS HOLDINGS | COM | 524908100 | 168354 | 2604502 | SH | DEFINED | 01 | |
| LEHMAN BROTHERS HOLDINGS | COM | 524908100 | 7957 | 123099 | SH | DEFINED | 01 | 0 |
| LEHMAN BROTHERS HOLDINGS | COM | 524908100 | 15240 | 235754 | SH | DEFINED | 01 | 0 |
| ABN-AMRO HLD | COM | 5250769 | 84 | 4422 | SH | DEFINED | 01 | 1 |
| AHOLD (KON) | COM | 5252602 | 65 | 2475 | SH | DEFINED | 01 | 1 |
| SAPA AB | COM | 5255850 | 1 | 100 | SH | DEFINED | 01 | 1 |
| AUTOGRILL SP | COM | 5256206 | 11 | 1000 | SH | DEFINED | 01 | 1 |
| BULGARI SPA | COM | 5256477 | 9 | 1000 | SH | DEFINED | 01 | 1 |
| LENNAR | COM | 526057104 | 2463 | 46685 | SH | DEFINED | 01 | |
| LENNOX INTL | COM | 526107107 | 2296 | 173672 | SH | DEFINED | 01 | |
| LENNOX INTL | COM | 526107107 | 10 | 790 | SH | DEFINED | 01 | 0 |
| FLS INDUSTRI | COM | 5263574 | 1 | 100 | SH | DEFINED | 01 | 1 |
| SULZER MEDICA | COM | 5268319 | 2 | 20 | SH | DEFINED | 01 | 1 |
| ENDESA SA | COM | 5271782 | 46 | 3103 | SH | DEFINED | 01 | 1 |
| LEUCADIA NATL | COM | 527288104 | 3899 | 109067 | SH | DEFINED | 01 | |
| LEUCADIA NATL | COM | 527288104 | 497 | 13900 | SH | DEFINED | 01 | 0 |
| LEVEL 3 COMM | COM | 52729N100 | 10 | 2875 | SH | DEFINED | 01 | |
| ALTANA AG | COM | 5273131 | 5 | 100 | SH | DEFINED | 01 | 1 |
| DEUTSCHE | COM | 5287488 | 17 | 1100 | SH | DEFINED | 01 | 1 |
| LEXAR MEDIA INC | COM | 52886P104 | 1 | 467 | SH | DEFINED | 01 | |
| LEXICON GENETICS | COM | 528872104 | 1614 | 170813 | SH | DEFINED | 01 | |
| LEXICON GENETICS | COM | 528872104 | 114 | 12100 | SH | DEFINED | 01 | 0 |
| AUSTRIAN AIRLINES | COM | 5288726 | 1 | 65 | SH | DEFINED | 01 | 1 |
| MUNCHENER | COM | 5294121 | 93 | 374 | SH | DEFINED | 01 | 1 |
| TELECOM ITAL | COM | 5297313 | 11 | 2000 | SH | DEFINED | 01 | 1 |
| TELECOM ITAL | COM | 5297506 | 91 | 11000 | SH | DEFINED | 01 | 1 |
| LEXMARK INTL CL A | COM | 529771107 | 22913 | 400747 | SH | DEFINED | 01 | |
| LEXMARK INTL CL A | COM | 529771107 | 1883 | 32936 | SH | DEFINED | 01 | 0 |
| LEXMARK INTL CL A | COM | 529771107 | 701 | 12248 | SH | DEFINED | 01 | 0 |
| LEXMARK INTL INC | COM | 529771107 | 1144 | 20000 | SH | DEFINED | 01 | 0 |
| LEXMARK INTL INC | COM | 529771107 | 2667 | 46650 | SH | DEFINED | 01 | 0 |
| LG ELECTRONICS | COM | 529833998 | 296 | 8724 | SH | DEFINED | 01 | |
| BIC | COM | 5298781 | 4 | 120 | SH | DEFINED | 01 | 1 |
| LIBBEY | COM | 529898108 | 1812 | 47038 | SH | DEFINED | 01 | |
| LIBERATE TECH | COM | 530129105 | 3 | 560 | SH | DEFINED | 01 | |
| AUTOMATIC DATA PROCESSING | COM | 53015103 | 268 | 4600 | SH | DEFINED | 11 | 1 |
| LIBERTY CORP S C | COM | 530370105 | 40 | 1000 | SH | DEFINED | 01 | |
| LIBERTY CORP S C | COM | 530370105 | 8 | 189 | SH | DEFINED | 01 | |
| LIBERTY TX EXMPT INS | COM | 530544402 | 29 | 3477 | SH | DEFINED | 01 | 0 |
| LIBERTY MEDIA | COM | 530718105 | 6305 | 498817 | SH | DEFINED | 01 | |
| LIBERTY MEDIA | COM | 530718105 | 63 | 5000 | SH | DEFINED | 01 | 0 |
| LIBERTY MEDIA CL A | COM | 530718105 | 132 | 10500 | SH | DEFINED | 01 | |
| LIBERTY MEDIA CL A | COM | 530718105 | 22623 | 1789795 | SH | DEFINED | 01 | |
| LIBERTY MEDIA CL A | COM | 530718105 | 22532 | 1782554 | SH | DEFINED | 01 | 0 |
| LIBERTY PROPERTY TRU | COM | 531172104 | 4 | 130 | SH | DEFINED | 01 | |
| LIFEPOINT HOSP INC | COM | 53219L109 | 48221 | 1304710 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|---------------------------|-----|-----------|--------|---------|----|---------|------|
| LIFEPOINT HOSPITALS | COM | 53219L109 | 52 | 1400 | SH | DEFINED | 01 |
| LIFEPOINT HOSPITALS | COM | 53219L109 | 15131 | 409404 | SH | DEFINED | 01 |
| LIFEPOINT HOSPITALS | COM | 53219L109 | 1031 | 27900 | SH | DEFINED | 01 0 |
| LIFEPOINT HOSPITALS | COM | 53219L109 | 12469 | 337350 | SH | DEFINED | 01 0 |
| LIGHTBRIDGE INC | COM | 532226107 | 3773 | 324400 | SH | DEFINED | 01 |
| LIGHTBRIDGE INC | COM | 532226107 | 330 | 28400 | SH | DEFINED | 01 0 |
| LIHIR GOLD | COM | 532300993 | 1068 | 1300000 | SH | DEFINED | 01 |
| LIHIR GOLD | COM | 532300993 | 855 | 1040740 | SH | DEFINED | 01 0 |
| ELI LILLY | COM | 532457108 | 206 | 2710 | SH | DEFINED | 01 0 |
| LILLY (ELI) | COM | 532457108 | 46150 | 605637 | SH | DEFINED | 01 |
| LILLY (ELI) | COM | 532457108 | 23815 | 312529 | SH | DEFINED | 01 0 |
| LILLY (ELI) | COM | 532457108 | 5379 | 70590 | SH | DEFINED | 01 0 |
| LIMITED | COM | 532716107 | 20682 | 1155420 | SH | DEFINED | 01 |
| LIMITED | COM | 532716107 | 2341 | 130762 | SH | DEFINED | 01 0 |
| LIMITED | COM | 532716107 | 5654 | 315892 | SH | DEFINED | 01 0 |
| THE LIMITED INC | COM | 532716107 | 703 | 39270 | SH | DEFINED | 01 |
| LINCARE HOLDINGS | COM | 532791100 | 26467 | 975923 | SH | DEFINED | 01 |
| LINCARE HOLDINGS | COM | 532791100 | 4217 | 155500 | SH | DEFINED | 01 0 |
| LINCARE HOLDINGS | COM | 532791100 | 621 | 22896 | SH | DEFINED | 01 0 |
| LINCARE HOLDINGS INC | COM | 532791100 | 51355 | 1893630 | SH | DEFINED | 01 0 |
| MODERN TIMES | COM | 5328127 | 4 | 159 | SH | DEFINED | 01 1 |
| DASSAULT SYS | COM | 5330047 | 13 | 258 | SH | DEFINED | 01 1 |
| ACS ACTIVIDA | COM | 5333381 | 4 | 129 | SH | DEFINED | 01 1 |
| LINCOLN ELECTRIC HOLDINGS | COM | 533900106 | 5303 | 185597 | SH | DEFINED | 01 |
| LINCOLN ELECTRIC HOLDINGS | COM | 533900106 | 409 | 14300 | SH | DEFINED | 01 0 |
| LINCOLN NAT | COM | 534187109 | 875 | 17240 | SH | DEFINED | 01 0 |
| LINCOLN NATL | COM | 534187109 | 302 | 5950 | SH | DEFINED | 01 |
| LINCOLN NATL | COM | 534187109 | 84963 | 1674807 | SH | DEFINED | 01 |
| LINCOLN NATL | COM | 534187109 | 3495 | 68905 | SH | DEFINED | 01 0 |
| LINCOLN NATL | COM | 534187109 | 2374 | 46802 | SH | DEFINED | 01 0 |
| LINDE AG | COM | 535055990 | 64 | 1301 | SH | DEFINED | 01 |
| LINDSAY MFG | COM | 535555106 | 1868 | 76731 | SH | DEFINED | 01 |
| LINEAR TECHNOLOGY | COM | 535678106 | 855 | 19330 | SH | DEFINED | 01 |
| LINEAR TECHNOLOGY | COM | 535678106 | 3556 | 80426 | SH | DEFINED | 01 0 |
| LINEAR TECHNOLOGY | COM | 535678106 | 128 | 2886 | SH | DEFINED | 01 0 |
| LINENS 'N THINGS | COM | 535679104 | 67 | 2200 | SH | DEFINED | 01 |
| LINENS 'N THINGS | COM | 535679104 | 4486 | 146930 | SH | DEFINED | 01 |
| LION BIOSCIENCE ORD | COM | 536100993 | 58 | 5800 | SH | DEFINED | 01 |
| LIQUID AUDIO | COM | 53631T102 | 0 | 62 | SH | DEFINED | 01 |
| NORDEA | COM | 5380031 | 41 | 7199 | SH | DEFINED | 01 1 |
| LIZ CLAIBORNE | COM | 539320101 | 305 | 10750 | SH | DEFINED | 01 |
| LIZ CLAIBORNE | COM | 539320101 | 3759 | 132544 | SH | DEFINED | 01 |
| LIZ CLAIBORNE | COM | 539320101 | 756 | 26664 | SH | DEFINED | 01 0 |
| LIZ CLAIBORNE | COM | 539320101 | 6266 | 220956 | SH | DEFINED | 01 0 |
| LLOYDS TSB GROUP ADR | COM | 539439109 | 2473 | 59600 | SH | DEFINED | 01 |
| LLOYDS TSB GROUP | COM | 539500991 | 15783 | 1537396 | SH | DEFINED | 01 |
| LLOYDS TSB GROUP | COM | 539500991 | 4727 | 460390 | SH | DEFINED | 01 0 |
| LLOYDS TSB GROUP | COM | 539500991 | 11467 | 1116910 | SH | DEFINED | 01 0 |
| LOCAL FINANCIAL | COM | 539553107 | 2031 | 129050 | SH | DEFINED | 01 |
| LOCAL FINANCIAL | COM | 539553107 | 194 | 12350 | SH | DEFINED | 01 0 |
| LOCAL FINANCIAL CORP | COM | 539553107 | 9 | 580 | SH | DEFINED | 01 0 |
| LOCKHEED MARTIN | COM | 539830109 | 29 | 500 | SH | DEFINED | 01 |
| LOCKHEED MARTIN | COM | 539830109 | 132027 | 2292928 | SH | DEFINED | 01 |
| LOCKHEED MARTIN | COM | 539830109 | 8030 | 139468 | SH | DEFINED | 01 0 |
| LOCKHEED MARTIN | COM | 539830109 | 29372 | 510110 | SH | DEFINED | 01 0 |
| LODGENET ENT | COM | 540211109 | 63 | 3700 | SH | DEFINED | 01 |
| NUTRICIA | COM | 5402601 | 6 | 217 | SH | DEFINED | 01 1 |
| LOEWS | COM | 540424108 | 107018 | 1826863 | SH | DEFINED | 01 |
| LOEWS | COM | 540424108 | 5699 | 97285 | SH | DEFINED | 01 0 |
| LOEWS | COM | 540424108 | 4406 | 75215 | SH | DEFINED | 01 0 |
| LOEWS CORP | COM | 540424108 | 1488 | 25400 | SH | DEFINED | 01 0 |
| LOGITECH INTERNATIONAL | COM | 541410999 | 86 | 1819 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|------|
| LONE STAR STEAKHOUSE & SALOON | COM | 542307103 | 1542 | 73825 | SH | DEFINED | 01 |
| LONE STAR TECHNOLOG | COM | 542312103 | 3 | 145 | SH | DEFINED | 01 0 |
| LONE STAR TECHNOLOGIES | COM | 542312103 | 2812 | 123284 | SH | DEFINED | 01 |
| LONE STAR TECHNOLOGIES | COM | 542312103 | 103 | 4534 | SH | DEFINED | 01 0 |
| LONGS DRUG STORES | COM | 543162101 | 43 | 1522 | SH | DEFINED | 01 |
| LONGVIEW FIBRE | COM | 543213102 | 18 | 1693 | SH | DEFINED | 01 |
| LONMIN | COM | 543399992 | 1423 | 84000 | SH | DEFINED | 01 |
| LOOKSMART LTD | COM | 543442107 | 1 | 427 | SH | DEFINED | 01 |
| KAMPS AG | COM | 5437078 | 2 | 200 | SH | DEFINED | 01 1 |
| OM AB | COM | 5437744 | 2 | 200 | SH | DEFINED | 01 1 |
| METROVACESA | COM | 5442685 | 2 | 128 | SH | DEFINED | 01 1 |
| TELEPIZZA | COM | 5443365 | 1 | 505 | SH | DEFINED | 01 1 |
| ALTADIS SA | COM | 5444012 | 16 | 864 | SH | DEFINED | 01 1 |
| OCE NV | COM | 5446751 | 2 | 197 | SH | DEFINED | 01 1 |
| OYJ HARWALL | COM | 5446944 | 4 | 150 | SH | DEFINED | 01 1 |
| GAMBRO AB | COM | 5450031 | 3 | 500 | SH | DEFINED | 01 1 |
| GAMBRO AB | COM | 5450053 | 1 | 200 | SH | DEFINED | 01 1 |
| LOUDEYE TECHNOLOGIES | COM | 545754103 | 0 | 80 | SH | DEFINED | 01 |
| AKZO NOBEL N | COM | 5458314 | 40 | 863 | SH | DEFINED | 01 1 |
| ORKLA ASA | COM | 5459715 | 15 | 835 | SH | DEFINED | 01 1 |
| SKANDIA INSU | COM | 5461572 | 15 | 3000 | SH | DEFINED | 01 1 |
| LOUISIANA PAC. | COM | 546347105 | 14 | 1320 | SH | DEFINED | 01 0 |
| LOUISIANA-PACIFIC | COM | 546347105 | 3263 | 303871 | SH | DEFINED | 01 |
| LOUISIANA-PACIFIC | COM | 546347105 | 284 | 26476 | SH | DEFINED | 01 0 |
| LOUISIANA-PACIFIC | COM | 546347105 | 286 | 26630 | SH | DEFINED | 01 0 |
| LOUISVILLE G&E PFD | PRF | 546676800 | 1197 | 12000 | SH | DEFINED | 01 0 |
| ELECTROLUX A | COM | 5466782 | 14 | 800 | SH | DEFINED | 01 1 |
| EBRO PULEVA SA | COM | 5468324 | 1 | 51 | SH | DEFINED | 01 1 |
| PETROLEUM GE | COM | 5469372 | 1 | 200 | SH | DEFINED | 01 1 |
| TIETOENATOR | COM | 5479702 | 5 | 200 | SH | DEFINED | 01 1 |
| TPG NV | COM | 5481558 | 24 | 1147 | SH | DEFINED | 01 1 |
| LOWES COMPANIES | COM | 548661107 | 4282 | 98420 | SH | DEFINED | 01 0 |
| LOWE'S COMPANIES | COM | 548661107 | 15 | 350 | SH | DEFINED | 01 |
| LOWE'S COMPANIES | COM | 548661107 | 22311 | 513015 | SH | DEFINED | 01 |
| LOWE'S COMPANIES | COM | 548661107 | 9659 | 222113 | SH | DEFINED | 01 0 |
| LOWE'S COMPANIES | COM | 548661107 | 26831 | 616941 | SH | DEFINED | 01 0 |
| LUBRIZOL | COM | 549271104 | 62 | 1767 | SH | DEFINED | 01 |
| LUBY'S CAFETERIAS | COM | 549282101 | 468 | 68803 | SH | DEFINED | 01 |
| LUCENT TECHNOLOGIES | COM | 549463107 | 94 | 19800 | SH | DEFINED | 01 |
| LUCENT TECHNOLOGIES | COM | 549463107 | 10984 | 2322309 | SH | DEFINED | 01 |
| LUCENT TECHNOLOGIES | COM | 549463107 | 218 | 46100 | SH | DEFINED | 01 0 |
| LUCENT TECHNOLOGIES | COM | 549463107 | 4437 | 938036 | SH | DEFINED | 01 0 |
| LUCENT TECHNOLOGIES | COM | 549463107 | 3860 | 816126 | SH | DEFINED | 01 0 |
| LUCENT CVT PFD CVT | PRF | 549463305 | 183 | 200 | SH | DEFINED | 01 |
| VOLKSWAGEN A | COM | 5497102 | 47 | 900 | SH | DEFINED | 01 1 |
| VOLKSWAGEN A | COM | 5497168 | 7 | 200 | SH | DEFINED | 01 1 |
| ACERINOX SA | COM | 5500118 | 5 | 138 | SH | DEFINED | 01 1 |
| BCO BILBAO ARGENTARIA | COM | 5501906 | 117 | 9860 | SH | DEFINED | 01 1 |
| VALLEHERMOSO | COM | 5504789 | 2 | 304 | SH | DEFINED | 01 1 |
| PIN-PRINTEMP | COM | 5505072 | 35 | 299 | SH | DEFINED | 01 1 |
| LYDALL | COM | 550819106 | 693 | 48841 | SH | DEFINED | 01 |
| BUHRMANN NV | COM | 5516751 | 3 | 232 | SH | DEFINED | 01 1 |
| WILLIAM LYON HOMES | COM | 552074106 | 886 | 47000 | SH | DEFINED | 01 |
| WILLIAM LYON HOMES | COM | 552074106 | 5 | 260 | SH | DEFINED | 01 0 |
| WILLIAM LYON HOMES | COM | 552074106 | 89 | 4700 | SH | DEFINED | 01 0 |
| LYONDELL CHEMICAL | COM | 552078107 | 795 | 47828 | SH | DEFINED | 01 |
| M-CELL LTD | COM | 552600991 | 1730 | 1478300 | SH | DEFINED | 01 |
| M-CELL LTD | COM | 552600991 | 50 | 42600 | SH | DEFINED | 01 0 |
| M&T BANK | COM | 55261F104 | 1382 | 17198 | SH | DEFINED | 01 |
| MAF BANCORP | COM | 55261R108 | 3555 | 100876 | SH | DEFINED | 01 |
| MAF BANCORP | COM | 55261R108 | 132 | 3743 | SH | DEFINED | 01 0 |
| MBIA | COM | 55262C100 | 22045 | 403095 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------|-----|-----------|--------|---------|----|---------|----|---|
| MBIA | COM | 55262C100 | 2883 | 52719 | SH | DEFINED | 01 | 0 |
| MBIA | COM | 55262C100 | 734 | 13415 | SH | DEFINED | 01 | 0 |
| MBNA | COM | 55262L100 | 4573 | 118566 | SH | DEFINED | 01 | |
| MBNA | COM | 55262L100 | 322078 | 8350497 | SH | DEFINED | 01 | |
| MBNA | COM | 55262L100 | 517 | 13406 | SH | DEFINED | 01 | 0 |
| MBNA | COM | 55262L100 | 9293 | 240942 | SH | DEFINED | 01 | 0 |
| MBNA | COM | 55262L100 | 65756 | 1704846 | SH | DEFINED | 01 | 0 |
| M.D.C. HOLDINGS | COM | 552676108 | 6064 | 140382 | SH | DEFINED | 01 | |
| M.D.C. HOLDINGS | COM | 552676108 | 161 | 3730 | SH | DEFINED | 01 | 0 |
| MDC HOLDINGS INC | COM | 552676108 | 12 | 268 | SH | DEFINED | 01 | 0 |
| MDU RESOURCES GROUP | COM | 552690109 | 31973 | 1031385 | SH | DEFINED | 01 | |
| MCSI INC | COM | 55270M108 | 524 | 44000 | SH | DEFINED | 01 | |
| MCSI INC | COM | 55270M108 | 5 | 400 | SH | DEFINED | 01 | 0 |
| MCSI INC | COM | 55270M108 | 48 | 4000 | SH | DEFINED | 01 | 0 |
| MEMC ELECTRONIC MAT | COM | 552715104 | 1 | 164 | SH | DEFINED | 01 | |
| MGIC INVESTMENT | COM | 552848103 | 183 | 2674 | SH | DEFINED | 01 | |
| MGIC INVESTMENT | COM | 552848103 | 1858 | 27157 | SH | DEFINED | 01 | 0 |
| MGIC INVESTMENT | COM | 552848103 | 67 | 975 | SH | DEFINED | 01 | 0 |
| BANC NAZIO | COM | 5528604 | 6 | 2672 | SH | DEFINED | 01 | 1 |
| MGI PHARMA | COM | 552880106 | 1016 | 73816 | SH | DEFINED | 01 | |
| DAIMLERCHRYSLER | COM | 5529027 | 132 | 2900 | SH | DEFINED | 01 | 1 |
| MGM MIRAGE | COM | 552953101 | 3677 | 101490 | SH | DEFINED | 01 | |
| MGM MIRAGE | COM | 552953101 | 3804 | 105000 | SH | DEFINED | 01 | 0 |
| M/I SCHOTTENSTEIN HM | COM | 55305B101 | 9 | 165 | SH | DEFINED | 01 | 0 |
| MKS INSTRUMENT INC | COM | 55306N104 | 12 | 345 | SH | DEFINED | 01 | |
| MM02 PLC | COM | 553095993 | 11 | 11100 | SH | DEFINED | 01 | |
| SWISSCOM AG | COM | 5533976 | 45 | 150 | SH | DEFINED | 01 | 1 |
| MPS GROUP | COM | 553409103 | 3440 | 393171 | SH | DEFINED | 01 | |
| MPS GROUP | COM | 553409103 | 247 | 28200 | SH | DEFINED | 01 | 0 |
| MRV COMMUNICATIONS | COM | 553477100 | 1 | 424 | SH | DEFINED | 01 | |
| MRO SOFTWARE | COM | 55347W105 | 1363 | 109538 | SH | DEFINED | 01 | |
| MRO SOFTWARE | COM | 55347W105 | 48 | 3850 | SH | DEFINED | 01 | 0 |
| MRO SOFTWARE INC | COM | 55347W105 | 5 | 470 | SH | DEFINED | 01 | 0 |
| MSC.SOFTWARE CORP | COM | 553531104 | 6 | 270 | SH | DEFINED | 01 | 0 |
| MTI TECHNOLOGY CORP | COM | 553903105 | 0 | 136 | SH | DEFINED | 01 | |
| DROTT AB-B SHS | COM | 5541087 | 3 | 300 | SH | DEFINED | 01 | 1 |
| MACDERMID | COM | 554273102 | 2134 | 98542 | SH | DEFINED | 01 | |
| MACERICH CO | COM | 554382101 | 3 | 99 | SH | DEFINED | 01 | |
| MACK-CALI REALTY | COM | 554489104 | 8 | 223 | SH | DEFINED | 01 | |
| SECURITAS | COM | 5554041 | 20 | 1000 | SH | DEFINED | 01 | 1 |
| AUTOSTRADA SPA | COM | 5554654 | 26 | 3448 | SH | DEFINED | 01 | 1 |
| SAN PAOLO-IM | COM | 5556575 | 40 | 3400 | SH | DEFINED | 01 | 1 |
| SONERA OYJ | COM | 5556586 | 9 | 1900 | SH | DEFINED | 01 | 1 |
| MACROVISION | COM | 555904101 | 52 | 1940 | SH | DEFINED | 01 | |
| MACRO MEDIA | COM | 556100105 | 35 | 1700 | SH | DEFINED | 01 | |
| MACRO MEDIA | COM | 556100105 | 44 | 2154 | SH | DEFINED | 01 | |
| KON VENDEX KBB | COM | 5562389 | 3 | 231 | SH | DEFINED | 01 | 1 |
| STEVEN MADDEN LTD | COM | 556269108 | 3071 | 175500 | SH | DEFINED | 01 | |
| STEVEN MADDEN LTD | COM | 556269108 | 270 | 15400 | SH | DEFINED | 01 | 0 |
| MAN AG ORD N | COM | 5563520 | 5 | 200 | SH | DEFINED | 01 | 1 |
| MAN AG NON V | COM | 5563531 | 2 | 100 | SH | DEFINED | 01 | 1 |
| MAGELLAN HEALTH SERVICES | COM | 559079108 | 1141 | 200130 | SH | DEFINED | 01 | |
| MAGELLAN HEALTH SERVICES | COM | 559079108 | 104 | 18270 | SH | DEFINED | 01 | 0 |
| MAGELLAN HEALTH SVS | COM | 559079108 | 2 | 320 | SH | DEFINED | 01 | 0 |
| MAGNA ENTERTAINMENT | COM | 559211107 | 8 | 1000 | SH | DEFINED | 01 | |
| MAGNA ENTERTAINMENT | COM | 559211107 | 354 | 43700 | SH | DEFINED | 01 | |
| MAGNA INTERNATIONAL | COM | 559222997 | 1113 | 15200 | SH | DEFINED | 01 | |
| MAGNETEK | COM | 559424106 | 12 | 1000 | SH | DEFINED | 01 | |
| MAGNETEK | COM | 559424106 | 806 | 68881 | SH | DEFINED | 01 | |
| UCB | COM | 5596991 | 18 | 466 | SH | DEFINED | 01 | 1 |
| MAIL WELL INC | COM | 560321200 | 1 | 153 | SH | DEFINED | 01 | |
| WCM | COM | 5604816 | 5 | 533 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|------|
| MALAYAN BANKING BHD | COM | 560801995 | 4041 | 1687600 | SH | DEFINED | 01 |
| MALAYAN BANKING BHD | COM | 560801995 | 125 | 52400 | SH | DEFINED | 01 0 |
| MAN AG | COM | 561500992 | 152 | 6030 | SH | DEFINED | 01 |
| MANAGEMENT NETWORK | COM | 561693102 | 511 | 93000 | SH | DEFINED | 01 |
| MANAGEMENT NETWORK | COM | 561693102 | 1 | 250 | SH | DEFINED | 01 0 |
| MANAGEMENT NETWORK | COM | 561693102 | 46 | 8300 | SH | DEFINED | 01 0 |
| MANDALAY RESORT GROUP | COM | 562567107 | 81 | 2648 | SH | DEFINED | 01 |
| MANDALAY RESORT GRP | COM | 562567107 | 829 | 27000 | SH | DEFINED | 01 |
| MANHATTAN ASS | COM | 562750109 | 4 | 105 | SH | DEFINED | 01 0 |
| MANHATTAN ASSOCIATES | COM | 562750109 | 7096 | 186246 | SH | DEFINED | 01 |
| MANHATTAN ASSOCIATES | COM | 562750109 | 223 | 5850 | SH | DEFINED | 01 0 |
| MANITOWOC | COM | 563571108 | 5854 | 148182 | SH | DEFINED | 01 |
| MANITOWOC | COM | 563571108 | 275 | 6968 | SH | DEFINED | 01 0 |
| MANITOWOC CO. | COM | 563571108 | 15 | 390 | SH | DEFINED | 01 0 |
| THYSSENKRUPP AG | COM | 5636927 | 24 | 1500 | SH | DEFINED | 01 1 |
| MANOR CARE | COM | 564055101 | 33 | 1400 | SH | DEFINED | 01 |
| MANOR CARE | COM | 564055101 | 59 | 2539 | SH | DEFINED | 01 |
| MANOR CARE | COM | 564055101 | 604 | 25944 | SH | DEFINED | 01 0 |
| MANOR CARE | COM | 564055101 | 22 | 930 | SH | DEFINED | 01 0 |
| UNILEVER NV | COM | 5640898 | 100 | 1750 | SH | DEFINED | 01 1 |
| NAVISION | COM | 5641125 | 3 | 100 | SH | DEFINED | 01 1 |
| CARREFOUR | COM | 5641567 | 79 | 1675 | SH | DEFINED | 01 1 |
| MANPOWER | COM | 56418H100 | 5542 | 142544 | SH | DEFINED | 01 |
| MANPOWER | COM | 56418H100 | 7387 | 190000 | SH | DEFINED | 01 0 |
| MANUF HOME | COM | 564682102 | 3 | 88 | SH | DEFINED | 01 |
| MANULIFE FINANCIAL CORP | COM | 564765998 | 164 | 6000 | SH | DEFINED | 01 |
| MANUGISTICS GROUP | COM | 565011103 | 45543 | 2120219 | SH | DEFINED | 01 |
| MANUGISTICS GROUP | COM | 565011103 | 2943 | 137000 | SH | DEFINED | 01 0 |
| MANUGISTICS GROUP | COM | 565011103 | 18889 | 879365 | SH | DEFINED | 01 0 |
| MANUGISTICS GROUP IN | COM | 565011103 | 1589 | 73950 | SH | DEFINED | 01 0 |
| GAS NATURAL | COM | 5650422 | 18 | 1054 | SH | DEFINED | 01 1 |
| MAP INFO | COM | 565105103 | 459 | 45876 | SH | DEFINED | 01 |
| EFG EUROBANK | COM | 5654316 | 7 | 600 | SH | DEFINED | 01 1 |
| MARATHON OIL | COM | 565849106 | 46088 | 1600287 | SH | DEFINED | 01 |
| MARATHON OIL | COM | 565849106 | 2465 | 85613 | SH | DEFINED | 01 0 |
| MARATHON OIL | COM | 565849106 | 4021 | 139605 | SH | DEFINED | 01 0 |
| MARCUS | COM | 566330106 | 1446 | 89717 | SH | DEFINED | 01 |
| PREUSSAG AG | COM | 5666292 | 15 | 500 | SH | DEFINED | 01 1 |
| REPSOL SA (R | COM | 5669354 | 45 | 3602 | SH | DEFINED | 01 1 |
| WOLTERS KLUW | COM | 5671519 | 18 | 865 | SH | DEFINED | 01 1 |
| SANOFI-SYNTH | COM | 5671735 | 98 | 1521 | SH | DEFINED | 01 1 |
| MARIMBA | COM | 56781Q109 | 0 | 65 | SH | DEFINED | 01 |
| CHARLES VOEGELE HOLDING AG | COM | 5682577 | 1 | 21 | SH | DEFINED | 01 1 |
| GRUPO DRAGAD | COM | 5687000 | 5 | 405 | SH | DEFINED | 01 1 |
| HENNES & MAU | COM | 5687431 | 39 | 1925 | SH | DEFINED | 01 1 |
| AGFA GEVAERT | COM | 5689051 | 5 | 325 | SH | DEFINED | 01 1 |
| ASSA ABLOY | COM | 5698789 | 11 | 800 | SH | DEFINED | 01 1 |
| TDC A/S | COM | 5698790 | 16 | 500 | SH | DEFINED | 01 1 |
| WIENERBERGER AG | COM | 5699373 | 3 | 162 | SH | DEFINED | 01 1 |
| SVENSKA | COM | 5703661 | 27 | 1900 | SH | DEFINED | 01 1 |
| SVENSKA HANDELSBANKEN-B SHS | COM | 5703672 | 1 | 100 | SH | DEFINED | 01 1 |
| MARKEL | COM | 570535104 | 228 | 1120 | SH | DEFINED | 01 |
| BCO SANT CEN | COM | 5705946 | 112 | 13324 | SH | DEFINED | 01 1 |
| MARKETWATCH.COM INC | COM | 570619106 | 0 | 40 | SH | DEFINED | 01 |
| MARKETSOFT CV | PRF | 570620XX7 | 4510 | 924180 | SH | DEFINED | 01 |
| MARKETSOFT CV | PRF | 570620XX7 | 5490 | 1125000 | SH | DEFINED | 01 0 |
| MARKS & SPENCER GROUP PLC | COM | 570697508 | 17374 | 3167079 | SH | DEFINED | 01 |
| MARKS & SPENCER GROUP PLC | COM | 570697508 | 22917 | 4177438 | SH | DEFINED | 01 0 |
| MARKS & SPENCER GROUP PLC REDE | COM | 570697557 | 3730 | 3823786 | SH | DEFINED | 01 |
| MARKS & SPENCER GROUP PLC REDE | COM | 570697557 | 5034 | 5160365 | SH | DEFINED | 01 0 |
| METSO OYJ | COM | 5713422 | 4 | 300 | SH | DEFINED | 01 1 |
| MARS SERIES G | PRF | 571650993 | 2380 | 2380000 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| MARS SERIES G | PRF | 571650993 | 4760 | 4760000 | SH | DEFINED | 01 | 0 |
| MARS INC. CVT PFD CVT PFD | PRF | 571650XX3 | 3000 | 2702703 | SH | DEFINED | 01 | 0 |
| MARS CV SERIES D | PRF | 571650XY1 | 2143 | 2142857 | SH | DEFINED | 01 | |
| MARS CV SERIES D | PRF | 571650XY1 | 2619 | 2619048 | SH | DEFINED | 01 | 0 |
| MARSH & MCLENNAN | COM | 571748102 | 39 | 350 | SH | DEFINED | 01 | |
| MARSH & MCLENNAN | COM | 571748102 | 394844 | 3502251 | SH | DEFINED | 01 | |
| MARSH & MCLENNAN | COM | 571748102 | 8173 | 72491 | SH | DEFINED | 01 | 0 |
| MARSH & MCLENNAN | COM | 571748102 | 132537 | 1175598 | SH | DEFINED | 01 | 0 |
| MARSH MCLENNAN CO | COM | 571748102 | 29078 | 257925 | SH | DEFINED | 01 | 0 |
| MARSHALL & ILSLEY | COM | 571834100 | 163 | 2621 | SH | DEFINED | 01 | |
| MARSHALL & ILSLEY | COM | 571834100 | 1688 | 27125 | SH | DEFINED | 01 | 0 |
| MARSHALL & ILSLEY | COM | 571834100 | 61 | 973 | SH | DEFINED | 01 | 0 |
| MARRIOTT INTL CL A | COM | 571903202 | 231034 | 5139789 | SH | DEFINED | 01 | |
| MARRIOTT INTL CL A | COM | 571903202 | 221 | 4909 | SH | DEFINED | 01 | 0 |
| MARRIOTT INTL CL A | COM | 571903202 | 2745 | 61076 | SH | DEFINED | 01 | 0 |
| MARRIOTT INTL CL A | COM | 571903202 | 59104 | 1314891 | SH | DEFINED | 01 | 0 |
| MLP AG | COM | 5720273 | 6 | 84 | SH | DEFINED | 01 | 1 |
| BPI SGPS | COM | 5721759 | 3 | 1462 | SH | DEFINED | 01 | 1 |
| HUGO BOSS VZ | COM | 5726709 | 3 | 149 | SH | DEFINED | 01 | 1 |
| SIEMENS AG | COM | 5727973 | 186 | 2800 | SH | DEFINED | 01 | 1 |
| MARTEK BIOSCIENCES | COM | 572901106 | 1259 | 40000 | SH | DEFINED | 01 | |
| AGUAS DE | COM | 5729065 | 4 | 328 | SH | DEFINED | 01 | 1 |
| TELEFONICA S | COM | 5732524 | 157 | 13968 | SH | DEFINED | 01 | 1 |
| QIAGEN NV | COM | 5732825 | 5 | 307 | SH | DEFINED | 01 | 1 |
| MARTIN MARIETTA MATE | COM | 573284106 | 422 | 10000 | SH | DEFINED | 01 | |
| MARTIN MARIETTA MATE | COM | 573284106 | 1281 | 30332 | SH | DEFINED | 01 | 0 |
| MARTIN MARIETTA MATERIALS | COM | 573284106 | 39452 | 934467 | SH | DEFINED | 01 | |
| MARTIN MARIETTA MATERIALS | COM | 573284106 | 2664 | 63100 | SH | DEFINED | 01 | 0 |
| MARTIN MARIETTA MATERIALS | COM | 573284106 | 43186 | 1022886 | SH | DEFINED | 01 | 0 |
| BUDERUS ORD | COM | 5733486 | 2 | 100 | SH | DEFINED | 01 | 1 |
| MARUICHI STEEL TUBE LTD | COM | 573820990 | 206 | 20000 | SH | DEFINED | 01 | |
| SOL MELIA SA | COM | 5738298 | 3 | 404 | SH | DEFINED | 01 | 1 |
| LINDE AG | COM | 5740817 | 15 | 300 | SH | DEFINED | 01 | 1 |
| MASCO | COM | 574599106 | 12412 | 452182 | SH | DEFINED | 01 | |
| MASCO | COM | 574599106 | 3193 | 116305 | SH | DEFINED | 01 | 0 |
| MASCO | COM | 574599106 | 12056 | 439173 | SH | DEFINED | 01 | 0 |
| FIAT SPA | COM | 5748521 | 14 | 1000 | SH | DEFINED | 01 | 1 |
| FIAT SPA-PRIV | COM | 5748554 | 2 | 210 | SH | DEFINED | 01 | 1 |
| DEUTSCHE BAN | COM | 5750355 | 113 | 1750 | SH | DEFINED | 01 | 1 |
| DYCKERHOFF A | COM | 5761573 | 2 | 128 | SH | DEFINED | 01 | 1 |
| MASSEY ENERGY | COM | 576206106 | 3883 | 229796 | SH | DEFINED | 01 | |
| MASTEC INC. MTZ | COM | 576323109 | 2 | 277 | SH | DEFINED | 01 | |
| MATERIAL SCIENCES | COM | 576674105 | 472 | 45147 | SH | DEFINED | 01 | |
| MATRIA HEALTHCARE IN | COM | 576817209 | 34 | 1400 | SH | DEFINED | 01 | |
| MATRIXONE | COM | 57685P304 | 536 | 60116 | SH | DEFINED | 01 | |
| MATSUSHITA ELECTRIC INDUSTRIAL | COM | 576879100 | 195 | 16000 | SH | DEFINED | 01 | |
| MATTEL | COM | 577081102 | 4 | 200 | SH | DEFINED | 01 | |
| MATTEL | COM | 577081102 | 102574 | 4921947 | SH | DEFINED | 01 | |
| MATTEL | COM | 577081102 | 2279 | 109376 | SH | DEFINED | 01 | 0 |
| MATTEL | COM | 577081102 | 17958 | 861675 | SH | DEFINED | 01 | 0 |
| MATTEL INC. | COM | 577081102 | 2719 | 130543 | SH | DEFINED | 01 | 0 |
| MAXTOR CORP | COM | 577729205 | 85 | 12300 | SH | DEFINED | 01 | |
| MAXTOR CORP | COM | 577729205 | 541 | 77860 | SH | DEFINED | 01 | |
| MAXIM INTEGRATED | COM | 57772K101 | 154082 | 2765805 | SH | DEFINED | 01 | 0 |
| MAXIM INTEGRATED PRODUCTS | COM | 57772K101 | 393721 | 7067314 | SH | DEFINED | 01 | |
| MAXIM INTEGRATED PRODUCTS | COM | 57772K101 | 14410 | 258654 | SH | DEFINED | 01 | 0 |
| MAXIM INTEGRATED PRODUCTS | COM | 57772K101 | 106231 | 1906870 | SH | DEFINED | 01 | 0 |
| MAXIM PHARMACEUTICAL | COM | 57772M107 | 1 | 134 | SH | DEFINED | 01 | |
| MAXWELL SHOE CL A | COM | 577766108 | 4886 | 305400 | SH | DEFINED | 01 | |
| MAXWELL SHOE CL A | COM | 577766108 | 517 | 32300 | SH | DEFINED | 01 | 0 |
| MAXWELL SHOE INC - A | COM | 577766108 | 14 | 880 | SH | DEFINED | 01 | 0 |
| MAXYGEN | COM | 577776107 | 1 | 69 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------|-----|-----------|-------|---------|----|---------|------|
| MAY DEPT STORES | COM | 577778103 | 348 | 10000 | SH | DEFINED | 01 |
| MAY DEPT STORES | COM | 577778103 | 13322 | 382278 | SH | DEFINED | 01 |
| MAY DEPT STORES | COM | 577778103 | 383 | 11000 | SH | DEFINED | 01 0 |
| MAY DEPT STORES | COM | 577778103 | 3186 | 91432 | SH | DEFINED | 01 0 |
| MAY DEPT STORES | COM | 577778103 | 93 | 2664 | SH | DEFINED | 01 0 |
| MAVERICK TUBE CORP | COM | 577914104 | 976 | 59800 | SH | DEFINED | 01 |
| MAXIMUS | COM | 577933104 | 2189 | 71238 | SH | DEFINED | 01 |
| MAYTAG | COM | 578592107 | 16123 | 364353 | SH | DEFINED | 01 |
| MAYTAG | COM | 578592107 | 1547 | 34957 | SH | DEFINED | 01 0 |
| MAYTAG | COM | 578592107 | 12240 | 276598 | SH | DEFINED | 01 0 |
| EPCOS AG | COM | 5785993 | 7 | 142 | SH | DEFINED | 01 1 |
| KLM | COM | 5786491 | 2 | 131 | SH | DEFINED | 01 1 |
| KARSTADT AG | COM | 5786565 | 10 | 300 | SH | DEFINED | 01 1 |
| FOM CONST Y | COM | 5787115 | 7 | 283 | SH | DEFINED | 01 1 |
| MCAFFEE.COM CORP | COM | 579062100 | 576 | 35000 | SH | DEFINED | 01 |
| KONE CORP | COM | 5792528 | 4 | 40 | SH | DEFINED | 01 1 |
| LONZA GROUP AG | COM | 5794223 | 13 | 20 | SH | DEFINED | 01 1 |
| MCCLATCHY CO HOLDING | COM | 579489105 | 1434 | 24152 | SH | DEFINED | 01 |
| TOP DANMARK AS | COM | 5797147 | 2 | 100 | SH | DEFINED | 01 1 |
| MCCORMICK | COM | 579780206 | 122 | 2383 | SH | DEFINED | 01 |
| MCDATA CL B | COM | 580031102 | 9332 | 771183 | SH | DEFINED | 01 |
| MCDATA CL B | COM | 580031102 | 1071 | 88500 | SH | DEFINED | 01 0 |
| MCDATA CL B | COM | 580031102 | 242 | 19951 | SH | DEFINED | 01 0 |
| MCDATA CORP - CL B | COM | 580031102 | 469 | 38789 | SH | DEFINED | 01 0 |
| MCDATA CL A | COM | 580031201 | 613 | 51659 | SH | DEFINED | 01 |
| MCDATA CORPORATION-A | COM | 580031201 | 25 | 2061 | SH | DEFINED | 01 |
| MCDERMOTT INTL | COM | 580037109 | 832 | 53500 | SH | DEFINED | 01 |
| MCDERMOTT INTL | COM | 580037109 | 38905 | 2501927 | SH | DEFINED | 01 |
| MCDERMOTT INTL | COM | 580037109 | 243 | 15635 | SH | DEFINED | 01 0 |
| MCDERMOTT INTL | COM | 580037109 | 3713 | 238761 | SH | DEFINED | 01 0 |
| MCDONALDS | COM | 580135101 | 83 | 3000 | SH | DEFINED | 01 0 |
| MCDONALD'S | COM | 580135101 | 21945 | 790807 | SH | DEFINED | 01 |
| MCDONALD'S | COM | 580135101 | 9628 | 346955 | SH | DEFINED | 01 0 |
| MCDONALD'S | COM | 580135101 | 17615 | 634789 | SH | DEFINED | 01 0 |
| BRISA (AUTO-E | COM | 5803925 | 8 | 1750 | SH | DEFINED | 01 1 |
| COLRUYT SA | COM | 5806225 | 4 | 93 | SH | DEFINED | 01 1 |
| MCGRAW-HILL COMPANIES | COM | 580645109 | 102 | 1500 | SH | DEFINED | 01 |
| MCGRAW-HILL COMPANIES | COM | 580645109 | 97768 | 1432492 | SH | DEFINED | 01 |
| MCGRAW-HILL COMPANIES | COM | 580645109 | 3346 | 49019 | SH | DEFINED | 01 0 |
| MCGRAW-HILL COMPANIES | COM | 580645109 | 8067 | 118190 | SH | DEFINED | 01 0 |
| MCGRAW-HILL COS INC | COM | 580645109 | 116 | 1700 | SH | DEFINED | 01 0 |
| MCK COMMUNICATIONS | COM | 581243102 | 0 | 4 | SH | DEFINED | 01 |
| BCO COM PORT | COM | 5812493 | 20 | 5594 | SH | DEFINED | 01 1 |
| TERRA NETWORKS | COM | 5813720 | 5 | 575 | SH | DEFINED | 01 1 |
| MCKESSON CORP | COM | 58155Q103 | 27649 | 738811 | SH | DEFINED | 01 0 |
| MCKESSON HBOC | COM | 58155Q103 | 34 | 900 | SH | DEFINED | 01 0 |
| MCKESSON HBOC | COM | 58155Q103 | 4840 | 129308 | SH | DEFINED | 01 |
| MCKESSON HBOC | COM | 58155Q103 | 2877 | 76845 | SH | DEFINED | 01 0 |
| MCKESSON HBOC | COM | 58155Q103 | 59425 | 1587614 | SH | DEFINED | 01 0 |
| PORTUGAL TELECOM SGPS SA | COM | 5817186 | 22 | 2994 | SH | DEFINED | 01 1 |
| MCLEOD | COM | 582266102 | 0 | 2746 | SH | DEFINED | 01 |
| BEKAERT SA | COM | 5827431 | 2 | 51 | SH | DEFINED | 01 1 |
| MEADE INSTRUMENTS | COM | 583062104 | 181 | 50541 | SH | DEFINED | 01 |
| MEADWESTVACO | COM | 583334107 | 164 | 4954 | SH | DEFINED | 01 |
| MEADWESTVACO | COM | 583334107 | 1669 | 50338 | SH | DEFINED | 01 0 |
| MEADWESTVACO | COM | 583334107 | 60 | 1806 | SH | DEFINED | 01 0 |
| GRUPPO ED | COM | 5836200 | 3 | 833 | SH | DEFINED | 01 1 |
| MEDAREX | COM | 583916101 | 205 | 12718 | SH | DEFINED | 01 |
| MED-DESIGN | COM | 583926100 | 253 | 18000 | SH | DEFINED | 01 |
| MEDCATH CORP | COM | 58404W109 | 803 | 46000 | SH | DEFINED | 01 |
| MEDCATH CORP | COM | 58404W109 | 1 | 70 | SH | DEFINED | 01 0 |
| MEDCATH CORP | COM | 58404W109 | 75 | 4300 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-----------------------------|-----|-----------|--------|---------|----|---------|----|---|
| DEUTSCHE TEL | COM | 5842359 | 115 | 7637 | SH | DEFINED | 01 | 1 |
| SEAT-PAGINE SPA | COM | 5843642 | 7 | 8793 | SH | DEFINED | 01 | 1 |
| MEDIA GENERAL CL A | COM | 584404107 | 190 | 3000 | SH | DEFINED | 01 | |
| MEDIA GENERAL CL A | COM | 584404107 | 57 | 911 | SH | DEFINED | 01 | |
| MEDIACOM COMM CORP | COM | 58446K105 | 78 | 5600 | SH | DEFINED | 01 | |
| MEDIACOM COMM CORP | COM | 58446K105 | 5 | 324 | SH | DEFINED | 01 | |
| MEDIASET SPA | COM | 584475990 | 289 | 33684 | SH | DEFINED | 01 | |
| MEDICALOGIC/MEDSCAPE INC | COM | 584642102 | 0 | 126 | SH | DEFINED | 01 | |
| MEDICIS PHARMACEUTICAL CL A | COM | 584690309 | 6326 | 113984 | SH | DEFINED | 01 | |
| MEDIMMUNE | COM | 584699102 | 105641 | 2685977 | SH | DEFINED | 01 | |
| MEDIMMUNE | COM | 584699102 | 6085 | 154723 | SH | DEFINED | 01 | 0 |
| MEDIMMUNE | COM | 584699102 | 42101 | 1070471 | SH | DEFINED | 01 | 0 |
| MEDIMMUNE INC | COM | 584699102 | 116376 | 2958981 | SH | DEFINED | 01 | 0 |
| MEDQUIST INC | COM | 584949101 | 6 | 216 | SH | DEFINED | 01 | |
| MEDTRONIC | COM | 585055106 | 9416 | 208276 | SH | DEFINED | 01 | |
| MEDTRONIC | COM | 585055106 | 420789 | 9307398 | SH | DEFINED | 01 | |
| MEDTRONIC | COM | 585055106 | 28070 | 620921 | SH | DEFINED | 01 | 0 |
| MEDTRONIC | COM | 585055106 | 14800 | 327377 | SH | DEFINED | 01 | 0 |
| MEDTRONIC | COM | 585055106 | 101597 | 2247218 | SH | DEFINED | 01 | 0 |
| MEDTRONIC INC | COM | 585055106 | 1881 | 41600 | SH | DEFINED | 11 | 1 |
| MEDTRONIC INC | COM | 585055106 | 17975 | 397600 | SH | DEFINED | 11 | 1 |
| MEDICAL INSTRUMENTS | COM | 58505Y103 | 469 | 36200 | SH | DEFINED | 01 | |
| ACCOR | COM | 5852842 | 24 | 586 | SH | DEFINED | 01 | 1 |
| MELLON FINANCIAL | COM | 58551A108 | 14038 | 363770 | SH | DEFINED | 01 | |
| MELLON FINANCIAL | COM | 58551A108 | 4575 | 118564 | SH | DEFINED | 01 | 0 |
| MELLON FINANCIAL | COM | 58551A108 | 14635 | 379254 | SH | DEFINED | 01 | 0 |
| MEMBERWORKS | COM | 586002107 | 3200 | 169068 | SH | DEFINED | 01 | |
| MEN'S WEARHOUSE | COM | 587118100 | 3042 | 130263 | SH | DEFINED | 01 | |
| MENTOR | COM | 587188103 | 6020 | 166859 | SH | DEFINED | 01 | |
| MENTOR | COM | 587188103 | 307 | 8500 | SH | DEFINED | 01 | 0 |
| MENTOR CORP MN | COM | 587188103 | 6 | 180 | SH | DEFINED | 01 | 0 |
| MENTOR GRAPHICS | COM | 587200106 | 48 | 2282 | SH | DEFINED | 01 | |
| MERCANTILE BANKSHARES | COM | 587405101 | 101 | 2334 | SH | DEFINED | 01 | |
| MERCATOR SOFTWARE INC | COM | 587587106 | 0 | 74 | SH | DEFINED | 01 | |
| INFINEON TECH | COM | 5889505 | 12 | 520 | SH | DEFINED | 01 | 1 |
| MERCK | COM | 589331107 | 478 | 8300 | SH | DEFINED | 01 | 0 |
| MERCK & CO | COM | 589331107 | 113109 | 1964379 | SH | DEFINED | 01 | |
| MERCK & CO | COM | 589331107 | 36131 | 627483 | SH | DEFINED | 01 | 0 |
| MERCK & CO | COM | 589331107 | 16358 | 284085 | SH | DEFINED | 01 | 0 |
| MERCK KGAA | COM | 589359991 | 346 | 11400 | SH | DEFINED | 01 | |
| MERCURY COMP SYS INC | COM | 589378108 | 3 | 95 | SH | DEFINED | 01 | 0 |
| MERCURY COMPUTER SYSTEMS | COM | 589378108 | 3671 | 114869 | SH | DEFINED | 01 | |
| MERCURY COMPUTER SYSTEMS | COM | 589378108 | 86 | 2700 | SH | DEFINED | 01 | 0 |
| MERCURY GENERAL CORP | COM | 589400100 | 6 | 129 | SH | DEFINED | 01 | |
| MERCURY INTERACTIVE | COM | 589405109 | 1506 | 40000 | SH | DEFINED | 01 | |
| MERCURY INTERACTIVE | COM | 589405109 | 14058 | 373386 | SH | DEFINED | 01 | |
| MERCURY INTERACTIVE | COM | 589405109 | 7914 | 210200 | SH | DEFINED | 01 | 0 |
| MERCURY INTERACTIVE | COM | 589405109 | 956 | 25370 | SH | DEFINED | 01 | 0 |
| MERCURY INTERACTIVE | COM | 589405109 | 21691 | 576152 | SH | DEFINED | 01 | 0 |
| MEREDITH | COM | 589433101 | 53 | 1255 | SH | DEFINED | 01 | |
| MEREDITH | COM | 589433101 | 532 | 12508 | SH | DEFINED | 01 | 0 |
| MEREDITH | COM | 589433101 | 19 | 448 | SH | DEFINED | 01 | 0 |
| MERISTAR HOSPITALITY | COM | 58984Y103 | 6 | 309 | SH | DEFINED | 01 | |
| MERIDIAN GOLD | COM | 589975101 | 7 | 480 | SH | DEFINED | 01 | |
| MERIX | COM | 590049102 | 1778 | 95140 | SH | DEFINED | 01 | |
| MERIX | COM | 590049102 | 145 | 7760 | SH | DEFINED | 01 | 0 |
| MERIX CORP | COM | 590049102 | 2 | 130 | SH | DEFINED | 01 | 0 |
| MERRILL LYNCH | COM | 590188108 | 8 | 150 | SH | DEFINED | 01 | |
| MERRILL LYNCH | COM | 590188108 | 43340 | 782580 | SH | DEFINED | 01 | |
| MERRILL LYNCH | COM | 590188108 | 12411 | 224102 | SH | DEFINED | 01 | 0 |
| MERRILL LYNCH | COM | 590188108 | 48358 | 873191 | SH | DEFINED | 01 | 0 |
| MERRILL LYNCH & CO | COM | 590188108 | 166 | 3000 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | | |
|-------------------------------|--------------|--|-----------|---------|----------|----|---------|----|---|
| NOKIA (AB) O | COM | | 5902941 | 301 | 14228 | SH | DEFINED | 01 | 1 |
| MESA AIR GROUP | COM | | 590479101 | 1137 | 101518 | SH | DEFINED | 01 | |
| METASOLV INC | COM | | 59139P104 | 905 | 120069 | SH | DEFINED | 01 | |
| METASOLV INC | COM | | 59139P104 | 1 | 170 | SH | DEFINED | 01 | 0 |
| METASOLV INC | COM | | 59139P104 | 67 | 8900 | SH | DEFINED | 01 | 0 |
| METHODE ELECTRONICS CL A | COM | | 591520200 | 1373 | 110305 | SH | DEFINED | 01 | |
| METLIFE | COM | | 59156R108 | 25565 | 811579 | SH | DEFINED | 01 | |
| METLIFE | COM | | 59156R108 | 6736 | 213858 | SH | DEFINED | 01 | 0 |
| METLIFE | COM | | 59156R108 | 16323 | 518191 | SH | DEFINED | 01 | 0 |
| METLIFE CAPITAL | 8.00% CM PRF | | 59156R207 | 29355 | 300000 | SH | DEFINED | 01 | |
| METRICOM INC | COM | | 591596101 | 0 | 70 | SH | DEFINED | 01 | |
| METRIS COMPANIES | COM | | 591598107 | 1757 | 87850 | SH | DEFINED | 01 | |
| METRIS COMPANIES | COM | | 591598107 | 88 | 4400 | SH | DEFINED | 01 | 0 |
| METRO GOLDWYN MAYER | COM | | 591610100 | 108 | 6500 | SH | DEFINED | 01 | |
| METRO GOLDWYN MAYER | COM | | 591610100 | 8 | 493 | SH | DEFINED | 01 | |
| METRO ONE TELECOMMUNICATIONS | COM | | 59163F105 | 1894 | 74706 | SH | DEFINED | 01 | |
| METROMEDIA FIBER NET | COM | | 591689104 | 0 | 1037 | SH | DEFINED | 01 | |
| METROMEDIA FIBER NET | COM | | 591689104 | 6 | 57420 | SH | DEFINED | 01 | 0 |
| METTLER-TOLEDO INTL | COM | | 592688105 | 647 | 14300 | SH | DEFINED | 01 | |
| AEGON NV | COM | | 5927375 | 88 | 3603 | SH | DEFINED | 01 | 1 |
| MICHAELS STORES | COM | | 594087108 | 11213 | 296635 | SH | DEFINED | 01 | |
| MICHAELS STORES | COM | | 594087108 | 238 | 6300 | SH | DEFINED | 01 | 0 |
| MICHAELS STORES INC | COM | | 594087108 | 10 | 275 | SH | DEFINED | 01 | 0 |
| MICHELIN B | COM | | 594100992 | 127 | 3336 | SH | DEFINED | 01 | |
| MICREL | COM | | 594793101 | 22001 | 872352 | SH | DEFINED | 01 | |
| MICREL | COM | | 594793101 | 720 | 28582 | SH | DEFINED | 01 | 0 |
| MICREL | COM | | 594793101 | 1211 | 48000 | SH | DEFINED | 01 | 0 |
| MICREL | COM | | 594793101 | 14157 | 561315 | SH | DEFINED | 01 | 0 |
| MICROS SYSTEMS | COM | | 594901100 | 1369 | 53798 | SH | DEFINED | 01 | |
| MICROSOFT | COM | | 594918104 | 24917 | 413158 | SH | DEFINED | 01 | |
| MICROSOFT | COM | | 594918104 | 1199374 | 19886828 | SH | DEFINED | 01 | |
| MICROSOFT | COM | | 594918104 | 139618 | 2314878 | SH | DEFINED | 01 | 0 |
| MICROSOFT | COM | | 594918104 | 89895 | 1490536 | SH | DEFINED | 01 | 0 |
| MICROSOFT | COM | | 594918104 | 369749 | 6130815 | SH | DEFINED | 01 | 0 |
| MICROSOFT CORPORATION | COM | | 594918104 | 4193 | 69526 | SH | DEFINED | 11 | 1 |
| MICROSOFT CORPORATION | COM | | 594918104 | 21480 | 356146 | SH | DEFINED | 11 | 1 |
| ASML HOLDINGS NV | COM | | 5949368 | 33 | 1326 | SH | DEFINED | 01 | 1 |
| MICRO STRATEGY | COM | | 594972101 | 0 | 52 | SH | DEFINED | 01 | |
| MICRO-CHIP | COM | | 595017104 | 66341 | 1585932 | SH | DEFINED | 01 | 0 |
| MICROCHIP TECHNOLOGY | COM | | 595017104 | 64800 | 1549145 | SH | DEFINED | 01 | |
| MICROCHIP TECHNOLOGY | COM | | 595017104 | 5897 | 140975 | SH | DEFINED | 01 | 0 |
| MICROCHIP TECHNOLOGY | COM | | 595017104 | 42964 | 1027108 | SH | DEFINED | 01 | 0 |
| MICROMUSE | COM | | 595094103 | 13 | 1500 | SH | DEFINED | 01 | |
| MICROMUSE | COM | | 595094103 | 354 | 40501 | SH | DEFINED | 01 | |
| MICRON TECHNOLOGIES | COM | | 595112103 | 211 | 6400 | SH | DEFINED | 11 | 1 |
| MICRON TECHNOLOGY | COM | | 595112103 | 3 | 100 | SH | DEFINED | 01 | |
| MICRON TECHNOLOGY | COM | | 595112103 | 30244 | 919244 | SH | DEFINED | 01 | |
| MICRON TECHNOLOGY | COM | | 595112103 | 41781 | 1269905 | SH | DEFINED | 01 | 0 |
| MICRON TECHNOLOGY | COM | | 595112103 | 5795 | 176157 | SH | DEFINED | 01 | 0 |
| MICRON TECHNOLOGY | COM | | 595112103 | 6658 | 202358 | SH | DEFINED | 01 | 0 |
| MICROSEMI | COM | | 595137100 | 3052 | 187033 | SH | DEFINED | 01 | |
| MICROSEMI | COM | | 595137100 | 46 | 2826 | SH | DEFINED | 01 | 0 |
| MICROSEMI CORP | COM | | 595137100 | 2 | 130 | SH | DEFINED | 01 | 0 |
| MICROTUNE | COM | | 59514P109 | 5254 | 365601 | SH | DEFINED | 01 | |
| MICROTUNE | COM | | 59514P109 | 110 | 7670 | SH | DEFINED | 01 | 0 |
| MICROTUNE INC | COM | | 59514P109 | 2 | 170 | SH | DEFINED | 01 | 0 |
| MID-AMERICA APT | COM | | 59522J103 | 2 | 87 | SH | DEFINED | 01 | |
| MID ATLANTIC MEDICAL | COM | | 59523C107 | 4 | 140 | SH | DEFINED | 01 | 0 |
| MID ATLANTIC MEDICAL SERVICES | COM | | 59523C107 | 31 | 1100 | SH | DEFINED | 01 | |
| MID ATLANTIC MEDICAL SERVICES | COM | | 59523C107 | 8375 | 293848 | SH | DEFINED | 01 | |
| MID ATLANTIC MEDICAL SERVICES | COM | | 59523C107 | 225 | 7900 | SH | DEFINED | 01 | 0 |
| NORDEA AB | COM | | 5952496 | 8 | 1501 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| ISS INTERNAT | COM | 5952816 | 5 | 100 | SH | DEFINED | 01 | 1 |
| TISCALI SPA -- (EUR) | COM | 5953529 | 2 | 204 | SH | DEFINED | 01 | 1 |
| KON KPN NV | COM | 5956078 | 24 | 4763 | SH | DEFINED | 01 | 1 |
| MIDAMERICAN ENERGY | PRF | 595620204 | 2411 | 23345 | SH | DEFINED | 01 | 1 |
| MIDAMERICAN ENERGY | PRF | 595620204 | 5050 | 48891 | SH | DEFINED | 01 | 0 |
| MIDAS INC | COM | 595626102 | 652 | 45731 | SH | DEFINED | 01 | 0 |
| S&P MID-CAP 400 DEPOSITARY REC | COM | 595635103 | 593 | 5994 | SH | DEFINED | 01 | 1 |
| ERICSSON(LM) | COM | 5959378 | 99 | 23500 | SH | DEFINED | 01 | 1 |
| WILLIAM DEMANT | COM | 5961544 | 5 | 200 | SH | DEFINED | 01 | 1 |
| STM MICROELECTRONICS | COM | 5962332 | 66 | 1968 | SH | DEFINED | 01 | 1 |
| SANDVIK AB | COM | 5963108 | 14 | 600 | SH | DEFINED | 01 | 1 |
| VESTAS WIND | COM | 5964651 | 9 | 270 | SH | DEFINED | 01 | 1 |
| SOC GENERALE | COM | 5966516 | 67 | 1066 | SH | DEFINED | 01 | 1 |
| MIDDLESEX WATER CO | COM | 596680108 | 84 | 3600 | SH | DEFINED | 01 | 1 |
| WM-DATA AB | COM | 5970465 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| GETRONICS NV | COM | 5971424 | 3 | 808 | SH | DEFINED | 01 | 1 |
| SONAE SGPS S | COM | 5973992 | 5 | 5779 | SH | DEFINED | 01 | 1 |
| MIDLAND CO. | COM | 597486109 | 10 | 230 | SH | DEFINED | 01 | 0 |
| ALCATEL "A" SHARES | COM | 5975006 | 49 | 3408 | SH | DEFINED | 01 | 1 |
| BANCA POPOLARE-CARIRE SPA | COM | 5975062 | 8 | 5099 | SH | DEFINED | 01 | 1 |
| ITALCEMENT SPA | COM | 5975932 | 5 | 520 | SH | DEFINED | 01 | 1 |
| TELIA AB | COM | 5978384 | 16 | 4400 | SH | DEFINED | 01 | 1 |
| MIDWEST EXPRESS | COM | 597911106 | 776 | 42429 | SH | DEFINED | 01 | 1 |
| GIVAUDAN | COM | 5980613 | 7 | 22 | SH | DEFINED | 01 | 1 |
| SERONO | COM | 5981070 | 9 | 10 | SH | DEFINED | 01 | 1 |
| MIDWAY GAMES | COM | 598148104 | 1893 | 140600 | SH | DEFINED | 01 | 1 |
| DANONE | COM | 5981810 | 46 | 393 | SH | DEFINED | 01 | 1 |
| ZURICH FINANCIAL SERVICES GRP | COM | 5983816 | 56 | 243 | SH | DEFINED | 01 | 1 |
| KON PHILIPS ELECTRONICS NV | COM | 5986622 | 123 | 4028 | SH | DEFINED | 01 | 1 |
| MILACRON INC | COM | 598709103 | 3761 | 257800 | SH | DEFINED | 01 | 1 |
| MILACRON INC | COM | 598709103 | 3720 | 255000 | SH | DEFINED | 01 | 0 |
| TF1-TV | COM | 5997118 | 5 | 176 | SH | DEFINED | 01 | 1 |
| MILLENIU PHARMACEUTICALS | COM | 599902103 | 1033 | 46333 | SH | DEFINED | 01 | 1 |
| MILLENIU CHEM INC | COM | 599903101 | 3 | 208 | SH | DEFINED | 01 | 1 |
| ASM PACIFIC TECHNOLOGY | COM | 6002453 | 2 | 1000 | SH | DEFINED | 01 | 1 |
| MILLER (MILLER) | COM | 600544100 | 3628 | 152562 | SH | DEFINED | 01 | 1 |
| MILLER (MILLER) | COM | 600544100 | 5945 | 250000 | SH | DEFINED | 01 | 0 |
| MILLIPORE | COM | 601073109 | 5996 | 135541 | SH | DEFINED | 01 | 1 |
| MILLIPORE | COM | 601073109 | 535 | 12103 | SH | DEFINED | 01 | 0 |
| MILLIPORE | COM | 601073109 | 19 | 434 | SH | DEFINED | 01 | 0 |
| MILLIPORE CORP | COM | 601073109 | 2510 | 56760 | SH | DEFINED | 01 | 0 |
| AJINOMOTO CO | COM | 6010906 | 18 | 2000 | SH | DEFINED | 01 | 1 |
| MILLS | COM | 601148109 | 2 | 74 | SH | DEFINED | 01 | 1 |
| ALPS ELECTRIC | COM | 6021500 | 12 | 1000 | SH | DEFINED | 01 | 1 |
| AMADA CO | COM | 6022105 | 5 | 1000 | SH | DEFINED | 01 | 1 |
| MINEFINDERS RESTRICTED SPECIAL | COM | 602500993 | 249 | 125000 | SH | DEFINED | 01 | 1 |
| MINERALS TECHNOLOGIE | COM | 603158106 | 14 | 270 | SH | DEFINED | 01 | 0 |
| MINERALS TECHNOLOGIES | COM | 603158106 | 37 | 700 | SH | DEFINED | 01 | 1 |
| MINERALS TECHNOLOGIES | COM | 603158106 | 42 | 794 | SH | DEFINED | 01 | 1 |
| FUJI TELEVISION NETWORK, INC. | COM | 6036582 | 5 | 1 | SH | DEFINED | 01 | 1 |
| MINNESOTA MINING & MFG | COM | 604059105 | 17 | 150 | SH | DEFINED | 01 | 1 |
| MINNESOTA MINING & MFG | COM | 604059105 | 563161 | 4896645 | SH | DEFINED | 01 | 1 |
| MINNESOTA MINING & MFG | COM | 604059105 | 12430 | 108075 | SH | DEFINED | 01 | 0 |
| MINNESOTA MINING & MFG | COM | 604059105 | 140257 | 1219524 | SH | DEFINED | 01 | 0 |
| MN MINING & MFG CO | COM | 604059105 | 63985 | 556329 | SH | DEFINED | 01 | 0 |
| SINGAPORE TE | COM | 6043214 | 9 | 7000 | SH | DEFINED | 01 | 1 |
| MIPS TECH INC | COM | 604567107 | 20 | 2700 | SH | DEFINED | 01 | 1 |
| MIPS TECH INC | COM | 604567107 | 406 | 55173 | SH | DEFINED | 01 | 1 |
| MIPS TECHNOLOGIES CL B | COM | 604567206 | 8 | 1245 | SH | DEFINED | 01 | 1 |
| AOYAMA TRADI | COM | 6045878 | 2 | 200 | SH | DEFINED | 01 | 1 |
| MIRANT | COM | 604675108 | 29 | 2000 | SH | DEFINED | 01 | 1 |
| MIRANT | COM | 604675108 | 2086 | 144357 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| MIRANT | COM | 604675108 | 1468 | 101561 | SH | DEFINED | 01 | 0 |
| MIRANT | COM | 604675108 | 53 | 3644 | SH | DEFINED | 01 | 0 |
| ACOM CO | COM | 6049784 | 24 | 400 | SH | DEFINED | 01 | 1 |
| BANK OF AMERICA CORP | COM | 60505104 | 3394 | 49900 | SH | DEFINED | 11 | 1 |
| BANK OF AMERICA CORP | COM | 60505104 | 27528 | 404700 | SH | DEFINED | 11 | 1 |
| MISSISSIPPI CHEMICAL GRO | COM | 605288208 | 221 | 80185 | SH | DEFINED | 01 | 1 |
| WESTFIELD HOLDINGS | COM | 6054142 | 5 | 557 | SH | DEFINED | 01 | 1 |
| ASAHI BREWER | COM | 6054409 | 8 | 1000 | SH | DEFINED | 01 | 1 |
| ASAHI KASEI CO | COM | 6054603 | 13 | 4000 | SH | DEFINED | 01 | 1 |
| ASAHI GLASS | COM | 6055208 | 19 | 3000 | SH | DEFINED | 01 | 1 |
| ASATSU DK | COM | 6056052 | 2 | 100 | SH | DEFINED | 01 | 1 |
| ASHIKAGA BAN | COM | 6056977 | 1 | 1000 | SH | DEFINED | 01 | 1 |
| MISSISSIPPI VALLEY B | COM | 605720101 | 3 | 70 | SH | DEFINED | 01 | 0 |
| MISSISSIPPI VALLEY BANCSHARES | COM | 605720101 | 1016 | 25274 | SH | DEFINED | 01 | 1 |
| MISSISSIPPI VALLEY BANCSHARES | COM | 605720101 | 94 | 2326 | SH | DEFINED | 01 | 0 |
| AUST GAS LIG | COM | 6064969 | 4 | 763 | SH | DEFINED | 01 | 1 |
| ANZ BANK | COM | 6065586 | 18 | 1851 | SH | DEFINED | 01 | 1 |
| AMCOR LIMITE | COM | 6066608 | 6 | 1428 | SH | DEFINED | 01 | 1 |
| MITSUBISHI | COM | 606755999 | 13010 | 1726000 | SH | DEFINED | 01 | 1 |
| MITSUBISHI | COM | 606755999 | 4410 | 585000 | SH | DEFINED | 01 | 0 |
| MITSUBISHI | COM | 606755999 | 7236 | 960000 | SH | DEFINED | 01 | 0 |
| MITSUBISHI ELECTRIC | COM | 606777993 | 746 | 162000 | SH | DEFINED | 01 | 1 |
| MITSUBISHI HEAVY IND LTD | COM | 606793107 | 246 | 75000 | SH | DEFINED | 01 | 1 |
| mitsui chemicals | COM | 606822997 | 460 | 105000 | SH | DEFINED | 01 | 1 |
| mitsui O.S.K. LINES | COM | 606829992 | 772 | 347000 | SH | DEFINED | 01 | 1 |
| mitsui FUDOSAN | COM | 606839991 | 3372 | 422000 | SH | DEFINED | 01 | 1 |
| mitsui FUDOSAN | COM | 606839991 | 2661 | 333000 | SH | DEFINED | 01 | 0 |
| mitsui FUDOSAN | COM | 606839991 | 6193 | 775000 | SH | DEFINED | 01 | 0 |
| AUTOBACS SEV | COM | 6068422 | 2 | 100 | SH | DEFINED | 01 | 1 |
| MOBILE MINI INC. | COM | 60740F105 | 1391 | 43232 | SH | DEFINED | 01 | 1 |
| BK OF EAST A | COM | 6075648 | 6 | 3200 | SH | DEFINED | 01 | 1 |
| FUKUOKA BANK | COM | 6075693 | 7 | 2000 | SH | DEFINED | 01 | 1 |
| WESTPAC BKG | COM | 6076146 | 36 | 4378 | SH | DEFINED | 01 | 1 |
| MODINE MFG | COM | 607828100 | 81 | 3000 | SH | DEFINED | 01 | 1 |
| MODINE MFG | COM | 607828100 | 2276 | 84332 | SH | DEFINED | 01 | 1 |
| MODINE MFG | COM | 607828100 | 181 | 6717 | SH | DEFINED | 01 | 0 |
| MODINE MFG CO | COM | 607828100 | 12 | 470 | SH | DEFINED | 01 | 0 |
| MOHAWK INDS | COM | 608190104 | 3 | 50 | SH | DEFINED | 01 | 1 |
| MOHAWK INDS | COM | 608190104 | 24699 | 411049 | SH | DEFINED | 01 | 1 |
| MOHAWK INDS | COM | 608190104 | 727 | 12100 | SH | DEFINED | 01 | 0 |
| MOHAWK INDS | COM | 608190104 | 6823 | 113559 | SH | DEFINED | 01 | 0 |
| MOL MAGYAR GDR | COM | 608464202 | 2374 | 132989 | SH | DEFINED | 01 | 1 |
| MOL MAGYAR GDR | COM | 608464202 | 85 | 4756 | SH | DEFINED | 01 | 0 |
| MOLECULAR DEVICES | COM | 60851C107 | 2 | 114 | SH | DEFINED | 01 | 1 |
| MOLEX | COM | 608554101 | 256 | 7386 | SH | DEFINED | 01 | 1 |
| MOLEX | COM | 608554101 | 1707 | 49228 | SH | DEFINED | 01 | 0 |
| MOLEX | COM | 608554101 | 61 | 1767 | SH | DEFINED | 01 | 0 |
| TELSTRA CORP | COM | 6087289 | 37 | 12807 | SH | DEFINED | 01 | 1 |
| MONACO COACH | COM | 60886R103 | 2131 | 87714 | SH | DEFINED | 01 | 1 |
| CLP HOLDINGS | COM | 6097017 | 27 | 6600 | SH | DEFINED | 01 | 1 |
| MONOLITHIC SYS TECH | COM | 609842109 | 4 | 309 | SH | DEFINED | 01 | 1 |
| BERGESEN D Y ASA-B SHARES | COM | 6100906 | 1 | 35 | SH | DEFINED | 01 | 1 |
| AMP LIMITED | COM | 6115715 | 28 | 2794 | SH | DEFINED | 01 | 1 |
| MONSANTO CO NEW | COM | 61166W101 | 7922 | 250788 | SH | DEFINED | 01 | 1 |
| MONSANTO CO NEW | COM | 61166W101 | 303 | 9600 | SH | DEFINED | 01 | 0 |
| BRAMBLES IND | COM | 6120009 | 10 | 1994 | SH | DEFINED | 01 | 1 |
| BENESSE CORP | COM | 6121927 | 7 | 300 | SH | DEFINED | 01 | 1 |
| COCA-COLA AM | COM | 6123451 | 7 | 2273 | SH | DEFINED | 01 | 1 |
| AUCKLAND INTL AIRPORT CO | COM | 6123707 | 1 | 691 | SH | DEFINED | 01 | 1 |
| NTT DATA COM | COM | 6125639 | 9 | 2 | SH | DEFINED | 01 | 1 |
| MONTEREY PASTA CO | COM | 612570101 | 3 | 300 | SH | DEFINED | 01 | 0 |
| SEMBCORP | COM | 6128713 | 4 | 4000 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|----------|----|---------|----|---|
| NIT DOCOMO | COM | 6129277 | 16 | 6 | SH | DEFINED | 01 | 1 |
| BRIDGESTONE | COM | 6132101 | 27 | 2000 | SH | DEFINED | 01 | 1 |
| SINGAPORE PR | COM | 6133966 | 13 | 1000 | SH | DEFINED | 01 | 1 |
| BHP BILLITON LTD | COM | 6144690 | 57 | 9319 | SH | DEFINED | 01 | 1 |
| MONTPELIER RE HLDS | COM | 614500999 | 15000 | 150000 | SH | DEFINED | 01 | 0 |
| CONTACT ENER | COM | 6152529 | 2 | 1411 | SH | DEFINED | 01 | 1 |
| MONY GROUP | COM | 615337102 | 5575 | 138259 | SH | DEFINED | 01 | |
| MONY GROUP | COM | 615337102 | 452 | 11200 | SH | DEFINED | 01 | 0 |
| MOODY'S | COM | 615369105 | 2719 | 66147 | SH | DEFINED | 01 | |
| MOODY'S | COM | 615369105 | 1625 | 39541 | SH | DEFINED | 01 | 0 |
| MOODY'S | COM | 615369105 | 58 | 1418 | SH | DEFINED | 01 | 0 |
| MOOG CL A | COM | 615394202 | 1590 | 49686 | SH | DEFINED | 01 | |
| MOOG CL A | COM | 615394202 | 167 | 5214 | SH | DEFINED | 01 | 0 |
| MOOG INC CL A | COM | 615394202 | 10 | 310 | SH | DEFINED | 01 | 0 |
| MOORE CORP LTD | COM | 615785995 | 263 | 20200 | SH | DEFINED | 01 | |
| MIRVAC GROUP | COM | 6161978 | 2 | 1067 | SH | DEFINED | 01 | 1 |
| PACIFIC CENTURY CYBERWORKS | COM | 6164007 | 9 | 34355 | SH | DEFINED | 01 | 1 |
| CANON INC | COM | 6172323 | 111 | 3000 | SH | DEFINED | 01 | 1 |
| MORGAN ST DEAN WITTE | COM | 617446448 | 14704 | 256568 | SH | DEFINED | 01 | |
| MORGAN ST DEAN WITTE | COM | 617446448 | 1918 | 33456 | SH | DEFINED | 01 | 0 |
| MORGAN STANLEY DAN WITTER | COM | 617446448 | 12408 | 216500 | SH | DEFINED | 11 | 1 |
| MORGAN STANLEY DEAN WITTER | COM | 617446448 | 1685 | 29400 | SH | DEFINED | 11 | 1 |
| MORGAN STANLEY DEAN WITTER | COM | 617446448 | 40 | 700 | SH | DEFINED | 11 | 1 |
| MORGAN STANLEY, DEAN WITTER & | COM | 617446448 | 9 | 150 | SH | DEFINED | 01 | |
| MORGAN STANLEY, DEAN WITTER & | COM | 617446448 | 689921 | 12038366 | SH | DEFINED | 01 | |
| MORGAN STANLEY, DEAN WITTER & | COM | 617446448 | 19685 | 343469 | SH | DEFINED | 01 | 0 |
| MORGAN STANLEY, DEAN WITTER & | COM | 617446448 | 148521 | 2591534 | SH | DEFINED | 01 | 0 |
| DBS GROUP HL | COM | 6175203 | 32 | 4000 | SH | DEFINED | 01 | 1 |
| CARTER HOLT | COM | 6178406 | 3 | 4053 | SH | DEFINED | 01 | 1 |
| CASIO COMPUT | COM | 6178967 | 5 | 1000 | SH | DEFINED | 01 | 1 |
| CATHAY PACIF | COM | 6179755 | 12 | 8000 | SH | DEFINED | 01 | 1 |
| COMPUTERSHARE LTD | COM | 6180412 | 1 | 997 | SH | DEFINED | 01 | 1 |
| CHARTERED SEMICONDUCTOR | COM | 6180917 | 8 | 3000 | SH | DEFINED | 01 | 1 |
| CENTRAL JAPA | COM | 6183552 | 29 | 5 | SH | DEFINED | 01 | 1 |
| CSL | COM | 6185495 | 6 | 281 | SH | DEFINED | 01 | 1 |
| CHEUNG | COM | 6190273 | 18 | 2000 | SH | DEFINED | 01 | 1 |
| PYRAMID RUSSELL 2000 | COM | 619464XX3 | 94357 | 168721 | SH | DEFINED | 01 | 0 |
| PYRAMID FIXED INCOME | COM | 619465XX0 | 28109 | 106267 | SH | DEFINED | 01 | 0 |
| PYRAMID EAFE INDEX | COM | 619466XX8 | 1397 | 11493 | SH | DEFINED | 01 | 0 |
| CHUBU | COM | 6195609 | 13 | 800 | SH | DEFINED | 01 | 1 |
| CHUGAI PHARM | COM | 6196408 | 11 | 1000 | SH | DEFINED | 01 | 1 |
| CITIZEN WATC | COM | 6197304 | 6 | 1000 | SH | DEFINED | 01 | 1 |
| CITY DEVELOP | COM | 6197928 | 7 | 2000 | SH | DEFINED | 01 | 1 |
| MOTIENT | COM | 619908106 | 0 | 170 | SH | DEFINED | 01 | |
| MOTOROLA | COM | 620076109 | 2856 | 201100 | SH | DEFINED | 01 | |
| MOTOROLA | COM | 620076109 | 100321 | 7064905 | SH | DEFINED | 01 | |
| MOTOROLA | COM | 620076109 | 93 | 6550 | SH | DEFINED | 01 | 0 |
| MOTOROLA | COM | 620076109 | 8009 | 564020 | SH | DEFINED | 01 | 0 |
| MOTOROLA | COM | 620076109 | 40918 | 2881547 | SH | DEFINED | 01 | 0 |
| TRANSURBAN GROUP | COM | 6200882 | 2 | 955 | SH | DEFINED | 01 | 1 |
| COLES MYER | COM | 6209908 | 12 | 2656 | SH | DEFINED | 01 | 1 |
| ORIGIN ENERGY | COM | 6214861 | 2 | 1202 | SH | DEFINED | 01 | 1 |
| CMNWLTH BK OF AUST | COM | 6215035 | 54 | 3144 | SH | DEFINED | 01 | 1 |
| CSK CORP | COM | 6215552 | 5 | 200 | SH | DEFINED | 01 | 1 |
| BORAL LIMITE | COM | 6218670 | 3 | 1202 | SH | DEFINED | 01 | 1 |
| RIO TINTO LI | COM | 6220103 | 12 | 617 | SH | DEFINED | 01 | 1 |
| PAPERLINX LTD | COM | 6222206 | 1 | 453 | SH | DEFINED | 01 | 1 |
| COMFORT GROUP | COM | 6223629 | 1 | 1500 | SH | DEFINED | 01 | 1 |
| COSMO OIL | COM | 6226338 | 2 | 1000 | SH | DEFINED | 01 | 1 |
| CSR LIMITED | COM | 6238645 | 8 | 2208 | SH | DEFINED | 01 | 1 |
| CYCLE & CARR | COM | 6242260 | 2 | 1006 | SH | DEFINED | 01 | 1 |
| MOVIE GALLERY | COM | 624581104 | 1691 | 98500 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| MOVIE GALLERY | COM | 624581104 | 182 | 10600 | SH | DEFINED | 01 | 0 |
| MPOWER HOLDING | COM | 62473L101 | 0 | 100 | SH | DEFINED | 01 | |
| MUELLER INDS | COM | 624756102 | 3597 | 102792 | SH | DEFINED | 01 | |
| DAIEI INC | COM | 6249982 | 1 | 2000 | SH | DEFINED | 01 | 1 |
| DAIICHI PHAR | COM | 6250218 | 19 | 1000 | SH | DEFINED | 01 | 1 |
| DAITO TRUST | COM | 6250508 | 5 | 300 | SH | DEFINED | 01 | 1 |
| DAICEL CHEM | COM | 6250542 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| DAIKIN INDUS | COM | 6250724 | 18 | 1000 | SH | DEFINED | 01 | 1 |
| DAIMARU INC | COM | 6250768 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| DAINIPPON | COM | 6250821 | 4 | 2000 | SH | DEFINED | 01 | 1 |
| DAI NIPPON | COM | 6250906 | 22 | 2000 | SH | DEFINED | 01 | 1 |
| DAIWA HOUSE | COM | 6251363 | 13 | 2000 | SH | DEFINED | 01 | 1 |
| DAIWA SECS G | COM | 6251448 | 24 | 4000 | SH | DEFINED | 01 | 1 |
| ARISTOCRAT LEISURE LTD AUD | COM | 6253983 | 3 | 785 | SH | DEFINED | 01 | 1 |
| MULTIMEDIA GAMES INC | COM | 625453105 | 2 | 50 | SH | DEFINED | 01 | 0 |
| MUENCHENER RUECKVERSICHERUNGS- | COM | 625820998 | 15634 | 62879 | SH | DEFINED | 01 | |
| MUENCHENER RUECKVERSICHERUNGS- | COM | 625820998 | 9138 | 36753 | SH | DEFINED | 01 | 0 |
| MURPHY OIL | COM | 626717102 | 144149 | 1501554 | SH | DEFINED | 01 | |
| MURPHY OIL | COM | 626717102 | 2801 | 29172 | SH | DEFINED | 01 | 0 |
| MURPHY OIL | COM | 626717102 | 6230 | 64900 | SH | DEFINED | 01 | 0 |
| MURPHY OIL | COM | 626717102 | 18683 | 194623 | SH | DEFINED | 01 | 0 |
| JOHNSON ELECTRIC HOLDINGS ORD | COM | 6281939 | 14 | 10000 | SH | DEFINED | 01 | 1 |
| GIORDANO HOLDINGS LD | COM | 6282040 | 2 | 4000 | SH | DEFINED | 01 | 1 |
| MYERS IND INC | COM | 628464109 | 1061 | 73156 | SH | DEFINED | 01 | |
| MYKROLIS | COM | 62852P103 | 863 | 56449 | SH | DEFINED | 01 | |
| MYKROLIS CORP. | COM | 62852P103 | 586 | 38413 | SH | DEFINED | 01 | 0 |
| MYLAN LABORATORIES | COM | 628530107 | 9684 | 328702 | SH | DEFINED | 01 | |
| MYLAN LABORATORIES | COM | 628530107 | 731 | 24800 | SH | DEFINED | 01 | 0 |
| MYLAN LABORATORIES | COM | 628530107 | 280 | 9500 | SH | DEFINED | 01 | 0 |
| MYRIAD GENETICS | COM | 62855J104 | 1484 | 44278 | SH | DEFINED | 01 | |
| LI & FUNG | COM | 6286257 | 13 | 8000 | SH | DEFINED | 01 | 1 |
| MIZUHO HOLDINGS, INC. | COM | 6286280 | 50 | 21 | SH | DEFINED | 01 | 1 |
| PACIFIC DUNL | COM | 6286611 | 1 | 1971 | SH | DEFINED | 01 | 1 |
| NBTY | COM | 628782104 | 5787 | 339158 | SH | DEFINED | 01 | |
| NBTY | COM | 628782104 | 179 | 10500 | SH | DEFINED | 01 | 0 |
| NBTY INC | COM | 628782104 | 15 | 890 | SH | DEFINED | 01 | 0 |
| NCI BUILDING SYSTEMS | COM | 628852105 | 1282 | 57000 | SH | DEFINED | 01 | |
| NCI BUILDING SYSTEMS | COM | 628852105 | 119 | 5300 | SH | DEFINED | 01 | 0 |
| NCO GROUP | COM | 628858102 | 24 | 865 | SH | DEFINED | 01 | |
| NCO GROUP | COM | 628858102 | 3 | 110 | SH | DEFINED | 01 | 0 |
| NCR | COM | 62886E108 | 7356 | 164395 | SH | DEFINED | 01 | |
| NCR | COM | 62886E108 | 1101 | 24611 | SH | DEFINED | 01 | 0 |
| NCR | COM | 62886E108 | 226 | 5042 | SH | DEFINED | 01 | 0 |
| NL INDUSTRIES INC | COM | 629156407 | 3 | 200 | SH | DEFINED | 01 | |
| NMS COMM CORP | COM | 629248105 | 341 | 80088 | SH | DEFINED | 01 | |
| NPS PHARMACEUTICALS | COM | 62936P103 | 2323 | 71214 | SH | DEFINED | 01 | |
| NPS PHARMACEUTICALS | COM | 62936P103 | 3 | 100 | SH | DEFINED | 01 | 0 |
| NPS PHARMACEUTICALS | COM | 62936P103 | 131 | 4000 | SH | DEFINED | 01 | 0 |
| ONESTEEL LTD. | COM | 6293729 | 1 | 909 | SH | DEFINED | 01 | 1 |
| NRG ENERGY | COM | 629377102 | 2 | 176 | SH | DEFINED | 01 | |
| NRG ENERGY CV 6.50% | PRF | 629377201 | 6486 | 486200 | SH | DEFINED | 01 | |
| NRJ GROUP | COM | 629379991 | 200 | 9200 | SH | DEFINED | 01 | |
| NTL INCORPORATED | COM | 629407107 | 0 | 570 | SH | DEFINED | 01 | |
| NTL INC PIK PFD 13.00% 02/15 | PRF | 629407503 | 712 | 35588 | SH | DEFINED | 01 | |
| NTL INC PIK PFD 13.00% 02/15 | PRF | 629407503 | 106 | 5296 | SH | DEFINED | 01 | 0 |
| NTT DOCOMO W/I (BONUS SHARES) | COM | 629425885 | 13316 | 4916 | SH | DEFINED | 01 | |
| NTT DOCOMO W/I (BONUS SHARES) | COM | 629425885 | 2914 | 1076 | SH | DEFINED | 01 | 0 |
| NTT DOCOMO W/I (BONUS SHARES) | COM | 629425885 | 14009 | 5172 | SH | DEFINED | 01 | 0 |
| NTT DOCOMO | COM | 629425992 | 3339 | 1229 | SH | DEFINED | 01 | |
| NTT DOCOMO | COM | 629425992 | 731 | 269 | SH | DEFINED | 01 | 0 |
| NTT DOCOMO | COM | 629425992 | 3512 | 1293 | SH | DEFINED | 01 | 0 |
| NUI CORP | COM | 629431107 | 1186 | 47651 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|
| NVR | COM | 62944T105 | 11278 | 35749 | SH | DEFINED | 01 |
| NVR | COM | 62944T105 | 278 | 880 | SH | DEFINED | 01 |
| NVR INC | COM | 62944T105 | 4 | 14 | SH | DEFINED | 01 |
| NYMAGIC | COM | 629484106 | 161 | 8500 | SH | DEFINED | 01 |
| NABI BIOPHARMACEUTIC | COM | 629519109 | 1 | 190 | SH | DEFINED | 01 |
| NABI BIOPHARMACEUTICALS | COM | 629519109 | 930 | 151000 | SH | DEFINED | 01 |
| NABI BIOPHARMACEUTICALS | COM | 629519109 | 63 | 10200 | SH | DEFINED | 01 |
| NABORS INDS | COM | 629568106 | 4171 | 98726 | SH | DEFINED | 01 |
| NABORS INDS | COM | 629568106 | 1547 | 36607 | SH | DEFINED | 01 |
| NABORS INDS | COM | 629568106 | 54 | 1281 | SH | DEFINED | 01 |
| NABORS INDUSTRIES IN | COM | 629568106 | 8305 | 196510 | SH | DEFINED | 01 |
| NACCO IND CL A | COM | 629579103 | 2 | 31 | SH | DEFINED | 01 |
| NSM STEEL WARRANTS 144A 2/01/ | COM | 629844119 | 0 | 10129482 | SH | DEFINED | 01 |
| NSM STEEL WARRANTS 144A 2/01/ | COM | 629844119 | 0 | 4115102 | SH | DEFINED | 01 |
| EAST JAPAN | COM | 6298542 | 46 | 11 | SH | DEFINED | 01 |
| NANOGEN INC | COM | 630075109 | 0 | 75 | SH | DEFINED | 01 |
| EBARA CORP | COM | 6302700 | 6 | 1000 | SH | DEFINED | 01 |
| EISAI CO | COM | 6307200 | 25 | 1000 | SH | DEFINED | 01 |
| CAPITALAND | COM | 6309303 | 6 | 6000 | SH | DEFINED | 01 |
| DENKI KAGAKU | COM | 6309820 | 3 | 1000 | SH | DEFINED | 01 |
| NASDAQ-100 INDEX TRACKING | COM | 631100104 | 305025 | 8451805 | SH | DEFINED | 01 |
| NASDAQ-100 INDEX TRACKING | COM | 631100104 | 485 | 13450 | SH | DEFINED | 01 |
| NASDAQ-100 INDEX TRACKING | COM | 631100104 | 52711 | 1460525 | SH | DEFINED | 01 |
| NASDAQ-100 SHARES | COM | 631100104 | 283 | 7853 | SH | DEFINED | 01 |
| NASDAQ STOCK MARKET | COM | 631103108 | 3 | 300 | SH | DEFINED | 01 |
| NASDAQ STOCK MARKET | COM | 631103108 | 3 | 300 | SH | DEFINED | 01 |
| NASH FINCH | COM | 631158102 | 2764 | 101440 | SH | DEFINED | 01 |
| NASH FINCH | COM | 631158102 | 183 | 6700 | SH | DEFINED | 01 |
| NASH FINCH CO | COM | 631158102 | 11 | 390 | SH | DEFINED | 01 |
| ESPRIT HOLDINGS LIMITED | COM | 6321642 | 5 | 3040 | SH | DEFINED | 01 |
| NATL AUSTRALIA BANK | COM | 632993994 | 1145 | 62800 | SH | DEFINED | 01 |
| NATL AUSTRALIA BANK | COM | 632996997 | 9178 | 503254 | SH | DEFINED | 01 |
| NATL AUSTRALIA BANK | COM | 632996997 | 16827 | 922713 | SH | DEFINED | 01 |
| NIPPON UNIPAC HOLDING | COM | 6334781 | 15 | 3 | SH | DEFINED | 01 |
| MITSUBISHI TOKYO FINANCIAL GRO | COM | 6335171 | 86 | 14 | SH | DEFINED | 01 |
| UFJ HOLDINGS INC | COM | 6335223 | 7 | 3 | SH | DEFINED | 01 |
| GOODMAN FIEL | COM | 6336549 | 2 | 2866 | SH | DEFINED | 01 |
| FOSTERS GROUP LTD | COM | 6349268 | 10 | 3933 | SH | DEFINED | 01 |
| FRASER & NEA | COM | 6350602 | 4 | 1000 | SH | DEFINED | 01 |
| NATL CITY | COM | 635405103 | 696 | 22620 | SH | DEFINED | 01 |
| NATL CITY | COM | 635405103 | 4735 | 153918 | SH | DEFINED | 01 |
| NATL CITY | COM | 635405103 | 170 | 5523 | SH | DEFINED | 01 |
| NATL COMMERCE FINANCIAL | COM | 63545P104 | 193 | 6960 | SH | DEFINED | 01 |
| FUJI PHOTO F | COM | 6356525 | 31 | 1000 | SH | DEFINED | 01 |
| FUJI MACHINE | COM | 6356592 | 2 | 100 | SH | DEFINED | 01 |
| FUJIKURA | COM | 6356707 | 4 | 1000 | SH | DEFINED | 01 |
| FANUC | COM | 6356934 | 27 | 500 | SH | DEFINED | 01 |
| FUJITSU | COM | 6356945 | 38 | 5000 | SH | DEFINED | 01 |
| FUJI SOFT AB | COM | 6357001 | 3 | 100 | SH | DEFINED | 01 |
| FURUKAWA ELE | COM | 6357562 | 10 | 2000 | SH | DEFINED | 01 |
| GANDEL RETAIL TRUST | COM | 6361370 | 2 | 2559 | SH | DEFINED | 01 |
| NATL FUEL GAS | COM | 636180101 | 68 | 2774 | SH | DEFINED | 01 |
| NATL GRID GROUP PLC | COM | 636251993 | 7306 | 1107492 | SH | DEFINED | 01 |
| NATL GRID GROUP PLC | COM | 636251993 | 9748 | 1477604 | SH | DEFINED | 01 |
| NATL INFO CONSORT | COM | 636491102 | 1 | 153 | SH | DEFINED | 01 |
| NATL INSTRUMENT | COM | 636518102 | 73 | 1748 | SH | DEFINED | 01 |
| GEN PROPERTY | COM | 6365866 | 5 | 3449 | SH | DEFINED | 01 |
| NATL-OILWELL | COM | 637071101 | 1540 | 60804 | SH | DEFINED | 01 |
| NATL PENN BANCSHARE | COM | 637138108 | 2 | 86 | SH | DEFINED | 01 |
| NATL PRESTO IND | COM | 637215104 | 603 | 20979 | SH | DEFINED | 01 |
| NATIONAL PROCESSING | COM | 637229105 | 7 | 262 | SH | DEFINED | 01 |
| NATL SEMICONDUCTOR | COM | 637640103 | 318 | 9450 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|------|
| NATL SEMICONDUCTOR | COM | 637640103 | 1664 | 49377 | SH | DEFINED | 01 |
| NATL SEMICONDUCTOR | COM | 637640103 | 1517 | 45021 | SH | DEFINED | 01 0 |
| NATL SEMICONDUCTOR | COM | 637640103 | 2412 | 71615 | SH | DEFINED | 01 0 |
| NATIONAL SERVICE INDUSTRIES IN | COM | 637657206 | 0 | 16 | SH | DEFINED | 01 |
| NATIONAL VISION INC | COM | 63845P101 | 234 | 292419 | SH | DEFINED | 01 0 |
| NATIONWIDE FINL | COM | 638612101 | 2247 | 52503 | SH | DEFINED | 01 |
| NATIONWIDE HEALTH | COM | 638620104 | 2 | 120 | SH | DEFINED | 01 |
| NAVIGATORS GROUP | COM | 638904102 | 312 | 12300 | SH | DEFINED | 01 |
| NATURES SUNSHINE PRO | COM | 639027101 | 560 | 49931 | SH | DEFINED | 01 |
| NAUTICA ENTERPRISES | COM | 639089101 | 8026 | 529415 | SH | DEFINED | 01 |
| NAUTICA ENTERPRISES | COM | 639089101 | 9 | 630 | SH | DEFINED | 01 0 |
| NAUTICA ENTERPRISES | COM | 639089101 | 550 | 36300 | SH | DEFINED | 01 0 |
| NAVISTAR INTL | COM | 63934E108 | 22 | 500 | SH | DEFINED | 01 |
| NAVISTAR INTL | COM | 63934E108 | 13382 | 302069 | SH | DEFINED | 01 |
| NAVISTAR INTL | COM | 63934E108 | 673 | 15191 | SH | DEFINED | 01 0 |
| NAVISTAR INTL | COM | 63934E108 | 5271 | 118994 | SH | DEFINED | 01 0 |
| NAVISITE INC | COM | 63935M109 | 0 | 279 | SH | DEFINED | 01 |
| NAVIGANT CONSULTING | COM | 63935N107 | 123 | 19000 | SH | DEFINED | 01 |
| NAVIGANT CONSULTING | COM | 63935N107 | 0 | 66 | SH | DEFINED | 01 |
| NDCHEALTH | COM | 639480102 | 3820 | 104986 | SH | DEFINED | 01 |
| GUNMA BANK | COM | 6398088 | 4 | 1000 | SH | DEFINED | 01 1 |
| GUNZE | COM | 6398709 | 3 | 1000 | SH | DEFINED | 01 1 |
| NEIMAN-MARCUS GROUP CL A | COM | 640204202 | 138 | 4000 | SH | DEFINED | 01 |
| NEIMAN-MARCUS GROUP CL A | COM | 640204202 | 57 | 1676 | SH | DEFINED | 01 |
| NELSON (THOMAS) INC | COM | 640376109 | 536 | 44082 | SH | DEFINED | 01 |
| NEOFORMA INC | COM | 640475505 | 0 | 10 | SH | DEFINED | 01 |
| NEOPOST SA | COM | 640479994 | 238 | 7000 | SH | DEFINED | 01 |
| NEON COMMUNICATIONS INC | COM | 640506101 | 0 | 61 | SH | DEFINED | 01 |
| HANG SENG BA | COM | 6408374 | 44 | 3900 | SH | DEFINED | 01 1 |
| HANKYU DEPT STORES | COM | 6408705 | 6 | 1000 | SH | DEFINED | 01 1 |
| NEUBERGER BERMAN GENESIS TRUST | COM | 640917100 | 146 | 4668 | SH | DEFINED | 01 0 |
| NEUBERGER BERMAN PARTNERS TRUS | COM | 640917407 | 910 | 55307 | SH | DEFINED | 01 0 |
| NEOPHARM INC | COM | 640919106 | 1074 | 50000 | SH | DEFINED | 01 |
| NET.B@NK INC | COM | 640933107 | 272 | 16094 | SH | DEFINED | 01 |
| NETWORK ASSOCIATES | COM | 640938106 | 34202 | 1413248 | SH | DEFINED | 01 |
| NETWORK ASSOCIATES | COM | 640938106 | 523 | 21600 | SH | DEFINED | 01 0 |
| NETWORK ASSOCIATES | COM | 640938106 | 1142 | 47200 | SH | DEFINED | 01 0 |
| NESTLE | COM | 641069992 | 36813 | 165539 | SH | DEFINED | 01 |
| NESTLE | COM | 641069992 | 8182 | 36796 | SH | DEFINED | 01 0 |
| NESTLE | COM | 641069992 | 19801 | 89039 | SH | DEFINED | 01 0 |
| NET 2 PHONE | COM | 64108N106 | 0 | 37 | SH | DEFINED | 01 |
| Netcentives | COM | 64108P101 | 0 | 83334 | SH | DEFINED | 01 |
| NETEGRITY | COM | 64110P107 | 5131 | 346951 | SH | DEFINED | 01 |
| NETEGRITY | COM | 64110P107 | 142 | 9614 | SH | DEFINED | 01 0 |
| NETEGRITY INC | COM | 64110P107 | 2 | 160 | SH | DEFINED | 01 0 |
| NESTOR HEALTHCARE GROUP | COM | 641111992 | 310 | 40000 | SH | DEFINED | 01 |
| NETOPIA INC | COM | 64114K104 | 0 | 48 | SH | DEFINED | 01 |
| NETRO CORP | COM | 64114R109 | 0 | 102 | SH | DEFINED | 01 |
| NET IQ | COM | 64115P102 | 509 | 23321 | SH | DEFINED | 01 |
| NETSCOUT SYS INC | COM | 64115T104 | 803 | 112088 | SH | DEFINED | 01 |
| NETSCOUT SYS INC | COM | 64115T104 | 2 | 340 | SH | DEFINED | 01 0 |
| NETSCOUT SYS INC | COM | 64115T104 | 70 | 9800 | SH | DEFINED | 01 0 |
| NETRATINGS INC | COM | 64116M108 | 2 | 157 | SH | DEFINED | 01 |
| NETWORK EQUIP TECH | COM | 641208103 | 349 | 67857 | SH | DEFINED | 01 |
| NETWORK APPLIANCE | COM | 64120L104 | 25386 | 1245596 | SH | DEFINED | 01 |
| NETWORK APPLIANCE | COM | 64120L104 | 330 | 16200 | SH | DEFINED | 01 0 |
| NETWORK APPLIANCE | COM | 64120L104 | 1724 | 84611 | SH | DEFINED | 01 0 |
| NETWORK APPLIANCE | COM | 64120L104 | 35207 | 1727536 | SH | DEFINED | 01 0 |
| NETWORK ACCESS SOLUT | COM | 64120S109 | 0 | 154 | SH | DEFINED | 01 |
| NETWORK PLUS | COM | 64122D506 | 0 | 167 | SH | DEFINED | 01 |
| NEUBERGER BERMAN | COM | 641234109 | 838 | 17880 | SH | DEFINED | 01 |
| NEURO CRINE | COM | 64125C109 | 4 | 100 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-----------------------------|-----|-----------|-------|--------|----|---------|------|
| NEUROCRINE BIOSCIENCES | COM | 64125C109 | 2629 | 64778 | SH | DEFINED | 01 |
| NEUROCRINE BIOSCIENCES | COM | 64125C109 | 138 | 3400 | SH | DEFINED | 01 0 |
| JAMES HARDIE | COM | 6412977 | 3 | 868 | SH | DEFINED | 01 1 |
| Netcentives | COM | 641993944 | 0 | 733137 | SH | DEFINED | 01 |
| HENDERSON LAND | COM | 6420538 | 17 | 4000 | SH | DEFINED | 01 1 |
| DAIWA BANK | COM | 6421553 | 8 | 12000 | SH | DEFINED | 01 1 |
| HIROSE ELECT | COM | 6428725 | 7 | 100 | SH | DEFINED | 01 1 |
| HITACHI | COM | 6429104 | 66 | 9000 | SH | DEFINED | 01 1 |
| HITACHI ZOSEN CORP | COM | 6429308 | 1 | 2000 | SH | DEFINED | 01 1 |
| MITSUI TRUST HOLDINGS | COM | 6431897 | 5 | 2900 | SH | DEFINED | 01 1 |
| HOKURIKU BAN | COM | 6433161 | 3 | 2000 | SH | DEFINED | 01 1 |
| HONDA MOTOR | COM | 6435145 | 97 | 2300 | SH | DEFINED | 01 1 |
| HONG KONG ELECTRIC | COM | 6435327 | 8 | 2000 | SH | DEFINED | 01 1 |
| WHARF (HOLDI | COM | 6435576 | 14 | 6000 | SH | DEFINED | 01 1 |
| HONGKONG&CHI | COM | 6436557 | 20 | 14410 | SH | DEFINED | 01 1 |
| NEW ENGLAND BUS SERV | COM | 643872104 | 1008 | 39282 | SH | DEFINED | 01 |
| HOTEL PROPERTIES | COM | 6440183 | 1 | 1000 | SH | DEFINED | 01 1 |
| HOYA CORP. | COM | 6441506 | 21 | 300 | SH | DEFINED | 01 1 |
| NEW FOCUS | COM | 644383101 | 2 | 561 | SH | DEFINED | 01 |
| HUTCHISON WH | COM | 6448068 | 87 | 9900 | SH | DEFINED | 01 1 |
| HYSAN DEVELO | COM | 6449629 | 2 | 2029 | SH | DEFINED | 01 1 |
| ORICA LIMITE | COM | 6458001 | 2 | 577 | SH | DEFINED | 01 1 |
| NEW JERSEY RESOURCES | COM | 646025106 | 26420 | 873697 | SH | DEFINED | 01 |
| NEW JERSEY RESOURCES | COM | 646025106 | 17 | 560 | SH | DEFINED | 01 0 |
| NEW JERSEY RESOURCES | COM | 646025106 | 119 | 3939 | SH | DEFINED | 01 0 |
| ISETAN | COM | 6466844 | 10 | 1000 | SH | DEFINED | 01 1 |
| ISHIHARA SANGYO Y50 | COM | 6466866 | 2 | 1000 | SH | DEFINED | 01 1 |
| ISHIKAWAJIMA | COM | 6466985 | 6 | 3000 | SH | DEFINED | 01 1 |
| ITOCHU CORP | COM | 6467803 | 14 | 4000 | SH | DEFINED | 01 1 |
| ITO-YOKADO C | COM | 6467944 | 40 | 1000 | SH | DEFINED | 01 1 |
| NIPPON SHOKUBAI | COM | 6470588 | 4 | 1000 | SH | DEFINED | 01 1 |
| JAPAN AIR LI | COM | 6471871 | 11 | 4000 | SH | DEFINED | 01 1 |
| JGC CORP | COM | 6473468 | 8 | 1000 | SH | DEFINED | 01 1 |
| JAPAN TOBACCO | COM | 6474535 | 24 | 4 | SH | DEFINED | 01 1 |
| JOYO BANK | COM | 6479767 | 5 | 2000 | SH | DEFINED | 01 1 |
| AEON CO. LTD | COM | 6480048 | 20 | 1000 | SH | DEFINED | 01 1 |
| NEW PLAN EXCEL REALTY TRUST | COM | 648053106 | 72 | 3555 | SH | DEFINED | 01 |
| KAJIMA CORP | COM | 6481320 | 5 | 2000 | SH | DEFINED | 01 1 |
| KAMIGUMI CO | COM | 6482668 | 4 | 1000 | SH | DEFINED | 01 1 |
| KANEBO | COM | 6483241 | 2 | 1000 | SH | DEFINED | 01 1 |
| KANEKA CORP | COM | 6483360 | 6 | 1000 | SH | DEFINED | 01 1 |
| KANSAI ELEC | COM | 6483489 | 38 | 2700 | SH | DEFINED | 01 1 |
| KAO CORP | COM | 6483809 | 37 | 2000 | SH | DEFINED | 01 1 |
| KATOKICHI CO | COM | 6484244 | 2 | 100 | SH | DEFINED | 01 1 |
| KAWASAKI HEA | COM | 6484620 | 3 | 3000 | SH | DEFINED | 01 1 |
| KAWASAKI STE | COM | 6484664 | 7 | 7000 | SH | DEFINED | 01 1 |
| KAWASAKI KIS | COM | 6484686 | 1 | 1000 | SH | DEFINED | 01 1 |
| KEIHIN ELEC | COM | 6487306 | 4 | 1000 | SH | DEFINED | 01 1 |
| KEIO ELECTRIC | COM | 6487362 | 4 | 1000 | SH | DEFINED | 01 1 |
| KEPPEL CORP | COM | 6490263 | 4 | 2000 | SH | DEFINED | 01 1 |
| KIKKOMAN SHOYU | COM | 6490809 | 5 | 1000 | SH | DEFINED | 01 1 |
| NEW WORLD RESTAURANT GROUP | COM | 649271103 | 55 | 130000 | SH | DEFINED | 01 |
| KINDEN | COM | 6492924 | 4 | 1000 | SH | DEFINED | 01 1 |
| KINKI NIPPON | COM | 6492968 | 13 | 4120 | SH | DEFINED | 01 1 |
| KIRIN BREWER | COM | 6493745 | 20 | 3000 | SH | DEFINED | 01 1 |
| NEW YORK COM BANCORP | COM | 649445103 | 3 | 120 | SH | DEFINED | 01 0 |
| NEW YORK COMMUNITY BANCORP | COM | 649445103 | 1592 | 57592 | SH | DEFINED | 01 |
| NEW YORK COMMUNITY BANCORP | COM | 649445103 | 155 | 5600 | SH | DEFINED | 01 0 |
| KOMATSU | COM | 6496584 | 7 | 2000 | SH | DEFINED | 01 1 |
| KONAMI CORP. | COM | 6496681 | 7 | 300 | SH | DEFINED | 01 1 |
| KONICA | COM | 6496700 | 6 | 1000 | SH | DEFINED | 01 1 |
| KOYO SEIKO CO | COM | 6497082 | 5 | 1000 | SH | DEFINED | 01 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|----------|-----------|-------|---------|-----|---------|----|---|
| KUBOTA CORP | COM | 6497509 | 12 | 4000 | SH | DEFINED | 01 | 1 |
| KURARAY CO | COM | 6497662 | 6 | 1000 | SH | DEFINED | 01 | 1 |
| KYOCERA CORP. | COM | 6499260 | 35 | 500 | SH | DEFINED | 01 | 1 |
| KYOWA HAKKO | COM | 6499550 | 5 | 1000 | SH | DEFINED | 01 | 1 |
| KYUSHU | COM | 6499806 | 7 | 500 | SH | DEFINED | 01 | 1 |
| NEW YORK TIMES CL A | COM | 650111107 | 10 | 200 | SH | DEFINED | 01 | 1 |
| NEW YORK TIMES CL A | COM | 650111107 | 179 | 3727 | SH | DEFINED | 01 | 1 |
| NEW YORK TIMES CL A | COM | 650111107 | 1829 | 38210 | SH | DEFINED | 01 | 0 |
| NEW YORK TIMES CL A | COM | 650111107 | 66 | 1371 | SH | DEFINED | 01 | 0 |
| TELECOM CORP OF NEW ZEALAND | COM | 650200991 | 620 | 289311 | SH | DEFINED | 01 | 1 |
| NEWCREST MINING | COM | 650902992 | 672 | 200000 | SH | DEFINED | 01 | 1 |
| NEWCREST MINING | COM | 650902992 | 1905 | 566767 | SH | DEFINED | 01 | 0 |
| NEWMONT MINING | COM | 6509772 | 4 | 1448 | SH | DEFINED | 01 | 1 |
| LEIGHTON HOL | COM | 6511227 | 3 | 558 | SH | DEFINED | 01 | 1 |
| LEND LEASE C | COM | 6512004 | 7 | 1072 | SH | DEFINED | 01 | 1 |
| NEWELL RUBBERMAID | COM | 651229106 | 31044 | 971357 | SH | DEFINED | 01 | 1 |
| NEWELL RUBBERMAID | COM | 651229106 | 3121 | 97644 | SH | DEFINED | 01 | 0 |
| NEWELL RUBBERMAID | COM | 651229106 | 1018 | 31827 | SH | DEFINED | 01 | 0 |
| NEWFIELD EXPLORATION | COM | 651290108 | 24656 | 666569 | SH | DEFINED | 01 | 1 |
| NEWFIELD EXPLORATION | COM | 651290108 | 35048 | 947484 | SH | DEFINED | 01 | 0 |
| NEWFIELD EXPLORATION | COM | 651290108 | 3048 | 82400 | SH | DEFINED | 01 | 0 |
| NEWFIELD EXPLORATION | COM | 651290108 | 471 | 12739 | SH | DEFINED | 01 | 0 |
| NEWHALL LAND & FARMG | COM | 651426108 | 3 | 85 | SH | DEFINED | 01 | 1 |
| NEWMONT MINING | COM | 651639106 | 53297 | 1924771 | SH | DEFINED | 01 | 1 |
| NEWMONT MINING | COM | 651639106 | 2749 | 99271 | SH | DEFINED | 01 | 0 |
| NEWMONT MINING | COM | 651639106 | 11627 | 419862 | SH | DEFINED | 01 | 0 |
| NEWMONT MINING CORP - CHESS DE | COM | 651639999 | 553 | 192500 | SH | DEFINED | 01 | 1 |
| NTT DOCOMO W/I | COM | 6516697 | 65 | 24 | SH | DEFINED | 01 | 1 |
| NEWPARK RESOURCES | COM | 651718504 | 274 | 35330 | SH | DEFINED | 01 | 1 |
| NEWPORT | COM | 651824104 | 2430 | 101678 | SH | DEFINED | 01 | 1 |
| NEWPORT | COM | 651824104 | 159 | 6650 | SH | DEFINED | 01 | 0 |
| NEWPORT CORP | COM | 651824104 | 3 | 130 | SH | DEFINED | 01 | 0 |
| NEWPOWER HOLDINGS INC | COM | 652463100 | 0 | 667 | SH | DEFINED | 01 | 1 |
| NEWS CORPORATION LIMITED - SPO | COM | 652487802 | 48 | 2000 | SH | DEFINED | 01 | 1 |
| NEXT | COM | 652500992 | 232 | 15008 | SH | DEFINED | 01 | 1 |
| NEXT | COM | 652500992 | 4019 | 260137 | SH | DEFINED | 01 | 0 |
| NEXTCARD | COM | 65332K107 | 0 | 222 | SH | DEFINED | 01 | 1 |
| NEXTEL COMMUNICATIONS CL A | COM | 65332V103 | 22 | 4000 | SH | DEFINED | 01 | 1 |
| NEXTEL COMMUNICATIONS CL A | COM | 65332V103 | 249 | 46236 | SH | DEFINED | 01 | 1 |
| NEXTEL COMMUNICATIONS CL A | COM | 65332V103 | 1088 | 202293 | SH | DEFINED | 01 | 0 |
| NEXTEL COMMUNICATIONS CL A | COM | 65332V103 | 39 | 7259 | SH | DEFINED | 01 | 0 |
| NEXTEL PIK PFD SER-D 13.000 | PRF | 65332V400 | 12456 | 30381 | SH | DEFINED | 01 | 1 |
| NEXTEL PIK PFD SER-D 13.000 | PRF | 65332V400 | 1758 | 4287 | SH | DEFINED | 01 | 0 |
| NEXTEL PIK PFD SERIES E 11.12 | PRF | 65332V707 | 4908 | 14024 | SH | DEFINED | 01 | 1 |
| NEXTEL PIK PFD SERIES E 11.12 | PRF | 65332V707 | 680 | 1944 | SH | DEFINED | 01 | 0 |
| NEXTEL COM CVT | CONV BND | 65332VAY9 | 6000 | 1200000 | PRN | DEFINED | 01 | 0 |
| NEXTEL PARTNERS INC | COM | 65333F107 | 31 | 5100 | SH | DEFINED | 01 | 1 |
| NEXTEL PARTNERS INC | COM | 65333F107 | 306 | 50870 | SH | DEFINED | 01 | 1 |
| NEXPRISE INC | COM | 65333Q103 | 0 | 54 | SH | DEFINED | 01 | 1 |
| NET LEVEL COMM | COM | 65333U104 | 0 | 121 | SH | DEFINED | 01 | 1 |
| NH HOTELES S.A. | COM | 653400994 | 252 | 22000 | SH | DEFINED | 01 | 1 |
| NICHICON | COM | 654078997 | 17 | 1300 | SH | DEFINED | 01 | 1 |
| NICOR | COM | 654086107 | 11443 | 251212 | SH | DEFINED | 01 | 1 |
| NICOR | COM | 654086107 | 511 | 11224 | SH | DEFINED | 01 | 0 |
| NICOR | COM | 654086107 | 18 | 402 | SH | DEFINED | 01 | 0 |
| NIKE CL B | COM | 654106103 | 28402 | 473288 | SH | DEFINED | 01 | 0 |
| NIKE CL B | COM | 654106103 | 4075 | 67913 | SH | DEFINED | 01 | 0 |
| NIKE CL B | COM | 654106103 | 1096 | 18267 | SH | DEFINED | 01 | 0 |
| 99 CENTS ONLY STORE | COM | 65440K106 | 53971 | 1407720 | SH | DEFINED | 01 | 0 |
| 99 CENTS ONLY STORES | COM | 65440K106 | 28823 | 751788 | SH | DEFINED | 01 | 0 |
| 99 CENTS ONLY STORES | COM | 65440K106 | 4708 | 122800 | SH | DEFINED | 01 | 0 |
| 99 CENTS ONLY STORES | COM | 65440K106 | 731 | 19065 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|------|
| NINTENDO | COM | 654450998 | 6855 | 46586 | SH | DEFINED | 01 |
| NINTENDO | COM | 654450998 | 1692 | 11500 | SH | DEFINED | 01 0 |
| NINTENDO | COM | 654450998 | 11947 | 81200 | SH | DEFINED | 01 0 |
| NIPPON MEAT PACKERS INC | COM | 654460997 | 1499 | 154000 | SH | DEFINED | 01 |
| NIPPON STEEL | COM | 654619956 | 91 | 63293 | SH | DEFINED | 01 |
| NIPPON TELEGRAPH & TELEPHONE | COM | 654620962 | 707 | 184 | SH | DEFINED | 01 |
| NIPPON TELEGRAPH & TELEPHONE | COM | 654620962 | 2708 | 705 | SH | DEFINED | 01 0 |
| NISOURCE | COM | 65473P105 | 317 | 13800 | SH | DEFINED | 01 |
| NISOURCE | COM | 65473P105 | 117 | 5089 | SH | DEFINED | 01 |
| NISOURCE | COM | 65473P105 | 1207 | 52593 | SH | DEFINED | 01 0 |
| NISOURCE | COM | 65473P105 | 43 | 1886 | SH | DEFINED | 01 0 |
| NISOURCE INC SAILS | COM | 65473P600 | 0 | 128 | SH | DEFINED | 01 |
| NISSAN MOTOR | COM | 654744994 | 4491 | 621337 | SH | DEFINED | 01 |
| NISSAN MOTOR | COM | 654744994 | 2147 | 297000 | SH | DEFINED | 01 0 |
| NISSAN MOTOR | COM | 654744994 | 8117 | 1123000 | SH | DEFINED | 01 0 |
| NISSIN HEALTHCARE | COM | 654885995 | 282 | 12000 | SH | DEFINED | 01 |
| NOBLE AFFILIATES | COM | 654894104 | 743 | 19009 | SH | DEFINED | 01 |
| NOKIA ADR A | COM | 654902204 | 16096 | 776089 | SH | DEFINED | 01 |
| NOKIA ADR A | COM | 654902204 | 39502 | 1904607 | SH | DEFINED | 01 0 |
| NOKIA ADR CL A | COM | 654902204 | 117250 | 5653326 | SH | DEFINED | 01 |
| NOKIA ADR CL A | COM | 654902204 | 350 | 16850 | SH | DEFINED | 01 0 |
| NOKIA ADR CL A | COM | 654902204 | 30437 | 1467529 | SH | DEFINED | 01 0 |
| MIM HOLDINGS | COM | 6550167 | 3 | 3659 | SH | DEFINED | 01 1 |
| NOBLE DRILLING | COM | 655042109 | 2015 | 48702 | SH | DEFINED | 01 |
| NOBLE DRILLING | COM | 655042109 | 1426 | 34430 | SH | DEFINED | 01 0 |
| NOBLE DRILLING | COM | 655042109 | 50 | 1201 | SH | DEFINED | 01 0 |
| NOBLE DRILLING CORP | COM | 655042109 | 8263 | 199710 | SH | DEFINED | 01 0 |
| NOMURA HOLDINGS | COM | 655361103 | 15824 | 1220000 | SH | DEFINED | 01 |
| NOMURA HOLDINGS | COM | 655361103 | 4942 | 381000 | SH | DEFINED | 01 0 |
| NOMURA HOLDINGS | COM | 655361103 | 13567 | 1046000 | SH | DEFINED | 01 0 |
| NORDEA | COM | 655499994 | 872 | 152968 | SH | DEFINED | 01 |
| NORDSON | COM | 655663102 | 243 | 8046 | SH | DEFINED | 01 |
| NORDSTROM | COM | 655664100 | 82 | 3353 | SH | DEFINED | 01 |
| NORDSTROM | COM | 655664100 | 834 | 34051 | SH | DEFINED | 01 0 |
| NORDSTROM | COM | 655664100 | 30 | 1222 | SH | DEFINED | 01 0 |
| NORFOLK SOUTHERN | COM | 655844108 | 342 | 14300 | SH | DEFINED | 01 |
| NORFOLK SOUTHERN | COM | 655844108 | 59362 | 2479622 | SH | DEFINED | 01 |
| NORFOLK SOUTHERN | COM | 655844108 | 2345 | 97956 | SH | DEFINED | 01 0 |
| NORFOLK SOUTHERN | COM | 655844108 | 9128 | 381315 | SH | DEFINED | 01 0 |
| NORFOLK SOUTHERN CO | COM | 655844108 | 47 | 1959 | SH | DEFINED | 01 0 |
| NORSK HYDRO | COM | 656531993 | 19 | 400 | SH | DEFINED | 01 |
| NORSKE SKOGSINDUSTRIER | COM | 656533999 | 11 | 600 | SH | DEFINED | 01 |
| NORTEK INC | COM | 656559101 | 36 | 1000 | SH | DEFINED | 01 |
| NORTEK INC | COM | 656559101 | 9 | 230 | SH | DEFINED | 01 0 |
| NORTEL NETWORKS | COM | 656568102 | 6782 | 1510522 | SH | DEFINED | 01 |
| NORTEL NETWORKS | COM | 656568102 | 4032 | 897998 | SH | DEFINED | 01 0 |
| NORTEL NETWORKS | COM | 656568102 | 131 | 29141 | SH | DEFINED | 01 0 |
| NORTEL NETWORKS CORP | COM | 656568102 | 14149 | 3150612 | SH | DEFINED | 01 0 |
| NORTEL NETWORKS | COM | 656568995 | 722 | 164400 | SH | DEFINED | 01 |
| MARUBENI COR | COM | 6569464 | 2 | 3000 | SH | DEFINED | 01 1 |
| MARUI CO | COM | 6569527 | 12 | 1000 | SH | DEFINED | 01 1 |
| MATSUSHITA COMM | COM | 6572666 | 3 | 100 | SH | DEFINED | 01 1 |
| MATSUSHITA E | COM | 6572707 | 73 | 6000 | SH | DEFINED | 01 1 |
| MATSUSHITA | COM | 6572729 | 8 | 1000 | SH | DEFINED | 01 1 |
| MAYNE GROUP | COM | 6574606 | 4 | 1528 | SH | DEFINED | 01 1 |
| MEIJI DAIRIES CORP | COM | 6576088 | 3 | 1000 | SH | DEFINED | 01 1 |
| MEIJI SEIKA | COM | 6576185 | 4 | 1000 | SH | DEFINED | 01 1 |
| MEITEC | COM | 6576356 | 3 | 100 | SH | DEFINED | 01 1 |
| SUNCORP-METW | COM | 6585084 | 6 | 955 | SH | DEFINED | 01 1 |
| NOKIA | COM | 659002FR5 | 43137 | 2040685 | SH | DEFINED | 01 |
| NOKIA | COM | 659002FR5 | 5897 | 279000 | SH | DEFINED | 01 0 |
| NOKIA | COM | 659002FR5 | 44359 | 2098518 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|------|--------|----|---------|----|---|
| CREDIT SAISO | COM | 6591809 | 8 | 400 | SH | DEFINED | 01 | 1 |
| NORTH FORK BANCORPORATION | COM | 659424105 | 1657 | 46587 | SH | DEFINED | 01 | |
| MINITUBISHI E | COM | 6596729 | 21 | 3000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI C | COM | 6596785 | 30 | 4000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI G | COM | 6596923 | 2 | 1000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI C | COM | 6597001 | 11 | 5000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI E | COM | 6597045 | 28 | 6000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI H | COM | 6597067 | 29 | 9000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI | COM | 6597089 | 5 | 3000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI PAPER MILL | COM | 6597142 | 2 | 1000 | SH | DEFINED | 01 | 1 |
| MINITUBISHI R | COM | 6597164 | 5 | 2000 | SH | DEFINED | 01 | 1 |
| MITSUI & CO | COM | 6597302 | 24 | 4000 | SH | DEFINED | 01 | 1 |
| MITSUI MININ | COM | 6597346 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| MITSUI ENG & SHIP | COM | 6597380 | 2 | 2000 | SH | DEFINED | 01 | 1 |
| MITSUKOSHI | COM | 6597487 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| MITSUI FUDOS | COM | 6597603 | 16 | 2000 | SH | DEFINED | 01 | 1 |
| MURATA MFG C | COM | 6610403 | 45 | 700 | SH | DEFINED | 01 | 1 |
| NGK INSULATO | COM | 6619507 | 7 | 1000 | SH | DEFINED | 01 | 1 |
| NGK SPARK PL | COM | 6619604 | 8 | 1000 | SH | DEFINED | 01 | 1 |
| NEWS CORPORA | COM | 6620758 | 34 | 5726 | SH | DEFINED | 01 | 1 |
| NAMCO | COM | 6620941 | 2 | 100 | SH | DEFINED | 01 | 1 |
| NATL AUSTRAL | COM | 6624608 | 71 | 3870 | SH | DEFINED | 01 | 1 |
| NEPTUNE ORIE | COM | 6628859 | 2 | 3000 | SH | DEFINED | 01 | 1 |
| NEW WORLD DE | COM | 6633767 | 4 | 5000 | SH | DEFINED | 01 | 1 |
| NINTENDO CO | COM | 6639550 | 59 | 400 | SH | DEFINED | 01 | 1 |
| NIKKO SECURITIES | COM | 6640284 | 9 | 2000 | SH | DEFINED | 01 | 1 |
| DENSO CORP | COM | 6640381 | 38 | 2500 | SH | DEFINED | 01 | 1 |
| NEC CORP | COM | 6640400 | 42 | 5000 | SH | DEFINED | 01 | 1 |
| NIDEC CORPOR | COM | 6640682 | 13 | 200 | SH | DEFINED | 01 | 1 |
| NIPPON MEAT | COM | 6640767 | 10 | 1000 | SH | DEFINED | 01 | 1 |
| NICHIREI COR | COM | 6640864 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| NIPPON SUISA | COM | 6640927 | 1 | 1000 | SH | DEFINED | 01 | 1 |
| NISSHIN SEIFUN GROUP INC | COM | 6640961 | 6 | 1000 | SH | DEFINED | 01 | 1 |
| NISHIMATSU | COM | 6640983 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| JAPAN ENERGY | COM | 6641209 | 3 | 2000 | SH | DEFINED | 01 | 1 |
| NIPPON TELEGRAPH & TELEPHONE | COM | 6641373 | 88 | 23 | SH | DEFINED | 01 | 1 |
| NIPPON MITSU | COM | 6641403 | 19 | 4000 | SH | DEFINED | 01 | 1 |
| NIPPON SHEET | COM | 6641447 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| NSK | COM | 6641544 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| NISSIN FOOD | COM | 6641760 | 5 | 300 | SH | DEFINED | 01 | 1 |
| NITTO DENKO CORP | COM | 6641801 | 12 | 400 | SH | DEFINED | 01 | 1 |
| NIPPON EXPRE | COM | 6642127 | 12 | 3000 | SH | DEFINED | 01 | 1 |
| NIKON CORP | COM | 6642321 | 11 | 1000 | SH | DEFINED | 01 | 1 |
| YAMAHA | COM | 6642387 | 7 | 1000 | SH | DEFINED | 01 | 1 |
| MINEBEA CO | COM | 6642406 | 6 | 1000 | SH | DEFINED | 01 | 1 |
| NIPPON STEEL | COM | 6642569 | 24 | 17000 | SH | DEFINED | 01 | 1 |
| NISSAN MOTOR | COM | 6642860 | 65 | 9000 | SH | DEFINED | 01 | 1 |
| NISSHINBO IN | COM | 6642923 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| NOMURA HOLDINGS | COM | 6643108 | 65 | 5000 | SH | DEFINED | 01 | 1 |
| NIPPON YUSEN | COM | 6643960 | 10 | 3000 | SH | DEFINED | 01 | 1 |
| NORTHEAST UTILITIES | COM | 664397106 | 20 | 1000 | SH | DEFINED | 01 | |
| NORTHEAST UTILITIES | COM | 664397106 | 5096 | 256473 | SH | DEFINED | 01 | |
| NORTHEAST UTILITIES | COM | 664397106 | 8409 | 423200 | SH | DEFINED | 01 | 0 |
| ORIENTAL LAN | COM | 6648891 | 19 | 300 | SH | DEFINED | 01 | 1 |
| NTN CORP | COM | 6651189 | 2 | 1000 | SH | DEFINED | 01 | 1 |
| OBAYASHI COR | COM | 6656407 | 6 | 2000 | SH | DEFINED | 01 | 1 |
| OJI PAPER CO | COM | 6657701 | 14 | 3000 | SH | DEFINED | 01 | 1 |
| OKUMURA CORP | COM | 6657808 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| NORTHERN TRUST | COM | 665859104 | 334 | 5549 | SH | DEFINED | 01 | |
| NORTHERN TRUST | COM | 665859104 | 3383 | 56284 | SH | DEFINED | 01 | 0 |
| NORTHERN TRUST | COM | 665859104 | 121 | 2020 | SH | DEFINED | 01 | 0 |
| OLYMPUS OPTI | COM | 6658801 | 12 | 1000 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| OMRON CORP | COM | 6659428 | 14 | 1000 | SH | DEFINED | 01 | 1 |
| TAIHEIYO CEM | COM | 6660204 | 3 | 2000 | SH | DEFINED | 01 | 1 |
| ORIX CORP | COM | 6661144 | 16 | 220 | SH | DEFINED | 01 | 1 |
| OSAKA GAS CO | COM | 6661768 | 13 | 6000 | SH | DEFINED | 01 | 1 |
| O/SEAS CHINE | COM | 6663689 | 30 | 4000 | SH | DEFINED | 01 | 1 |
| NORTHROP GRUMMAN | COM | 666807102 | 57 | 500 | SH | DEFINED | 01 | |
| NORTHROP GRUMMAN | COM | 666807102 | 71804 | 635159 | SH | DEFINED | 01 | |
| NORTHROP GRUMMAN | COM | 666807102 | 4425 | 39144 | SH | DEFINED | 01 | 0 |
| NORTHROP GRUMMAN | COM | 666807102 | 928 | 8209 | SH | DEFINED | 01 | 0 |
| NORTHROP GRUMMAN COR | COM | 666807102 | 42 | 365 | SH | DEFINED | 01 | 0 |
| NWA | COM | 667280101 | 10746 | 563490 | SH | DEFINED | 01 | |
| NWA | COM | 667280101 | 143 | 7500 | SH | DEFINED | 01 | 0 |
| NWA | COM | 667280101 | 17325 | 908500 | SH | DEFINED | 01 | 0 |
| NORTHWEST NATURAL GAS COMPANY | COM | 667655104 | 2165 | 77301 | SH | DEFINED | 01 | |
| NW NATURAL GAS CO | COM | 667655104 | 12 | 420 | SH | DEFINED | 01 | 0 |
| NORTHWEST NAT GA 100 | PRF | 667655708 | 17255 | 170000 | SH | DEFINED | 01 | 0 |
| NORTHWESTERN CORP | COM | 668074107 | 1849 | 84049 | SH | DEFINED | 01 | |
| NORTHWESTERN CORP | COM | 668074107 | 10 | 440 | SH | DEFINED | 01 | 0 |
| PIONEER CORP | COM | 6688745 | 10 | 500 | SH | DEFINED | 01 | 1 |
| NU HORIZONS ELEC COR | COM | 669908105 | 3185 | 323300 | SH | DEFINED | 01 | |
| NU HORIZONS ELEC COR | COM | 669908105 | 262 | 26600 | SH | DEFINED | 01 | 0 |
| NUANCE COMMUNICATION | COM | 669967101 | 1 | 82 | SH | DEFINED | 01 | |
| NOVAVAX | COM | 670002104 | 808 | 70600 | SH | DEFINED | 01 | |
| NOVELL | COM | 670006105 | 2008 | 516081 | SH | DEFINED | 01 | |
| NOVELL | COM | 670006105 | 358 | 91904 | SH | DEFINED | 01 | 0 |
| NOVELL | COM | 670006105 | 174 | 44597 | SH | DEFINED | 01 | 0 |
| NOVELL INC | COM | 670006105 | 10 | 2670 | SH | DEFINED | 01 | 0 |
| NOVELLUS SYSTEMS | COM | 670008101 | 58007 | 1071607 | SH | DEFINED | 01 | |
| NOVELLUS SYSTEMS | COM | 670008101 | 6786 | 125377 | SH | DEFINED | 01 | 0 |
| NOVELLUS SYSTEMS | COM | 670008101 | 43690 | 807121 | SH | DEFINED | 01 | 0 |
| NOVELLUS SYSTEMS INC | COM | 670008101 | 64876 | 1198497 | SH | DEFINED | 01 | 0 |
| NOVEN PHARMACEUTICAL | COM | 670009109 | 4 | 210 | SH | DEFINED | 01 | 0 |
| NOVEN PHARMACEUTICALS | COM | 670009109 | 3852 | 185696 | SH | DEFINED | 01 | |
| NOVEN PHARMACEUTICALS | COM | 670009109 | 207 | 10000 | SH | DEFINED | 01 | 0 |
| NOVO NORDISK CL B | COM | 670100999 | 213 | 5334 | SH | DEFINED | 01 | |
| NU SKIN ENTERPRISES | COM | 67018T105 | 7 | 660 | SH | DEFINED | 01 | |
| NUCENTRIX BROADBAND | COM | 670198100 | 1 | 119 | SH | DEFINED | 01 | |
| NSTAR | COM | 67019E107 | 81 | 1789 | SH | DEFINED | 01 | |
| PROMISE CO | COM | 6703295 | 13 | 300 | SH | DEFINED | 01 | 1 |
| NUCOR | COM | 670346105 | 38805 | 604061 | SH | DEFINED | 01 | |
| NUCOR | COM | 670346105 | 2276 | 35418 | SH | DEFINED | 01 | 0 |
| NUCOR | COM | 670346105 | 2017 | 31407 | SH | DEFINED | 01 | 0 |
| NUCOR CORP | COM | 670346105 | 659 | 10250 | SH | DEFINED | 01 | 0 |
| NUEVO ENERGY CO | COM | 670509108 | 777 | 52151 | SH | DEFINED | 01 | |
| NUMERICAL TECHNOLOG | COM | 67053T101 | 8513 | 630159 | SH | DEFINED | 01 | |
| NUMERICAL TECHNOLOG | COM | 67053T101 | 324 | 24000 | SH | DEFINED | 01 | 0 |
| NUTRECO HOLDING N.V. | COM | 670610997 | 155 | 4750 | SH | DEFINED | 01 | |
| NVIDIA | COM | 67066G104 | 34344 | 774192 | SH | DEFINED | 01 | |
| NVIDIA | COM | 67066G104 | 2234 | 50346 | SH | DEFINED | 01 | 0 |
| NVIDIA | COM | 67066G104 | 12464 | 280964 | SH | DEFINED | 01 | 0 |
| NVIDIA CORP | COM | 67066G104 | 2326 | 52450 | SH | DEFINED | 01 | 0 |
| NYFIX INC | COM | 670712108 | 1261 | 84314 | SH | DEFINED | 01 | |
| SUEZ SA | COM | 670800994 | 410 | 14491 | SH | DEFINED | 01 | |
| O`CHARLEY`S | COM | 670823103 | 1231 | 57115 | SH | DEFINED | 01 | |
| OKLAHOMA GAS & ELEC | COM | 670837103 | 65 | 2708 | SH | DEFINED | 01 | |
| OM GROUP | COM | 670872100 | 11586 | 160258 | SH | DEFINED | 01 | |
| OM GROUP | COM | 670872100 | 260 | 3600 | SH | DEFINED | 01 | 0 |
| NOVARTIS | COM | 670889997 | 43116 | 1096198 | SH | DEFINED | 01 | |
| NOVARTIS | COM | 670889997 | 5215 | 132602 | SH | DEFINED | 01 | 0 |
| NOVARTIS | COM | 670889997 | 25108 | 638339 | SH | DEFINED | 01 | 0 |
| OMV | COM | 671039998 | 81 | 850 | SH | DEFINED | 01 | |
| OSI PHARMACEUTICALS | COM | 671040103 | 7779 | 198694 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|----------------------|-----|-----------|-------|---------|----|---------|----|---|
| OSI PHARMACEUTICALS | COM | 671040103 | 106 | 2700 | SH | DEFINED | 01 | 0 |
| OSI PHARMACEUTICALS | COM | 671040103 | 11271 | 287900 | SH | DEFINED | 01 | 0 |
| OSI SYSTEMS INC | COM | 671044105 | 517 | 20500 | SH | DEFINED | 01 | |
| OTG SOFTWARE INC | COM | 671059103 | 1 | 70 | SH | DEFINED | 01 | |
| QBE INS GROU | COM | 6715740 | 4 | 1062 | SH | DEFINED | 01 | 1 |
| OAK TECHNOLOGY | COM | 671802106 | 30 | 2000 | SH | DEFINED | 01 | |
| OAK TECHNOLOGY | COM | 671802106 | 3638 | 244516 | SH | DEFINED | 01 | |
| OAK TECHNOLOGY | COM | 671802106 | 4 | 250 | SH | DEFINED | 01 | 0 |
| OAK TECHNOLOGY | COM | 671802106 | 170 | 11400 | SH | DEFINED | 01 | 0 |
| OAKLEY | COM | 673662102 | 2586 | 144417 | SH | DEFINED | 01 | |
| OAKLEY | COM | 673662102 | 115 | 6400 | SH | DEFINED | 01 | 0 |
| RICOH | COM | 6738220 | 19 | 1000 | SH | DEFINED | 01 | 1 |
| OCCIDENTAL PETROLEUM | COM | 674599105 | 8435 | 289356 | SH | DEFINED | 01 | |
| OCCIDENTAL PETROLEUM | COM | 674599105 | 3007 | 103165 | SH | DEFINED | 01 | 0 |
| OCCIDENTAL PETROLEUM | COM | 674599105 | 396 | 13597 | SH | DEFINED | 01 | 0 |
| OCE NV | COM | 674625991 | 236 | 19950 | SH | DEFINED | 01 | |
| ROHM CO | COM | 6747204 | 45 | 300 | SH | DEFINED | 01 | 1 |
| OCEAN ENERGY | COM | 67481E106 | 330 | 16700 | SH | DEFINED | 01 | |
| OCEAN ENERGY | COM | 67481E106 | 21084 | 1065433 | SH | DEFINED | 01 | |
| OCEAN ENERGY | COM | 67481E106 | 17959 | 907484 | SH | DEFINED | 01 | 0 |
| OCEAN ENERGY INC TEX | COM | 67481E106 | 83 | 4200 | SH | DEFINED | 01 | 0 |
| OCEANEERING INT L | COM | 675232102 | 2286 | 78804 | SH | DEFINED | 01 | |
| OCULAR SCIENCES | COM | 675744106 | 2562 | 91500 | SH | DEFINED | 01 | |
| OCULAR SCIENCES | COM | 675744106 | 257 | 9200 | SH | DEFINED | 01 | 0 |
| OCWEN FINANCIAL CORP | COM | 675746101 | 65 | 9800 | SH | DEFINED | 01 | |
| ODYSSEY RE HOLDINGS | COM | 67612W108 | 6 | 395 | SH | DEFINED | 01 | |
| OFFICE DEPOT | COM | 676220106 | 7541 | 379927 | SH | DEFINED | 01 | |
| OFFICE DEPOT | COM | 676220106 | 2108 | 106200 | SH | DEFINED | 01 | 0 |
| OFFICE DEPOT | COM | 676220106 | 464 | 23391 | SH | DEFINED | 01 | 0 |
| OFFICE MAX | COM | 67622M108 | 2 | 432 | SH | DEFINED | 01 | |
| OFFSHORE LOGISTICS | COM | 676255102 | 2292 | 106359 | SH | DEFINED | 01 | |
| SMC CORP | COM | 6763965 | 24 | 200 | SH | DEFINED | 01 | 1 |
| SOUTHCORP LT | COM | 6764689 | 5 | 1317 | SH | DEFINED | 01 | 1 |
| SOFTBANK COR | COM | 6770620 | 17 | 900 | SH | DEFINED | 01 | 1 |
| SHANGRI-LA A | COM | 6771032 | 3 | 4000 | SH | DEFINED | 01 | 1 |
| OHIO CASUALTY | COM | 677240103 | 2592 | 136842 | SH | DEFINED | 01 | |
| OHIO CASUALTY | COM | 677240103 | 83 | 4400 | SH | DEFINED | 01 | 0 |
| OHIO CASUALTY CORP | COM | 677240103 | 11 | 560 | SH | DEFINED | 01 | 0 |
| OHIO POWER CO \$100 | PRF | 677415796 | 3518 | 36000 | SH | DEFINED | 01 | 0 |
| OHI PWR CO \$100 | PRF | 677415812 | 984 | 10000 | SH | DEFINED | 01 | 0 |
| OHIO PWR CO \$100 | PRF | 677415820 | 513 | 5000 | SH | DEFINED | 01 | 0 |
| SANKYO CO | COM | 6775283 | 15 | 1000 | SH | DEFINED | 01 | 1 |
| SANRIO | COM | 6776349 | 2 | 200 | SH | DEFINED | 01 | 1 |
| SANTOS LIMIT | COM | 6776703 | 4 | 1191 | SH | DEFINED | 01 | 1 |
| SANYO ELECTR | COM | 6776769 | 23 | 5000 | SH | DEFINED | 01 | 1 |
| SAPPORO BREW | COM | 6776907 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| LUKOIL HOLDING ADR | COM | 677862104 | 96 | 1689 | SH | DEFINED | 01 | |
| OJI PAPER | COM | 678118993 | 834 | 176000 | SH | DEFINED | 01 | |
| FIRST CAPITAL | COM | 6786735 | 1 | 1000 | SH | DEFINED | 01 | 1 |
| SECOM CO | COM | 6791591 | 22 | 500 | SH | DEFINED | 01 | 1 |
| SEGA CORPORATION | COM | 6791955 | 5 | 300 | SH | DEFINED | 01 | 1 |
| SEIYU | COM | 6793780 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| SEKISUI CHEM | COM | 6793821 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| SEKISUI HOUS | COM | 6793906 | 14 | 2000 | SH | DEFINED | 01 | 1 |
| SEVEN ELEVEN | COM | 6797179 | 31 | 1000 | SH | DEFINED | 01 | 1 |
| OLD NATL BANCORP | COM | 680033107 | 6 | 260 | SH | DEFINED | 01 | |
| SHARP CORP | COM | 6800602 | 40 | 3000 | SH | DEFINED | 01 | 1 |
| OLD REPUBLIC INTL | COM | 680223104 | 1513 | 47346 | SH | DEFINED | 01 | |
| SHIMAMURA CO | COM | 6804035 | 7 | 100 | SH | DEFINED | 01 | 1 |
| 77TH BANK | COM | 6804165 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| SHIMIZU CORP | COM | 6804400 | 7 | 2000 | SH | DEFINED | 01 | 1 |
| SHIMACHU | COM | 6804455 | 1 | 100 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|---------------------------|-----|-----------|-------|---------|----|---------|----|---|
| SHIN-ETSU | COM | 6804585 | 51 | 1200 | SH | DEFINED | 01 | 1 |
| SHIONOGI & C | COM | 6804682 | 16 | 1000 | SH | DEFINED | 01 | 1 |
| SHIMANO INC | COM | 6804820 | 4 | 300 | SH | DEFINED | 01 | 1 |
| SHISEIDO CO | COM | 6805265 | 10 | 1000 | SH | DEFINED | 01 | 1 |
| SHIZUOKA BAN | COM | 6805328 | 13 | 2000 | SH | DEFINED | 01 | 1 |
| SHOWA DENKO | COM | 6805469 | 3 | 2000 | SH | DEFINED | 01 | 1 |
| SHOWA SHELL | COM | 6805544 | 6 | 1000 | SH | DEFINED | 01 | 1 |
| OLIN | COM | 680665205 | 29 | 1542 | SH | DEFINED | 01 | 1 |
| SINO LAND CO | COM | 6810429 | 3 | 8099 | SH | DEFINED | 01 | 1 |
| SINGAPORE | COM | 6810753 | 13 | 15000 | SH | DEFINED | 01 | 1 |
| PARKWAY HOLDINGS | COM | 6811143 | 1 | 2000 | SH | DEFINED | 01 | 1 |
| SINGAPORE AIRLINES | COM | 6811734 | 23 | 3000 | SH | DEFINED | 01 | 1 |
| SNOW BRAND M | COM | 6818401 | 1 | 1000 | SH | DEFINED | 01 | 1 |
| OMNICARE | COM | 681904108 | 52 | 2000 | SH | DEFINED | 01 | 1 |
| OMNICARE | COM | 681904108 | 84 | 3257 | SH | DEFINED | 01 | 1 |
| OMNICOM GROUP | COM | 681919106 | 63213 | 669628 | SH | DEFINED | 01 | 1 |
| OMNICOM GROUP | COM | 681919106 | 250 | 2650 | SH | DEFINED | 01 | 0 |
| OMNICOM GROUP | COM | 681919106 | 4445 | 47091 | SH | DEFINED | 01 | 0 |
| OMNICOM GROUP | COM | 681919106 | 1156 | 12243 | SH | DEFINED | 01 | 0 |
| OMNOVA SOLUTIONS W/I | COM | 682129101 | 1215 | 146384 | SH | DEFINED | 01 | 1 |
| SONY CORP | COM | 6821506 | 135 | 2600 | SH | DEFINED | 01 | 1 |
| ON ASSIGNMENT INC | COM | 682159108 | 1229 | 68686 | SH | DEFINED | 01 | 1 |
| ON COMMAND CORP | COM | 682160106 | 1 | 113 | SH | DEFINED | 01 | 1 |
| ON SEMICONDUCTOR CORP | COM | 682189105 | 1 | 233 | SH | DEFINED | 01 | 1 |
| SCMP GROUP LTD | COM | 6824657 | 2 | 4000 | SH | DEFINED | 01 | 1 |
| ONEOK | COM | 682680103 | 21 | 1000 | SH | DEFINED | 01 | 1 |
| ONEOK | COM | 682680103 | 2970 | 142428 | SH | DEFINED | 01 | 1 |
| ONEOK | COM | 682680103 | 315 | 15100 | SH | DEFINED | 01 | 0 |
| ONI SYSTEMS CORP | COM | 68273F103 | 7 | 1071 | SH | DEFINED | 01 | 1 |
| ONYX SOFTWARE CP | COM | 683402101 | 0 | 56 | SH | DEFINED | 01 | 1 |
| VIMPEL COMMUNICATIONS ADR | COM | 68370R109 | 2902 | 90184 | SH | DEFINED | 01 | 1 |
| VIMPEL COMMUNICATIONS ADR | COM | 68370R109 | 84 | 2610 | SH | DEFINED | 01 | 0 |
| OPENWAVE SYSTEMS | COM | 683718100 | 26 | 4100 | SH | DEFINED | 01 | 1 |
| OPENWAVE SYSTEMS | COM | 683718100 | 8 | 1285 | SH | DEFINED | 01 | 1 |
| OPG GROEP | COM | 683735997 | 454 | 11580 | SH | DEFINED | 01 | 1 |
| OPNET TECHNOLOGIES | COM | 683757108 | 648 | 68000 | SH | DEFINED | 01 | 1 |
| OPLINK COMM INC | COM | 68375Q106 | 1 | 362 | SH | DEFINED | 01 | 1 |
| OPTICAL CABLE CORP | COM | 683827109 | 0 | 138 | SH | DEFINED | 01 | 1 |
| OPTICAL COMM PROD | COM | 68382T101 | 98 | 35000 | SH | DEFINED | 01 | 1 |
| ORACLE | COM | 68389X105 | 39884 | 3115996 | SH | DEFINED | 01 | 1 |
| ORACLE | COM | 68389X105 | 18775 | 1466787 | SH | DEFINED | 01 | 0 |
| ORACLE | COM | 68389X105 | 2258 | 176387 | SH | DEFINED | 01 | 0 |
| ORACLE | COM | 68389X105 | 2295 | 179300 | SH | DEFINED | 11 | 1 |
| ORACLE | COM | 68389X105 | 14815 | 1157400 | SH | DEFINED | 11 | 1 |
| ORACLE CORP COM | COM | 68389X105 | 10288 | 803788 | SH | DEFINED | 01 | 1 |
| ORACLE CORP COM | COM | 68389X105 | 31093 | 2429106 | SH | DEFINED | 01 | 0 |
| ORACLE INC. | COM | 68389X105 | 46 | 3600 | SH | DEFINED | 11 | 1 |
| OPTION CARE INC | COM | 683948103 | 3 | 210 | SH | DEFINED | 01 | 0 |
| STOCKLAND TRUST | COM | 6850856 | 2 | 832 | SH | DEFINED | 01 | 1 |
| ORANGE | COM | 685444994 | 19 | 2800 | SH | DEFINED | 01 | 1 |
| ORANGE | COM | 685444994 | 2421 | 355315 | SH | DEFINED | 01 | 0 |
| ORBITAL SCIENCES COR | COM | 685564106 | 6 | 1150 | SH | DEFINED | 01 | 0 |
| ORBITAL SCIENCES COR ORB | COM | 685564106 | 1802 | 344000 | SH | DEFINED | 01 | 1 |
| ORBITAL SCIENCES COR ORB | COM | 685564106 | 149 | 28400 | SH | DEFINED | 01 | 0 |
| ORBITAL SCIENCES WTS | COM | 685564130 | 13 | 5220 | SH | DEFINED | 01 | 1 |
| ORCHID BIOSCIENCE | COM | 68571P100 | 0 | 133 | SH | DEFINED | 01 | 1 |
| SUMITOMO MITSUI BANK CORP | COM | 6858526 | 54 | 13000 | SH | DEFINED | 01 | 1 |
| SUMITOMO OSAKA CEMENT | COM | 6858548 | 1 | 1000 | SH | DEFINED | 01 | 1 |
| SUMITOMO CHE | COM | 6858560 | 15 | 4000 | SH | DEFINED | 01 | 1 |
| SUMITOMO ELE | COM | 6858708 | 14 | 2000 | SH | DEFINED | 01 | 1 |
| SUMITOMO HEA | COM | 6858731 | 1 | 1000 | SH | DEFINED | 01 | 1 |
| SUMITOMO MET | COM | 6858827 | 3 | 8000 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|---|
| SUMITOMO MET | COM | 6858849 | 8 | 2000 | SH | DEFINED | 01 | 1 |
| SUMITOMO RLTY+DEV | COM | 6858902 | 5 | 1000 | SH | DEFINED | 01 | 1 |
| SUMITOMO COR | COM | 6858946 | 17 | 3000 | SH | DEFINED | 01 | 1 |
| SUMITOMO | COM | 6859002 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| ORGANOGENESIS | COM | 685906109 | 33 | 23600 | SH | DEFINED | 01 | 1 |
| SUN HUNG KAI | COM | 6859927 | 46 | 6000 | SH | DEFINED | 01 | 1 |
| OREGON STEEL MILLS | COM | 686079104 | 874 | 115000 | SH | DEFINED | 01 | 1 |
| O REILLY AUTOMOTIVE | COM | 686091109 | 5981 | 189468 | SH | DEFINED | 01 | 1 |
| ORIENTAL LAND CO LTD | COM | 686223991 | 1336 | 21400 | SH | DEFINED | 01 | 0 |
| ORIX | COM | 686475997 | 12434 | 165800 | SH | DEFINED | 01 | 1 |
| ORIX | COM | 686475997 | 3405 | 45400 | SH | DEFINED | 01 | 0 |
| ORIX | COM | 686475997 | 13027 | 173700 | SH | DEFINED | 01 | 0 |
| ORKLA ASA | COM | 686480997 | 270 | 14950 | SH | DEFINED | 01 | 1 |
| SWIRE PACIFI | COM | 6867748 | 22 | 4000 | SH | DEFINED | 01 | 1 |
| TDK CORP | COM | 6869302 | 11 | 200 | SH | DEFINED | 01 | 1 |
| TAISEI CORP | COM | 6870100 | 4 | 2000 | SH | DEFINED | 01 | 1 |
| MITSUI | COM | 6870122 | 20 | 4180 | SH | DEFINED | 01 | 1 |
| TAISHO PHARM | COM | 6870144 | 15 | 1000 | SH | DEFINED | 01 | 1 |
| TAKARA SMUZO | COM | 6870382 | 8 | 1000 | SH | DEFINED | 01 | 1 |
| TAKASHIMAYA | COM | 6870401 | 5 | 1000 | SH | DEFINED | 01 | 1 |
| TAKEDA CHEM | COM | 6870445 | 81 | 2000 | SH | DEFINED | 01 | 1 |
| ADVANTEST | COM | 6870490 | 23 | 300 | SH | DEFINED | 01 | 1 |
| TABCORP HLDG | COM | 6873262 | 4 | 649 | SH | DEFINED | 01 | 1 |
| ORTHODONTIC CENTERS OF AMERICA | COM | 68750P103 | 5205 | 188544 | SH | DEFINED | 01 | 1 |
| TAKEFUJI COR | COM | 6876067 | 25 | 400 | SH | DEFINED | 01 | 1 |
| TEIJIN | COM | 6880507 | 7 | 2000 | SH | DEFINED | 01 | 1 |
| TEIKOKU OIL | COM | 6880905 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| TELECOM CORP | COM | 6881436 | 6 | 2984 | SH | DEFINED | 01 | 1 |
| TELEVISION | COM | 6881674 | 5 | 1000 | SH | DEFINED | 01 | 1 |
| OSHKOSH B-GOSH CLA | COM | 688222207 | 1574 | 36721 | SH | DEFINED | 01 | 1 |
| OSHKOSH TRUCK CORP | COM | 688239201 | 2920 | 51310 | SH | DEFINED | 01 | 1 |
| TERUMO CORP | COM | 6885074 | 7 | 500 | SH | DEFINED | 01 | 1 |
| OSTEOTECH INC | COM | 688582105 | 289 | 43190 | SH | DEFINED | 01 | 1 |
| NEWS CORPORA | COM | 6886925 | 37 | 5292 | SH | DEFINED | 01 | 1 |
| TODA CORP | COM | 6893884 | 2 | 1000 | SH | DEFINED | 01 | 1 |
| TOKIO MARINE | COM | 6894768 | 28 | 4000 | SH | DEFINED | 01 | 1 |
| TOBU RAILWAY | COM | 6895169 | 5 | 2000 | SH | DEFINED | 01 | 1 |
| TOHOKU ELEC | COM | 6895266 | 16 | 1300 | SH | DEFINED | 01 | 1 |
| TOKYO ELEC P | COM | 6895404 | 72 | 3800 | SH | DEFINED | 01 | 1 |
| TOKYO GAS CO | COM | 6895448 | 17 | 7000 | SH | DEFINED | 01 | 1 |
| TOKYO ELECTRON LTD | COM | 6895675 | 35 | 500 | SH | DEFINED | 01 | 1 |
| TOKYU CORP | COM | 6896548 | 9 | 3000 | SH | DEFINED | 01 | 1 |
| TOPPAN PRINT | COM | 6897024 | 19 | 2000 | SH | DEFINED | 01 | 1 |
| TORAY INDS I | COM | 6897143 | 11 | 4000 | SH | DEFINED | 01 | 1 |
| TOSHIBA CORP | COM | 6897217 | 34 | 8000 | SH | DEFINED | 01 | 1 |
| TOTO | COM | 6897466 | 4 | 1000 | SH | DEFINED | 01 | 1 |
| OUTBACK STEAKHOUSE | COM | 689899102 | 94 | 2629 | SH | DEFINED | 01 | 1 |
| OUTBACK STEAKHOUSE | COM | 689899102 | 147 | 4100 | SH | DEFINED | 01 | 0 |
| OUTBACK STEAKHOUSE | COM | 689899102 | 182 | 5100 | SH | DEFINED | 01 | 0 |
| TOSTEM CORP. | COM | 6900212 | 13 | 1000 | SH | DEFINED | 01 | 1 |
| TOYO SEIKAN KAISHA | COM | 6900267 | 12 | 1000 | SH | DEFINED | 01 | 1 |
| TOSOH CORP | COM | 6900289 | 3 | 1000 | SH | DEFINED | 01 | 1 |
| TOYOBO CO | COM | 6900502 | 3 | 2000 | SH | DEFINED | 01 | 1 |
| TOYOTA MOTOR | COM | 6900643 | 245 | 8500 | SH | DEFINED | 01 | 1 |
| TRANS COSMOS | COM | 6900955 | 3 | 100 | SH | DEFINED | 01 | 1 |
| OVERSEAS SHIPBUILDING GROUP | COM | 690368105 | 31 | 1272 | SH | DEFINED | 01 | 1 |
| OVERTURE SERVICES INC | COM | 69039R100 | 430 | 15376 | SH | DEFINED | 01 | 1 |
| OWENS & MINOR | COM | 690732102 | 2041 | 103913 | SH | DEFINED | 01 | 1 |
| OWENS & MINOR INC | COM | 690732102 | 4 | 180 | SH | DEFINED | 01 | 0 |
| OWENS-CORNING | COM | 69073F103 | 0 | 102 | SH | DEFINED | 01 | 1 |
| OWENS ILLINOIS INC | COM | 690768403 | 7913 | 465499 | SH | DEFINED | 01 | 1 |
| OWENS ILLINOIS INC | COM | 690768403 | 20 | 1160 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| OWENS ILLINOIS INC | COM | 690768403 | 475 | 27900 | SH | DEFINED | 01 | 0 |
| UBE INDUSTRI | COM | 6910705 | 2 | 2000 | SH | DEFINED | 01 | 1 |
| UNI-CHARM CORP. | COM | 6911485 | 5 | 200 | SH | DEFINED | 01 | 1 |
| OXFORD HEALTH PLANS | COM | 691471106 | 4 | 100 | SH | DEFINED | 01 | |
| OXFORD HEALTH PLANS | COM | 691471106 | 9068 | 216979 | SH | DEFINED | 01 | |
| OXFORD HEALTH PLANS | COM | 691471106 | 12856 | 307644 | SH | DEFINED | 01 | 0 |
| OXFORD INDUSTRIES | COM | 691497309 | 609 | 23054 | SH | DEFINED | 01 | |
| UNITED O/SEAS BK (LOCAL SHR'S) | COM | 6916781 | 26 | 3112 | SH | DEFINED | 01 | 1 |
| UNITED OVERSEAS LAND | COM | 6916844 | 1 | 1000 | SH | DEFINED | 01 | 1 |
| UNY CO., LTD. | COM | 6918624 | 9 | 1000 | SH | DEFINED | 01 | 1 |
| VENTURE MFG | COM | 6927374 | 10 | 1000 | SH | DEFINED | 01 | 1 |
| P.A.M. TRANSPORT SVC | COM | 693149106 | 506 | 20000 | SH | DEFINED | 01 | |
| P.A.M. TRANSPORT SVC | COM | 693149106 | 48 | 1900 | SH | DEFINED | 01 | 0 |
| PC-TEL | COM | 69325Q105 | 3897 | 450555 | SH | DEFINED | 01 | |
| PC-TEL | COM | 69325Q105 | 242 | 28000 | SH | DEFINED | 01 | 0 |
| P-COM INC | COM | 693262107 | 0 | 209 | SH | DEFINED | 01 | |
| PDF SOLUTIONS INC | COM | 693282105 | 2 | 100 | SH | DEFINED | 01 | 0 |
| PDI INC | COM | 69329V100 | 1 | 39 | SH | DEFINED | 01 | |
| PG&E | COM | 69331C108 | 2949 | 125158 | SH | DEFINED | 01 | |
| PG&E | COM | 69331C108 | 2549 | 108211 | SH | DEFINED | 01 | 0 |
| PG&E | COM | 69331C108 | 173 | 7327 | SH | DEFINED | 01 | 0 |
| PFF BANCORP INC | COM | 69331W104 | 7 | 210 | SH | DEFINED | 01 | 0 |
| PT GUDANG GARAM TBK | COM | 693333999 | 2541 | 2290000 | SH | DEFINED | 01 | |
| PT GUDANG GARAM TBK | COM | 693333999 | 80 | 72000 | SH | DEFINED | 01 | 0 |
| P.F. CHANGS CHINA B | COM | 69333Y108 | 61580 | 924210 | SH | DEFINED | 01 | 0 |
| P.F. CHANG'S CHINA BISTRO | COM | 69333Y108 | 5317 | 79800 | SH | DEFINED | 01 | 0 |
| P.F. CHANG'S CHINA BISTRO | COM | 69333Y108 | 1319 | 19800 | SH | DEFINED | 01 | 0 |
| P.F. CHANG'S CHINA BISTRO | COM | 69333Y108 | 3651 | 54800 | SH | DEFINED | 01 | 0 |
| PIMCO TOTAL RETURN FUND | COM | 693390726 | 5731 | 550550 | SH | DEFINED | 01 | 0 |
| PMA CAPITAL CORP A | COM | 693419202 | 4684 | 204200 | SH | DEFINED | 01 | |
| PMA CAPITAL CORP A | COM | 693419202 | 367 | 16000 | SH | DEFINED | 01 | 0 |
| PMC-SIERRA | COM | 69344F106 | 316 | 19404 | SH | DEFINED | 01 | |
| PMC-SIERRA | COM | 69344F106 | 739 | 45391 | SH | DEFINED | 01 | 0 |
| PMC-SIERRA | COM | 69344F106 | 24 | 1501 | SH | DEFINED | 01 | 0 |
| PMC-SIERRA INC | COM | 69344F106 | 7393 | 454173 | SH | DEFINED | 01 | 0 |
| PMI GROUP | COM | 69344M101 | 10146 | 133908 | SH | DEFINED | 01 | 0 |
| PMI GROUP | COM | 69344M101 | 606 | 8000 | SH | DEFINED | 01 | 0 |
| PMI GROUP | COM | 69344M101 | 227 | 3000 | SH | DEFINED | 01 | 0 |
| PNC FINANCIAL SERVICES GROUP | COM | 693475105 | 20117 | 327161 | SH | DEFINED | 01 | |
| PNC FINANCIAL SERVICES GROUP | COM | 693475105 | 4488 | 72984 | SH | DEFINED | 01 | 0 |
| PNC FINANCIAL SERVICES GROUP | COM | 693475105 | 32443 | 527619 | SH | DEFINED | 01 | 0 |
| PNM RESOURCES | COM | 69349H107 | 5429 | 177088 | SH | DEFINED | 01 | |
| PNM RESOURCES | COM | 69349H107 | 248 | 8100 | SH | DEFINED | 01 | 0 |
| PNM RESOURCES INC | COM | 69349H107 | 18 | 575 | SH | DEFINED | 01 | 0 |
| PPG INDS | COM | 693506107 | 327 | 5950 | SH | DEFINED | 01 | |
| PPG INDS | COM | 693506107 | 1494 | 27210 | SH | DEFINED | 01 | 0 |
| PPG INDS | COM | 693506107 | 2349 | 42779 | SH | DEFINED | 01 | 0 |
| PPG INDS | COM | 693506107 | 84 | 1535 | SH | DEFINED | 01 | 0 |
| PPG INDUSTRIES INC | COM | 693506107 | 2448 | 44601 | SH | DEFINED | 01 | 0 |
| PPL | COM | 69351T106 | 24305 | 613597 | SH | DEFINED | 01 | |
| PPL | COM | 69351T106 | 1471 | 37147 | SH | DEFINED | 01 | 0 |
| PPL | COM | 69351T106 | 53 | 1333 | SH | DEFINED | 01 | 0 |
| PRG-SCHULTZ INTERNATIONAL INC | COM | 69357C107 | 2743 | 195217 | SH | DEFINED | 01 | |
| PRI AUTOMATION INC | COM | 69357H106 | 1 | 56 | SH | DEFINED | 01 | |
| PS BUSINESS PARKS | COM | 69360J107 | 3 | 88 | SH | DEFINED | 01 | |
| PSS WORLD MEDICAL | COM | 69366A100 | 3 | 296 | SH | DEFINED | 01 | |
| PSS WORLD MEDICAL | COM | 69366A100 | 14 | 1360 | SH | DEFINED | 01 | 0 |
| PACCAR | COM | 693718108 | 308 | 4207 | SH | DEFINED | 01 | |
| PACCAR | COM | 693718108 | 1424 | 19453 | SH | DEFINED | 01 | 0 |
| PACCAR | COM | 693718108 | 51 | 697 | SH | DEFINED | 01 | 0 |
| PAC-WEST TELECOMM | COM | 69371Y101 | 0 | 132 | SH | DEFINED | 01 | |
| THE WAREHOUSE GROUP LIMITED | COM | 6939625 | 2 | 474 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|
| PACIFIC CAP BANCORP | COM | 69404P101 | 2 | 60 | SH | DEFINED | 01 |
| PACIFIC CENTURY FINANCIAL | COM | 694058108 | 69 | 2650 | SH | DEFINED | 01 |
| PACIFIC SUNWEAR | COM | 694873100 | 66 | 2700 | SH | DEFINED | 01 |
| PACIFIC SUNWEAR | COM | 694873100 | 5134 | 208694 | SH | DEFINED | 01 |
| PACIFIC SUNWEAR | COM | 694873100 | 3 | 140 | SH | DEFINED | 01 |
| PACIFIC SUNWEAR | COM | 694873100 | 155 | 6300 | SH | DEFINED | 01 |
| WESFARMERS | COM | 6948836 | 13 | 752 | SH | DEFINED | 01 |
| PACIFICARE HEALTH SYSTEMS | COM | 695112102 | 473 | 27100 | SH | DEFINED | 01 |
| PACIFICARE HEALTH SYSTEMS | COM | 695112102 | 23319 | 1336317 | SH | DEFINED | 01 |
| PACIFICARE HEALTH SYSTEMS | COM | 695112102 | 2220 | 127225 | SH | DEFINED | 01 |
| PACKAGING CORP OF AMERICA | COM | 695156109 | 632 | 31904 | SH | DEFINED | 01 |
| PACKETER | COM | 695210104 | 0 | 63 | SH | DEFINED | 01 |
| PACTIV | COM | 695257105 | 5884 | 293921 | SH | DEFINED | 01 |
| PACTIV | COM | 695257105 | 808 | 40373 | SH | DEFINED | 01 |
| PACTIV | COM | 695257105 | 213 | 10648 | SH | DEFINED | 01 |
| WMC | COM | 6954985 | 13 | 2441 | SH | DEFINED | 01 |
| WESTFIELD TR | COM | 6956312 | 6 | 3643 | SH | DEFINED | 01 |
| WEST JAPAN RAILWAY | COM | 6957995 | 4 | 1 | SH | DEFINED | 01 |
| PALL | COM | 696429307 | 60 | 2926 | SH | DEFINED | 01 |
| PALL | COM | 696429307 | 634 | 30959 | SH | DEFINED | 01 |
| PALL | COM | 696429307 | 23 | 1110 | SH | DEFINED | 01 |
| PALM | COM | 696642107 | 44 | 11000 | SH | DEFINED | 01 |
| PALM | COM | 696642107 | 59 | 14612 | SH | DEFINED | 01 |
| PALM | COM | 696642107 | 584 | 146348 | SH | DEFINED | 01 |
| PALM | COM | 696642107 | 21 | 5251 | SH | DEFINED | 01 |
| WING TAI HLDGS | COM | 6972385 | 1 | 2000 | SH | DEFINED | 01 |
| WORLD CO | COM | 6977971 | 4 | 150 | SH | DEFINED | 01 |
| PANAMSAT CORP | COM | 697933109 | 1815 | 79448 | SH | DEFINED | 01 |
| PAN PACIFIC RETAIL PROPERTIES | COM | 69806L104 | 1691 | 55300 | SH | DEFINED | 01 |
| PAN PACIFIC RETAIL PROPERTIES | COM | 69806L104 | 159 | 5200 | SH | DEFINED | 01 |
| WOOLWORTHS L | COM | 6981239 | 17 | 2579 | SH | DEFINED | 01 |
| PANERA BREAD CL A | COM | 69840W108 | 4605 | 72279 | SH | DEFINED | 01 |
| PANERA BREAD CL A | COM | 69840W108 | 331 | 5200 | SH | DEFINED | 01 |
| PANERA BREAD CL A | COM | 69840W108 | 147 | 2300 | SH | DEFINED | 01 |
| PANERA BREAD CO | COM | 69840W108 | 16604 | 260652 | SH | DEFINED | 01 |
| YAMANOUCHI P | COM | 6985383 | 25 | 1000 | SH | DEFINED | 01 |
| YAMAZAKI BAKING | COM | 6985509 | 5 | 1000 | SH | DEFINED | 01 |
| YAMATO TRANS | COM | 6985565 | 15 | 1000 | SH | DEFINED | 01 |
| YASUDA | COM | 6986063 | 5 | 1000 | SH | DEFINED | 01 |
| YOKOGAWA ELE | COM | 6986427 | 8 | 1000 | SH | DEFINED | 01 |
| BK OF YOKOHA | COM | 6986449 | 10 | 3000 | SH | DEFINED | 01 |
| PAPA JOHN'S INTL | COM | 698813102 | 997 | 35770 | SH | DEFINED | 01 |
| PARADYNE NETWORKS | COM | 69911G107 | 0 | 69 | SH | DEFINED | 01 |
| PARAMETRIC TECHNOLOGY | COM | 699173100 | 43 | 7084 | SH | DEFINED | 01 |
| PARAMETRIC TECHNOLOGY | COM | 699173100 | 398 | 65880 | SH | DEFINED | 01 |
| PARAMETRIC TECHNOLOGY | COM | 699173100 | 14 | 2364 | SH | DEFINED | 01 |
| PAREXEL | COM | 699462107 | 1229 | 76642 | SH | DEFINED | 01 |
| PARGESA HOLDING AG | COM | 699470993 | 325 | 149 | SH | DEFINED | 01 |
| PARK ELECTROCHEMICAL | COM | 700416209 | 7478 | 257849 | SH | DEFINED | 01 |
| PARK ELECTROCHEMICAL | COM | 700416209 | 10 | 330 | SH | DEFINED | 01 |
| PARK ELECTROCHEMICAL | COM | 700416209 | 529 | 18251 | SH | DEFINED | 01 |
| PARK NATIONAL CORP | COM | 700658107 | 6 | 57 | SH | DEFINED | 01 |
| PARK PLACE ENT COR | COM | 700690100 | 4217 | 399770 | SH | DEFINED | 01 |
| PARK PLACE ENT COR | COM | 700690100 | 63 | 6000 | SH | DEFINED | 01 |
| PARK PLACE ENT COR | COM | 700690100 | 6685 | 633600 | SH | DEFINED | 01 |
| PARKER DRILLING | COM | 701081101 | 7 | 1470 | SH | DEFINED | 01 |
| PARKER-HANNIFIN | COM | 701094104 | 65941 | 1321475 | SH | DEFINED | 01 |
| PARKER-HANNIFIN | COM | 701094104 | 752 | 15070 | SH | DEFINED | 01 |
| PARKER-HANNIFIN | COM | 701094104 | 2434 | 48784 | SH | DEFINED | 01 |
| PARKER-HANNIFIN | COM | 701094104 | 6725 | 134781 | SH | DEFINED | 01 |
| PARMALAT FINANZIARIA | COM | 701799991 | 1479 | 460800 | SH | DEFINED | 01 |
| PORTUCEL EMPRESA PRODUCTORA | COM | 7018556 | 1 | 965 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| BAYERISCHE HYPO VEREINSBANK | COM | 7023011 | 15 | 410 | SH | DEFINED | 01 | 1 |
| PATINA OIL AND GAS | COM | 703224105 | 2095 | 66461 | SH | DEFINED | 01 | |
| PATINA OIL AND GAS | COM | 703224105 | 3 | 90 | SH | DEFINED | 01 | 0 |
| PATHMARK STORES | COM | 70322A101 | 1197 | 49948 | SH | DEFINED | 01 | |
| PATHMARK STORES | COM | 70322A101 | 108 | 4526 | SH | DEFINED | 01 | 0 |
| PATTERSON DENTAL | COM | 703412106 | 101 | 2325 | SH | DEFINED | 01 | |
| PATTERSON-UTI ENERGY | COM | 703481101 | 5081 | 170866 | SH | DEFINED | 01 | |
| PATTERSON-UTI ENERGY | COM | 703481101 | 192 | 6450 | SH | DEFINED | 01 | 0 |
| PAXONET COMMUNICATIONS | PRF | 704200XX7 | 1364 | 967447 | SH | DEFINED | 01 | |
| PAXONET COMMUNICATIONS | PRF | 704200XX7 | 1300 | 921985 | SH | DEFINED | 01 | 0 |
| PAXAR CORPORATION | COM | 704227107 | 2165 | 128472 | SH | DEFINED | 01 | |
| PAXAR CORPORATION | COM | 704227107 | 10 | 580 | SH | DEFINED | 01 | 0 |
| PAXSON COMMUNICATION | COM | 704231109 | 88 | 8000 | SH | DEFINED | 01 | |
| PAXSON COMMUNICATION | COM | 704231109 | 2 | 168 | SH | DEFINED | 01 | |
| PAXSON COMMUNICATION | PRF | 704231505 | 7 | 8 | SH | DEFINED | 01 | 0 |
| PAYCHEX | COM | 704326107 | 58188 | 1465702 | SH | DEFINED | 01 | |
| PAYCHEX | COM | 704326107 | 11807 | 297406 | SH | DEFINED | 01 | 0 |
| PAYCHEX | COM | 704326107 | 21188 | 533715 | SH | DEFINED | 01 | 0 |
| PAYCHEX INC | COM | 704326107 | 136624 | 3441179 | SH | DEFINED | 01 | 0 |
| PAYLESS SHOESOURCE | COM | 704379106 | 48 | 786 | SH | DEFINED | 01 | |
| PEABODY ENERGY CORP | COM | 704549104 | 7 | 247 | SH | DEFINED | 01 | |
| PEARSON PLC | COM | 705010999 | 627 | 48798 | SH | DEFINED | 01 | |
| PEC SOLUTIONS | COM | 705107100 | 413 | 16800 | SH | DEFINED | 01 | |
| PECO II | COM | 705221109 | 2298 | 461400 | SH | DEFINED | 01 | |
| PECO II | COM | 705221109 | 202 | 40500 | SH | DEFINED | 01 | 0 |
| PEDIATRIX | COM | 705324101 | 13 | 320 | SH | DEFINED | 01 | 0 |
| PEDIATRIX MEDICAL GROUP | COM | 705324101 | 6196 | 152031 | SH | DEFINED | 01 | |
| PEDIATRIX MEDICAL GROUP | COM | 705324101 | 208 | 5100 | SH | DEFINED | 01 | 0 |
| PEGASUS SATELLITE SERIES B PIK | PRF | 70558A502 | 10262 | 18616 | SH | DEFINED | 01 | |
| PEGASUS SATELLITE SERIES B PIK | PRF | 70558A502 | 3113 | 5646 | SH | DEFINED | 01 | 0 |
| PEGASUS COMM CORP | COM | 705904100 | 19 | 6400 | SH | DEFINED | 01 | |
| PEGASUS COMM CORP | COM | 705904100 | 1 | 337 | SH | DEFINED | 01 | |
| PEGASUS SOLUTIONS | COM | 705906105 | 3 | 180 | SH | DEFINED | 01 | 0 |
| PEGASUS SOLUTIONS INC | COM | 705906105 | 3950 | 213527 | SH | DEFINED | 01 | |
| PEGASUS SOLUTIONS INC | COM | 705906105 | 279 | 15100 | SH | DEFINED | 01 | 0 |
| SODEXHO ALLI | COM | 7062713 | 14 | 340 | SH | DEFINED | 01 | 1 |
| PEMSTAR | COM | 706552106 | 398 | 41500 | SH | DEFINED | 01 | |
| PENAUILLÉ POLYSERVICES | COM | 706589991 | 133 | 4000 | SH | DEFINED | 01 | |
| NORSKE | COM | 7070396 | 5 | 250 | SH | DEFINED | 01 | 1 |
| PENFORD CORP | COM | 707051108 | 372 | 23128 | SH | DEFINED | 01 | |
| PENN NATIONAL GAMING | COM | 707569109 | 3 | 80 | SH | DEFINED | 01 | 0 |
| PENN NATL GAMING | COM | 707569109 | 911 | 26000 | SH | DEFINED | 01 | |
| PENN NATL GAMING | COM | 707569109 | 84 | 2400 | SH | DEFINED | 01 | 0 |
| UNIBAIL SA | COM | 7076242 | 5 | 102 | SH | DEFINED | 01 | 1 |
| NOVO-NORDISK | COM | 7077524 | 40 | 1000 | SH | DEFINED | 01 | 1 |
| PENNEY (JC) | COM | 708160106 | 8637 | 417088 | SH | DEFINED | 01 | |
| PENNEY (JC) | COM | 708160106 | 2000 | 96562 | SH | DEFINED | 01 | 0 |
| PENNEY (JC) | COM | 708160106 | 284 | 13699 | SH | DEFINED | 01 | 0 |
| PENNFED FINL SVCS | COM | 708167101 | 10 | 370 | SH | DEFINED | 01 | 0 |
| AXA | COM | 7088429 | 97 | 4280 | SH | DEFINED | 01 | 1 |
| PENNZOIL-QUAKER STATE | COM | 709323109 | 107 | 5000 | SH | DEFINED | 01 | |
| PENNZOIL-QUAKER STATE | COM | 709323109 | 7474 | 348130 | SH | DEFINED | 01 | |
| PENNZOIL-QUAKER STATE | COM | 709323109 | 281 | 13100 | SH | DEFINED | 01 | 0 |
| PENTAIR | COM | 709631105 | 6554 | 145761 | SH | DEFINED | 01 | |
| PENTAIR | COM | 709631105 | 215 | 4782 | SH | DEFINED | 01 | 0 |
| PENTON MEDIA INC | COM | 709668107 | 740 | 97971 | SH | DEFINED | 01 | |
| GPE BRUXELLE | COM | 7097328 | 18 | 330 | SH | DEFINED | 01 | 1 |
| PORSCHE AG-PFD | COM | 7101069 | 5 | 12 | SH | DEFINED | 01 | 1 |
| PEOPLES BANK | COM | 710198102 | 10 | 393 | SH | DEFINED | 01 | |
| NOVARTIS AG | COM | 7103065 | 349 | 8883 | SH | DEFINED | 01 | 1 |
| PEUGEOT SA | COM | 7103526 | 30 | 617 | SH | DEFINED | 01 | 1 |
| NKT HOLDING A/S | COM | 7106354 | 1 | 100 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| HEINEKEN NV | COM | 7108048 | 35 | 865 | SH | DEFINED | 01 | 1 |
| ABB LTD | COM | 7108899 | 29 | 3640 | SH | DEFINED | 01 | 1 |
| ROCHE HOLDING AG-BEARER | COM | 7108918 | 34 | 357 | SH | DEFINED | 01 | 1 |
| PEOPLES ENERGY | COM | 711030106 | 39 | 995 | SH | DEFINED | 01 | 1 |
| PEOPLES ENERGY | COM | 711030106 | 354 | 8991 | SH | DEFINED | 01 | 0 |
| PEOPLES ENERGY | COM | 711030106 | 13 | 322 | SH | DEFINED | 01 | 0 |
| ROCHE HLDGS | COM | 7110388 | 161 | 2073 | SH | DEFINED | 01 | 1 |
| ADECCO SA | COM | 7110720 | 32 | 500 | SH | DEFINED | 01 | 1 |
| HOLCIM LTD | COM | 7110764 | 23 | 100 | SH | DEFINED | 01 | 1 |
| INDITEX + | COM | 7111314 | 12 | 632 | SH | DEFINED | 01 | 1 |
| CLARIANT AG | COM | 7113990 | 5 | 211 | SH | DEFINED | 01 | 1 |
| SUEZ | COM | 7118047 | 48 | 1682 | SH | DEFINED | 01 | 1 |
| KUDELSKI SA | COM | 7121250 | 6 | 116 | SH | DEFINED | 01 | 1 |
| SUEZ LYINNAISE DES EAUX | COM | 7121391 | 28 | 1000 | SH | DEFINED | 01 | 1 |
| NESTLE SA | COM | 7123870 | 269 | 1209 | SH | DEFINED | 01 | 1 |
| UBS AG | COM | 7126114 | 198 | 4016 | SH | DEFINED | 01 | 1 |
| PEOPLE SOFT | COM | 712713106 | 39567 | 1083158 | SH | DEFINED | 01 | 1 |
| PEOPLE SOFT | COM | 712713106 | 347 | 9500 | SH | DEFINED | 01 | 0 |
| PEOPLE SOFT | COM | 712713106 | 3561 | 97494 | SH | DEFINED | 01 | 0 |
| PEOPLE SOFT | COM | 712713106 | 37409 | 1024054 | SH | DEFINED | 01 | 0 |
| PARMALAT FIN | COM | 7128369 | 4 | 1300 | SH | DEFINED | 01 | 1 |
| BENETTON GRO | COM | 7128563 | 5 | 400 | SH | DEFINED | 01 | 1 |
| SAS NORGE ASA CL A | COM | 7130728 | 1 | 135 | SH | DEFINED | 01 | 1 |
| PEP BOYS | COM | 713278109 | 2624 | 157699 | SH | DEFINED | 01 | 1 |
| STATOIL ASA | COM | 7133608 | 13 | 1702 | SH | DEFINED | 01 | 1 |
| PEPSI BOTTLING GROUP | COM | 713409100 | 24606 | 951127 | SH | DEFINED | 01 | 0 |
| PEPSI BOTTLING GROUP | COM | 713409100 | 2530 | 97799 | SH | DEFINED | 01 | 0 |
| PEPSI BOTTLING GROUP | COM | 713409100 | 898 | 34694 | SH | DEFINED | 01 | 0 |
| PEPSIAMERICAS | COM | 71343P200 | 22 | 1500 | SH | DEFINED | 01 | 1 |
| PEPSIAMERICAS | COM | 71343P200 | 77 | 5302 | SH | DEFINED | 01 | 1 |
| PEPSICO | COM | 713448108 | 73 | 1400 | SH | DEFINED | 01 | 1 |
| PEPSICO | COM | 713448108 | 155395 | 3017410 | SH | DEFINED | 01 | 1 |
| PEPSICO | COM | 713448108 | 217 | 4200 | SH | DEFINED | 01 | 0 |
| PEPSICO | COM | 713448108 | 24790 | 481366 | SH | DEFINED | 01 | 0 |
| PEPSICO | COM | 713448108 | 65644 | 1274645 | SH | DEFINED | 01 | 0 |
| PEREGRINE SYSTEMS | COM | 71366Q101 | 123 | 12900 | SH | DEFINED | 01 | 0 |
| PEREGRINE SYSTEMS | COM | 71366Q101 | 4 | 422 | SH | DEFINED | 01 | 1 |
| PERFORMANCE FOOD | COM | 713755106 | 271 | 8264 | SH | DEFINED | 01 | 0 |
| PERFORMANCE FOOD GROUP | COM | 713755106 | 13098 | 401047 | SH | DEFINED | 01 | 1 |
| PERFORMANCE FOOD GROUP | COM | 713755106 | 637 | 19500 | SH | DEFINED | 01 | 0 |
| PERFORMANCE FOOD GROUP | COM | 713755106 | 391 | 11970 | SH | DEFINED | 01 | 0 |
| PERICOM SEMICONDUCTOR | COM | 713831105 | 1098 | 77679 | SH | DEFINED | 01 | 1 |
| PERKINELMER | COM | 714046109 | 57 | 3047 | SH | DEFINED | 01 | 1 |
| PERKINELMER | COM | 714046109 | 575 | 31106 | SH | DEFINED | 01 | 0 |
| PERKINELMER | COM | 714046109 | 21 | 1116 | SH | DEFINED | 01 | 0 |
| SKANSKA AB | COM | 7142091 | 9 | 1200 | SH | DEFINED | 01 | 1 |
| PERNOD-RICARD | COM | 714255999 | 96 | 1201 | SH | DEFINED | 01 | 1 |
| PEROT SYSTEMS CL A | COM | 714265105 | 608 | 30513 | SH | DEFINED | 01 | 1 |
| PEROT SYSTEMS CL A | COM | 714265105 | 52 | 2600 | SH | DEFINED | 01 | 0 |
| PERPETUAL TRUSTEES AUSTRALIA | COM | 714279999 | 183 | 7100 | SH | DEFINED | 01 | 1 |
| PERRIGO | COM | 714290103 | 2959 | 247599 | SH | DEFINED | 01 | 1 |
| PERRIGO | COM | 714290103 | 4900 | 410000 | SH | DEFINED | 01 | 0 |
| PERRIGO COMPANY | COM | 714290103 | 4 | 340 | SH | DEFINED | 01 | 0 |
| ENEL SPA | COM | 7144569 | 52 | 9251 | SH | DEFINED | 01 | 1 |
| ENI | COM | 7145056 | 152 | 10350 | SH | DEFINED | 01 | 1 |
| CEREOL | COM | 7147319 | 2 | 59 | SH | DEFINED | 01 | 1 |
| DEXIA | COM | 7147610 | 17 | 1152 | SH | DEFINED | 01 | 1 |
| RICHEMONT | COM | 7151116 | 14 | 615 | SH | DEFINED | 01 | 1 |
| LOGITECH INTL. + | COM | 7151149 | 6 | 127 | SH | DEFINED | 01 | 1 |
| ING GROEP NV | COM | 7154182 | 161 | 5928 | SH | DEFINED | 01 | 1 |
| BANCO DI ROMA | COM | 7154609 | 7 | 3000 | SH | DEFINED | 01 | 1 |
| CASTORAMA DUBOIS | COM | 7155613 | 6 | 109 | SH | DEFINED | 01 | 1 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|------|
| PERUSAHAAN OTOMOBIL NASIONAL | COM | 715655999 | 1870 | 646000 | SH | DEFINED | 01 |
| PERUSAHAAN OTOMOBIL NASIONAL | COM | 715655999 | 61 | 21000 | SH | DEFINED | 01 0 |
| PERVASIVE SOFTWARE | COM | 715710109 | 0 | 55 | SH | DEFINED | 01 |
| PETCO ANIMAL SUP INC | COM | 716016209 | 32 | 1369 | SH | DEFINED | 01 0 |
| CORP MAPFRE | COM | 7160628 | 3 | 426 | SH | DEFINED | 01 1 |
| PETROLEO BRASILEIRO | COM | 716530555 | 9585 | 363379 | SH | DEFINED | 01 |
| PETROLEO BRASILEIRO | COM | 716530555 | 238 | 9018 | SH | DEFINED | 01 0 |
| PETROLEO BRASILEIRO ADR | COM | 71654V408 | 54946 | 2075800 | SH | DEFINED | 01 |
| PETROLEO BRASILEIRO ADR | COM | 71654V408 | 858 | 32400 | SH | DEFINED | 01 0 |
| PETROLEO BRASILEIRO ADR | COM | 71654V408 | 2599 | 98162 | SH | DEFINED | 01 0 |
| PETSMART | COM | 716768106 | 7842 | 578295 | SH | DEFINED | 01 |
| PETSMART | COM | 716768106 | 12 | 910 | SH | DEFINED | 01 0 |
| PETSMART | COM | 716768106 | 430 | 31700 | SH | DEFINED | 01 0 |
| PSA PEUGEOT CITROEN | COM | 716825997 | 8220 | 166481 | SH | DEFINED | 01 |
| PSA PEUGEOT CITROEN | COM | 716825997 | 11121 | 225222 | SH | DEFINED | 01 0 |
| PSA PEUGEOT CITROEN | COM | 716826995 | 2864 | 58000 | SH | DEFINED | 01 |
| PHARMACYCLICS | COM | 716933106 | 0 | 57 | SH | DEFINED | 01 |
| PFEIFFER VACUM TECHNOLOGY AG | COM | 717070999 | 36 | 1027 | SH | DEFINED | 01 |
| PFIZER | COM | 717081103 | 22634 | 569550 | SH | DEFINED | 01 |
| PFIZER | COM | 717081103 | 976824 | 24580400 | SH | DEFINED | 01 |
| PFIZER | COM | 717081103 | 111870 | 2815044 | SH | DEFINED | 01 0 |
| PFIZER | COM | 717081103 | 68685 | 1728373 | SH | DEFINED | 01 0 |
| PFIZER | COM | 717081103 | 278474 | 7007401 | SH | DEFINED | 01 0 |
| PFIZER INC | COM | 717081103 | 3990 | 100400 | SH | DEFINED | 11 1 |
| PFIZER INC | COM | 717081103 | 36042 | 906960 | SH | DEFINED | 11 1 |
| PHARMACEUTICAL PRODUCT DEVELOP | COM | 717124101 | 7562 | 217005 | SH | DEFINED | 01 |
| PHARMACEUTICAL PRODUCT DEVELOP | COM | 717124101 | 6444 | 184900 | SH | DEFINED | 01 0 |
| PHARMACEUTICAL RESOURCES | COM | 717125108 | 3 | 158 | SH | DEFINED | 01 |
| PHARMACOPEIA | COM | 71713B104 | 1 | 63 | SH | DEFINED | 01 |
| PHARMACOPEIA | COM | 71713B104 | 1 | 100 | SH | DEFINED | 01 0 |
| PHARMACIA | COM | 71713U102 | 11 | 250 | SH | DEFINED | 01 |
| PHARMACIA | COM | 71713U102 | 110953 | 2461239 | SH | DEFINED | 01 |
| PHARMACIA | COM | 71713U102 | 15744 | 349240 | SH | DEFINED | 01 0 |
| PHARMACIA | COM | 71713U102 | 26324 | 583905 | SH | DEFINED | 01 0 |
| PHARMACIA CORP | COM | 71713U102 | 3832 | 85002 | SH | DEFINED | 01 |
| PHARMACIA CORP | COM | 71713U102 | 6671 | 147995 | SH | DEFINED | 01 0 |
| PHARMACIA CORPORATION | COM | 71713U102 | 2908 | 64500 | SH | DEFINED | 11 1 |
| PHARMACIA CORPORATION | COM | 71713U102 | 27503 | 610100 | SH | DEFINED | 11 1 |
| CREDIT SUISS | COM | 7171589 | 131 | 3450 | SH | DEFINED | 01 1 |
| PHELPS DODGE | COM | 717265102 | 358 | 8500 | SH | DEFINED | 01 |
| PHELPS DODGE | COM | 717265102 | 7024 | 166831 | SH | DEFINED | 01 |
| PHELPS DODGE | COM | 717265102 | 26339 | 625703 | SH | DEFINED | 01 0 |
| PHELPS DODGE | COM | 717265102 | 1009 | 23952 | SH | DEFINED | 01 0 |
| PHELPS DODGE | COM | 717265102 | 10134 | 240715 | SH | DEFINED | 01 0 |
| PHILADELPHIA CONS HD | COM | 717528103 | 4224 | 106128 | SH | DEFINED | 01 |
| PHILADELPHIA CONS HD | COM | 717528103 | 11 | 280 | SH | DEFINED | 01 0 |
| PHILADELPHIA CONS HD | COM | 717528103 | 179 | 4500 | SH | DEFINED | 01 0 |
| PHILADELPHIA SUBN | COM | 718009608 | 4923 | 209493 | SH | DEFINED | 01 |
| PHILADELPHIA SUBN | COM | 718009608 | 9 | 370 | SH | DEFINED | 01 0 |
| KONINKLIJKE (ROYAL) PHILIPS EL | COM | 718040991 | 20636 | 676418 | SH | DEFINED | 01 |
| KONINKLIJKE (ROYAL) PHILIPS EL | COM | 718040991 | 8228 | 269715 | SH | DEFINED | 01 0 |
| KONINKLIJKE (ROYAL) PHILIPS EL | COM | 718040991 | 27762 | 909997 | SH | DEFINED | 01 0 |
| PHILIP MORRIS | COM | 718154107 | 29 | 550 | SH | DEFINED | 01 |
| PHILIP MORRIS | COM | 718154107 | 712347 | 13524730 | SH | DEFINED | 01 |
| PHILIP MORRIS | COM | 718154107 | 47701 | 905632 | SH | DEFINED | 01 0 |
| PHILIP MORRIS | COM | 718154107 | 32837 | 623463 | SH | DEFINED | 01 0 |
| PHILIP MORRIS | COM | 718154107 | 197055 | 3741331 | SH | DEFINED | 01 0 |
| SWATCH GROUP | COM | 7184725 | 10 | 100 | SH | DEFINED | 01 1 |
| SWATCH GROUP | COM | 7184736 | 9 | 400 | SH | DEFINED | 01 1 |
| PHILLIPS PETROLEUM | COM | 718507106 | 7677 | 122254 | SH | DEFINED | 01 |
| PHILLIPS PETROLEUM | COM | 718507106 | 96825 | 1541794 | SH | DEFINED | 01 |
| PHILLIPS PETROLEUM | COM | 718507106 | 563 | 8970 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|----------------------------|-----|-----------|-------|---------|----|---------|----|---|
| PHILLIPS PETROLEUM | COM | 718507106 | 7216 | 114913 | SH | DEFINED | 01 | 0 |
| PHILLIPS PETROLEUM | COM | 718507106 | 78016 | 1242276 | SH | DEFINED | 01 | 0 |
| PHILLIPS-VAN HEUSEN | COM | 718592108 | 3135 | 222168 | SH | DEFINED | 01 | |
| PHILLIPS-VAN HEUSEN | COM | 718592108 | 9 | 600 | SH | DEFINED | 01 | 0 |
| PHILLIPS-VAN HEUSEN | COM | 718592108 | 156 | 11044 | SH | DEFINED | 01 | 0 |
| SWISS REINSURANCE CO. REGD | COM | 7189816 | 81 | 885 | SH | DEFINED | 01 | 1 |
| PHOENIX COMPANIES | COM | 71902E109 | 6454 | 336145 | SH | DEFINED | 01 | |
| PHOENIX COMPANIES | COM | 71902E109 | 480 | 25000 | SH | DEFINED | 01 | 0 |
| PHOENIX TECHNOLOGY | COM | 719153108 | 1057 | 77425 | SH | DEFINED | 01 | |
| PHONETEL TECHNOLOGIES INC | COM | 71921H505 | 122 | 2034800 | SH | DEFINED | 01 | |
| PHONETEL TECHNOLOGIES INC | COM | 71921H505 | 63 | 1035580 | SH | DEFINED | 01 | 0 |
| PHOTON DYNAMICS | COM | 719364101 | 3276 | 64379 | SH | DEFINED | 01 | |
| PHOTRONICS | COM | 719405102 | 6179 | 183193 | SH | DEFINED | 01 | |
| PHOTRONICS | COM | 719405102 | 154 | 4568 | SH | DEFINED | 01 | 0 |
| PHOTRONICS CORP | COM | 719405102 | 4 | 120 | SH | DEFINED | 01 | 0 |
| PIEDMONT NATURAL GAS | COM | 720186105 | 3563 | 100089 | SH | DEFINED | 01 | |
| PIER 1 IMPORTS | COM | 720279108 | 62 | 3000 | SH | DEFINED | 01 | |
| PIER 1 IMPORTS | COM | 720279108 | 9706 | 471397 | SH | DEFINED | 01 | |
| PIER 1 IMPORTS | COM | 720279108 | 348 | 16900 | SH | DEFINED | 01 | 0 |
| PIER ONE IMPORTS INC | COM | 720279108 | 23 | 1080 | SH | DEFINED | 01 | 0 |
| ESSILOR INTL | COM | 7212477 | 8 | 230 | SH | DEFINED | 01 | 1 |
| PILGRIMS PRIDE | COM | 721467108 | 7 | 560 | SH | DEFINED | 01 | 0 |
| PINKROCCADE NV | COM | 723435996 | 166 | 8900 | SH | DEFINED | 01 | |
| PINNACLE ENTERTAINMENT INC | COM | 723456109 | 630 | 78186 | SH | DEFINED | 01 | |
| PINNACLE HLDGS INC | COM | 72346N101 | 0 | 105 | SH | DEFINED | 01 | |
| PINNACLE SYSTEMS | COM | 723481107 | 1398 | 175332 | SH | DEFINED | 01 | |
| PINNACLE WEST CAPITAL | COM | 723484101 | 17327 | 382095 | SH | DEFINED | 01 | |
| PINNACLE WEST CAPITAL | COM | 723484101 | 972 | 21441 | SH | DEFINED | 01 | 0 |
| PINNACLE WEST CAPITAL | COM | 723484101 | 35 | 769 | SH | DEFINED | 01 | 0 |
| PIONEER NATURAL RES | COM | 723787107 | 791 | 35500 | SH | DEFINED | 01 | 0 |
| PIONEER NATURAL RESOURCES | COM | 723787107 | 51693 | 2319148 | SH | DEFINED | 01 | |
| PIONEER NATURAL RESOURCES | COM | 723787107 | 827 | 37100 | SH | DEFINED | 01 | 0 |
| PIONEER NATURAL RESOURCES | COM | 723787107 | 3198 | 143463 | SH | DEFINED | 01 | 0 |
| PIONEER STANDARD | COM | 723877106 | 2666 | 188412 | SH | DEFINED | 01 | |
| PIONEER STANDARD | COM | 723877106 | 105 | 7400 | SH | DEFINED | 01 | 0 |
| PIONEER STANDARD ELE | COM | 723877106 | 1 | 70 | SH | DEFINED | 01 | 0 |
| BILLERUD AB | COM | 7240371 | 1 | 92 | SH | DEFINED | 01 | 1 |
| PITNEY BOWES | COM | 724479100 | 306 | 7150 | SH | DEFINED | 01 | |
| PITNEY BOWES | COM | 724479100 | 28402 | 663583 | SH | DEFINED | 01 | |
| PITNEY BOWES | COM | 724479100 | 3403 | 79490 | SH | DEFINED | 01 | 0 |
| PITNEY BOWES | COM | 724479100 | 2120 | 49522 | SH | DEFINED | 01 | 0 |
| PITTSTON BRINK'S GROUP | COM | 725701106 | 52 | 2099 | SH | DEFINED | 01 | |
| PITTSTON BRINKS GRP | COM | 725701106 | 9 | 350 | SH | DEFINED | 01 | 0 |
| PIXAR | COM | 725811103 | 74 | 2000 | SH | DEFINED | 01 | |
| PIXAR | COM | 725811103 | 8 | 223 | SH | DEFINED | 01 | |
| PIXELWORKS | COM | 72581M107 | 1 | 79 | SH | DEFINED | 01 | |
| PLACER DOME | COM | 725906101 | 12646 | 1032349 | SH | DEFINED | 01 | |
| PLACER DOME | COM | 725906101 | 1020 | 83227 | SH | DEFINED | 01 | 0 |
| PLACER DOME | COM | 725906101 | 692 | 56486 | SH | DEFINED | 01 | 0 |
| PLAINS ALL AMERN PIP | COM | 726503105 | 6 | 251 | SH | DEFINED | 01 | |
| PLAINS RESOURCES INC | COM | 726540503 | 1779 | 71520 | SH | DEFINED | 01 | |
| FORTIS GROUP | COM | 7266139 | 46 | 2082 | SH | DEFINED | 01 | 1 |
| PLANAR SYSTEMS | COM | 726900103 | 3317 | 126350 | SH | DEFINED | 01 | |
| PLANAR SYSTEMS | COM | 726900103 | 193 | 7360 | SH | DEFINED | 01 | 0 |
| PLANAR SYSTEMS INC | COM | 726900103 | 3 | 120 | SH | DEFINED | 01 | 0 |
| PLANTRONICS | COM | 727493108 | 2471 | 118094 | SH | DEFINED | 01 | |
| PLANTRONICS | COM | 727493108 | 3 | 150 | SH | DEFINED | 01 | 0 |
| PLANTRONICS | COM | 727493108 | 163 | 7790 | SH | DEFINED | 01 | 0 |
| PLATO LEARNING | COM | 72764Y100 | 2289 | 129400 | SH | DEFINED | 01 | |
| PLAYBOY ENTERPRISES | COM | 728117300 | 1 | 86 | SH | DEFINED | 01 | |
| PLAYTEX PRODUCTS | COM | 72813P100 | 61 | 5600 | SH | DEFINED | 01 | |
| PLAYTEX PRODUCTS | COM | 72813P100 | 60 | 5523 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------|-----|-----------|-------|---------|----|---------|----|---|
| ARCELOR | COM | 7281875 | 7 | 528 | SH | DEFINED | 01 | 1 |
| PLEXUS | COM | 729132100 | 2990 | 126691 | SH | DEFINED | 01 | |
| PLEXUS | COM | 729132100 | 73 | 3080 | SH | DEFINED | 01 | 0 |
| PLEXUS CORP | COM | 729132100 | 5 | 210 | SH | DEFINED | 01 | 0 |
| PLUG PWR INC | COM | 72919P103 | 3 | 325 | SH | DEFINED | 01 | |
| PLUM CREEK TIMBER | COM | 729251108 | 1634 | 55005 | SH | DEFINED | 01 | |
| PLUM CREEK TIMBER | COM | 729251108 | 1375 | 46270 | SH | DEFINED | 01 | 0 |
| PLUM CREEK TIMBER | COM | 729251108 | 49 | 1660 | SH | DEFINED | 01 | 0 |
| ZODIAC | COM | 7294334 | 2 | 110 | SH | DEFINED | 01 | 1 |
| POGO PRODUCING | COM | 730448107 | 32687 | 1031151 | SH | DEFINED | 01 | |
| POGO PRODUCING | COM | 730448107 | 4280 | 135000 | SH | DEFINED | 01 | 0 |
| POGO PRODUCING | COM | 730448107 | 676 | 21306 | SH | DEFINED | 01 | 0 |
| POGO PRODUCING CO | COM | 730448107 | 50446 | 1591357 | SH | DEFINED | 01 | 0 |
| POHANG IRON & STEEL | COM | 730455995 | 2370 | 22790 | SH | DEFINED | 01 | |
| POHANG IRON & STEEL | COM | 730455995 | 78 | 750 | SH | DEFINED | 01 | 0 |
| BNP PARIBAS S.A. | COM | 7309681 | 122 | 2410 | SH | DEFINED | 01 | 1 |
| POLARIS INDS INC | COM | 731068102 | 6334 | 99424 | SH | DEFINED | 01 | |
| POLARIS INDS INC | COM | 731068102 | 3 | 50 | SH | DEFINED | 01 | 0 |
| POLAROID CORP | COM | 731095105 | 0 | 96 | SH | DEFINED | 01 | |
| BANCO ESPIRITO NEW | COM | 7315451 | 3 | 244 | SH | DEFINED | 01 | 1 |
| POLO RALPH LAUREN | COM | 731572103 | 11 | 384 | SH | DEFINED | 01 | |
| POLYCOM | COM | 73172K104 | 30 | 1200 | SH | DEFINED | 01 | |
| POLYCOM | COM | 73172K104 | 470 | 19096 | SH | DEFINED | 01 | |
| POLYMEDICA | COM | 731738100 | 944 | 37096 | SH | DEFINED | 01 | |
| POLYMER | COM | 731745105 | 0 | 116 | SH | DEFINED | 01 | |
| POLYONE CORP | COM | 73179P106 | 4136 | 339069 | SH | DEFINED | 01 | |
| POLYONE CORP | COM | 73179P106 | 11 | 860 | SH | DEFINED | 01 | 0 |
| POLYONE CORP | COM | 73179P106 | 62 | 5100 | SH | DEFINED | 01 | 0 |
| POMEROY COMPUTER RES | COM | 731822102 | 729 | 48000 | SH | DEFINED | 01 | |
| POMEROY COMPUTER RES | COM | 731822102 | 7 | 470 | SH | DEFINED | 01 | 0 |
| POMEROY COMPUTER RES | COM | 731822102 | 67 | 4400 | SH | DEFINED | 01 | 0 |
| TELEFONICA SA RTS. | COM | 7325234 | 3 | 13968 | SH | DEFINED | 01 | 1 |
| POPE & TALBOT | COM | 732827100 | 703 | 47923 | SH | DEFINED | 01 | |
| POPULAR INC | COM | 733174106 | 9 | 312 | SH | DEFINED | 01 | |
| PORTAL SOFTWARE | COM | 736126103 | 443 | 231713 | SH | DEFINED | 01 | |
| PORTAL SOFTWARE | COM | 736126103 | 11967 | 6264510 | SH | DEFINED | 01 | 0 |
| PORTAL SOFTWARE | COM | 736126103 | 125 | 65950 | SH | DEFINED | 01 | 0 |
| PORTERA SERIES G | PRF | 736400XX5 | 973 | 1871644 | SH | DEFINED | 01 | |
| PORTERA SERIES G | PRF | 736400XX5 | 1239 | 2382091 | SH | DEFINED | 01 | 0 |
| PORTUGAL TELECOM SGPS | COM | 737260992 | 16081 | 2160969 | SH | DEFINED | 01 | |
| PORTUGAL TELECOM SGPS | COM | 737260992 | 2388 | 321013 | SH | DEFINED | 01 | 0 |
| PORTUGAL TELECOM SGPS | COM | 737260992 | 9748 | 1310013 | SH | DEFINED | 01 | 0 |
| POST PROPERTIES | COM | 737464107 | 10 | 285 | SH | DEFINED | 01 | |
| POTLATCH | COM | 737628107 | 38 | 1148 | SH | DEFINED | 01 | |
| POTOMAC ELECTRIC POWER | COM | 737679100 | 84 | 3610 | SH | DEFINED | 01 | |
| POWELL IND INC | COM | 739128106 | 911 | 41000 | SH | DEFINED | 01 | |
| POWELL IND INC | COM | 739128106 | 3 | 120 | SH | DEFINED | 01 | 0 |
| POWELL IND INC | COM | 739128106 | 82 | 3700 | SH | DEFINED | 01 | 0 |
| POWER INTEGRATIONS | COM | 739276103 | 2396 | 125763 | SH | DEFINED | 01 | |
| POWER-ONE | COM | 739308104 | 1438 | 175888 | SH | DEFINED | 01 | |
| POWER-ONE | COM | 739308104 | 164 | 19989 | SH | DEFINED | 01 | 0 |
| POWER-ONE | COM | 739308104 | 5214 | 637416 | SH | DEFINED | 01 | 0 |
| POWER-ONE INC | COM | 739308104 | 103 | 12540 | SH | DEFINED | 01 | 0 |
| POWERWAVE | COM | 739363109 | 3 | 210 | SH | DEFINED | 01 | 0 |
| POWERWAVE TECHNOLOGIES | COM | 739363109 | 4417 | 343121 | SH | DEFINED | 01 | |
| POWERWAVE TECHNOLOGIES | COM | 739363109 | 1393 | 108200 | SH | DEFINED | 01 | 0 |
| PRACTICWORKS INC | COM | 739419109 | 0 | 25 | SH | DEFINED | 01 | |
| PRAECIS PHARMACEUTIC | COM | 739421105 | 1 | 135 | SH | DEFINED | 01 | |
| PRAXAIR | COM | 74005P104 | 6 | 100 | SH | DEFINED | 01 | |
| PRAXAIR | COM | 74005P104 | 40544 | 677993 | SH | DEFINED | 01 | |
| PRAXAIR | COM | 74005P104 | 9715 | 162450 | SH | DEFINED | 01 | 0 |
| PRAXAIR | COM | 74005P104 | 3232 | 54052 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| PRAXAIR | COM | 74005P104 | 20409 | 341295 | SH | DEFINED | 01 | 0 |
| PRE PAID LEGAL SVCS | COM | 740065107 | 1873 | 65566 | SH | DEFINED | 01 | |
| PRECISION CASTPARTS | COM | 740189105 | 64 | 1786 | SH | DEFINED | 01 | |
| PRECISION DRILLING | COM | 74022D100 | 5817 | 182000 | SH | DEFINED | 01 | |
| PRECISION DRILLING | COM | 74022D100 | 569 | 17800 | SH | DEFINED | 01 | 0 |
| PREDICTIVE SYSTEMS | COM | 74036W102 | 0 | 76 | SH | DEFINED | 01 | |
| PRENTISS | COM | 740706106 | 10 | 323 | SH | DEFINED | 01 | |
| PRESIDENTIAL LIFE CO | COM | 740884101 | 2046 | 89947 | SH | DEFINED | 01 | |
| PRICE COMMUNICATIONS | COM | 741437305 | 1902 | 107866 | SH | DEFINED | 01 | |
| PRICE COMMUNICATIONS | COM | 741437305 | 3 | 150 | SH | DEFINED | 01 | 0 |
| PRICE COMMUNICATIONS | COM | 741437305 | 129 | 7300 | SH | DEFINED | 01 | 0 |
| T ROWE PRICE | COM | 74144T108 | 118 | 3034 | SH | DEFINED | 01 | |
| T ROWE PRICE | COM | 74144T108 | 1217 | 31262 | SH | DEFINED | 01 | 0 |
| T ROWE PRICE | COM | 74144T108 | 44 | 1121 | SH | DEFINED | 01 | 0 |
| PRICELINE.COM | COM | 741503106 | 1051 | 201014 | SH | DEFINED | 01 | |
| PRIDE INTL | COM | 74153Q102 | 2474 | 155613 | SH | DEFINED | 01 | |
| PRIME SUCCESSION | COM | 741572101 | 2205 | 1574744 | SH | DEFINED | 01 | |
| PRIME SUCCESSION | COM | 741572101 | 62 | 44447 | SH | DEFINED | 01 | 0 |
| PRIME SUCCESSION | COM | 741572101 | 591 | 422687 | SH | DEFINED | 01 | 0 |
| PRIMEDIA INC | COM | 74157K101 | 32 | 10000 | SH | DEFINED | 01 | |
| PRIMEDIA INC | COM | 74157K101 | 2 | 764 | SH | DEFINED | 01 | |
| PRIMUS KNOWLEDGE | COM | 74163Q100 | 0 | 44 | SH | DEFINED | 01 | |
| PRIMA ENERGY | COM | 741901201 | 972 | 39022 | SH | DEFINED | 01 | |
| PRIME HOSPITALITY CO | COM | 741917108 | 1806 | 137352 | SH | DEFINED | 01 | |
| PRIMUS TELECOMMUNICATIONS GROU | COM | 741929103 | 49 | 95299 | SH | DEFINED | 01 | |
| PRINCETON REVIEW INC | COM | 742352107 | 708 | 81400 | SH | DEFINED | 01 | |
| PRINCIPAL FINANCIAL GROUP | COM | 74251V102 | 361 | 14271 | SH | DEFINED | 01 | |
| PRIORITY HEALTHCARE CL B | COM | 74264T102 | 5663 | 217743 | SH | DEFINED | 01 | |
| PRIORITY HEALTHCARE CL B | COM | 74264T102 | 83 | 3200 | SH | DEFINED | 01 | 0 |
| PROASSURANCE | COM | 74267C106 | 1702 | 94284 | SH | DEFINED | 01 | |
| PROASSURANCE | COM | 74267C106 | 152 | 8400 | SH | DEFINED | 01 | 0 |
| PROCTER & GAMBLE | COM | 742718109 | 8379 | 93000 | SH | DEFINED | 01 | |
| PROCTER & GAMBLE | COM | 742718109 | 393981 | 4373182 | SH | DEFINED | 01 | |
| PROCTER & GAMBLE | COM | 742718109 | 41976 | 465957 | SH | DEFINED | 01 | 0 |
| PROCTER & GAMBLE | COM | 742718109 | 32222 | 357656 | SH | DEFINED | 01 | 0 |
| PROCTER & GAMBLE | COM | 742718109 | 73215 | 812695 | SH | DEFINED | 01 | 0 |
| PROCTER & GAMBLE | COM | 742718109 | 2649 | 29400 | SH | DEFINED | 11 | 1 |
| PROCTER & GAMBLE | COM | 742718109 | 21212 | 235900 | SH | DEFINED | 11 | 1 |
| PROGRESS ENERGY | COM | 743263105 | 48444 | 968102 | SH | DEFINED | 01 | |
| PROGRESS ENERGY | COM | 743263105 | 3038 | 60725 | SH | DEFINED | 01 | 0 |
| PROGRESS ENERGY | COM | 743263105 | 200 | 3989 | SH | DEFINED | 01 | 0 |
| PROGRESS ENERGY INC-CVO | COM | 743263AA3 | 0 | 153 | SH | DEFINED | 01 | |
| PROGRESS SOFTWARE CO | COM | 743312100 | 1992 | 109842 | SH | DEFINED | 01 | |
| PROGRESSIVE CORP | COM | 743315103 | 5201 | 31216 | SH | DEFINED | 01 | |
| PROGRESSIVE CORP | COM | 743315103 | 8575 | 51462 | SH | DEFINED | 01 | 0 |
| PROGRESSIVE CORP | COM | 743315103 | 111 | 667 | SH | DEFINED | 01 | 0 |
| PROMISE | COM | 743400996 | 1455 | 33900 | SH | DEFINED | 01 | |
| PROLOGIS TRUST | COM | 743410102 | 9 | 399 | SH | DEFINED | 01 | |
| PROQUEST CO | COM | 74346P102 | 1170 | 27216 | SH | DEFINED | 01 | |
| PROQUEST CO | COM | 74346P102 | 107 | 2500 | SH | DEFINED | 01 | 0 |
| PROSEGUR DE SEGURIDAD | COM | 743555997 | 159 | 12000 | SH | DEFINED | 01 | |
| PROTECTIVE LIFE | COM | 743674103 | 3460 | 110978 | SH | DEFINED | 01 | |
| PROTECTIVE LIFE | COM | 743674103 | 33382 | 1070623 | SH | DEFINED | 01 | 0 |
| PROTECTIVE LIFE | COM | 743674103 | 228 | 7300 | SH | DEFINED | 01 | 0 |
| NOBEX SERIES E 2.50% | PRF | 743690XX2 | 5000 | 2000000 | SH | DEFINED | 01 | |
| NOBEX SERIES E 2.50% | PRF | 743690XX2 | 10000 | 4000000 | SH | DEFINED | 01 | 0 |
| PROTEIN DESIGN LABS | COM | 74369L103 | 816 | 47666 | SH | DEFINED | 01 | |
| PROTON ENERGY SYSTEM | COM | 74371K101 | 2 | 270 | SH | DEFINED | 01 | 0 |
| PROVIDENT BANKSHARES | COM | 743859100 | 1885 | 78507 | SH | DEFINED | 01 | |
| PROVIDENT FINANCIAL GROUP | COM | 743866105 | 50 | 1735 | SH | DEFINED | 01 | |
| PROVINCE HEALTHCARE | COM | 743977100 | 3 | 100 | SH | DEFINED | 01 | |
| PROVINCE HEALTHCARE | COM | 743977100 | 5070 | 159606 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| PROVINCE HEALTHCARE | COM | 743977100 | 3 | 90 | SH | DEFINED | 01 | 0 |
| PROVIDIAN FIN | COM | 74406A102 | 1148 | 152000 | SH | DEFINED | 01 | |
| PROVIDIAN FINANCIAL | COM | 74406A102 | 42 | 5600 | SH | DEFINED | 01 | |
| PROVIDIAN FINANCIAL | COM | 74406A102 | 270 | 35797 | SH | DEFINED | 01 | |
| PROVIDIAN FINANCIAL | COM | 74406A102 | 544 | 72031 | SH | DEFINED | 01 | 0 |
| PROVIDIAN FINANCIAL | COM | 74406A102 | 20 | 2584 | SH | DEFINED | 01 | 0 |
| PROXIM CORP - CL A | COM | 744283102 | 740 | 308639 | SH | DEFINED | 01 | |
| PROXIM CORP - CL A | COM | 744283102 | 2 | 730 | SH | DEFINED | 01 | 0 |
| PROXIM CORP - CL A | COM | 744283102 | 48 | 20132 | SH | DEFINED | 01 | 0 |
| PRUDENTIAL FINANCIAL | COM | 744320102 | 43 | 1397 | SH | DEFINED | 01 | |
| PRUDENTIAL FINANCIAL | PRF | 744320201 | 4760 | 86200 | SH | DEFINED | 01 | |
| PRUDENTIAL FINANCIAL | PRF | 744320201 | 2352 | 42600 | SH | DEFINED | 01 | 0 |
| PTT EXPLORATION | COM | 744445990 | 2728 | 973300 | SH | DEFINED | 01 | |
| PTT EXPLORATION | COM | 744445990 | 88 | 31400 | SH | DEFINED | 01 | 0 |
| PUBLIC SERVICE ENTERPRISE GROU | COM | 744573106 | 65046 | 1420239 | SH | DEFINED | 01 | |
| PUBLIC SERVICE ENTERPRISE GROU | COM | 744573106 | 2627 | 57358 | SH | DEFINED | 01 | 0 |
| PUBLIC SERVICE ENTERPRISE GROU | COM | 744573106 | 178 | 3872 | SH | DEFINED | 01 | 0 |
| PUBLIC STORAGE | COM | 74460D109 | 11 | 287 | SH | DEFINED | 01 | |
| PUBLICIS GROUPE | COM | 744630997 | 172 | 5067 | SH | DEFINED | 01 | |
| PUGET ENERGY | COM | 745310102 | 65 | 3160 | SH | DEFINED | 01 | |
| PULITZER INC | COM | 745769109 | 64 | 1200 | SH | DEFINED | 01 | |
| PULTE HOMES | COM | 745867101 | 70 | 1449 | SH | DEFINED | 01 | |
| PULTE HOMES | COM | 745867101 | 732 | 15297 | SH | DEFINED | 01 | 0 |
| PULTE HOMES | COM | 745867101 | 26 | 548 | SH | DEFINED | 01 | 0 |
| PUMATECH INC | COM | 745887109 | 0 | 92 | SH | DEFINED | 01 | |
| PURCHASEPRO.COM INC | COM | 746144104 | 0 | 348 | SH | DEFINED | 01 | |
| PURE RES INC | COM | 74622E102 | 6 | 281 | SH | DEFINED | 01 | |
| QANTAS AIRLINES LTD AU;QAN | COM | 747269991 | 2159 | 901000 | SH | DEFINED | 01 | 0 |
| QRS CORP | COM | 74726X105 | 565 | 47906 | SH | DEFINED | 01 | |
| QLOGIC | COM | 747277101 | 6477 | 130814 | SH | DEFINED | 01 | |
| QLOGIC | COM | 747277101 | 1524 | 30759 | SH | DEFINED | 01 | 0 |
| QLOGIC | COM | 747277101 | 2157 | 43545 | SH | DEFINED | 01 | 0 |
| QUAKER CHEMICAL CORP | COM | 747316107 | 654 | 28013 | SH | DEFINED | 01 | |
| QUAKER CITY BANCORP | COM | 74731K106 | 665 | 21000 | SH | DEFINED | 01 | |
| QUAKER CITY BANCORP | COM | 74731K106 | 9 | 300 | SH | DEFINED | 01 | 0 |
| QUAKER CITY BANCORP | COM | 74731K106 | 60 | 1900 | SH | DEFINED | 01 | 0 |
| QUALCOMM | COM | 747525103 | 5896 | 156667 | SH | DEFINED | 01 | |
| QUALCOMM | COM | 747525103 | 7318 | 194412 | SH | DEFINED | 01 | 0 |
| QUALCOMM | COM | 747525103 | 263 | 6976 | SH | DEFINED | 01 | 0 |
| QUALCOMM | COM | 747525103 | 203 | 5400 | SH | DEFINED | 11 | 1 |
| QUANTA COMPUTER | COM | 747610996 | 4138 | 1065000 | SH | DEFINED | 01 | |
| QUANEX CORP | COM | 747620102 | 1472 | 41454 | SH | DEFINED | 01 | |
| QUANEX CORP | COM | 747620102 | 9 | 250 | SH | DEFINED | 01 | 0 |
| QUANTA SERVICES | COM | 74762E102 | 40 | 2333 | SH | DEFINED | 01 | |
| QUANTUM-DLT & STORAGE SYSTEMS | COM | 747906204 | 42 | 5378 | SH | DEFINED | 01 | |
| QUEBECOR WORLD | COM | 748203999 | 25 | 950 | SH | DEFINED | 01 | |
| QUEST DIAGNOSTICS | COM | 74834L100 | 4 | 50 | SH | DEFINED | 01 | |
| QUEST DIAGNOSTICS | COM | 74834L100 | 65890 | 795284 | SH | DEFINED | 01 | |
| QUEST DIAGNOSTICS | COM | 74834L100 | 23077 | 278683 | SH | DEFINED | 01 | 0 |
| QUEST DIAGNOSTICS | COM | 74834L100 | 1127 | 13600 | SH | DEFINED | 01 | 0 |
| QUEST DIAGNOSTICS | COM | 74834L100 | 50708 | 612045 | SH | DEFINED | 01 | 0 |
| QUEST SOFTWARE | COM | 74834T103 | 347 | 22966 | SH | DEFINED | 01 | |
| QUESTAR | COM | 748356102 | 26706 | 1038731 | SH | DEFINED | 01 | |
| QUICKLOGIC CORP | COM | 74837P108 | 311 | 63000 | SH | DEFINED | 01 | |
| QUICKLOGIC CORP | COM | 74837P108 | 7 | 1370 | SH | DEFINED | 01 | 0 |
| QUICKLOGIC CORP | COM | 74837P108 | 31 | 6300 | SH | DEFINED | 01 | 0 |
| QUICKSILVER INC | COM | 74838C106 | 2325 | 106247 | SH | DEFINED | 01 | |
| QUINTILES TRANSNATIONAL | COM | 748767100 | 52 | 2943 | SH | DEFINED | 01 | |
| QUINTILES TRANSNATIONAL | COM | 748767100 | 538 | 30317 | SH | DEFINED | 01 | 0 |
| QUINTILES TRANSNATIONAL | COM | 748767100 | 19 | 1087 | SH | DEFINED | 01 | 0 |
| QUIXOTE CORP | COM | 749056107 | 12 | 620 | SH | DEFINED | 01 | 0 |
| QWEST COMMUNICATIONS INTL | COM | 749121109 | 6897 | 839177 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| QWEST COMMUNICATIONS INTL | COM | 749121109 | 3748 | 456013 | SH | DEFINED | 01 | 0 |
| QWEST COMMUNICATIONS INTL | COM | 749121109 | 4507 | 548340 | SH | DEFINED | 01 | 0 |
| R & G FINANCIAL CL B | COM | 749136107 | 1702 | 85419 | SH | DEFINED | 01 | 0 |
| R & G FINANCIAL CL B | COM | 749136107 | 144 | 7231 | SH | DEFINED | 01 | 0 |
| R & G FINANCIAL CORP | COM | 749136107 | 7 | 340 | SH | DEFINED | 01 | 0 |
| QUOVADX INC | COM | 74913K106 | 4 | 458 | SH | DEFINED | 01 | 0 |
| RCN CORP | COM | 749361101 | 1 | 357 | SH | DEFINED | 01 | 0 |
| DONNELLEY (RH) | COM | 74955W307 | 3920 | 128809 | SH | DEFINED | 01 | 0 |
| DONNELLEY (RH) | COM | 74955W307 | 307 | 10100 | SH | DEFINED | 01 | 0 |
| RH DONNELLEY CORP | COM | 74955W307 | 5 | 180 | SH | DEFINED | 01 | 0 |
| RGS ENERGY GROUP INC | COM | 74956K104 | 78 | 2000 | SH | DEFINED | 01 | 0 |
| RGS ENERGY GROUP INC | COM | 74956K104 | 4183 | 106577 | SH | DEFINED | 01 | 0 |
| RLI CORP | COM | 749607107 | 1557 | 30121 | SH | DEFINED | 01 | 0 |
| RLI CORP | COM | 749607107 | 10 | 190 | SH | DEFINED | 01 | 0 |
| RPM INC OHIO | COM | 749685103 | 19 | 1210 | SH | DEFINED | 01 | 0 |
| RPM/OHIO | COM | 749685103 | 2445 | 157212 | SH | DEFINED | 01 | 0 |
| RPM/OHIO | COM | 749685103 | 230 | 14800 | SH | DEFINED | 01 | 0 |
| RSA SECURITY | COM | 749719100 | 1274 | 141507 | SH | DEFINED | 01 | 0 |
| RTL GROUP | COM | 749735999 | 146 | 3750 | SH | DEFINED | 01 | 0 |
| RTI INTL METALS | COM | 74973W107 | 2112 | 182842 | SH | DEFINED | 01 | 0 |
| RTI INTL METALS | COM | 74973W107 | 5 | 490 | SH | DEFINED | 01 | 0 |
| RTI INTL METALS | COM | 74973W107 | 161 | 13911 | SH | DEFINED | 01 | 0 |
| RWE | COM | 749750998 | 5872 | 156531 | SH | DEFINED | 01 | 0 |
| RWE | COM | 749750998 | 8038 | 214282 | SH | DEFINED | 01 | 0 |
| RMH TELESERVICES | COM | 749938106 | 2049 | 103500 | SH | DEFINED | 01 | 0 |
| RF MICRO DEVICES | COM | 749941100 | 14819 | 827914 | SH | DEFINED | 01 | 0 |
| RF MICRO DEVICES | COM | 749941100 | 648 | 36200 | SH | DEFINED | 01 | 0 |
| RF MICRO DEVICES | COM | 749941100 | 20515 | 1146100 | SH | DEFINED | 01 | 0 |
| RF MICRO DEVICES INC | COM | 749941100 | 31477 | 1758550 | SH | DEFINED | 01 | 0 |
| RADIAN GROUP | COM | 750236101 | 8913 | 181625 | SH | DEFINED | 01 | 0 |
| RADIAN GROUP | COM | 750236101 | 456 | 9300 | SH | DEFINED | 01 | 0 |
| RADIAN GROUP | COM | 750236101 | 172 | 3500 | SH | DEFINED | 01 | 0 |
| RADIANT SYSTEMS | COM | 75025N102 | 761 | 84055 | SH | DEFINED | 01 | 0 |
| RADIANT SYSTEMS | COM | 75025N102 | 2 | 230 | SH | DEFINED | 01 | 0 |
| RADIO ONE CL A | COM | 75040P108 | 1 | 44 | SH | DEFINED | 01 | 0 |
| RADIO ONE CL A | COM | 75040P108 | 4352 | 200000 | SH | DEFINED | 01 | 0 |
| RADIO ONE CL D | COM | 75040P405 | 33 | 1600 | SH | DEFINED | 01 | 0 |
| RADIO ONE CL D | COM | 75040P405 | 700 | 34000 | SH | DEFINED | 01 | 0 |
| RADIO SHACK | COM | 750438103 | 2607 | 86802 | SH | DEFINED | 01 | 0 |
| RADIO SHACK | COM | 750438103 | 1365 | 45427 | SH | DEFINED | 01 | 0 |
| RADIO SHACK | COM | 750438103 | 49 | 1629 | SH | DEFINED | 01 | 0 |
| RADISYS | COM | 750459109 | 959 | 53271 | SH | DEFINED | 01 | 0 |
| RAILAMERICA | COM | 750753105 | 433 | 41200 | SH | DEFINED | 01 | 0 |
| RAINBOW TECHNOLOGIES | COM | 750862104 | 3350 | 333002 | SH | DEFINED | 01 | 0 |
| RAINBOW TECHNOLOGIES | COM | 750862104 | 12 | 1120 | SH | DEFINED | 01 | 0 |
| RAINBOW TECHNOLOGIES | COM | 750862104 | 219 | 21800 | SH | DEFINED | 01 | 0 |
| RAINDANCE COMMUNICATIONS | COM | 75086X106 | 693 | 198600 | SH | DEFINED | 01 | 0 |
| RAMBUS INC | COM | 750917106 | 4 | 504 | SH | DEFINED | 01 | 0 |
| RALCORP HOLDINGS INC | COM | 751028101 | 2501 | 91956 | SH | DEFINED | 01 | 0 |
| RALCORP HOLDINGS INC | COM | 751028101 | 14 | 530 | SH | DEFINED | 01 | 0 |
| RANGE RESOURCES | COM | 75281A109 | 1375 | 265000 | SH | DEFINED | 01 | 0 |
| RANGE RESOURCES | COM | 75281A109 | 11 | 2020 | SH | DEFINED | 01 | 0 |
| RANGE RESOURCES | COM | 75281A109 | 129 | 24900 | SH | DEFINED | 01 | 0 |
| LONGHORN STEAK | COM | 753820109 | 3 | 135 | SH | DEFINED | 01 | 0 |
| RARE HOSPITALITY INTL | COM | 753820109 | 5804 | 228301 | SH | DEFINED | 01 | 0 |
| RARE HOSPITALITY INTL | COM | 753820109 | 309 | 12134 | SH | DEFINED | 01 | 0 |
| RARE MEDIUM GROUP IN | COM | 75382N109 | 0 | 77 | SH | DEFINED | 01 | 0 |
| RIUNIONE ADRIATICA DI SICURTA | COM | 754050995 | 1112 | 85000 | SH | DEFINED | 01 | 0 |
| RATIONAL SOFTWARE | COM | 75409P202 | 9760 | 616619 | SH | DEFINED | 01 | 0 |
| RATIONAL SOFTWARE | COM | 75409P202 | 136 | 8600 | SH | DEFINED | 01 | 0 |
| RATIONAL SOFTWARE | COM | 75409P202 | 780 | 49265 | SH | DEFINED | 01 | 0 |
| RATIONAL SOFTWARE | COM | 75409P202 | 14285 | 902367 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|-------|--------|----|---------|----|---|
| RAYMOND JAMES FIN | COM | 754730109 | 11 | 320 | SH | DEFINED | 01 | 0 |
| RAYMOND JAMES FINANCIAL | COM | 754730109 | 9979 | 291495 | SH | DEFINED | 01 | |
| RAYMOND JAMES FINANCIAL | COM | 754730109 | 281 | 8200 | SH | DEFINED | 01 | 0 |
| RAYONIER | COM | 754907103 | 1969 | 36959 | SH | DEFINED | 01 | |
| RAYONIER | COM | 754907103 | 17 | 330 | SH | DEFINED | 01 | 0 |
| RAYONIER | COM | 754907103 | 186 | 3500 | SH | DEFINED | 01 | 0 |
| RAYOVAC CORP | COM | 755081106 | 31 | 2000 | SH | DEFINED | 01 | |
| RAYOVAC CORP | COM | 755081106 | 1 | 90 | SH | DEFINED | 01 | |
| RAYTECH CORP-DEL | COM | 755103108 | 9 | 2000 | SH | DEFINED | 01 | |
| RAYTHEON | COM | 755111507 | 38442 | 936466 | SH | DEFINED | 01 | |
| RAYTHEON | COM | 755111507 | 4066 | 99061 | SH | DEFINED | 01 | 0 |
| RAYTHEON | COM | 755111507 | 579 | 14114 | SH | DEFINED | 01 | 0 |
| RAZORFISH INC | COM | 755236106 | 0 | 421 | SH | DEFINED | 01 | |
| READ RITE | COM | 755246105 | 104 | 34000 | SH | DEFINED | 01 | |
| READ RITE | COM | 755246105 | 1837 | 598445 | SH | DEFINED | 01 | |
| READ RITE | COM | 755246105 | 1 | 350 | SH | DEFINED | 01 | 0 |
| READ RITE | COM | 755246105 | 47 | 15400 | SH | DEFINED | 01 | 0 |
| READER'S DIGEST ASSN CL A | COM | 755267101 | 83 | 3701 | SH | DEFINED | 01 | |
| READERS DIGEST CL-B | COM | 755267200 | 36 | 1500 | SH | DEFINED | 01 | |
| REALNETWORKS INC | COM | 75605L104 | 5 | 702 | SH | DEFINED | 01 | |
| REALTEK SEMI144A GDR | COM | 756063103 | 6168 | 306100 | SH | DEFINED | 01 | |
| REALTEK SEMI144A GDR | COM | 756063103 | 183 | 9100 | SH | DEFINED | 01 | 0 |
| REALITY INCOME CORP | COM | 756109104 | 10 | 293 | SH | DEFINED | 01 | |
| RECKSON ASSOCIATES REALTY | COM | 75621K106 | 5 | 212 | SH | DEFINED | 01 | |
| RECKITT BENCKIS | COM | 756220992 | 277 | 16877 | SH | DEFINED | 01 | |
| RED ELECTRICA DE ESPANA | COM | 756499992 | 289 | 29400 | SH | DEFINED | 01 | |
| RED HAT | COM | 756577102 | 5 | 958 | SH | DEFINED | 01 | |
| REALTEK SEMI | COM | 756600995 | 4897 | 947000 | SH | DEFINED | 01 | |
| REDBACK NETWORKS | COM | 757209101 | 1 | 308 | SH | DEFINED | 01 | |
| REEBOK INTL | COM | 758110100 | 27 | 1000 | SH | DEFINED | 01 | |
| REEBOK INTL | COM | 758110100 | 42 | 1542 | SH | DEFINED | 01 | |
| REEBOK INTL | COM | 758110100 | 404 | 14932 | SH | DEFINED | 01 | 0 |
| REEBOK INTL | COM | 758110100 | 14 | 535 | SH | DEFINED | 01 | 0 |
| REED INTERNATIO | COM | 758212708 | 947 | 97651 | SH | DEFINED | 01 | |
| REGAL BELOIT | COM | 758750103 | 1918 | 75093 | SH | DEFINED | 01 | |
| REGAL BELOIT | COM | 758750103 | 11 | 430 | SH | DEFINED | 01 | 0 |
| REGENCY CENTERS CORP | COM | 758849103 | 11 | 373 | SH | DEFINED | 01 | |
| REGENERON PHARMACEUT | COM | 75886F107 | 2 | 100 | SH | DEFINED | 01 | 0 |
| REGENERON PHARMACEUTICALS | COM | 75886F107 | 5188 | 207584 | SH | DEFINED | 01 | |
| REGENERON PHARMACEUTICALS | COM | 75886F107 | 142 | 5700 | SH | DEFINED | 01 | 0 |
| REGENERATION TECHNOLOGIES | COM | 75886N100 | 781 | 107700 | SH | DEFINED | 01 | |
| REGIS CORP MINN | COM | 758932107 | 3651 | 130021 | SH | DEFINED | 01 | |
| REGIS CORP MINN | COM | 758932107 | 9 | 330 | SH | DEFINED | 01 | 0 |
| REGIONS FINANCIAL | COM | 758940100 | 195 | 5685 | SH | DEFINED | 01 | |
| REGIONS FINANCIAL | COM | 758940100 | 1980 | 57628 | SH | DEFINED | 01 | 0 |
| REGIONS FINANCIAL | COM | 758940100 | 71 | 2068 | SH | DEFINED | 01 | 0 |
| REHABCARE GROUP INC | COM | 759148109 | 1515 | 53151 | SH | DEFINED | 01 | |
| REGISTER.COM | COM | 75914G101 | 1086 | 121000 | SH | DEFINED | 01 | |
| REGISTER.COM | COM | 75914G101 | 2 | 250 | SH | DEFINED | 01 | 0 |
| REGISTER.COM | COM | 75914G101 | 77 | 8600 | SH | DEFINED | 01 | 0 |
| REINSURANCE GROUP OF AMERICA | COM | 759351109 | 34 | 1100 | SH | DEFINED | 01 | |
| REINSURANCE GROUP OF AMERICA | COM | 759351109 | 5314 | 169742 | SH | DEFINED | 01 | |
| REINSURANCE GROUP OF AMERICA | COM | 759351109 | 460 | 14700 | SH | DEFINED | 01 | 0 |
| RELIANCE STEEL & ALU | COM | 759509102 | 11 | 400 | SH | DEFINED | 01 | 0 |
| RELIANCE STEEL & ALUMINUM | COM | 759509102 | 5430 | 196810 | SH | DEFINED | 01 | |
| RELIANCE STEEL & ALUMINUM | COM | 759509102 | 276 | 9990 | SH | DEFINED | 01 | 0 |
| RELIANT RESOURCES | COM | 75952B105 | 4 | 251 | SH | DEFINED | 01 | |
| RELIANT ENERGY | COM | 75952J108 | 3443 | 133524 | SH | DEFINED | 01 | |
| RELIANT ENERGY | COM | 75952J108 | 2186 | 84763 | SH | DEFINED | 01 | 0 |
| RELIANT ENERGY | COM | 75952J108 | 160 | 6211 | SH | DEFINED | 01 | 0 |
| REMEC | COM | 759543101 | 16 | 1700 | SH | DEFINED | 01 | |
| REMEC | COM | 759543101 | 3091 | 334112 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| REMEC | COM | 759543101 | 209 | 22583 | SH | DEFINED | 01 | 0 |
| REMEC INC | COM | 759543101 | 1 | 140 | SH | DEFINED | 01 | 0 |
| REMINGTON OIL & GAS | COM | 759594302 | 4114 | 204045 | SH | DEFINED | 01 | 0 |
| REMINGTON OIL & GAS | COM | 759594302 | 3 | 170 | SH | DEFINED | 01 | 0 |
| REMINGTON OIL & GAS | COM | 759594302 | 252 | 12493 | SH | DEFINED | 01 | 0 |
| RENAISSANCE LEARNING | COM | 75968L105 | 364 | 11121 | SH | DEFINED | 01 | 0 |
| SAMSUNG E MECHANICS | COM | 759800998 | 4093 | 70540 | SH | DEFINED | 01 | 0 |
| SAMSUNG E MECHANICS | COM | 759800998 | 124 | 2140 | SH | DEFINED | 01 | 0 |
| RENAL CARE GROUP | COM | 759930100 | 5317 | 162088 | SH | DEFINED | 01 | 0 |
| RENAL CARE GROUP | COM | 759930100 | 5 | 160 | SH | DEFINED | 01 | 0 |
| RENT-A-CENTER | COM | 76009N100 | 926 | 18112 | SH | DEFINED | 01 | 0 |
| RENT-A-CENTER INC | COM | 76009N100 | 3 | 60 | SH | DEFINED | 01 | 0 |
| RENT WAY INC | COM | 76009U104 | 11 | 1380 | SH | DEFINED | 01 | 0 |
| RENAULT SA | COM | 760100990 | 334 | 6953 | SH | DEFINED | 01 | 0 |
| RENTOKIL INITIAL | COM | 760101998 | 12458 | 3091229 | SH | DEFINED | 01 | 0 |
| RENTOKIL INITIAL | COM | 760101998 | 3433 | 852031 | SH | DEFINED | 01 | 0 |
| RENTOKIL INITIAL | COM | 760101998 | 16569 | 4111293 | SH | DEFINED | 01 | 0 |
| REPSOL-YPF | COM | 760260992 | 701 | 55600 | SH | DEFINED | 01 | 0 |
| REPUBLIC BANCORP | COM | 760282103 | 476 | 34015 | SH | DEFINED | 01 | 0 |
| REPUBLIC BANCORP | COM | 760282103 | 41 | 2940 | SH | DEFINED | 01 | 0 |
| REPUBLIC SERVICES | COM | 760759100 | 28 | 1500 | SH | DEFINED | 01 | 0 |
| REPUBLIC SERVICES | COM | 760759100 | 8122 | 434761 | SH | DEFINED | 01 | 0 |
| REPUBLIC SERVICES | COM | 760759100 | 572 | 30600 | SH | DEFINED | 01 | 0 |
| REPUBLIC SERVICES | COM | 760759100 | 220 | 11800 | SH | DEFINED | 01 | 0 |
| MAJESCOR RESOURCE | COM | 760960997 | 162 | 200000 | SH | DEFINED | 01 | 0 |
| RANBAXY LABORATORIES | COM | 760990994 | 2884 | 160167 | SH | DEFINED | 01 | 0 |
| RANBAXY LABORATORIES | COM | 760990994 | 87 | 4815 | SH | DEFINED | 01 | 0 |
| RESMED INC | COM | 761152107 | 3966 | 98833 | SH | DEFINED | 01 | 0 |
| RESPIRONICS | COM | 761230101 | 6665 | 205687 | SH | DEFINED | 01 | 0 |
| RESPIRONICS | COM | 761230101 | 225 | 6950 | SH | DEFINED | 01 | 0 |
| RESPIRONICS INC | COM | 761230101 | 6 | 170 | SH | DEFINED | 01 | 0 |
| RETAIL EXCHANGE.COM | PRF | 761270XX0 | 0 | 1257143 | SH | DEFINED | 01 | 0 |
| RETEK | COM | 76128Q109 | 987 | 37586 | SH | DEFINED | 01 | 0 |
| REX STORES | COM | 761624105 | 11 | 580 | SH | DEFINED | 01 | 0 |
| REYNOLDS & REYNOLDS | COM | 761695105 | 824 | 27449 | SH | DEFINED | 01 | 0 |
| RJ REYNOLDS TOBACCO HOLDINGS | COM | 76182K105 | 385 | 5950 | SH | DEFINED | 01 | 0 |
| RJ REYNOLDS TOBACCO HOLDINGS | COM | 76182K105 | 2797 | 43195 | SH | DEFINED | 01 | 0 |
| RHOEN-KLINIKUM AG VORZUG | COM | 762420990 | 1000 | 20000 | SH | DEFINED | 01 | 0 |
| RHOEN-KLINIKUM AG | COM | 762421998 | 107 | 2000 | SH | DEFINED | 01 | 0 |
| RIGGS NATL CORP WASH | COM | 766570105 | 1344 | 87546 | SH | DEFINED | 01 | 0 |
| RIGHT MANAGEMENT CON | COM | 766573109 | 253 | 10000 | SH | DEFINED | 01 | 0 |
| RIO TINTO | COM | 767208994 | 12102 | 612312 | SH | DEFINED | 01 | 0 |
| RIO TINTO | COM | 767208994 | 17948 | 908092 | SH | DEFINED | 01 | 0 |
| RITE AID | COM | 767754104 | 83 | 24000 | SH | DEFINED | 01 | 0 |
| RITE AID | COM | 767754104 | 4 | 1124 | SH | DEFINED | 01 | 0 |
| RIVERSTONE NETWORKS | COM | 769320102 | 821 | 136953 | SH | DEFINED | 01 | 0 |
| ROADWAY CORPORATION | COM | 769742107 | 2849 | 76989 | SH | DEFINED | 01 | 0 |
| ROBBINS & MYERS INC RBN | COM | 770196103 | 993 | 36294 | SH | DEFINED | 01 | 0 |
| ROBERT HALF INTL | COM | 770323103 | 123079 | 4169321 | SH | DEFINED | 01 | 0 |
| ROBERT HALF INTL | COM | 770323103 | 5032 | 170450 | SH | DEFINED | 01 | 0 |
| ROBERT HALF INTL | COM | 770323103 | 35604 | 1206094 | SH | DEFINED | 01 | 0 |
| ROBERT HALF INTL INC | COM | 770323103 | 4691 | 158919 | SH | DEFINED | 01 | 0 |
| ROCK TENN CO | COM | 772739207 | 1266 | 59000 | SH | DEFINED | 01 | 0 |
| ROCK TENN CO | COM | 772739207 | 10 | 460 | SH | DEFINED | 01 | 0 |
| ROCK TENN CO | COM | 772739207 | 120 | 5600 | SH | DEFINED | 01 | 0 |
| ROCKWELL INTLORP | COM | 773903109 | 91 | 4517 | SH | DEFINED | 01 | 0 |
| ROCKWELL INTLORP | COM | 773903109 | 938 | 46736 | SH | DEFINED | 01 | 0 |
| ROCKWELL INTLORP | COM | 773903109 | 34 | 1676 | SH | DEFINED | 01 | 0 |
| ROCKWELL COLLINS | COM | 774341101 | 114 | 4497 | SH | DEFINED | 01 | 0 |
| ROCKWELL COLLINS | COM | 774341101 | 1173 | 46497 | SH | DEFINED | 01 | 0 |
| ROCKWELL COLLINS | COM | 774341101 | 42 | 1668 | SH | DEFINED | 01 | 0 |
| ROGERS CORP | COM | 775133101 | 1599 | 48152 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|------|
| ROHM & HAAS | COM | 775371107 | 34047 | 805487 | SH | DEFINED | 01 |
| ROHM & HAAS | COM | 775371107 | 2360 | 55840 | SH | DEFINED | 01 0 |
| ROHM & HAAS | COM | 775371107 | 123 | 2904 | SH | DEFINED | 01 0 |
| ROLLINS | COM | 775711104 | 21 | 1000 | SH | DEFINED | 01 |
| ROLLINS | COM | 775711104 | 20 | 958 | SH | DEFINED | 01 |
| ROPER INC NEW | COM | 776696106 | 5 | 100 | SH | DEFINED | 01 0 |
| ROPER INDS | COM | 776696106 | 6991 | 140546 | SH | DEFINED | 01 |
| ROPER INDS | COM | 776696106 | 219 | 4400 | SH | DEFINED | 01 0 |
| ROSLYN BANCORP | COM | 778162107 | 311 | 15062 | SH | DEFINED | 01 |
| ROSS STORES | COM | 778296103 | 569 | 15038 | SH | DEFINED | 01 |
| ROUSE CO | COM | 779273101 | 53 | 1700 | SH | DEFINED | 01 |
| ROUSE CO | COM | 779273101 | 7 | 221 | SH | DEFINED | 01 |
| ROWAN COMPANIES | COM | 779382100 | 53 | 2303 | SH | DEFINED | 01 |
| ROWAN COMPANIES | COM | 779382100 | 548 | 23764 | SH | DEFINED | 01 0 |
| ROWAN COMPANIES | COM | 779382100 | 20 | 852 | SH | DEFINED | 01 0 |
| ROXIO INC | COM | 780008108 | 1338 | 58971 | SH | DEFINED | 01 |
| ROYAL APPLIANCE | COM | 780076105 | 226 | 42294 | SH | DEFINED | 01 |
| ROYAL BANK OF CANADA | COM | 780087995 | 2382 | 71430 | SH | DEFINED | 01 0 |
| ROYAL DUTCH PETROLEUM ADR | COM | 780257804 | 33582 | 618237 | SH | DEFINED | 01 |
| ROYAL DUTCH PETROLEUM ADR | COM | 780257804 | 31605 | 581838 | SH | DEFINED | 01 0 |
| ROYAL DUTCH PETROLEUM ADR | COM | 780257804 | 1956 | 36014 | SH | DEFINED | 01 0 |
| ROYAL DUTCH PETROLEUM | COM | 780257994 | 9529 | 174071 | SH | DEFINED | 01 |
| ROYAL DUTCH PETROLEUM | COM | 780257994 | 11784 | 215262 | SH | DEFINED | 01 0 |
| ROYAL BANK OF SCOTLAND GROUP | COM | 780261996 | 23106 | 897461 | SH | DEFINED | 01 |
| ROYAL BANK OF SCOTLAND GROUP | COM | 780261996 | 8430 | 327410 | SH | DEFINED | 01 0 |
| ROYAL BANK OF SCOTLAND GROUP | COM | 780261996 | 30516 | 1185245 | SH | DEFINED | 01 0 |
| ROYAL & SUN ALLIANCE INSURANCE | COM | 780490991 | 1832 | 436100 | SH | DEFINED | 01 |
| RUBY TUESDAY INC COM | COM | 781182100 | 4605 | 198071 | SH | DEFINED | 01 |
| RUBY TUESDAY INC COM | COM | 781182100 | 3 | 135 | SH | DEFINED | 01 0 |
| RUDDICK | COM | 781258108 | 25 | 1476 | SH | DEFINED | 01 |
| RUDOLPH TECHNOLOGIES | COM | 781270103 | 4214 | 97635 | SH | DEFINED | 01 |
| RUDOLPH TECHNOLOGIES | COM | 781270103 | 10 | 235 | SH | DEFINED | 01 0 |
| RUDOLPH TECHNOLOGIES | COM | 781270103 | 144 | 3326 | SH | DEFINED | 01 0 |
| RURAL CELLULAR PIK 12.25% | PRF | 781904404 | 10185 | 25462 | SH | DEFINED | 01 |
| RURAL CELLULAR PIK 12.25% | PRF | 781904404 | 2253 | 5633 | SH | DEFINED | 01 0 |
| RUSS BERRIE & CO | COM | 782233100 | 1991 | 61847 | SH | DEFINED | 01 |
| RUSSELL CORP | COM | 782352108 | 1465 | 98304 | SH | DEFINED | 01 |
| RYANAIR HOLDINGS ADR | COM | 783513104 | 8088 | 269500 | SH | DEFINED | 01 0 |
| RYANS FAMILY STEAK | COM | 783519101 | 4070 | 169578 | SH | DEFINED | 01 |
| RYANS FAMILY STEAK | COM | 783519101 | 16 | 680 | SH | DEFINED | 01 0 |
| RYANS FAMILY STEAK | COM | 783519101 | 178 | 7400 | SH | DEFINED | 01 0 |
| RYDER SYSTEM | COM | 783549108 | 787 | 26663 | SH | DEFINED | 01 |
| RYDER SYSTEM | COM | 783549108 | 454 | 15375 | SH | DEFINED | 01 0 |
| RYDER SYSTEM | COM | 783549108 | 16 | 551 | SH | DEFINED | 01 0 |
| RYERSON TULL INC | COM | 78375P107 | 834 | 76132 | SH | DEFINED | 01 |
| RYLAND GROUP | COM | 783764103 | 6193 | 68654 | SH | DEFINED | 01 |
| RYLAND GROUP | COM | 783764103 | 144 | 1600 | SH | DEFINED | 01 0 |
| RYLAND GROUP INC | COM | 783764103 | 12 | 140 | SH | DEFINED | 01 0 |
| S&T BANCORP INC | COM | 783859101 | 2 | 87 | SH | DEFINED | 01 |
| SBC COMMUNICATIONS | COM | 78387G103 | 5225 | 139551 | SH | DEFINED | 01 |
| SBC COMMUNICATIONS | COM | 78387G103 | 167065 | 4462187 | SH | DEFINED | 01 |
| SBC COMMUNICATIONS | COM | 78387G103 | 611 | 16303 | SH | DEFINED | 01 0 |
| SBC COMMUNICATIONS | COM | 78387G103 | 34855 | 930967 | SH | DEFINED | 01 0 |
| SBC COMMUNICATIONS | COM | 78387G103 | 34974 | 934144 | SH | DEFINED | 01 0 |
| SBS TECH INC | COM | 78387P103 | 1 | 90 | SH | DEFINED | 01 0 |
| SBS TECHNOLOGIES | COM | 78387P103 | 1903 | 148843 | SH | DEFINED | 01 |
| SBS TECHNOLOGIES | COM | 78387P103 | 105 | 8200 | SH | DEFINED | 01 0 |
| SBA COMMUNICATIONS | COM | 78388J106 | 0 | 73 | SH | DEFINED | 01 |
| SWISSCOM AG | COM | 783901994 | 216 | 715 | SH | DEFINED | 01 |
| SCM MICROSYSTEMS INC | COM | 784018103 | 550 | 47052 | SH | DEFINED | 01 |
| SCP POOL CORP | COM | 784028102 | 2405 | 76584 | SH | DEFINED | 01 |
| SCP POOL CORP | COM | 784028102 | 5 | 150 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|------|
| SCPIE HOLDINGS INC | COM | 78402P104 | 485 | 28580 | SH | DEFINED | 01 |
| SEI INVESTMENTS | COM | 784117103 | 3826 | 89390 | SH | DEFINED | 01 |
| SEI INVESTMENTS | COM | 784117103 | 428 | 10000 | SH | DEFINED | 01 0 |
| SEI INVESTMENTS CO | COM | 784117103 | 18974 | 443250 | SH | DEFINED | 01 0 |
| STMICROELECTRONICS | COM | 784214991 | 9665 | 288882 | SH | DEFINED | 01 |
| STMICROELECTRONICS | COM | 784214991 | 3765 | 112520 | SH | DEFINED | 01 0 |
| STMICROELECTRONICS | COM | 784214991 | 13828 | 413333 | SH | DEFINED | 01 0 |
| SGW HOLDINGS WARRANT 2/1/07 | COM | 78422P118 | 0 | 2750 | SH | DEFINED | 01 |
| SGW HOLDINGS WARRANT 2/1/07 | COM | 78422P118 | 0 | 250 | SH | DEFINED | 01 0 |
| SGW HOLDINGS PFD PIK SERIES B | PRF | 78422P209 | 954 | 190701 | SH | DEFINED | 01 |
| SGW HOLDINGS PFD PIK SERIES B | PRF | 78422P209 | 87 | 17327 | SH | DEFINED | 01 0 |
| SGW HOLDINGS 0.00% PFDCVT SE | PRF | 78422P993 | 174 | 87091 | SH | DEFINED | 01 |
| SGW HOLDINGS 0.00% PFDCVT SE | PRF | 78422P993 | 19 | 9677 | SH | DEFINED | 01 0 |
| SJW CORP | COM | 784305104 | 41 | 500 | SH | DEFINED | 01 |
| SK TELECOM ADR | COM | 78440P108 | 152 | 6178 | SH | DEFINED | 01 |
| SL GREEN REALTY | COM | 78440X101 | 2059 | 61304 | SH | DEFINED | 01 |
| SL GREEN REALTY | COM | 78440X101 | 208 | 6200 | SH | DEFINED | 01 0 |
| SLI INC | COM | 78442T108 | 261 | 102497 | SH | DEFINED | 01 |
| SMC CORP | COM | 784619991 | 4425 | 37470 | SH | DEFINED | 01 |
| SMC CORP | COM | 784619991 | 1429 | 12100 | SH | DEFINED | 01 0 |
| SPS TECHNOLOGIES | COM | 784626103 | 85 | 2000 | SH | DEFINED | 01 |
| SPS TECHNOLOGIES | COM | 784626103 | 1704 | 40218 | SH | DEFINED | 01 |
| S&P 500 DEP RECPT | COM | 78462F103 | 32025 | 279400 | SH | DEFINED | 01 |
| S&P 500 DEP RECPT | COM | 78462F103 | 2387 | 20820 | SH | DEFINED | 01 0 |
| S&P 500 DEP RECPT | COM | 78462F103 | 1342 | 11709 | SH | DEFINED | 01 0 |
| STANDARD & POORS 500 INDEX | COM | 78462F103 | 2516 | 21950 | SH | DEFINED | 11 1 |
| STANDARD & POORS 500 INDEX | COM | 78462F103 | 31538 | 275150 | SH | DEFINED | 11 1 |
| SPSS | COM | 78462K102 | 1946 | 110968 | SH | DEFINED | 01 |
| SPSS | COM | 78462K102 | 132 | 7500 | SH | DEFINED | 01 0 |
| SPX | COM | 784635104 | 7261 | 51282 | SH | DEFINED | 01 |
| SPX | COM | 784635104 | 460 | 3250 | SH | DEFINED | 01 0 |
| SPX | COM | 784635104 | 177 | 1250 | SH | DEFINED | 01 0 |
| S1 CORPORATION | COM | 78463B101 | 1 | 76 | SH | DEFINED | 01 |
| S1 CORPORATION | COM | 78463B101 | 3 | 180 | SH | DEFINED | 01 0 |
| SRI/SURGICAL EXPRESS | COM | 78464W104 | 690 | 45240 | SH | DEFINED | 01 |
| SRI/SURGICAL EXPRESS | COM | 78464W104 | 70 | 4610 | SH | DEFINED | 01 0 |
| SWS GROUP INC | COM | 78503N107 | 4474 | 220956 | SH | DEFINED | 01 |
| SWS GROUP INC | COM | 78503N107 | 490 | 24200 | SH | DEFINED | 01 0 |
| SABRE HOLDINGS CL A | COM | 785905100 | 10830 | 231852 | SH | DEFINED | 01 |
| SABRE HOLDINGS CL A | COM | 785905100 | 1594 | 34123 | SH | DEFINED | 01 0 |
| SABRE HOLDINGS CL A | COM | 785905100 | 5028 | 107653 | SH | DEFINED | 01 0 |
| SAFECO | COM | 786429100 | 30540 | 953162 | SH | DEFINED | 01 |
| SAFECO | COM | 786429100 | 1037 | 32370 | SH | DEFINED | 01 0 |
| SAFECO | COM | 786429100 | 2939 | 91733 | SH | DEFINED | 01 0 |
| SAFEGUARD SCIENTIFIC | COM | 786449108 | 2 | 555 | SH | DEFINED | 01 |
| SAFENET INC | COM | 78645R107 | 7 | 470 | SH | DEFINED | 01 0 |
| SAFEWAY | COM | 786500991 | 1235 | 295300 | SH | DEFINED | 01 |
| SAFEWAY | COM | 786514208 | 11 | 250 | SH | DEFINED | 01 |
| SAFEWAY | COM | 786514208 | 115902 | 2574447 | SH | DEFINED | 01 |
| SAFEWAY | COM | 786514208 | 6615 | 146928 | SH | DEFINED | 01 0 |
| SAFEWAY | COM | 786514208 | 45054 | 1000764 | SH | DEFINED | 01 0 |
| SAFEWAY INC. | COM | 786514208 | 15981 | 354960 | SH | DEFINED | 01 |
| SAFEWAY INC. | COM | 786514208 | 37929 | 842397 | SH | DEFINED | 01 0 |
| SAGA COMMUNICATIONS | COM | 786598102 | 5 | 190 | SH | DEFINED | 01 0 |
| SAGE PLC | COM | 786639997 | 1951 | 606197 | SH | DEFINED | 01 |
| SAIPEM | COM | 786801993 | 454 | 73872 | SH | DEFINED | 01 |
| SANKYO JP;4501 | COM | 786900993 | 703 | 46000 | SH | DEFINED | 01 |
| ST FRANCIS CAP CORP | COM | 789374105 | 11 | 460 | SH | DEFINED | 01 0 |
| ST. JAME'S PLACE CAPITAL | COM | 790105993 | 64 | 15800 | SH | DEFINED | 01 |
| ST JOE PAPER CORP | COM | 790148100 | 2823 | 94111 | SH | DEFINED | 01 |
| ST JUDE MEDICAL | COM | 790849103 | 324 | 4200 | SH | DEFINED | 01 0 |
| ST. JUDE MEDICAL | COM | 790849103 | 8 | 100 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-----------------------------|-----|-----------|-------|---------|----|---------|----|
| ST. JUDE MEDICAL | COM | 790849103 | 45359 | 587934 | SH | DEFINED | 01 |
| ST. JUDE MEDICAL | COM | 790849103 | 2241 | 29054 | SH | DEFINED | 01 |
| ST. JUDE MEDICAL | COM | 790849103 | 43794 | 567660 | SH | DEFINED | 01 |
| ST. MARY LAND & EXPLORATION | COM | 792228108 | 6866 | 316285 | SH | DEFINED | 01 |
| ST. MARY LAND & EXPLORATION | COM | 792228108 | 421 | 19400 | SH | DEFINED | 01 |
| ST PAUL COMPANIES | COM | 792860108 | 15029 | 327810 | SH | DEFINED | 01 |
| ST. PAUL COMPANIES | COM | 792860108 | 316 | 6900 | SH | DEFINED | 01 |
| ST. PAUL COMPANIES | COM | 792860108 | 35981 | 784762 | SH | DEFINED | 01 |
| ST. PAUL COMPANIES | COM | 792860108 | 2642 | 57613 | SH | DEFINED | 01 |
| ST. PAUL COMPANIES | COM | 792860108 | 2465 | 53792 | SH | DEFINED | 01 |
| SAKS | COM | 79377W108 | 68 | 5202 | SH | DEFINED | 01 |
| SALIX PHARMACEUTICALS LTD | COM | 795435106 | 726 | 41400 | SH | DEFINED | 01 |
| SALTON INC | COM | 795757103 | 2138 | 107712 | SH | DEFINED | 01 |
| SALTON INC | COM | 795757103 | 9 | 460 | SH | DEFINED | 01 |
| SALTON INC | COM | 795757103 | 139 | 7000 | SH | DEFINED | 01 |
| SAMSUNG ELECTRONICS | COM | 796611994 | 18338 | 68063 | SH | DEFINED | 01 |
| SAMSUNG ELECTRONICS | COM | 796611994 | 288 | 1070 | SH | DEFINED | 01 |
| SAMSUNG ELECTRONICS | COM | 796700995 | 5842 | 43070 | SH | DEFINED | 01 |
| SAMSUNG ELECTRONICS | COM | 796700995 | 184 | 1360 | SH | DEFINED | 01 |
| SAMSUNG FIRE & MARIN | COM | 796722999 | 99 | 1830 | SH | DEFINED | 01 |
| SAN DIEGO G&E \$25 | PRF | 797440831 | 1448 | 59500 | SH | DEFINED | 01 |
| SAN PAOLO-IMI | COM | 798200994 | 439 | 37300 | SH | DEFINED | 01 |
| SANCHEZ COMP ASS INC | COM | 799702105 | 17 | 2500 | SH | DEFINED | 01 |
| SANCHEZ COMP ASS INC | COM | 799702105 | 0 | 70 | SH | DEFINED | 01 |
| SANDERSON FARMS INC | COM | 800013104 | 9 | 350 | SH | DEFINED | 01 |
| SANDISK | COM | 80004C101 | 55 | 2540 | SH | DEFINED | 01 |
| SANDVIK AB-A SHS | COM | 800200990 | 93 | 3917 | SH | DEFINED | 01 |
| SANDY SPRINGS & SVNG | COM | 800363103 | 14 | 430 | SH | DEFINED | 01 |
| SANDY SPRINGS BANCORP | COM | 800363103 | 2159 | 66535 | SH | DEFINED | 01 |
| SANDY SPRINGS BANCORP | COM | 800363103 | 237 | 7315 | SH | DEFINED | 01 |
| SANMINA-SCI | COM | 800907107 | 1318 | 112290 | SH | DEFINED | 01 |
| SANMINA-SCI | COM | 800907107 | 1662 | 141454 | SH | DEFINED | 01 |
| SANMINA-SCI | COM | 800907107 | 56 | 4754 | SH | DEFINED | 01 |
| SANMINA-SCI CORP | COM | 800907107 | 17104 | 1456110 | SH | DEFINED | 01 |
| SANOF SYNTHELABO | COM | 800955999 | 35910 | 559271 | SH | DEFINED | 01 |
| SANOF SYNTHELABO | COM | 800955999 | 1329 | 20700 | SH | DEFINED | 01 |
| SANGSTAT MEDICAL CP | COM | 801003104 | 2526 | 94083 | SH | DEFINED | 01 |
| SANGSTAT MEDICAL CP | COM | 801003104 | 3 | 110 | SH | DEFINED | 01 |
| SANGSTAT MEDICAL CP | COM | 801003104 | 183 | 6800 | SH | DEFINED | 01 |
| SANTANDER BANCO | COM | 802809103 | 3 | 129 | SH | DEFINED | 01 |
| SAP | COM | 803053990 | 580 | 3819 | SH | DEFINED | 01 |
| SAP | COM | 803053990 | 4576 | 30142 | SH | DEFINED | 01 |
| SAP ADR | COM | 803054204 | 93275 | 2507400 | SH | DEFINED | 01 |
| SAP ADR | COM | 803054204 | 11309 | 303989 | SH | DEFINED | 01 |
| SAP AG ADR | COM | 803054204 | 200 | 5374 | SH | DEFINED | 01 |
| SAPIENT | COM | 803062108 | 16 | 3415 | SH | DEFINED | 01 |
| SAPIENT | COM | 803062108 | 152 | 32020 | SH | DEFINED | 01 |
| SAPIENT | COM | 803062108 | 5 | 1148 | SH | DEFINED | 01 |
| SANYO ELECTRIC CO LTD | COM | 803071992 | 160 | 35259 | SH | DEFINED | 01 |
| SARA LEE | COM | 803111103 | 27498 | 1324586 | SH | DEFINED | 01 |
| SARA LEE | COM | 803111103 | 4132 | 199033 | SH | DEFINED | 01 |
| SARA LEE | COM | 803111103 | 5949 | 286542 | SH | DEFINED | 01 |
| SAVVIS COMM CORP | COM | 805423100 | 0 | 229 | SH | DEFINED | 01 |
| SCANA | COM | 80589M102 | 109 | 3577 | SH | DEFINED | 01 |
| SCHEIN (HENRY) | COM | 806407102 | 69 | 1565 | SH | DEFINED | 01 |
| SCHERING | COM | 806584991 | 12310 | 210607 | SH | DEFINED | 01 |
| SCHERING | COM | 806584991 | 3262 | 55816 | SH | DEFINED | 01 |
| SCHERING | COM | 806584991 | 16551 | 283159 | SH | DEFINED | 01 |
| SCHERING PLOUGH | COM | 806605101 | 7508 | 239883 | SH | DEFINED | 01 |
| SCHERING PLOUGH | COM | 806605101 | 329 | 10500 | SH | DEFINED | 01 |
| SCHERING-PLOUGH | COM | 806605101 | 68246 | 2180378 | SH | DEFINED | 01 |
| SCHERING-PLOUGH | COM | 806605101 | 12680 | 405107 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-----------------------------|-----|-----------|--------|---------|----|---------|----|---|
| SCHERING-PLOUGH | COM | 806605101 | 7848 | 250714 | SH | DEFINED | 01 | 0 |
| SCHLUMBERGER | COM | 806857108 | 294379 | 5004747 | SH | DEFINED | 01 | |
| SCHLUMBERGER | COM | 806857108 | 9661 | 164233 | SH | DEFINED | 01 | 0 |
| SCHLUMBERGER | COM | 806857108 | 60620 | 1030614 | SH | DEFINED | 01 | 0 |
| SCHLUMBERGER | COM | 806857108 | 1047 | 17800 | SH | DEFINED | 11 | 1 |
| SCHLUMBERGER | COM | 806857108 | 8005 | 136100 | SH | DEFINED | 11 | 1 |
| SCHLUMBERGER LTD | COM | 806857108 | 42876 | 728916 | SH | DEFINED | 01 | 0 |
| SCHNEIDER ELECTRIC | COM | 806878997 | 147 | 2915 | SH | DEFINED | 01 | |
| SCHOLASTIC | COM | 807066105 | 11745 | 216731 | SH | DEFINED | 01 | |
| SCHOLASTIC | COM | 807066105 | 15840 | 292304 | SH | DEFINED | 01 | 0 |
| SCHOLASTIC CORP | COM | 807066105 | 155 | 2850 | SH | DEFINED | 01 | 0 |
| SCHOOL SPECIALTY INC | COM | 807863105 | 1477 | 55284 | SH | DEFINED | 01 | |
| SCHRODERS PLC | COM | 808177992 | 50 | 3898 | SH | DEFINED | 01 | |
| SCHULMAN (A) | COM | 808194104 | 1389 | 75931 | SH | DEFINED | 01 | |
| SCHULMAN (A) | COM | 808194104 | 130 | 7100 | SH | DEFINED | 01 | 0 |
| SCHULMAN (A.) INC | COM | 808194104 | 11 | 610 | SH | DEFINED | 01 | 0 |
| UBS | COM | 808411995 | 35795 | 727071 | SH | DEFINED | 01 | |
| UBS | COM | 808411995 | 7496 | 152254 | SH | DEFINED | 01 | 0 |
| UBS | COM | 808411995 | 27555 | 559681 | SH | DEFINED | 01 | 0 |
| SCHWAB (CHARLES) | COM | 808513105 | 11879 | 907436 | SH | DEFINED | 01 | |
| SCHWAB (CHARLES) | COM | 808513105 | 4795 | 366335 | SH | DEFINED | 01 | 0 |
| SCHWAB (CHARLES) | COM | 808513105 | 12602 | 962727 | SH | DEFINED | 01 | 0 |
| SCHWAB CHARLES CORP | COM | 808513105 | 196 | 15000 | SH | DEFINED | 01 | |
| SCHWAB CHARLES CORP | COM | 808513105 | 44592 | 3406444 | SH | DEFINED | 01 | 0 |
| SCHWEITZER MAUDUIT | COM | 808541106 | 1131 | 45506 | SH | DEFINED | 01 | |
| SCIENT INC | COM | 808649107 | 0 | 440 | SH | DEFINED | 01 | |
| SCIENTIFIC-ATLANTA | COM | 808655104 | 44 | 1900 | SH | DEFINED | 01 | |
| SCIENTIFIC-ATLANTA | COM | 808655104 | 18606 | 805436 | SH | DEFINED | 01 | |
| SCIENTIFIC-ATLANTA | COM | 808655104 | 1323 | 57248 | SH | DEFINED | 01 | 0 |
| SCIENTIFIC-ATLANTA | COM | 808655104 | 692 | 29922 | SH | DEFINED | 01 | 0 |
| SCIENTIFIC GAMES | COM | 80874P109 | 1283 | 153300 | SH | DEFINED | 01 | |
| SCIOS | COM | 808905103 | 3616 | 125009 | SH | DEFINED | 01 | |
| SCIOS | COM | 808905103 | 184 | 6350 | SH | DEFINED | 01 | 0 |
| SCIOS INC | COM | 808905103 | 5 | 170 | SH | DEFINED | 01 | 0 |
| SCIQUEST INC | COM | 80908Q107 | 0 | 82 | SH | DEFINED | 01 | |
| SCOTTS CO CLA | COM | 810186106 | 4801 | 104874 | SH | DEFINED | 01 | |
| SCRIPPS CO (E.W) CLA | COM | 811054204 | 49 | 600 | SH | DEFINED | 01 | |
| SCRIPPS CO (E.W) CLA | COM | 811054204 | 14 | 172 | SH | DEFINED | 01 | |
| SEACHANGE INTL | COM | 811699107 | 1118 | 73627 | SH | DEFINED | 01 | |
| SEACHANGE INTL | COM | 811699107 | 87 | 5751 | SH | DEFINED | 01 | 0 |
| SEACHANGE INTL INC | COM | 811699107 | 2 | 140 | SH | DEFINED | 01 | 0 |
| SEACOAST BANK COR FL | COM | 811707108 | 7 | 165 | SH | DEFINED | 01 | 0 |
| SEACOAST BANKING OF FLORIDA | COM | 811707108 | 987 | 20859 | SH | DEFINED | 01 | |
| SEACOAST BANKING OF FLORIDA | COM | 811707108 | 97 | 2041 | SH | DEFINED | 01 | 0 |
| SEACOAST FINL SERV | COM | 81170Q106 | 11 | 540 | SH | DEFINED | 01 | 0 |
| SEACOR SMIT INC | COM | 811904101 | 4744 | 96804 | SH | DEFINED | 01 | |
| SEACOR SMIT INC | COM | 811904101 | 14 | 280 | SH | DEFINED | 01 | 0 |
| SEACOR SMIT INC | COM | 811904101 | 181 | 3700 | SH | DEFINED | 01 | 0 |
| SEALED AIR | COM | 81211K100 | 5479 | 116375 | SH | DEFINED | 01 | |
| SEALED AIR | COM | 81211K100 | 999 | 21226 | SH | DEFINED | 01 | 0 |
| SEALED AIR | COM | 81211K100 | 36 | 761 | SH | DEFINED | 01 | 0 |
| SEARS, ROEBUCK | COM | 812387108 | 31425 | 612923 | SH | DEFINED | 01 | |
| SEARS, ROEBUCK | COM | 812387108 | 5488 | 107044 | SH | DEFINED | 01 | 0 |
| SEARS, ROEBUCK | COM | 812387108 | 11599 | 226234 | SH | DEFINED | 01 | 0 |
| SECOM | COM | 813113990 | 4731 | 107000 | SH | DEFINED | 01 | |
| SECOM | COM | 813113990 | 1636 | 37000 | SH | DEFINED | 01 | 0 |
| AMEX CONSUMER STAPLE | COM | 81369Y308 | 25 | 957 | SH | DEFINED | 01 | 0 |
| AMEX ENERGY SELECT S | COM | 81369Y506 | 11 | 370 | SH | DEFINED | 01 | 0 |
| AMEX FINANCIAL SELEC | COM | 81369Y605 | 15 | 546 | SH | DEFINED | 01 | 0 |
| AMEX TECH SEL SPDR | COM | 81369Y803 | 14 | 660 | SH | DEFINED | 01 | 0 |
| SECURITAS | COM | 813701992 | 448 | 22602 | SH | DEFINED | 01 | |
| SECURE CO | COM | 813705100 | 4 | 180 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|----------------------------|-----|-----------|-------|---------|----|---------|----|
| SECURE COMPUTING | COM | 813705100 | 3855 | 196600 | SH | DEFINED | 01 |
| SECURE COMPUTING | COM | 813705100 | 155 | 7900 | SH | DEFINED | 01 |
| SECURITY CAPITAL GROUP-B | COM | 81413P204 | 11 | 437 | SH | DEFINED | 01 |
| SEEBEYOND TECHNOLOGY | COM | 815704101 | 2 | 250 | SH | DEFINED | 01 |
| SEEBEYOND TECHNOLOGY CORP | COM | 815704101 | 452 | 59172 | SH | DEFINED | 01 |
| SEITEL INC | COM | 816074306 | 703 | 76869 | SH | DEFINED | 01 |
| SELECT MEDICAL | COM | 816196109 | 951 | 59400 | SH | DEFINED | 01 |
| SELECTIVE INSURANCE GROUP | COM | 816300107 | 2145 | 80337 | SH | DEFINED | 01 |
| SEMBCORP INDUSTRIES | COM | 816624993 | 1738 | 1942000 | SH | DEFINED | 01 |
| SEMBCORP LOGISTICS LIMITED | COM | 816625990 | 126 | 105000 | SH | DEFINED | 01 |
| SEMTECH | COM | 816850101 | 4 | 100 | SH | DEFINED | 01 |
| SEMTECH | COM | 816850101 | 13915 | 381227 | SH | DEFINED | 01 |
| SEMTECH | COM | 816850101 | 6201 | 169900 | SH | DEFINED | 01 |
| SEMPRA ENERGY | COM | 816851109 | 64757 | 2574825 | SH | DEFINED | 01 |
| SEMPRA ENERGY | COM | 816851109 | 1457 | 57912 | SH | DEFINED | 01 |
| SEMPRA ENERGY | COM | 816851109 | 100 | 3984 | SH | DEFINED | 01 |
| SENSIENT TECHNOLOGIES | COM | 81725T100 | 23 | 1000 | SH | DEFINED | 01 |
| SENSIENT TECHNOLOGIES | COM | 81725T100 | 44 | 1893 | SH | DEFINED | 01 |
| SERCO GROUP | COM | 817314990 | 87 | 20000 | SH | DEFINED | 01 |
| SEPRACOR | COM | 817315104 | 93 | 4786 | SH | DEFINED | 01 |
| SEQUA CL A | COM | 817320104 | 17 | 331 | SH | DEFINED | 01 |
| SEQUA CORP -CL B | COM | 817320203 | 24 | 400 | SH | DEFINED | 01 |
| SEQUENOM INC | COM | 817337108 | 0 | 61 | SH | DEFINED | 01 |
| SERENA SOFTWARE INC | COM | 817492101 | 1634 | 83828 | SH | DEFINED | 01 |
| SERENA SOFTWARE INC | COM | 817492101 | 3 | 130 | SH | DEFINED | 01 |
| SERENA SOFTWARE INC | COM | 817492101 | 103 | 5300 | SH | DEFINED | 01 |
| SEROLOGICALS | COM | 817523103 | 17 | 1100 | SH | DEFINED | 01 |
| SEROLOGICALS | COM | 817523103 | 1827 | 116640 | SH | DEFINED | 01 |
| SEROLOGICALS | COM | 817523103 | 140 | 8960 | SH | DEFINED | 01 |
| SEROLOGICALS CORP | COM | 817523103 | 3 | 220 | SH | DEFINED | 01 |
| SERVICE CORP INTL | COM | 817565104 | 8 | 1466 | SH | DEFINED | 01 |
| SERVICEMASTER CO | COM | 81760N109 | 10 | 741 | SH | DEFINED | 01 |
| 7-ELEVEN INC | COM | 817826209 | 17 | 1500 | SH | DEFINED | 01 |
| 7-ELEVEN INC | COM | 817826209 | 9 | 812 | SH | DEFINED | 01 |
| SHARP | COM | 819882101 | 991 | 75088 | SH | DEFINED | 01 |
| SHAW GROUP | COM | 820280105 | 16474 | 599049 | SH | DEFINED | 01 |
| SHAW GROUP | COM | 820280105 | 1001 | 36400 | SH | DEFINED | 01 |
| SHAW GROUP | COM | 820280105 | 382 | 13900 | SH | DEFINED | 01 |
| SHELL TRANSPORT & TRADING | COM | 822703997 | 6190 | 831145 | SH | DEFINED | 01 |
| SHELL TRANSPORT & TRADING | COM | 822703997 | 8000 | 1074215 | SH | DEFINED | 01 |
| SHERWIN-WILLIAMS | COM | 824348106 | 109 | 3839 | SH | DEFINED | 01 |
| SHERWIN-WILLIAMS | COM | 824348106 | 1114 | 39129 | SH | DEFINED | 01 |
| SHERWIN-WILLIAMS | COM | 824348106 | 40 | 1404 | SH | DEFINED | 01 |
| SHINSEGAE CO LTD | COM | 824555999 | 3081 | 19560 | SH | DEFINED | 01 |
| SHINSEGAE CO LTD | COM | 824555999 | 96 | 610 | SH | DEFINED | 01 |
| SHINHAN FINANCIAL GROUP | COM | 824777999 | 5390 | 409900 | SH | DEFINED | 01 |
| SHINHAN FINANCIAL GROUP | COM | 824777999 | 176 | 13350 | SH | DEFINED | 01 |
| SHOPKO STORES | COM | 824911101 | 2921 | 161392 | SH | DEFINED | 01 |
| SHOPKO STORES | COM | 824911101 | 65 | 3611 | SH | DEFINED | 01 |
| SHIN-ETSU CHEMICAL | COM | 824925994 | 7319 | 172900 | SH | DEFINED | 01 |
| SHIN-ETSU CHEMICAL | COM | 824925994 | 3729 | 88100 | SH | DEFINED | 01 |
| SHIN-ETSU CHEMICAL | COM | 824925994 | 13866 | 327600 | SH | DEFINED | 01 |
| SHIONOGI | COM | 824930994 | 105 | 6692 | SH | DEFINED | 01 |
| SHUFFLE MASTER | COM | 825549108 | 547 | 26500 | SH | DEFINED | 01 |
| SHUFFLE MASTER INC | COM | 825549108 | 1 | 25 | SH | DEFINED | 01 |
| SHURGARD STORAGE CTR | COM | 82567D104 | 3384 | 99816 | SH | DEFINED | 01 |
| GENSIA PHARMACEUTICS INC | COM | 825846108 | 38 | 2200 | SH | DEFINED | 01 |
| GENSIA PHARMACEUTICS INC | COM | 825846108 | 8 | 444 | SH | DEFINED | 01 |
| SIDERCA S.A.I.C | COM | 825863103 | 56 | 3000 | SH | DEFINED | 01 |
| INVENSYS PLC | COM | 825995996 | 6836 | 3871784 | SH | DEFINED | 01 |
| INVENSYS PLC | COM | 825995996 | 9306 | 5269743 | SH | DEFINED | 01 |
| SIEBEL SYSTEMS | COM | 826170102 | 3361 | 103071 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|---|
| SIEBEL SYSTEMS | COM | 826170102 | 12205 | 374283 | SH | DEFINED | 01 | 0 |
| SIEBEL SYSTEMS | COM | 826170102 | 3948 | 121061 | SH | DEFINED | 01 | 0 |
| SIEBEL SYSTEMS | COM | 826170102 | 2094 | 64205 | SH | DEFINED | 01 | 0 |
| SIEBEL SYSTEMS INC | COM | 826170102 | 395 | 12100 | SH | DEFINED | 11 | 1 |
| SIEMENS | COM | 826197105 | 2895 | 44258 | SH | DEFINED | 01 | |
| SIERRA HEALTH SERVIC | COM | 826322109 | 1119 | 85545 | SH | DEFINED | 01 | |
| SIERRA PACIFIC RESOURCES | COM | 826428104 | 55 | 3645 | SH | DEFINED | 01 | |
| SIGMA-ALDRICH | COM | 826552101 | 54088 | 1151798 | SH | DEFINED | 01 | |
| SIGMA-ALDRICH | COM | 826552101 | 3037 | 64652 | SH | DEFINED | 01 | 0 |
| SIGMA-ALDRICH | COM | 826552101 | 7701 | 163981 | SH | DEFINED | 01 | 0 |
| SIGMA-ALDRICH | COM | 826552101 | 1406 | 29953 | SH | DEFINED | 01 | 0 |
| SIGNET | COM | 826680993 | 8822 | 5162893 | SH | DEFINED | 01 | |
| SIGNET | COM | 826680993 | 11556 | 6762792 | SH | DEFINED | 01 | 0 |
| SIGNALSOFT RESTRICTED | COM | 82668M979 | 1185 | 760624 | SH | DEFINED | 01 | |
| SIGNALSOFT RESTRICTED | COM | 82668M979 | 2370 | 1521249 | SH | DEFINED | 01 | 0 |
| SIGNALSOFT RESTRICTED | COM | 82668M987 | 0 | 10 | SH | DEFINED | 01 | 0 |
| SILICON LABORATORIES | COM | 826919102 | 2040 | 57746 | SH | DEFINED | 01 | |
| SILGAN HOLDINGS | COM | 827048109 | 2975 | 89000 | SH | DEFINED | 01 | |
| SILGAN HOLDINGS | COM | 827048109 | 14 | 420 | SH | DEFINED | 01 | 0 |
| SILGAN HOLDINGS | COM | 827048109 | 324 | 9700 | SH | DEFINED | 01 | 0 |
| SILICON GRAPHICS INC | COM | 827056102 | 4 | 1043 | SH | DEFINED | 01 | |
| SILICON GRAPHICS INC | COM | 827056102 | 11 | 2635 | SH | DEFINED | 01 | 0 |
| SILICON STORAGE TECH | COM | 827057100 | 131 | 12400 | SH | DEFINED | 01 | |
| SILICON STORAGE TECH | COM | 827057100 | 3 | 303 | SH | DEFINED | 01 | |
| SILICON IMAGE | COM | 82705T102 | 1 | 122 | SH | DEFINED | 01 | |
| SILICON VALLEY BANCSHARES | COM | 827064106 | 763 | 25204 | SH | DEFINED | 01 | |
| SILICONIX INC | COM | 827079203 | 5 | 173 | SH | DEFINED | 01 | |
| SILVERSTREAM SOFTWA | COM | 827907106 | 0 | 62 | SH | DEFINED | 01 | |
| SIME DARBY | COM | 828620997 | 3083 | 2320000 | SH | DEFINED | 01 | |
| SIME DARBY | COM | 828620997 | 100 | 75000 | SH | DEFINED | 01 | 0 |
| SIMON PROPERTY GROUP | COM | 828806109 | 12 | 377 | SH | DEFINED | 01 | |
| SIMPSON MFG | COM | 829073105 | 4898 | 80102 | SH | DEFINED | 01 | |
| SIMPSON MFG | COM | 829073105 | 196 | 3200 | SH | DEFINED | 01 | 0 |
| SINCLAIR BROADCASTIN | COM | 829226109 | 72 | 5300 | SH | DEFINED | 01 | |
| SINCLAIR BROADCASTIN | COM | 829226109 | 3 | 190 | SH | DEFINED | 01 | |
| SINGAPORE AIRLINES | COM | 829295997 | 196 | 25083 | SH | DEFINED | 01 | |
| SINGAPORE TECH ENGINEERING LTD | COM | 829298991 | 119 | 95000 | SH | DEFINED | 01 | |
| SIRENZA MICRODEVICES | COM | 82966T106 | 1 | 101 | SH | DEFINED | 01 | |
| SIRIUS SATELLITE RADIO INC | COM | 82966U103 | 1 | 274 | SH | DEFINED | 01 | |
| SIX CONTINENTS | COM | 829900992 | 396 | 37200 | SH | DEFINED | 01 | |
| SIX FLAGS | COM | 83001P109 | 54 | 3000 | SH | DEFINED | 01 | |
| SIX FLAGS | COM | 83001P109 | 58 | 3198 | SH | DEFINED | 01 | |
| SK TELECOM | COM | 830530994 | 6910 | 31400 | SH | DEFINED | 01 | |
| SK TELECOM | COM | 830530994 | 224 | 1020 | SH | DEFINED | 01 | 0 |
| SKILLSOFT | COM | 83066P101 | 281 | 12200 | SH | DEFINED | 01 | |
| SKY FINL GROUP INC | COM | 83080P103 | 9 | 394 | SH | DEFINED | 01 | |
| SKYLINE | COM | 830830105 | 799 | 25738 | SH | DEFINED | 01 | |
| SKYWEST | COM | 830879102 | 20650 | 828304 | SH | DEFINED | 01 | |
| SKYWEST | COM | 830879102 | 3390 | 136000 | SH | DEFINED | 01 | 0 |
| SKYWEST | COM | 830879102 | 382 | 15316 | SH | DEFINED | 01 | 0 |
| SKYWEST INC | COM | 830879102 | 58289 | 2338027 | SH | DEFINED | 01 | 0 |
| SMARTFORCE ADR | COM | 83170A206 | 120 | 11400 | SH | DEFINED | 01 | |
| SMITH (A O) CORP | COM | 831865209 | 3299 | 129629 | SH | DEFINED | 01 | |
| SMITH (A O) CORP | COM | 831865209 | 9 | 345 | SH | DEFINED | 01 | 0 |
| SMITH (A O) CORP | COM | 831865209 | 162 | 6360 | SH | DEFINED | 01 | 0 |
| SMITH & NEPHEW | COM | 831911995 | 377 | 64495 | SH | DEFINED | 01 | |
| SMITH INTL | COM | 832110100 | 895 | 13202 | SH | DEFINED | 01 | |
| SMITHFIELD FOODS | COM | 832248108 | 8445 | 323548 | SH | DEFINED | 01 | |
| SMITHFIELD FOODS | COM | 832248108 | 501 | 19200 | SH | DEFINED | 01 | 0 |
| SMITHFIELD FOODS | COM | 832248108 | 193 | 7400 | SH | DEFINED | 01 | 0 |
| SMITHS GROUP PLC | COM | 832389993 | 1052 | 91100 | SH | DEFINED | 01 | |
| SMUCKER (JM) | COM | 832696306 | 29 | 879 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|---------------------------------|-----|-----------|--------|----------|----|---------|----|
| SMURFIT-STONE CONTAINER | COM | 832727101 | 873 | 50979 | SH | DEFINED | 01 |
| SNAM RETE GAS 144 | COM | 833029556 | 3299 | 1231693 | SH | DEFINED | 01 |
| SNAP-ON | COM | 833034101 | 51 | 1491 | SH | DEFINED | 01 |
| SNAP-ON | COM | 833034101 | 500 | 14676 | SH | DEFINED | 01 |
| SNAP-ON | COM | 833034101 | 18 | 526 | SH | DEFINED | 01 |
| SOC GEN DE AGUSA DE BARCELONA | COM | 833637994 | 0 | 34 | SH | DEFINED | 01 |
| SOCIETE GENERALE CL A | COM | 833639990 | 11172 | 176654 | SH | DEFINED | 01 |
| SOCIETE GENERALE CL A | COM | 833639990 | 13133 | 207642 | SH | DEFINED | 01 |
| SOFTWARE AG | COM | 834024994 | 97 | 5500 | SH | DEFINED | 01 |
| SOLA INTL | COM | 834092108 | 15 | 1000 | SH | DEFINED | 01 |
| SOLA INTL | COM | 834092108 | 1103 | 74907 | SH | DEFINED | 01 |
| SOLECTRON | COM | 834182107 | 19017 | 2437939 | SH | DEFINED | 01 |
| SOLECTRON | COM | 834182107 | 2348 | 300908 | SH | DEFINED | 01 |
| SOLECTRON | COM | 834182107 | 584 | 74977 | SH | DEFINED | 01 |
| SOLECTRON CORP | COM | 834182107 | 1092 | 140000 | SH | DEFINED | 01 |
| SOLECTRON CORP | COM | 834182107 | 25202 | 3230713 | SH | DEFINED | 01 |
| SOLUTIA | COM | 834376105 | 2513 | 288777 | SH | DEFINED | 01 |
| SOLUTIA | COM | 834376105 | 4176 | 480000 | SH | DEFINED | 01 |
| SOLUTIA INC | COM | 834376105 | 54 | 6200 | SH | DEFINED | 01 |
| SOMERA COMMUNICATION | COM | 834458101 | 4 | 500 | SH | DEFINED | 01 |
| SOMERA COMMUNICATIONS | COM | 834458101 | 5808 | 793400 | SH | DEFINED | 01 |
| SOMERA COMMUNICATIONS | COM | 834458101 | 503 | 68700 | SH | DEFINED | 01 |
| SONIC CORP | COM | 835451105 | 3152 | 122582 | SH | DEFINED | 01 |
| SONIC CORP | COM | 835451105 | 13747 | 534700 | SH | DEFINED | 01 |
| SONIC CORP | COM | 835451105 | 154 | 6000 | SH | DEFINED | 01 |
| SONIC AUTOMOTIVE INC | COM | 83545G102 | 2248 | 75000 | SH | DEFINED | 01 |
| SONIC AUTOMOTIVE INC | COM | 83545G102 | 14 | 490 | SH | DEFINED | 01 |
| SONIC AUTOMOTIVE INC | COM | 83545G102 | 222 | 7400 | SH | DEFINED | 01 |
| S3 INCORPORATED | COM | 83546Q109 | 0 | 185 | SH | DEFINED | 01 |
| SONICWALL | COM | 835470105 | 448 | 34373 | SH | DEFINED | 01 |
| SONICWALL INC | COM | 835470105 | 1 | 110 | SH | DEFINED | 01 |
| SONOCO PRODUCTS | COM | 835495102 | 94 | 3308 | SH | DEFINED | 01 |
| SONS OF GWALIA ORD AU;SGW/A\$ R | COM | 835688102 | 355 | 100000 | SH | DEFINED | 01 |
| SONY | COM | 835699109 | 15962 | 307045 | SH | DEFINED | 01 |
| SONY | COM | 835699109 | 2714 | 52200 | SH | DEFINED | 01 |
| SONY | COM | 835699109 | 15175 | 291900 | SH | DEFINED | 01 |
| SORRENTO NETWORKS CORPORATION | COM | 83586Q100 | 0 | 32 | SH | DEFINED | 01 |
| SOTHEBY'S HOLDINGS CL A | COM | 835898107 | 38 | 2418 | SH | DEFINED | 01 |
| SONUS NETWORKS | COM | 835916107 | 116 | 44219 | SH | DEFINED | 01 |
| SOURCECORP | COM | 836167106 | 1574 | 53370 | SH | DEFINED | 01 |
| SCMP GROUP LTD | COM | 837400993 | 89 | 158000 | SH | DEFINED | 01 |
| SOUTH FINANCIAL GROUP | COM | 837841105 | 4189 | 205851 | SH | DEFINED | 01 |
| SOUTH FINANCIAL GROUP | COM | 837841105 | 163 | 8000 | SH | DEFINED | 01 |
| SOUTH FINANCIAL GRP | COM | 837841105 | 12 | 560 | SH | DEFINED | 01 |
| SOUTH JERSEY IND INC | COM | 838518108 | 10 | 330 | SH | DEFINED | 01 |
| SOUTHERN CO | COM | 842587107 | 17587 | 663940 | SH | DEFINED | 01 |
| SOUTHERN CO | COM | 842587107 | 5119 | 193251 | SH | DEFINED | 01 |
| SOUTHERN CO | COM | 842587107 | 342 | 12921 | SH | DEFINED | 01 |
| SOUTHERN UNION CO | COM | 844030106 | 2940 | 160488 | SH | DEFINED | 01 |
| SOUTHTRUST | COM | 844730101 | 7434 | 281600 | SH | DEFINED | 01 |
| SOUTHTRUST | COM | 844730101 | 2318 | 87807 | SH | DEFINED | 01 |
| SOUTHTRUST | COM | 844730101 | 10115 | 383151 | SH | DEFINED | 01 |
| SOUTHWEST AIRLINES | COM | 844741108 | 10 | 500 | SH | DEFINED | 01 |
| SOUTHWEST AIRLINES | COM | 844741108 | 395681 | 20448623 | SH | DEFINED | 01 |
| SOUTHWEST AIRLINES | COM | 844741108 | 452 | 23411 | SH | DEFINED | 01 |
| SOUTHWEST AIRLINES | COM | 844741108 | 3760 | 194310 | SH | DEFINED | 01 |
| SOUTHWEST AIRLINES | COM | 844741108 | 90533 | 4678671 | SH | DEFINED | 01 |
| SOUTHWEST BANCORP OF TEXAS | COM | 84476R109 | 3371 | 101112 | SH | DEFINED | 01 |
| SOUTHWEST GAS CORP | COM | 844895102 | 25 | 1000 | SH | DEFINED | 01 |
| SOUTHWEST GAS CORP | COM | 844895102 | 2485 | 99418 | SH | DEFINED | 01 |
| SOUTHWESTERN ENERGY | COM | 845467109 | 972 | 77301 | SH | DEFINED | 01 |
| SOVEREIGN BANCORP | COM | 845905108 | 127 | 9001 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|------|
| SPACELABS | COM | 846247104 | 420 | 29876 | SH | DEFINED | 01 |
| SPANISH BRDCAST CL A | COM | 846425882 | 5 | 390 | SH | DEFINED | 01 0 |
| SPARTECH CORP | COM | 847220209 | 2 | 71 | SH | DEFINED | 01 |
| SPECIALTY LAB | COM | 84749R100 | 280 | 11700 | SH | DEFINED | 01 |
| SPECIALTY LAB | COM | 84749R100 | 15794 | 661100 | SH | DEFINED | 01 0 |
| SPECIALTY LAB | COM | 84749R100 | 323 | 13500 | SH | DEFINED | 01 0 |
| SPECTRASITE HOLDINGS | COM | 84760T100 | 1 | 646 | SH | DEFINED | 01 |
| SPECTRIS PLC | COM | 847610995 | 400 | 53500 | SH | DEFINED | 01 |
| SPEECHWORKS INTL INC | COM | 84764M101 | 2 | 311 | SH | DEFINED | 01 |
| SPEEDWAY MOTOR SPORT | COM | 847788106 | 9 | 318 | SH | DEFINED | 01 |
| SPHERION | COM | 848420105 | 5308 | 480380 | SH | DEFINED | 01 |
| SPHERION | COM | 848420105 | 313 | 28300 | SH | DEFINED | 01 0 |
| SPIEGEL INC -CL A | COM | 848457107 | 1 | 833 | SH | DEFINED | 01 |
| SPINNAKER EXPLORATION | COM | 84855W109 | 21 | 500 | SH | DEFINED | 01 |
| SPINNAKER EXPLORATION | COM | 84855W109 | 5 | 130 | SH | DEFINED | 01 |
| SPORTSLINE.COM INC | COM | 848934105 | 0 | 77 | SH | DEFINED | 01 |
| SPRINT (FON GROUP) | COM | 852061100 | 46 | 3000 | SH | DEFINED | 01 |
| SPRINT (FON GROUP) | COM | 852061100 | 47529 | 3108551 | SH | DEFINED | 01 |
| SPRINT (FON GROUP) | COM | 852061100 | 4340 | 283794 | SH | DEFINED | 01 0 |
| SPRINT (FON GROUP) | COM | 852061100 | 2322 | 151907 | SH | DEFINED | 01 0 |
| SPRINT CORP FON GRP | COM | 852061100 | 32278 | 2110935 | SH | DEFINED | 01 0 |
| SPRINT (PCS GROUP) | COM | 852061506 | 10 | 1000 | SH | DEFINED | 01 |
| SPRINT (PCS GROUP) | COM | 852061506 | 30931 | 3005960 | SH | DEFINED | 01 |
| SPRINT (PCS GROUP) | COM | 852061506 | 2808 | 272961 | SH | DEFINED | 01 0 |
| SPRINT (PCS GROUP) | COM | 852061506 | 1229 | 119391 | SH | DEFINED | 01 0 |
| STAGE STORES INC. | COM | 85254C305 | 717 | 26949 | SH | DEFINED | 01 |
| STAMPS.COM | COM | 852857101 | 1 | 196 | SH | DEFINED | 01 |
| STANCORP FINANCIAL GROUP | COM | 852891100 | 4985 | 90647 | SH | DEFINED | 01 |
| STANCORP FINANCIAL GROUP | COM | 852891100 | 368 | 6700 | SH | DEFINED | 01 0 |
| STANCORP FINL GROUP | COM | 852891100 | 19 | 350 | SH | DEFINED | 01 0 |
| STANDARD COMMERCIAL | COM | 853258101 | 2014 | 104800 | SH | DEFINED | 01 |
| STANDARD COMMERCIAL | COM | 853258101 | 11 | 580 | SH | DEFINED | 01 0 |
| STANDARD COMMERCIAL | COM | 853258101 | 195 | 10150 | SH | DEFINED | 01 0 |
| STANDARD MICROSYSTEM | COM | 853626109 | 1134 | 49312 | SH | DEFINED | 01 |
| STANDARD MOTOR PRO | COM | 853666105 | 559 | 38265 | SH | DEFINED | 01 |
| STANDARD PAC CORP | COM | 85375C101 | 2531 | 90082 | SH | DEFINED | 01 |
| STANDARD REGISTER | COM | 853887107 | 2383 | 84759 | SH | DEFINED | 01 |
| STANDEX INTL | COM | 854231107 | 909 | 37205 | SH | DEFINED | 01 |
| STANDEX INTL | COM | 854231107 | 27417 | 1122718 | SH | DEFINED | 01 0 |
| STANLEY WORKS | COM | 854616109 | 98 | 2115 | SH | DEFINED | 01 |
| STANLEY WORKS | COM | 854616109 | 997 | 21556 | SH | DEFINED | 01 0 |
| STANLEY WORKS | COM | 854616109 | 36 | 773 | SH | DEFINED | 01 0 |
| STAPLES | COM | 855030102 | 8848 | 443072 | SH | DEFINED | 01 |
| STAPLES | COM | 855030102 | 2336 | 116987 | SH | DEFINED | 01 0 |
| STAPLES | COM | 855030102 | 272 | 13598 | SH | DEFINED | 01 0 |
| STARBUCKS | COM | 855244109 | 48826 | 2110906 | SH | DEFINED | 01 |
| STARBUCKS | COM | 855244109 | 1614 | 69760 | SH | DEFINED | 01 0 |
| STARBUCKS | COM | 855244109 | 4954 | 214193 | SH | DEFINED | 01 0 |
| STARBUCKS | COM | 855244109 | 44102 | 1906729 | SH | DEFINED | 01 0 |
| STARMEDIA NETWORK IN | COM | 855546107 | 0 | 150 | SH | DEFINED | 01 |
| STARTEK INC | COM | 85569C107 | 1000 | 43218 | SH | DEFINED | 01 |
| STARWOOD HOTELS & RE | COM | 85590A203 | 115 | 3050 | SH | DEFINED | 01 0 |
| STARWOOD HOTELS & RESORTS WORL | COM | 85590A203 | 21 | 550 | SH | DEFINED | 01 |
| STARWOOD HOTELS & RESORTS WORL | COM | 85590A203 | 28640 | 761533 | SH | DEFINED | 01 |
| STARWOOD HOTELS & RESORTS WORL | COM | 85590A203 | 1885 | 50121 | SH | DEFINED | 01 0 |
| STARWOOD HOTELS & RESORTS WORL | COM | 85590A203 | 41295 | 1097977 | SH | DEFINED | 01 0 |
| STATE STREET | COM | 857477103 | 19 | 350 | SH | DEFINED | 01 |
| STATE STREET | COM | 857477103 | 395401 | 7139787 | SH | DEFINED | 01 |
| STATE STREET | COM | 857477103 | 4556 | 82275 | SH | DEFINED | 01 0 |
| STATE STREET | COM | 857477103 | 104450 | 1886053 | SH | DEFINED | 01 0 |
| STATE STREET CORP | COM | 857477103 | 22717 | 410198 | SH | DEFINED | 01 0 |
| STATEN BANCORP IN | COM | 857550107 | 14 | 750 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------|-----|-----------|-------|---------|----|---------|------|
| STATEN ISLAND BANCORP | COM | 857550107 | 6236 | 316867 | SH | DEFINED | 01 |
| STATEN ISLAND BANCORP | COM | 857550107 | 240 | 12200 | SH | DEFINED | 01 0 |
| STATION CASINO | COM | 857689103 | 113 | 6800 | SH | DEFINED | 01 |
| STATION CASINO | COM | 857689103 | 674 | 40508 | SH | DEFINED | 01 |
| THE STEAK N SHAKE COMPANY | COM | 857873103 | 1203 | 85588 | SH | DEFINED | 01 |
| STEEL DYNAMICS | COM | 858119100 | 2305 | 140472 | SH | DEFINED | 01 |
| STEEL TECHNOLOGIES | COM | 858147101 | 250 | 29323 | SH | DEFINED | 01 |
| STEIN MART | COM | 858375108 | 5964 | 595820 | SH | DEFINED | 01 |
| STEIN MART | COM | 858375108 | 419 | 41900 | SH | DEFINED | 01 0 |
| STEINWAY MUSICAL INS | COM | 858495104 | 12 | 630 | SH | DEFINED | 01 0 |
| STELLENT | COM | 85856W105 | 1344 | 139546 | SH | DEFINED | 01 |
| STELLENT | COM | 85856W105 | 55 | 5704 | SH | DEFINED | 01 0 |
| STERLING BANCSHARES | COM | 858907108 | 1733 | 129845 | SH | DEFINED | 01 |
| STERICYCLE | COM | 858912108 | 2815 | 44998 | SH | DEFINED | 01 |
| STERICYCLE | COM | 858912108 | 175 | 2800 | SH | DEFINED | 01 0 |
| STERICYCLE INC | COM | 858912108 | 2 | 30 | SH | DEFINED | 01 0 |
| STERIS | COM | 859152100 | 2888 | 138444 | SH | DEFINED | 01 |
| STERIS | COM | 859152100 | 119 | 5700 | SH | DEFINED | 01 0 |
| STERIS CORP | COM | 859152100 | 5 | 220 | SH | DEFINED | 01 0 |
| STERLING BANCORP | COM | 859158107 | 1841 | 57700 | SH | DEFINED | 01 |
| STERLING BANCORP | COM | 859158107 | 9 | 300 | SH | DEFINED | 01 0 |
| STERLING BANCORP | COM | 859158107 | 179 | 5600 | SH | DEFINED | 01 0 |
| STERLING FINL CORP | COM | 859319105 | 1060 | 47000 | SH | DEFINED | 01 |
| STERLING FINL CORP | COM | 859319105 | 9 | 390 | SH | DEFINED | 01 0 |
| STERLING FINL CORP | COM | 859319105 | 106 | 4700 | SH | DEFINED | 01 0 |
| STEWART & STEVENSON SERVICES | COM | 860342104 | 753 | 38906 | SH | DEFINED | 01 |
| STEWART ENTERPRISES CL A | COM | 860370105 | 929 | 155698 | SH | DEFINED | 01 |
| STEWART ENTERPRISES CL A | COM | 860370105 | 59 | 9800 | SH | DEFINED | 01 0 |
| STEWART INFORMATION SERVICES | COM | 860372101 | 2269 | 113442 | SH | DEFINED | 01 |
| STEWART INFORMATION SERVICES | COM | 860372101 | 100 | 5000 | SH | DEFINED | 01 0 |
| STILLWATER MIN REST | COM | 860490994 | 1018 | 60000 | SH | DEFINED | 01 |
| STILLWATER MINING | COM | 86074Q102 | 92 | 4900 | SH | DEFINED | 01 |
| STILLWATER MINING | COM | 86074Q102 | 4695 | 249086 | SH | DEFINED | 01 |
| STILWELL FINANCIAL | COM | 860831106 | 20638 | 842726 | SH | DEFINED | 01 |
| STILWELL FINANCIAL | COM | 860831106 | 527 | 21500 | SH | DEFINED | 01 0 |
| STILWELL FINANCIAL | COM | 860831106 | 2247 | 91783 | SH | DEFINED | 01 0 |
| STILWELL FINANCIAL | COM | 860831106 | 49 | 2012 | SH | DEFINED | 01 0 |
| STINNES ORD | COM | 861100998 | 438 | 18600 | SH | DEFINED | 01 |
| STONE ENERGY | COM | 861642106 | 7556 | 195001 | SH | DEFINED | 01 |
| STONE ENERGY | COM | 861642106 | 8351 | 215500 | SH | DEFINED | 01 0 |
| STORAGE USA | COM | 861907103 | 9 | 216 | SH | DEFINED | 01 |
| STORAGE TECHNOLOGY | COM | 862111200 | 7586 | 353808 | SH | DEFINED | 01 |
| STORAGE TECHNOLOGY | COM | 862111200 | 416 | 19400 | SH | DEFINED | 01 0 |
| STORAGE TECHNOLOGY | COM | 862111200 | 163 | 7600 | SH | DEFINED | 01 0 |
| STORAGENETWORKS INC | COM | 86211E103 | 0 | 55 | SH | DEFINED | 01 |
| STRATOS LIGHTWAVE IN | COM | 863100103 | 913 | 206466 | SH | DEFINED | 01 |
| STRATTEC SECURITY | COM | 863111100 | 625 | 13400 | SH | DEFINED | 01 |
| STRATTEC SECURITY | COM | 863111100 | 56 | 1200 | SH | DEFINED | 01 0 |
| STRIDE RITE CORP | COM | 863314100 | 1061 | 128655 | SH | DEFINED | 01 |
| STRYKER | COM | 863667101 | 61650 | 1021874 | SH | DEFINED | 01 |
| STRYKER | COM | 863667101 | 3543 | 58733 | SH | DEFINED | 01 0 |
| STRYKER | COM | 863667101 | 40128 | 665138 | SH | DEFINED | 01 0 |
| STRYKER CORP | COM | 863667101 | 368 | 6100 | SH | DEFINED | 01 0 |
| STUDENT ADVANTAGE IN | COM | 86386Q105 | 0 | 158 | SH | DEFINED | 01 |
| STORA ENSO | COM | 863901997 | 12417 | 981613 | SH | DEFINED | 01 |
| STORA ENSO | COM | 863901997 | 14841 | 1173268 | SH | DEFINED | 01 0 |
| STUDENT LOAN CORP | COM | 863902102 | 5 | 50 | SH | DEFINED | 01 |
| STURM RUGER & CO INC | COM | 864159108 | 1065 | 82574 | SH | DEFINED | 01 |
| SUEZ SA | COM | 864700992 | 4576 | 161815 | SH | DEFINED | 01 0 |
| SUMITOMO CHEMICAL | COM | 865601504 | 14615 | 3874000 | SH | DEFINED | 01 |
| SUMITOMO CHEMICAL | COM | 865601504 | 2237 | 593000 | SH | DEFINED | 01 0 |
| SUMITOMO CHEMICAL | COM | 865601504 | 13728 | 3639000 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|------|
| SUMITOMO CORP | COM | 865601991 | 799 | 144000 | SH | DEFINED | 01 |
| SUMITOMO TRUST & BANKING | COM | 865603997 | 176 | 42000 | SH | DEFINED | 01 |
| SUMITOMO TRUST & BANKING | COM | 865603997 | 2207 | 526000 | SH | DEFINED | 01 0 |
| SUMMIT PROPERTIES | COM | 866239106 | 3 | 108 | SH | DEFINED | 01 |
| SUN COMMUNITIES INC | COM | 866674104 | 2 | 63 | SH | DEFINED | 01 |
| SUN HUNG KAI PRP ORD | COM | 86676H104 | 76 | 9990 | SH | DEFINED | 01 |
| SUN LIFE FINANCIAL SERVICES OF | COM | 866796105 | 394 | 18200 | SH | DEFINED | 01 |
| SUN LIFE FINANCIAL SERVICES OF | COM | 866796998 | 6368 | 294914 | SH | DEFINED | 01 |
| SUN LIFE FINANCIAL SERVICES OF | COM | 866796998 | 2027 | 93885 | SH | DEFINED | 01 0 |
| SUN MICROSYSTEMS | COM | 866810104 | 24401 | 2766551 | SH | DEFINED | 01 |
| SUN MICROSYSTEMS | COM | 866810104 | 7489 | 849036 | SH | DEFINED | 01 0 |
| SUN MICROSYSTEMS | COM | 866810104 | 3808 | 431739 | SH | DEFINED | 01 0 |
| SUN MICROSYSTEMS | COM | 866810104 | 912 | 103455 | SH | DEFINED | 11 1 |
| SUN MICROSYSTEMS | COM | 866810104 | 36 | 4002 | SH | DEFINED | 11 1 |
| SUN MICROSYSTEMS INC | COM | 866810104 | 47075 | 5336740 | SH | DEFINED | 01 0 |
| TEXAS INSTRUMENTS INC | COM | 866810104 | 3158 | 95400 | SH | DEFINED | 11 1 |
| SUNCOR ENERGY INC | COM | 867229999 | 189 | 5251 | SH | DEFINED | 01 |
| SUNGARD DATA | COM | 867363103 | 3566 | 108125 | SH | DEFINED | 01 0 |
| SUNGARD DATA SYSTEMS | COM | 867363103 | 8 | 250 | SH | DEFINED | 01 |
| SUNGARD DATA SYSTEMS | COM | 867363103 | 158010 | 4792493 | SH | DEFINED | 01 |
| SUNGARD DATA SYSTEMS | COM | 867363103 | 7036 | 213400 | SH | DEFINED | 01 0 |
| SUNGARD DATA SYSTEMS | COM | 867363103 | 67279 | 2040600 | SH | DEFINED | 01 0 |
| SUNOCO | COM | 86764P109 | 380 | 9500 | SH | DEFINED | 01 |
| SUNOCO | COM | 86764P109 | 9676 | 241834 | SH | DEFINED | 01 |
| SUNOCO | COM | 86764P109 | 767 | 19167 | SH | DEFINED | 01 0 |
| SUNOCO | COM | 86764P109 | 393 | 9832 | SH | DEFINED | 01 0 |
| SUNRISE ASSISTED | COM | 86768K106 | 1846 | 67703 | SH | DEFINED | 01 |
| SUNRISE TELECOM INC | COM | 86769Y105 | 0 | 137 | SH | DEFINED | 01 |
| SUNTRUST BANKS | COM | 867914103 | 3156 | 47299 | SH | DEFINED | 01 |
| SUNTRUST BANKS | COM | 867914103 | 4880 | 73130 | SH | DEFINED | 01 0 |
| SUNTRUST BANKS | COM | 867914103 | 175 | 2625 | SH | DEFINED | 01 0 |
| SUPERGEN INC | COM | 868059106 | 0 | 82 | SH | DEFINED | 01 |
| SUPERIOR ENERGY SERVICES | COM | 868157108 | 621 | 61700 | SH | DEFINED | 01 |
| SUPERIOR IND INTL | COM | 868168105 | 8094 | 165950 | SH | DEFINED | 01 0 |
| SUPERIOR INDS INTL | COM | 868168105 | 48 | 988 | SH | DEFINED | 01 |
| SUPERIOR INDS INTL | COM | 868168105 | 176 | 3600 | SH | DEFINED | 01 0 |
| SUPERTEX INC | COM | 868532102 | 813 | 38245 | SH | DEFINED | 01 |
| SUPERVALU | COM | 868536103 | 270 | 10450 | SH | DEFINED | 01 |
| SUPERVALU | COM | 868536103 | 47814 | 1853270 | SH | DEFINED | 01 |
| SUPERVALU | COM | 868536103 | 866 | 33549 | SH | DEFINED | 01 0 |
| SUPERVALU | COM | 868536103 | 4990 | 193389 | SH | DEFINED | 01 0 |
| SUPERVALU INC | COM | 868536103 | 4670 | 181000 | SH | DEFINED | 01 |
| SUPPORT.COM | COM | 868587106 | 1058 | 350176 | SH | DEFINED | 01 |
| SURGUTNEFTEGAZ ADR | COM | 868861204 | 142 | 7775 | SH | DEFINED | 01 |
| SURMODICS INC | COM | 868873100 | 2917 | 66901 | SH | DEFINED | 01 |
| SURMODICS INC | COM | 868873100 | 3 | 60 | SH | DEFINED | 01 0 |
| SURMODICS INC | COM | 868873100 | 65 | 1500 | SH | DEFINED | 01 0 |
| SUSQUEHANNA BANC | COM | 869099101 | 2963 | 121047 | SH | DEFINED | 01 |
| SVENSKA CELLULOZA B | COM | 869587998 | 864 | 27800 | SH | DEFINED | 01 |
| SWATCH GROUP AG | COM | 869999557 | 114 | 5281 | SH | DEFINED | 01 |
| SWIFT ENERGY | COM | 870738101 | 2405 | 122085 | SH | DEFINED | 01 |
| SWIFT ENERGY CO | COM | 870738101 | 4 | 190 | SH | DEFINED | 01 0 |
| SWIFT TRANSPORTATION | COM | 870756103 | 408 | 18600 | SH | DEFINED | 01 |
| SWIFT TRANSPORTATION | COM | 870756103 | 70 | 3190 | SH | DEFINED | 01 |
| SWIFT TRANSPORTATION | COM | 870756103 | 31814 | 1451399 | SH | DEFINED | 01 0 |
| SWIFT TRANSPORTATION | COM | 870756103 | 680 | 31000 | SH | DEFINED | 01 0 |
| SWISS REINSURANCE | COM | 870855996 | 15759 | 171277 | SH | DEFINED | 01 |
| SWISS REINSURANCE | COM | 870855996 | 5095 | 55375 | SH | DEFINED | 01 0 |
| SWISS REINSURANCE | COM | 870855996 | 20188 | 219398 | SH | DEFINED | 01 0 |
| SWITCHBOARD INC | COM | 871045100 | 0 | 90 | SH | DEFINED | 01 |
| SYBASE | COM | 871130100 | 11177 | 639772 | SH | DEFINED | 01 |
| SYBASE | COM | 871130100 | 386 | 22100 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------|-----|-----------|-------|----------|----|---------|----|
| SYBRON DENTAL | COM | 871142105 | 2346 | 116685 | SH | DEFINED | 01 |
| SYCAMORE NETWORKS | COM | 871206108 | 33 | 8300 | SH | DEFINED | 01 |
| SYCAMORE NETWORKS | COM | 871206108 | 7 | 1881 | SH | DEFINED | 01 |
| SYKES ENTERPRISES | COM | 871237103 | 14 | 1528 | SH | DEFINED | 01 |
| SYLVAN LEARNING SYSTEMS | COM | 871399101 | 46 | 1607 | SH | DEFINED | 01 |
| TAIWAN SEMICONDUCTOR MFG | COM | 871456992 | 38713 | 14263357 | SH | DEFINED | 01 |
| TAIWAN SEMICONDUCTOR MFG | COM | 871456992 | 5689 | 2096000 | SH | DEFINED | 01 |
| SYMANTEC | COM | 871503108 | 19091 | 463259 | SH | DEFINED | 01 |
| SYMANTEC | COM | 871503108 | 552 | 13400 | SH | DEFINED | 01 |
| SYMANTEC | COM | 871503108 | 15466 | 375300 | SH | DEFINED | 01 |
| SYMANTEC CORP | COM | 871503108 | 140 | 3400 | SH | DEFINED | 01 |
| SYMBOL TECHNOLOGIES | COM | 871508107 | 467 | 41573 | SH | DEFINED | 01 |
| SYMBOL TECHNOLOGIES | COM | 871508107 | 651 | 57884 | SH | DEFINED | 01 |
| SYMBOL TECHNOLOGIES | COM | 871508107 | 23 | 2076 | SH | DEFINED | 01 |
| SYMMETRICOM INC | COM | 871543104 | 423 | 68414 | SH | DEFINED | 01 |
| SYMYX TECHNOLOGIES | COM | 87155S108 | 2686 | 130088 | SH | DEFINED | 01 |
| SYNCOR INTL CORP | COM | 87157J106 | 19 | 700 | SH | DEFINED | 01 |
| SYNCOR INTL CORP | COM | 87157J106 | 2070 | 75962 | SH | DEFINED | 01 |
| SYNGENTA AG | COM | 871600995 | 11562 | 189247 | SH | DEFINED | 01 |
| SYNGENTA AG | COM | 871600995 | 15778 | 258252 | SH | DEFINED | 01 |
| SYNOPSIS | COM | 871607107 | 40655 | 737040 | SH | DEFINED | 01 |
| SYNOPSIS | COM | 871607107 | 12898 | 233834 | SH | DEFINED | 01 |
| SYNOPSIS INC | COM | 871607107 | 61 | 1100 | SH | DEFINED | 01 |
| SYNOVUS | COM | 87161C105 | 302 | 9880 | SH | DEFINED | 01 |
| SYNOVUS FINANCIAL | COM | 87161C105 | 1181 | 38753 | SH | DEFINED | 01 |
| SYNOVUS FINANCIAL | COM | 87161C105 | 2250 | 73810 | SH | DEFINED | 01 |
| SYNOVUS FINANCIAL | COM | 87161C105 | 81 | 2649 | SH | DEFINED | 01 |
| SYNTE | COM | 87162H103 | 1132 | 80500 | SH | DEFINED | 01 |
| SYNTE | COM | 87162H103 | 101 | 7200 | SH | DEFINED | 01 |
| SYNTHES-STRATEC INC | COM | 871655999 | 112 | 170 | SH | DEFINED | 01 |
| SYSCO | COM | 871829107 | 494 | 16566 | SH | DEFINED | 01 |
| SYSCO | COM | 871829107 | 5026 | 168546 | SH | DEFINED | 01 |
| SYSCO | COM | 871829107 | 180 | 6049 | SH | DEFINED | 01 |
| SYSCO CORPORATION | COM | 871829107 | 137 | 4600 | SH | DEFINED | 01 |
| SYSTEMS &COMP TECH | COM | 871873105 | 2223 | 168593 | SH | DEFINED | 01 |
| SYSTEMS &COMP TECH | COM | 871873105 | 2 | 160 | SH | DEFINED | 01 |
| SYSTEMS &COMP TECH | COM | 871873105 | 66 | 5000 | SH | DEFINED | 01 |
| TBC CORP | COM | 872180104 | 933 | 64353 | SH | DEFINED | 01 |
| TCF FINANCIAL | COM | 872275102 | 21673 | 411982 | SH | DEFINED | 01 |
| TCF FINANCIAL | COM | 872275102 | 30482 | 579400 | SH | DEFINED | 01 |
| TCF FINL CORP MPLS | COM | 872275102 | 1105 | 21000 | SH | DEFINED | 01 |
| TCF FINL CORP MPLS | COM | 872275102 | 310 | 5900 | SH | DEFINED | 01 |
| TDK CORP ORD | COM | 872351994 | 65 | 1204 | SH | DEFINED | 01 |
| TECO ENERGY | COM | 872375100 | 20139 | 703436 | SH | DEFINED | 01 |
| TECO ENERGY | COM | 872375100 | 1014 | 35403 | SH | DEFINED | 01 |
| TECO ENERGY | COM | 872375100 | 36 | 1270 | SH | DEFINED | 01 |
| TEPPCO PARTNERS LP | COM | 872384102 | 9 | 280 | SH | DEFINED | 01 |
| THQ | COM | 872443403 | 6758 | 137653 | SH | DEFINED | 01 |
| THQ | COM | 872443403 | 366 | 7450 | SH | DEFINED | 01 |
| THQ | COM | 872443403 | 231 | 4700 | SH | DEFINED | 01 |
| THQ INC | COM | 872443403 | 17865 | 363850 | SH | DEFINED | 01 |
| TJX COMPANIES | COM | 872540109 | 4 | 100 | SH | DEFINED | 01 |
| TJX COMPANIES | COM | 872540109 | 4992 | 124814 | SH | DEFINED | 01 |
| TJX COMPANIES | COM | 872540109 | 2765 | 69113 | SH | DEFINED | 01 |
| TJX COMPANIES | COM | 872540109 | 6501 | 162480 | SH | DEFINED | 01 |
| TJX COS | COM | 872540109 | 600 | 15000 | SH | DEFINED | 01 |
| TPG NV | COM | 872600994 | 3933 | 189189 | SH | DEFINED | 01 |
| TRW | COM | 872649108 | 3062 | 59500 | SH | DEFINED | 01 |
| TRW | COM | 872649108 | 5919 | 115000 | SH | DEFINED | 01 |
| TRW | COM | 872649108 | 2088 | 40576 | SH | DEFINED | 01 |
| TRW | COM | 872649108 | 226 | 4399 | SH | DEFINED | 01 |
| TMP WORLDWIDE | COM | 872941109 | 203 | 5899 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|-------------------------------|----------|-----------|--------|----------|----|---------|----|---|
| TMP WORLDWIDE | COM | 872941109 | 966 | 28024 | SH | DEFINED | 01 | 0 |
| TMP WORLDWIDE | COM | 872941109 | 35 | 1005 | SH | DEFINED | 01 | 0 |
| TTM TECHNOLOGIES | COM | 87305R109 | 6265 | 632300 | SH | DEFINED | 01 | |
| TTM TECHNOLOGIES | COM | 87305R109 | 7 | 620 | SH | DEFINED | 01 | 0 |
| TTM TECHNOLOGIES | COM | 87305R109 | 507 | 51100 | SH | DEFINED | 01 | 0 |
| TXU | COM | 873168108 | 85626 | 1570823 | SH | DEFINED | 01 | 0 |
| TXU | COM | 873168108 | 4033 | 73989 | SH | DEFINED | 01 | 0 |
| TXU | COM | 873168108 | 16899 | 310011 | SH | DEFINED | 01 | 0 |
| TEXAS UTILITIES | CONV PRF | 873168504 | 97 | 1700 | SH | DEFINED | 01 | 0 |
| TXU CV 8.75% | PRF | 873168504 | 108602 | 1912000 | SH | DEFINED | 01 | |
| TXU CV 8.75% | PRF | 873168504 | 17449 | 307200 | SH | DEFINED | 01 | 0 |
| TXU ELECTRIC CO | PRF | 87316T607 | 5449 | 54000 | SH | DEFINED | 01 | 0 |
| TXU ELECTRIC CO | PRF | 87316T805 | 5109 | 50000 | SH | DEFINED | 01 | 0 |
| TAIWAN SEMI ADR | COM | 874039100 | 208 | 10045 | SH | DEFINED | 01 | 0 |
| TAIWAN SEMICONDUCTOR MFG ADR | COM | 874039100 | 18 | 850 | SH | DEFINED | 01 | |
| TAIWAN SEMICONDUCTOR MFG ADR | COM | 874039100 | 101436 | 4888500 | SH | DEFINED | 01 | |
| TAIWAN SEMICONDUCTOR MFG ADR | COM | 874039100 | 61234 | 2951075 | SH | DEFINED | 01 | 0 |
| TAKE-TWO INTERACTIVE SOFTWARE | COM | 874054109 | 2270 | 112929 | SH | DEFINED | 01 | |
| TAKEDA CHEMICAL INDS | COM | 874058100 | 6767 | 167000 | SH | DEFINED | 01 | |
| TAKEDA CHEMICAL INDS | COM | 874058100 | 3363 | 83000 | SH | DEFINED | 01 | 0 |
| TAKEDA CHEMICAL INDS | COM | 874058100 | 12844 | 317000 | SH | DEFINED | 01 | 0 |
| TAKEFUJI | COM | 874110992 | 1575 | 25700 | SH | DEFINED | 01 | |
| TAKKT AG | COM | 874113996 | 128 | 22300 | SH | DEFINED | 01 | |
| TALBOTS | COM | 874161102 | 393 | 11116 | SH | DEFINED | 01 | |
| TALISMAN ENERGY | COM | 87425E996 | 1225 | 29412 | SH | DEFINED | 01 | |
| TALK AMERICA HOLDINGS INC | COM | 87426R103 | 0 | 150 | SH | DEFINED | 01 | |
| TALX | COM | 874918105 | 564 | 35240 | SH | DEFINED | 01 | |
| TANABE SEIYAKU ORD | COM | 875333999 | 586 | 63000 | SH | DEFINED | 01 | |
| TANDBERG ASA | COM | 875350993 | 62 | 4914 | SH | DEFINED | 01 | |
| TANNING TECHNOLOGY | COM | 87588P101 | 0 | 63 | SH | DEFINED | 01 | |
| TANOX INC | COM | 87588Q109 | 2 | 122 | SH | DEFINED | 01 | |
| TARGET | COM | 87612E106 | 32 | 750 | SH | DEFINED | 01 | |
| TARGET | COM | 87612E106 | 520087 | 12061379 | SH | DEFINED | 01 | |
| TARGET | COM | 87612E106 | 10460 | 242576 | SH | DEFINED | 01 | 0 |
| TARGET | COM | 87612E106 | 166047 | 3850810 | SH | DEFINED | 01 | 0 |
| TARGET CORP | COM | 87612e106 | 3117 | 72300 | SH | DEFINED | 11 | 1 |
| TARGET CORP | COM | 87612e106 | 27769 | 644000 | SH | DEFINED | 11 | 1 |
| TARGET CORPORATION | COM | 87612E106 | 9659 | 224000 | SH | DEFINED | 01 | |
| TARGET CORPORATION | COM | 87612E106 | 26510 | 614668 | SH | DEFINED | 01 | 0 |
| TAUBMAN CENTERS INC | COM | 876664103 | 3 | 182 | SH | DEFINED | 01 | |
| TAYLOR NELSON SOFRES | COM | 877300996 | 63 | 18600 | SH | DEFINED | 01 | |
| TECH DATA | COM | 878237106 | 10644 | 231940 | SH | DEFINED | 01 | |
| TECH DATA | COM | 878237106 | 670 | 14600 | SH | DEFINED | 01 | 0 |
| TECH DATA | COM | 878237106 | 257 | 5600 | SH | DEFINED | 01 | 0 |
| TECHNE | COM | 878377100 | 25068 | 909283 | SH | DEFINED | 01 | |
| TECHNE | COM | 878377100 | 2150 | 78000 | SH | DEFINED | 01 | 0 |
| TECHNE | COM | 878377100 | 492 | 17828 | SH | DEFINED | 01 | 0 |
| TECHNE CORP | COM | 878377100 | 940 | 34123 | SH | DEFINED | 01 | 0 |
| TECHNIP | COM | 878554997 | 378 | 2749 | SH | DEFINED | 01 | |
| TECHNITROL | COM | 878555101 | 5114 | 214513 | SH | DEFINED | 01 | |
| TECHNITROL | COM | 878555101 | 177 | 7441 | SH | DEFINED | 01 | 0 |
| TECHNITROL INC | COM | 878555101 | 3 | 125 | SH | DEFINED | 01 | 0 |
| TECH SOLUTIONS | COM | 87872T108 | 0 | 121 | SH | DEFINED | 01 | |
| TECUMSEH PRODUCTS CL A | COM | 878895200 | 1813 | 34034 | SH | DEFINED | 01 | |
| TECUMSEH PRODUCTS CL A | COM | 878895200 | 160 | 3000 | SH | DEFINED | 01 | 0 |
| TELEFONICA | COM | 878950997 | 32604 | 2908395 | SH | DEFINED | 01 | |
| TELEFONICA | COM | 878950997 | 4763 | 424947 | SH | DEFINED | 01 | 0 |
| TELEFONICA | COM | 878950997 | 13856 | 1236013 | SH | DEFINED | 01 | 0 |
| TELECOM ITALIA | COM | 878960996 | 103 | 18730 | SH | DEFINED | 01 | |
| TELECOM ITALIA | COM | 878985993 | 386 | 46900 | SH | DEFINED | 01 | |
| TEKELEC | COM | 879101103 | 1260 | 109942 | SH | DEFINED | 01 | |
| TEKTRONIX | COM | 879131100 | 8403 | 355104 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|----------|----|---------|----|---|
| TEKTRONIX | COM | 879131100 | 767 | 32418 | SH | DEFINED | 01 | 0 |
| TEKTRONIX | COM | 879131100 | 346 | 14636 | SH | DEFINED | 01 | 0 |
| TELAXIS COMM CORP | COM | 879202109 | 0 | 52 | SH | DEFINED | 01 | |
| TELECOM ITALIA MOBILE | COM | 879277994 | 211 | 43400 | SH | DEFINED | 01 | |
| TELEDYNE TECH | COM | 879360105 | 1620 | 97696 | SH | DEFINED | 01 | |
| TELEFLEX | COM | 879369106 | 80 | 1451 | SH | DEFINED | 01 | |
| TELEFONOS DE MEXICO ADR CL L | COM | 879403780 | 20844 | 516087 | SH | DEFINED | 01 | |
| TELEFONOS DE MEXICO ADR CL L | COM | 879403780 | 205 | 5076 | SH | DEFINED | 01 | 0 |
| TELINDUS GROUP NV | COM | 879409993 | 106 | 17900 | SH | DEFINED | 01 | |
| TELEMAR NORTE LESTE SA | COM | 879426997 | 63 | 2873000 | SH | DEFINED | 01 | 0 |
| TELEPHONE & DATA SYSTEMS | COM | 879433100 | 88 | 1000 | SH | DEFINED | 01 | |
| TELEPHONE & DATA SYSTEMS | COM | 879433100 | 1588 | 17996 | SH | DEFINED | 01 | |
| TELEVISION BROADCASTS LTD | COM | 879500999 | 512 | 110000 | SH | DEFINED | 01 | |
| TELIK INC | COM | 87959M109 | 1151 | 94900 | SH | DEFINED | 01 | |
| TELIK INC | COM | 87959M109 | 2 | 170 | SH | DEFINED | 01 | 0 |
| TELIK INC | COM | 87959M109 | 97 | 8000 | SH | DEFINED | 01 | 0 |
| TELLABS | COM | 879664100 | 1484 | 141676 | SH | DEFINED | 01 | |
| TELLABS | COM | 879664100 | 9268 | 885220 | SH | DEFINED | 01 | |
| TELLABS | COM | 879664100 | 323 | 30830 | SH | DEFINED | 01 | 0 |
| TELLABS | COM | 879664100 | 1404 | 134101 | SH | DEFINED | 01 | 0 |
| TELLABS | COM | 879664100 | 39 | 3728 | SH | DEFINED | 01 | 0 |
| TELLABS INC | COM | 879664100 | 261 | 24900 | SH | DEFINED | 11 | 2 |
| TELLIUM | COM | 87967E107 | 1 | 351 | SH | DEFINED | 01 | |
| TELULAR CORP | COM | 87970T208 | 562 | 75000 | SH | DEFINED | 01 | |
| TELULAR CORP | COM | 87970T208 | 2 | 210 | SH | DEFINED | 01 | 0 |
| TELULAR CORP | COM | 87970T208 | 51 | 6800 | SH | DEFINED | 01 | 0 |
| TEMPLE-INLAND | COM | 879868107 | 3755 | 66191 | SH | DEFINED | 01 | |
| TEMPLE-INLAND | COM | 879868107 | 710 | 12516 | SH | DEFINED | 01 | 0 |
| TEMPLE-INLAND | COM | 879868107 | 6264 | 110448 | SH | DEFINED | 01 | 0 |
| TELETECH HLDGS INC | COM | 879939106 | 23 | 1700 | SH | DEFINED | 01 | |
| TELETECH HLDGS INC | COM | 879939106 | 747 | 55561 | SH | DEFINED | 01 | |
| TEMP INST FOREIGN EQUITY | COM | 880210505 | 34695 | 2319179 | SH | DEFINED | 01 | 0 |
| TENFOLD CORPORATION | COM | 88033A103 | 0 | 82 | SH | DEFINED | 01 | |
| TENET HEALTHCARE | COM | 88033G100 | 41544 | 619887 | SH | DEFINED | 01 | |
| TENET HEALTHCARE | COM | 88033G100 | 7166 | 106923 | SH | DEFINED | 01 | 0 |
| TENET HEALTHCARE | COM | 88033G100 | 6621 | 98783 | SH | DEFINED | 01 | 0 |
| TENNECO AUTOMOTIVE INC | COM | 880349105 | 0 | 53 | SH | DEFINED | 01 | |
| TERADYNE | COM | 880770102 | 24360 | 617826 | SH | DEFINED | 01 | |
| TERADYNE | COM | 880770102 | 1805 | 45772 | SH | DEFINED | 01 | 0 |
| TERADYNE | COM | 880770102 | 32327 | 819842 | SH | DEFINED | 01 | 0 |
| TERADYNE INC | COM | 880770102 | 1025 | 26000 | SH | DEFINED | 01 | |
| TERADYNE INC | COM | 880770102 | 304 | 7700 | SH | DEFINED | 01 | 0 |
| TERAYON COMM. SYSTEM | COM | 880775101 | 1664 | 196098 | SH | DEFINED | 01 | |
| TERAYON COMM. SYSTEM | COM | 880775101 | 2 | 220 | SH | DEFINED | 01 | 0 |
| TERAYON COMM. SYSTEM | COM | 880775101 | 81 | 9600 | SH | DEFINED | 01 | 0 |
| TEREX | COM | 880779103 | 612 | 27067 | SH | DEFINED | 01 | |
| TESCO | COM | 881575104 | 15704 | 4576118 | SH | DEFINED | 01 | |
| TESCO | COM | 881575104 | 5705 | 1662504 | SH | DEFINED | 01 | 0 |
| TESCO | COM | 881575104 | 22848 | 6657511 | SH | DEFINED | 01 | 0 |
| TEVA PHARMACEUTICAL INDS ADR | COM | 881624209 | 14346 | 262409 | SH | DEFINED | 01 | |
| TEVA PHARMACEUTICAL INDS ADR | COM | 881624209 | 543 | 9936 | SH | DEFINED | 01 | 0 |
| TETRA TECHNOLOGIES | COM | 88162F105 | 64 | 2200 | SH | DEFINED | 01 | |
| TETRA TECHNOLOGIES | COM | 88162F105 | 1255 | 43215 | SH | DEFINED | 01 | |
| TETRA TECH | COM | 88162G103 | 2300 | 160949 | SH | DEFINED | 01 | |
| TEXAS INDS | COM | 882491103 | 2647 | 64241 | SH | DEFINED | 01 | |
| TEXAS INSTRUMENTS | COM | 882508104 | 3485 | 105289 | SH | DEFINED | 01 | |
| TEXAS INSTRUMENTS | COM | 882508104 | 547187 | 16531277 | SH | DEFINED | 01 | |
| TEXAS INSTRUMENTS | COM | 882508104 | 35963 | 1086410 | SH | DEFINED | 01 | 0 |
| TEXAS INSTRUMENTS | COM | 882508104 | 15615 | 471744 | SH | DEFINED | 01 | 0 |
| TEXAS INSTRUMENTS | COM | 882508104 | 105038 | 3173343 | SH | DEFINED | 01 | 0 |
| TEXAS INSTRUMENTS INC | COM | 882508104 | 23213 | 701300 | SH | DEFINED | 11 | 1 |
| TEXTRON | COM | 883203101 | 497 | 9725 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|------|
| TEXTRON | COM | 883203101 | 55994 | 1095763 | SH | DEFINED | 01 |
| TEXTRON | COM | 883203101 | 2289 | 44791 | SH | DEFINED | 01 0 |
| TEXTRON | COM | 883203101 | 16516 | 323205 | SH | DEFINED | 01 0 |
| THERAGENICS | COM | 883375107 | 7828 | 790592 | SH | DEFINED | 01 |
| THERAGENICS | COM | 883375107 | 565 | 57100 | SH | DEFINED | 01 0 |
| THERAGENICS CORP | COM | 883375107 | 2 | 220 | SH | DEFINED | 01 0 |
| THERASENSE | COM | 883381105 | 5 | 275 | SH | DEFINED | 01 |
| THERMA-WAVE | COM | 88343A108 | 3429 | 237417 | SH | DEFINED | 01 |
| THERMA-WAVE | COM | 88343A108 | 124 | 8586 | SH | DEFINED | 01 0 |
| THERMA-WAVE INC | COM | 88343A108 | 2 | 120 | SH | DEFINED | 01 0 |
| THERMO-ELECTRON | COM | 883556102 | 91 | 4397 | SH | DEFINED | 01 |
| THERMO-ELECTRON | COM | 883556102 | 934 | 45061 | SH | DEFINED | 01 0 |
| THERMO-ELECTRON | COM | 883556102 | 33 | 1616 | SH | DEFINED | 01 0 |
| THESTREET.COM INC | COM | 88368Q103 | 0 | 100 | SH | DEFINED | 01 |
| THOMAS & BETTS | COM | 884315102 | 233 | 11000 | SH | DEFINED | 01 |
| THOMAS & BETTS | COM | 884315102 | 32 | 1479 | SH | DEFINED | 01 |
| THOMAS & BETTS | COM | 884315102 | 312 | 14736 | SH | DEFINED | 01 0 |
| THOMAS & BETTS | COM | 884315102 | 11 | 528 | SH | DEFINED | 01 0 |
| THOMAS INDS INC | COM | 884425109 | 4608 | 157520 | SH | DEFINED | 01 |
| THOMAS INDS INC | COM | 884425109 | 11 | 390 | SH | DEFINED | 01 0 |
| THOMAS INDS INC | COM | 884425109 | 272 | 9300 | SH | DEFINED | 01 0 |
| THOR INDS | COM | 885160101 | 2932 | 61982 | SH | DEFINED | 01 |
| THOR INDS | COM | 885160101 | 14 | 300 | SH | DEFINED | 01 0 |
| THORATEC | COM | 885175307 | 849 | 77599 | SH | DEFINED | 01 |
| THORNBURG MORTGAGE | COM | 885218107 | 1703 | 85000 | SH | DEFINED | 01 |
| THORNBURG MORTGAGE | COM | 885218107 | 7 | 350 | SH | DEFINED | 01 0 |
| THORNBURG MORTGAGE | COM | 885218107 | 168 | 8400 | SH | DEFINED | 01 0 |
| 3 COM CORP | COM | 885535104 | 116 | 19000 | SH | DEFINED | 01 |
| 3 COM CORP | COM | 885535104 | 23765 | 3889631 | SH | DEFINED | 01 0 |
| 3COM | COM | 885535104 | 42 | 6900 | SH | DEFINED | 01 |
| 3COM | COM | 885535104 | 655 | 107097 | SH | DEFINED | 01 |
| 3COM | COM | 885535104 | 164 | 26900 | SH | DEFINED | 01 0 |
| THREE-FIVE SYS IN | COM | 88554L108 | 1 | 70 | SH | DEFINED | 01 0 |
| THREE-FIVE SYSTEMS | COM | 88554L108 | 2453 | 165578 | SH | DEFINED | 01 |
| THREE-FIVE SYSTEMS | COM | 88554L108 | 109 | 7386 | SH | DEFINED | 01 0 |
| TIBCO SOFTWARE INC | COM | 88632Q103 | 5 | 410 | SH | DEFINED | 01 |
| TICKETMASTER CL B | COM | 88633P203 | 17518 | 592254 | SH | DEFINED | 01 |
| TICKETMASTER CL B | COM | 88633P203 | 503 | 17000 | SH | DEFINED | 01 0 |
| TICKETMASTER CL B | COM | 88633P203 | 609 | 20600 | SH | DEFINED | 01 0 |
| TIDEWATER | COM | 886423102 | 360 | 8500 | SH | DEFINED | 01 |
| TIDEWATER | COM | 886423102 | 55354 | 1307081 | SH | DEFINED | 01 |
| TIDEWATER | COM | 886423102 | 2231 | 52700 | SH | DEFINED | 01 0 |
| TIDEWATER | COM | 886423102 | 4452 | 105111 | SH | DEFINED | 01 0 |
| TIDEWATER INC | COM | 886423102 | 31789 | 750681 | SH | DEFINED | 01 0 |
| TIER TECHNOLOGIES | COM | 88650Q100 | 581 | 32900 | SH | DEFINED | 01 |
| TIETOENATOR OYJ | COM | 886539998 | 375 | 14803 | SH | DEFINED | 01 |
| TIFFANY | COM | 886547108 | 126 | 3559 | SH | DEFINED | 01 |
| TIFFANY | COM | 886547108 | 1305 | 36714 | SH | DEFINED | 01 0 |
| TIFFANY | COM | 886547108 | 47 | 1317 | SH | DEFINED | 01 0 |
| TIFFANY & CO-NEW | COM | 886547108 | 1564 | 44000 | SH | DEFINED | 01 |
| TIMBERLAND CL A | COM | 887100105 | 56 | 1342 | SH | DEFINED | 01 |
| TIMBERWEST FOREST | COM | 887147205 | 1390 | 165000 | SH | DEFINED | 01 |
| TIMBERWEST FOREST | COM | 887147205 | 232 | 27500 | SH | DEFINED | 01 0 |
| TIME WARNER TELECOM CL A | COM | 887319101 | 214 | 35087 | SH | DEFINED | 01 |
| TIMKEN CO | COM | 887389104 | 4248 | 184004 | SH | DEFINED | 01 |
| TINGYI HOLDINGS CO | COM | 887400992 | 117 | 640000 | SH | DEFINED | 01 |
| TIPPINGPOINT TECHNOLOGIES INC | COM | 888011103 | 0 | 14 | SH | DEFINED | 01 |
| TITAN | COM | 888266103 | 2392 | 115843 | SH | DEFINED | 01 |
| TITAN | COM | 888266103 | 76 | 3700 | SH | DEFINED | 01 0 |
| TITAN CORPORATION | COM | 888266103 | 3 | 150 | SH | DEFINED | 01 0 |
| TITAN INTL INC | COM | 88830M102 | 324 | 63488 | SH | DEFINED | 01 |
| TITAN PHARMACEUTICAL | COM | 888314101 | 1150 | 164123 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----------|-----------|-----------|---------|--------|---------|---------|----|
| TITAN PHARMACEUTICAL | COM | 888314101 | 2 | 240 | SH | DEFINED | 01 | 0 |
| TITAN PHARMACEUTICAL | COM | 888314101 | 86 | 12200 | SH | DEFINED | 01 | 0 |
| TITANIUM METAL | COM | 888339108 | 373 | 69000 | SH | DEFINED | 01 | |
| TOKYO ELECTRON LTD | COM | 888696994 | 199 | 2864 | SH | DEFINED | 01 | |
| TIVO INC | COM | 888706108 | 425 | 80268 | SH | DEFINED | 01 | |
| TOHOKU ELECTRIC POWER | COM | 889070991 | 838 | 66100 | SH | DEFINED | 01 | |
| TOKYO STYLE | COM | 889125993 | 201 | 24000 | SH | DEFINED | 01 | |
| TOLL BROTHERS | TOLL BROT | COM | 889478103 | 5373 | 107768 | SH | DEFINED | 01 |
| TOLLGRADE COMMUNICAT | COM | 889542106 | 2018 | 82323 | SH | DEFINED | 01 | |
| TOLLGRADE COMMUNICAT | COM | 889542106 | 1 | 50 | SH | DEFINED | 01 | 0 |
| TOLLGRADE COMMUNICAT | COM | 889542106 | 64 | 2600 | SH | DEFINED | 01 | 0 |
| TOMKINS ADR | COM | 890030208 | 20580 | 1400000 | SH | DEFINED | 01 | |
| TOMKINS ADR | COM | 890030208 | 1962 | 133458 | SH | DEFINED | 01 | 0 |
| TOO | COM | 890333107 | 6095 | 206679 | SH | DEFINED | 01 | |
| TOO | COM | 890333107 | 215 | 7300 | SH | DEFINED | 01 | 0 |
| TOO INC | COM | 890333107 | 4 | 130 | SH | DEFINED | 01 | 0 |
| TANJONG | COM | 890400997 | 3758 | 1428000 | SH | DEFINED | 01 | |
| TANJONG | COM | 890400997 | 124 | 47000 | SH | DEFINED | 01 | 0 |
| TOOTSIE ROLL INDS | COM | 890516107 | 87 | 1898 | SH | DEFINED | 01 | |
| TOPPAN PRINTING | COM | 890746993 | 461 | 49000 | SH | DEFINED | 01 | |
| TOPPAN FORMS CO LTD | COM | 890780992 | 280 | 18000 | SH | DEFINED | 01 | |
| TOPPS | COM | 890786106 | 4727 | 492419 | SH | DEFINED | 01 | |
| TOPPS | COM | 890786106 | 402 | 41881 | SH | DEFINED | 01 | 0 |
| TORCHMARK | COM | 891027104 | 635 | 15750 | SH | DEFINED | 01 | |
| TORCHMARK | COM | 891027104 | 26151 | 649066 | SH | DEFINED | 01 | |
| TORCHMARK | COM | 891027104 | 1267 | 31458 | SH | DEFINED | 01 | 0 |
| TORCHMARK | COM | 891027104 | 2408 | 59781 | SH | DEFINED | 01 | 0 |
| TOREX PLC | COM | 891030991 | 334 | 31000 | SH | DEFINED | 01 | |
| TORO CO | COM | 891092108 | 2255 | 37830 | SH | DEFINED | 01 | |
| TOTAL FINA ELF | COM | 891508996 | 46479 | 301003 | SH | DEFINED | 01 | |
| TOTAL FINA ELF | COM | 891508996 | 7875 | 50998 | SH | DEFINED | 01 | 0 |
| TOTAL FINA ELF | COM | 891508996 | 43558 | 282083 | SH | DEFINED | 01 | 0 |
| TOTALFINAELF ADR | COM | 89151E109 | 11490 | 150000 | SH | DEFINED | 01 | |
| TOTALFINAELF ADR | COM | 89151E109 | 381 | 4972 | SH | DEFINED | 01 | 0 |
| TOUCH AMERICA HOLDINGS INC | COM | 891539108 | 2 | 654 | SH | DEFINED | 01 | |
| TOWER AUTO | COM | 891707101 | 6577 | 470147 | SH | DEFINED | 01 | |
| TOWER AUTOMOTIVE | COM | 891707101 | 6052 | 432612 | SH | DEFINED | 01 | |
| TOWER AUTOMOTIVE | COM | 891707101 | 6645 | 475000 | SH | DEFINED | 01 | 0 |
| TOWER AUTOMOTIVE CVT | CONV BND | 891707AE1 | 918 | 1000000 | PRN | DEFINED | 01 | 0 |
| TOTAL SYS SVCS INC | COM | 891906109 | 3 | 100 | SH | DEFINED | 01 | |
| TOTAL SYS SVCS INC | COM | 891906109 | 11 | 427 | SH | DEFINED | 01 | |
| TOTAL SYS SVCS INC | COM | 891906109 | 548 | 21800 | SH | DEFINED | 01 | 0 |
| TOTAL SYS SVCS INC | COM | 891906109 | 4653 | 185000 | SH | DEFINED | 01 | 0 |
| TOYOTA MOTOR | COM | 892331992 | 18446 | 639965 | SH | DEFINED | 01 | |
| TOYOTA MOTOR | COM | 892331992 | 5563 | 193000 | SH | DEFINED | 01 | 0 |
| TOYOTA MOTOR | COM | 892331992 | 14901 | 517000 | SH | DEFINED | 01 | 0 |
| TOYS "R" US | COM | 892335100 | 300 | 16700 | SH | DEFINED | 01 | |
| TOYS "R" US | COM | 892335100 | 3409 | 189787 | SH | DEFINED | 01 | |
| TOYS "R" US | COM | 892335100 | 895 | 49843 | SH | DEFINED | 01 | 0 |
| TOYS "R" US | COM | 892335100 | 5600 | 311788 | SH | DEFINED | 01 | 0 |
| TRAMMELL CROW | COM | 89288R106 | 3128 | 215700 | SH | DEFINED | 01 | |
| TRAMMELL CROW | COM | 89288R106 | 355 | 24500 | SH | DEFINED | 01 | 0 |
| TRANS WORLD ENTMT CP | COM | 89336Q100 | 1 | 170 | SH | DEFINED | 01 | |
| TRANSACTION SYSTEMS ARCHITECTS | COM | 893416107 | 14 | 1202 | SH | DEFINED | 01 | |
| TRANSATLANTIC HLDS | COM | 893521104 | 4231 | 51520 | SH | DEFINED | 01 | |
| TRANSGENOMIC INC | COM | 89365K206 | 972 | 107450 | SH | DEFINED | 01 | |
| TRANSGENOMIC INC | COM | 89365K206 | 1 | 130 | SH | DEFINED | 01 | 0 |
| TRANSGENOMIC INC | COM | 89365K206 | 85 | 9450 | SH | DEFINED | 01 | 0 |
| TRANSKARYOTIC THERAPIES | COM | 893735100 | 395 | 9189 | SH | DEFINED | 01 | |
| TRANSMETA CORP | COM | 89376R109 | 3 | 726 | SH | DEFINED | 01 | |
| TRANSPORTES AZKAR S.A. | COM | 893871996 | 102 | 20000 | SH | DEFINED | 01 | |
| TRANSWITCH | COM | 894065101 | 1585 | 486025 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| TRANSWITCH | COM | 894065101 | 93 | 28400 | SH | DEFINED | 01 | 0 |
| TRANSWITCH CORP | COM | 894065101 | 2 | 520 | SH | DEFINED | 01 | 0 |
| TRAVELERS PROPERTY C | COM | 89420G109 | 7047 | 352330 | SH | DEFINED | 01 | 0 |
| TRAVELERS PROPERTY CASUALT-A | COM | 89420G109 | 146100 | 7305000 | SH | DEFINED | 01 | 0 |
| TRAVELERS PROPERTY CASUALT-A | COM | 89420G109 | 3260 | 163000 | SH | DEFINED | 01 | 0 |
| TRAVELERS PROPERTY CASUALT-A | COM | 89420G109 | 12280 | 614000 | SH | DEFINED | 01 | 0 |
| TRAVELERS PROPERTY CASUALTY | COM | 89420G109 | 1856 | 92800 | SH | DEFINED | 11 | 1 |
| TRAVELERS PROPERTY CASUALTY | COM | 89420G109 | 11462 | 573100 | SH | DEFINED | 11 | 1 |
| TRAVELSKY TECH H(0696) | COM | 894255991 | 114 | 150000 | SH | DEFINED | 01 | 0 |
| TREDEGAR | COM | 894650100 | 2191 | 117136 | SH | DEFINED | 01 | 0 |
| TRIAD HOSPITALS | COM | 89579K109 | 86 | 2500 | SH | DEFINED | 01 | 0 |
| TRIAD HOSPITALS | COM | 89579K109 | 1546 | 44966 | SH | DEFINED | 01 | 0 |
| TRIANGLE PHARMACEUTI | COM | 89589H104 | 1 | 121 | SH | DEFINED | 01 | 0 |
| TRIAD GUARANTY | COM | 895925105 | 1777 | 40883 | SH | DEFINED | 01 | 0 |
| TRIAD GUARANTY | COM | 895925105 | 188 | 4317 | SH | DEFINED | 01 | 0 |
| TRIAD GUARANTY INC | COM | 895925105 | 9 | 230 | SH | DEFINED | 01 | 0 |
| TRIARC COS INC CL A | COM | 895927101 | 1741 | 62617 | SH | DEFINED | 01 | 0 |
| TRICON GLOBAL REST | COM | 895953107 | 141 | 2400 | SH | DEFINED | 01 | 0 |
| TRICON GLOBAL RESTAURANTS | COM | 895953107 | 15191 | 258438 | SH | DEFINED | 01 | 0 |
| TRICON GLOBAL RESTAURANTS | COM | 895953107 | 2896 | 49270 | SH | DEFINED | 01 | 0 |
| TRICON GLOBAL RESTAURANTS | COM | 895953107 | 545 | 9276 | SH | DEFINED | 01 | 0 |
| TRIBUNE | COM | 896047107 | 136 | 3000 | SH | DEFINED | 01 | 0 |
| TRIBUNE | COM | 896047107 | 8816 | 193931 | SH | DEFINED | 01 | 0 |
| TRIBUNE | COM | 896047107 | 3432 | 75499 | SH | DEFINED | 01 | 0 |
| TRIBUNE | COM | 896047107 | 7624 | 167709 | SH | DEFINED | 01 | 0 |
| TRIGON HEALTHCARE | COM | 89618L100 | 5850 | 79244 | SH | DEFINED | 01 | 0 |
| TRIGON HEALTHCARE | COM | 89618L100 | 181 | 2450 | SH | DEFINED | 01 | 0 |
| TRIMBLE NAVIGATION L | COM | 896239100 | 1279 | 76908 | SH | DEFINED | 01 | 0 |
| TRIMERIS | COM | 896263100 | 1579 | 36577 | SH | DEFINED | 01 | 0 |
| TRIMERIS | COM | 896263100 | 91 | 2100 | SH | DEFINED | 01 | 0 |
| TRIMERIS INC | COM | 896263100 | 2 | 40 | SH | DEFINED | 01 | 0 |
| TRINITY INDS | COM | 896522109 | 2078 | 85498 | SH | DEFINED | 01 | 0 |
| TRINITY INDS | COM | 896522109 | 486 | 20000 | SH | DEFINED | 01 | 0 |
| TRI QUINT | COM | 89674K103 | 3 | 250 | SH | DEFINED | 01 | 0 |
| TRIQUINT SEMICONDUCTOR | COM | 89674K103 | 5306 | 441850 | SH | DEFINED | 01 | 0 |
| TRIQUINT SEMICONDUCTOR | COM | 89674K103 | 514 | 42800 | SH | DEFINED | 01 | 0 |
| TRITON PCS HOLDINGS CL A | COM | 89677M106 | 6 | 570 | SH | DEFINED | 01 | 0 |
| TRIUMPH GROUP INC | COM | 896818101 | 1901 | 48491 | SH | DEFINED | 01 | 0 |
| TRIPOS INC | COM | 896928108 | 1309 | 50000 | SH | DEFINED | 01 | 0 |
| TRUST CO NJ JERSEY C | COM | 898304100 | 2 | 90 | SH | DEFINED | 01 | 0 |
| TRUSTCO BANK CORP | COM | 898349105 | 2829 | 219152 | SH | DEFINED | 01 | 0 |
| TRUSTMARK CORP | COM | 898402102 | 9 | 368 | SH | DEFINED | 01 | 0 |
| TRUSTMARK CORP | COM | 898402102 | 7 | 260 | SH | DEFINED | 01 | 0 |
| TUESDAY MORNING | COM | 899035505 | 443 | 21688 | SH | DEFINED | 01 | 0 |
| TUESDAY MORNING CORP | COM | 899035505 | 4 | 190 | SH | DEFINED | 01 | 0 |
| TULARIK INC | COM | 899165104 | 0 | 14 | SH | DEFINED | 01 | 0 |
| TULLOW OIL PLC | COM | 899255996 | 278 | 188000 | SH | DEFINED | 01 | 0 |
| TUMBLEWEED COMMUNICA | COM | 899690101 | 0 | 63 | SH | DEFINED | 01 | 0 |
| TUPPERWARE | COM | 899896104 | 39 | 1687 | SH | DEFINED | 01 | 0 |
| TUPPERWARE | COM | 899896104 | 335 | 14730 | SH | DEFINED | 01 | 0 |
| TUPPERWARE | COM | 899896104 | 12 | 528 | SH | DEFINED | 01 | 0 |
| TURNSTONE SYSTEMS | COM | 900423104 | 0 | 10 | SH | DEFINED | 01 | 0 |
| TUT SYSTEMS INC | COM | 901103101 | 0 | 65 | SH | DEFINED | 01 | 0 |
| TWEETER HOME ENTERTA | COM | 901167106 | 2 | 90 | SH | DEFINED | 01 | 0 |
| TWEETER HOME ENTERTAINMENT GRO | COM | 901167106 | 1156 | 59161 | SH | DEFINED | 01 | 0 |
| TWEETER HOME ENTERTAINMENT GRO | COM | 901167106 | 104 | 5339 | SH | DEFINED | 01 | 0 |
| 21ST CENTURY INSURANCE GROUP | COM | 90130N103 | 7 | 386 | SH | DEFINED | 01 | 0 |
| 24-7 REAL MEDIA INC | COM | 901314104 | 0 | 49 | SH | DEFINED | 01 | 0 |
| TYCO INTERNATIONAL | COM | 902124106 | 583 | 18030 | SH | DEFINED | 01 | 0 |
| TYCO INTERNATIONAL | COM | 902124106 | 31968 | 989131 | SH | DEFINED | 01 | 0 |
| TYCO INTL | COM | 902124106 | 27 | 850 | SH | DEFINED | 01 | 0 |
| TYCO INTL | COM | 902124106 | 48448 | 1499024 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|---|
| TYCO INTL | COM | 902124106 | 18214 | 563545 | SH | DEFINED | 01 | 0 |
| TYCO INTL | COM | 902124106 | 60360 | 1867574 | SH | DEFINED | 01 | 0 |
| TYSON FOODS CL A | COM | 902494103 | 41418 | 3318726 | SH | DEFINED | 01 | 0 |
| TYSON FOODS CL A | COM | 902494103 | 769 | 61600 | SH | DEFINED | 01 | 0 |
| TYSON FOODS CL A | COM | 902494103 | 330 | 26368 | SH | DEFINED | 01 | 0 |
| UAL CORP | COM | 902549500 | 4 | 250 | SH | DEFINED | 01 | 0 |
| UCAR INTL | COM | 90262K109 | 26 | 1871 | SH | DEFINED | 01 | 0 |
| UCBH HOLDINGS | COM | 90262T308 | 2650 | 73637 | SH | DEFINED | 01 | 0 |
| UGI | COM | 902681105 | 5824 | 185829 | SH | DEFINED | 01 | 0 |
| UGI | COM | 902681105 | 339 | 10826 | SH | DEFINED | 01 | 0 |
| UGI CORP | COM | 902681105 | 14 | 420 | SH | DEFINED | 01 | 0 |
| UICI | COM | 902737105 | 2771 | 146221 | SH | DEFINED | 01 | 0 |
| UIL HOLDINGS | COM | 902748102 | 4492 | 77322 | SH | DEFINED | 01 | 0 |
| UIL HOLDINGS | COM | 902748102 | 187 | 3217 | SH | DEFINED | 01 | 0 |
| UIL HOLDINGS CORP | COM | 902748102 | 14 | 235 | SH | DEFINED | 01 | 0 |
| UMB FINL CORP | COM | 902788108 | 8 | 192 | SH | DEFINED | 01 | 0 |
| UST | COM | 902911106 | 19838 | 509546 | SH | DEFINED | 01 | 0 |
| UST | COM | 902911106 | 1661 | 42664 | SH | DEFINED | 01 | 0 |
| UST | COM | 902911106 | 706 | 18124 | SH | DEFINED | 01 | 0 |
| UST INC | COM | 902911106 | 24540 | 630355 | SH | DEFINED | 01 | 0 |
| U.S. BANCORP | COM | 902973304 | 8 | 350 | SH | DEFINED | 01 | 0 |
| U.S. BANCORP | COM | 902973304 | 92666 | 4105764 | SH | DEFINED | 01 | 0 |
| U.S. BANCORP | COM | 902973304 | 12111 | 536628 | SH | DEFINED | 01 | 0 |
| U.S. BANCORP | COM | 902973304 | 53703 | 2379398 | SH | DEFINED | 01 | 0 |
| US BANCORP | COM | 902973304 | 4414 | 195553 | SH | DEFINED | 01 | 0 |
| US BANCORP | COM | 902973304 | 355 | 15690 | SH | DEFINED | 01 | 0 |
| US BANCORP | COM | 902973304 | 2325 | 103000 | SH | DEFINED | 11 | 1 |
| US BANCORP | COM | 902973304 | 17878 | 792100 | SH | DEFINED | 11 | 1 |
| US BANK CORP | COM | 902973304 | 54 | 2400 | SH | DEFINED | 11 | 1 |
| USA NETWORKS | COM | 902984103 | 6 | 200 | SH | DEFINED | 01 | 0 |
| USA NETWORKS | COM | 902984103 | 298988 | 9410987 | SH | DEFINED | 01 | 0 |
| USA NETWORKS | COM | 902984103 | 78594 | 2473850 | SH | DEFINED | 01 | 0 |
| USA NETWORKS INC | COM | 902984103 | 4145 | 130474 | SH | DEFINED | 01 | 0 |
| USA NETWORKS INC | COM | 902984103 | 404 | 12708 | SH | DEFINED | 01 | 0 |
| UFJ HOLDINGS | COM | 903000990 | 137 | 57 | SH | DEFINED | 01 | 0 |
| URS CORP | COM | 903236107 | 1776 | 56018 | SH | DEFINED | 01 | 0 |
| USG CORP | COM | 903293405 | 1 | 104 | SH | DEFINED | 01 | 0 |
| USEC INC | COM | 90333E108 | 1 | 212 | SH | DEFINED | 01 | 0 |
| USEC INC | COM | 90333E108 | 5 | 910 | SH | DEFINED | 01 | 0 |
| U.S. PHYSICAL THERAPY | COM | 90337L108 | 2296 | 127200 | SH | DEFINED | 01 | 0 |
| U.S. PHYSICAL THERAPY | COM | 90337L108 | 202 | 11200 | SH | DEFINED | 01 | 0 |
| US PHYSICAL THERAPY | COM | 90337L108 | 5 | 255 | SH | DEFINED | 01 | 0 |
| US UNWIRED CL A | COM | 90338R104 | 1116 | 202280 | SH | DEFINED | 01 | 0 |
| US UNWIRED CL A | COM | 90338R104 | 69 | 12520 | SH | DEFINED | 01 | 0 |
| US UNWIRED INC | COM | 90338R104 | 2 | 310 | SH | DEFINED | 01 | 0 |
| US ONCOLOGY INC | COM | 90338W103 | 2568 | 291448 | SH | DEFINED | 01 | 0 |
| UBIQUITEL OPERATING CO WTS EXP | COM | 903474112 | 779 | 21940 | SH | DEFINED | 01 | 0 |
| UBIQUITEL OPERATING CO WTS EXP | COM | 903474112 | 174 | 4900 | SH | DEFINED | 01 | 0 |
| UBIQUITEL | COM | 903474302 | 731 | 298337 | SH | DEFINED | 01 | 0 |
| UBIQUITEL | COM | 903474302 | 41 | 16563 | SH | DEFINED | 01 | 0 |
| UBIQUITEL INC | COM | 903474302 | 1 | 370 | SH | DEFINED | 01 | 0 |
| ULTICOM INC | COM | 903844108 | 1 | 135 | SH | DEFINED | 01 | 0 |
| ULTIMATE ELECTRONICS | COM | 903849107 | 956 | 34093 | SH | DEFINED | 01 | 0 |
| USA EDUCATION | COM | 90390U102 | 378673 | 3871917 | SH | DEFINED | 01 | 0 |
| USA EDUCATION | COM | 90390U102 | 3885 | 39720 | SH | DEFINED | 01 | 0 |
| USA EDUCATION | COM | 90390U102 | 89381 | 913926 | SH | DEFINED | 01 | 0 |
| USA EDUCATION INC | COM | 90390U102 | 1070 | 10933 | SH | DEFINED | 01 | 0 |
| ULTRATECH STEPPER | COM | 904034105 | 1432 | 68838 | SH | DEFINED | 01 | 0 |
| UNICREDITO ITALIANO | COM | 904660990 | 1633 | 375705 | SH | DEFINED | 01 | 0 |
| UNIFI | COM | 904677101 | 18 | 1891 | SH | DEFINED | 01 | 0 |
| UNIFIED ENERGY ADR | COM | 904688108 | 4060 | 247720 | SH | DEFINED | 01 | 0 |
| UNIFIED ENERGY ADR | COM | 904688108 | 134 | 8200 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|------|
| UNILEVER | COM | 904700994 | 540 | 67500 | SH | DEFINED | 01 |
| UNIFIRST CORP | COM | 904708104 | 1635 | 65000 | SH | DEFINED | 01 |
| UNIFIRST CORP | COM | 904708104 | 11 | 440 | SH | DEFINED | 01 0 |
| UNIFIRST CORP | COM | 904708104 | 189 | 7500 | SH | DEFINED | 01 0 |
| UNILEVER NV - CVA - IN EURO | COM | 904760998 | 9215 | 160540 | SH | DEFINED | 01 |
| UNILEVER NV - CVA - IN EURO | COM | 904760998 | 11910 | 207470 | SH | DEFINED | 01 0 |
| UNILEVER | COM | 904784709 | 727 | 12793 | SH | DEFINED | 01 |
| UNILEVER | COM | 904784709 | 8227 | 144835 | SH | DEFINED | 01 0 |
| UNILEVER | COM | 904784709 | 295 | 5198 | SH | DEFINED | 01 0 |
| UNION PACIFIC | COM | 907818108 | 142257 | 2289306 | SH | DEFINED | 01 |
| UNION PACIFIC | COM | 907818108 | 4209 | 67740 | SH | DEFINED | 01 0 |
| UNION PACIFIC | COM | 907818108 | 12714 | 204594 | SH | DEFINED | 01 0 |
| UNION PACIFIC CORP | COM | 907818108 | 62 | 1000 | SH | DEFINED | 01 0 |
| UNION PACIFIC CAPITAL CM CV 6. | PRF | 907824205 | 27050 | 541000 | SH | DEFINED | 01 |
| UNION PACIFIC CAPITAL CM CV 6. | PRF | 907824403 | 13525 | 270500 | SH | DEFINED | 01 |
| UNION PLANTERS | COM | 908068109 | 160 | 3379 | SH | DEFINED | 01 |
| UNION PLANTERS | COM | 908068109 | 1650 | 34809 | SH | DEFINED | 01 0 |
| UNION PLANTERS | COM | 908068109 | 59 | 1249 | SH | DEFINED | 01 0 |
| UNI-CHARM CORPORATION | COM | 908500994 | 110 | 4000 | SH | DEFINED | 01 |
| UNIONBANCAL CORP | COM | 908906100 | 704 | 16000 | SH | DEFINED | 01 |
| UNIONBANCAL CORP | COM | 908906100 | 16 | 354 | SH | DEFINED | 01 |
| UNISOURCE ENERGY | COM | 909205106 | 4430 | 216497 | SH | DEFINED | 01 |
| UNISOURCE ENERGY | COM | 909205106 | 235 | 11468 | SH | DEFINED | 01 0 |
| UNISOURCE ENERGY CRP | COM | 909205106 | 12 | 600 | SH | DEFINED | 01 0 |
| UNISYS | COM | 909214108 | 5089 | 402896 | SH | DEFINED | 01 |
| UNISYS | COM | 909214108 | 1032 | 81728 | SH | DEFINED | 01 0 |
| UNISYS | COM | 909214108 | 226 | 17932 | SH | DEFINED | 01 0 |
| UNISYS CORP | COM | 909214108 | 316 | 25000 | SH | DEFINED | 01 |
| UNIT CORP | COM | 909218109 | 2019 | 110401 | SH | DEFINED | 01 |
| UNITED CMNTY FINL CO | COM | 909839102 | 1 | 134 | SH | DEFINED | 01 |
| UNITED BANCSHARES | COM | 909907107 | 3914 | 132524 | SH | DEFINED | 01 |
| UNITED BANCSHARES | COM | 909907107 | 11 | 390 | SH | DEFINED | 01 0 |
| UNITED DOMINION REALTY TRUST | COM | 910197102 | 2699 | 170403 | SH | DEFINED | 01 |
| UNITED DOMINION REALTY TRUST | COM | 910197102 | 290 | 18300 | SH | DEFINED | 01 0 |
| UNITED MICROELECTRONICS ADR | COM | 910873207 | 2833 | 266000 | SH | DEFINED | 01 |
| UNITED MICROELECTRONICS ADR | COM | 910873207 | 144 | 13500 | SH | DEFINED | 01 0 |
| UNITED MICROELECTRONICS TW230 | COM | 910875996 | 981 | 648000 | SH | DEFINED | 01 |
| UNITED NATURAL FOODS | COM | 911163103 | 1426 | 57266 | SH | DEFINED | 01 |
| UNITED ONLINE INC | COM | 911268100 | 1 | 78 | SH | DEFINED | 01 |
| UNITED OVERSEAS BANK | COM | 911271104 | 14402 | 1747000 | SH | DEFINED | 01 |
| UNITED OVERSEAS BANK | COM | 911271104 | 3915 | 475000 | SH | DEFINED | 01 0 |
| UNITED OVERSEAS BANK | COM | 911271104 | 7181 | 871000 | SH | DEFINED | 01 0 |
| UNITED PARCEL SERVICE CL B | COM | 911312106 | 11225 | 184628 | SH | DEFINED | 01 |
| UNITED RENTALS | COM | 911363109 | 70 | 2562 | SH | DEFINED | 01 |
| US CELLULAR CORP | COM | 911684108 | 8 | 187 | SH | DEFINED | 01 |
| UNY | COM | 911689990 | 458 | 51000 | SH | DEFINED | 01 |
| US AIRWAYS GROUP | COM | 911905107 | 12 | 1910 | SH | DEFINED | 01 |
| US AIRWAYS GROUP | COM | 911905107 | 111 | 17266 | SH | DEFINED | 01 0 |
| US AIRWAYS GROUP | COM | 911905107 | 4 | 619 | SH | DEFINED | 01 0 |
| US INDUSTRIES INC | COM | 912080108 | 1 | 140 | SH | DEFINED | 01 |
| UNITED STATES STEEL CORP | COM | 912909108 | 195 | 10750 | SH | DEFINED | 01 |
| UNITED STATES STEEL CORP | COM | 912909108 | 38 | 2133 | SH | DEFINED | 01 |
| UNITED STATES STEEL CORP | COM | 912909108 | 410 | 22610 | SH | DEFINED | 01 0 |
| UNITED STATES STEEL CORP | COM | 912909108 | 15 | 811 | SH | DEFINED | 01 0 |
| UNITED SERVICES GROUP NV | COM | 913000998 | 403 | 20300 | SH | DEFINED | 01 |
| UNITED STATIONERS | COM | 913004107 | 3969 | 104186 | SH | DEFINED | 01 |
| UNITED SURGICAL PARTNERS INTL | COM | 913016309 | 257 | 11043 | SH | DEFINED | 01 |
| UNITED TECHNOLOGIES | COM | 913017109 | 6821 | 91931 | SH | DEFINED | 01 |
| UNITED TECHNOLOGIES | COM | 913017109 | 98847 | 1332183 | SH | DEFINED | 01 |
| UNITED TECHNOLOGIES | COM | 913017109 | 11504 | 155032 | SH | DEFINED | 01 0 |
| UNITED TECHNOLOGIES | COM | 913017109 | 10129 | 136516 | SH | DEFINED | 01 0 |
| UNITED TECHNOLOGIES | COM | 913017109 | 26779 | 360900 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|
| UNITED THERAPEUTICS | COM | 91307C102 | 0 | 36 | SH | DEFINED | 01 |
| UNITEDGLOBALCOM INC. | COM | 913247508 | 3 | 489 | SH | DEFINED | 01 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 6145 | 80400 | SH | DEFINED | 01 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 407917 | 5337853 | SH | DEFINED | 01 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 322 | 4214 | SH | DEFINED | 01 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 7344 | 96099 | SH | DEFINED | 01 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 125488 | 1642079 | SH | DEFINED | 01 |
| UNITEDNETWORKS LIMITED | COM | 913257994 | 96 | 26800 | SH | DEFINED | 01 |
| UNITRIN | COM | 913275103 | 92 | 2266 | SH | DEFINED | 01 |
| UNIVERSAL AMER FINANCIAL CRP | COM | 913377107 | 579 | 84200 | SH | DEFINED | 01 |
| UNIVERSAL AMER FINANCIAL CRP | COM | 913377107 | 56 | 8200 | SH | DEFINED | 01 |
| UNIVERSAL COMPRESSION | COM | 913431102 | 95 | 3600 | SH | DEFINED | 01 |
| UNIVERSAL | COM | 913456109 | 39 | 982 | SH | DEFINED | 01 |
| UNIVERSAL CORP | COM | 913456109 | 13 | 330 | SH | DEFINED | 01 |
| UNIVERSAL FST PRO | COM | 913543104 | 1451 | 60719 | SH | DEFINED | 01 |
| UNIVERSAL HEALTH SERVICES CL B | COM | 913903100 | 581 | 14072 | SH | DEFINED | 01 |
| UNIVISION | COM | 914906102 | 80471 | 1915970 | SH | DEFINED | 01 |
| UNIVISION COMMUNICATIONS CL A | COM | 914906102 | 10 | 250 | SH | DEFINED | 01 |
| UNIVISION COMMUNICATIONS CL A | COM | 914906102 | 43563 | 1037220 | SH | DEFINED | 01 |
| UNIVISION COMMUNICATIONS CL A | COM | 914906102 | 8072 | 192182 | SH | DEFINED | 01 |
| UNIVISION COMMUNICATIONS CL A | COM | 914906102 | 38648 | 920176 | SH | DEFINED | 01 |
| UNOCAL | COM | 915289102 | 331 | 8500 | SH | DEFINED | 01 |
| UNOCAL | COM | 915289102 | 28646 | 735463 | SH | DEFINED | 01 |
| UNOCAL | COM | 915289102 | 2638 | 67726 | SH | DEFINED | 01 |
| UNOCAL | COM | 915289102 | 2607 | 66946 | SH | DEFINED | 01 |
| UNOVA INC | COM | 91529B106 | 1054 | 132457 | SH | DEFINED | 01 |
| UNOVA INC | COM | 91529B106 | 7 | 790 | SH | DEFINED | 01 |
| UNOVA INC | COM | 91529B106 | 96 | 12000 | SH | DEFINED | 01 |
| UNUMPROVIDENT | COM | 91529Y106 | 17096 | 612137 | SH | DEFINED | 01 |
| UNUMPROVIDENT | COM | 91529Y106 | 2886 | 103330 | SH | DEFINED | 01 |
| UNUMPROVIDENT | COM | 91529Y106 | 509 | 18251 | SH | DEFINED | 01 |
| UPM KYMMENE | COM | 915435994 | 94 | 2754 | SH | DEFINED | 01 |
| UPM KYMMENE | COM | 915435994 | 4084 | 119436 | SH | DEFINED | 01 |
| USFREIGHTWAYS | COM | 916906100 | 2872 | 81031 | SH | DEFINED | 01 |
| US INTERNETWORKING | COM | 917311805 | 0 | 420 | SH | DEFINED | 01 |
| UTSTARCOM INC | COM | 918076100 | 1 | 30 | SH | DEFINED | 01 |
| VCA ANTECH INC | COM | 918194101 | 5400 | 400000 | SH | DEFINED | 01 |
| VA SOFTWARE CORP | COM | 91819B105 | 0 | 179 | SH | DEFINED | 01 |
| VF | COM | 918204108 | 368 | 8500 | SH | DEFINED | 01 |
| VF | COM | 918204108 | 10032 | 231957 | SH | DEFINED | 01 |
| VF | COM | 918204108 | 1947 | 45015 | SH | DEFINED | 01 |
| VF | COM | 918204108 | 325 | 7509 | SH | DEFINED | 01 |
| VOEST-ALPINE | COM | 918445990 | 23 | 800 | SH | DEFINED | 01 |
| VAIL RESORTS INC | COM | 91879Q109 | 2 | 98 | SH | DEFINED | 01 |
| VALASSIS COMMUNICATIONS | COM | 918866104 | 76 | 1969 | SH | DEFINED | 01 |
| VALHI INC NEW | COM | 918905100 | 3 | 311 | SH | DEFINED | 01 |
| VALENCE TECHNOLOGY | COM | 918914102 | 427 | 139929 | SH | DEFINED | 01 |
| VALEO | COM | 919134999 | 209 | 4711 | SH | DEFINED | 01 |
| VALERO ENERGY | COM | 91913Y100 | 6173 | 124657 | SH | DEFINED | 01 |
| VALERO ENERGY | COM | 91913Y100 | 188 | 3800 | SH | DEFINED | 01 |
| VALLEY NATL BANCORP | COM | 919794107 | 11 | 322 | SH | DEFINED | 01 |
| VALMONT INDUSTRIES | COM | 920253101 | 1344 | 75091 | SH | DEFINED | 01 |
| VALSPAR | COM | 920355104 | 81 | 1719 | SH | DEFINED | 01 |
| VALUE CITY DEPT STOR | COM | 920387107 | 1 | 129 | SH | DEFINED | 01 |
| VALUECLICK INC | COM | 92046N102 | 0 | 43 | SH | DEFINED | 01 |
| VALUEVISION INTL INC | COM | 92047K107 | 75 | 3600 | SH | DEFINED | 01 |
| VALUEVISION INTL INC | COM | 92047K107 | 1 | 71 | SH | DEFINED | 01 |
| VAN DER MOOLEN HOLDING-ADR | COM | 921020103 | 24 | 800 | SH | DEFINED | 01 |
| VCOMMERCE CV SERIES C | PRF | 922100XX5 | 1010 | 834335 | SH | DEFINED | 01 |
| VCOMMERCE CV SERIES C | PRF | 922100XX5 | 1587 | 1311588 | SH | DEFINED | 01 |
| VARCO INTL | COM | 922122106 | 5758 | 286464 | SH | DEFINED | 01 |
| VARCO INTL | COM | 922122106 | 9332 | 464300 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | | |
|--------------------------------|------|------|-----------|-----------|----------|----------|---------|---------|----|
| VARCO INTL INC | | COM | 922122106 | 86 | 4300 | SH | DEFINED | 01 | 0 |
| VARDE FUND V LP | 0.00 | 04/2 | PRF | 922150XX0 | 28842 | 25000000 | SH | DEFINED | 01 |
| VARDE FUND V LP | 0.00 | 04/2 | PRF | 922150XX0 | 5768 | 5000000 | SH | DEFINED | 01 |
| VARIAN | | COM | 922206107 | 42 | 1100 | SH | DEFINED | 01 | 0 |
| VARIAN | | COM | 922206107 | 6704 | 176715 | SH | DEFINED | 01 | 0 |
| VARIAN | | COM | 922206107 | 190 | 5000 | SH | DEFINED | 01 | 0 |
| VARIAN SEMICONDUCTOR | | COM | 922207105 | 4 | 90 | SH | DEFINED | 01 | 0 |
| VARIAN SEMICONDUCTOR EQUIPMENT | | COM | 922207105 | 4963 | 110284 | SH | DEFINED | 01 | 0 |
| VARIAN MEDICAL SYSTEMS | | COM | 92220P105 | 30673 | 749946 | SH | DEFINED | 01 | 0 |
| VARIAN MEDICAL SYSTEMS | | COM | 92220P105 | 810 | 19808 | SH | DEFINED | 01 | 0 |
| VECTREN | | COM | 92240G101 | 6482 | 252360 | SH | DEFINED | 01 | 0 |
| VECTOR GROUP LTD | | COM | 92240M108 | 1239 | 43321 | SH | DEFINED | 01 | 0 |
| VEECO | | COM | 922417100 | 4 | 120 | SH | DEFINED | 01 | 0 |
| VEECO INSTRUMENTS | | COM | 922417100 | 5762 | 164633 | SH | DEFINED | 01 | 0 |
| VEECO INSTRUMENTS | | COM | 922417100 | 158 | 4500 | SH | DEFINED | 01 | 0 |
| VENTAS INC | | COM | 92276F100 | 7 | 518 | SH | DEFINED | 01 | 0 |
| VENTIV HEALTH INC | | COM | 922793104 | 12 | 6000 | SH | DEFINED | 01 | 0 |
| VENTURE MFG(SINGAPORE) LTD | | COM | 923269997 | 197 | 20000 | SH | DEFINED | 01 | 0 |
| VERITAS | | COM | 923436109 | 368 | 8400 | SH | DEFINED | 11 | 1 |
| VERITAS SOFTWARE | | COM | 923436109 | 81204 | 1852696 | SH | DEFINED | 01 | 0 |
| VERITAS SOFTWARE | | COM | 923436109 | 219 | 5000 | SH | DEFINED | 01 | 0 |
| VERITAS SOFTWARE | | COM | 923436109 | 4452 | 101585 | SH | DEFINED | 01 | 0 |
| VERITAS SOFTWARE | | COM | 923436109 | 39532 | 901933 | SH | DEFINED | 01 | 0 |
| VERITY | | COM | 92343C106 | 25 | 1400 | SH | DEFINED | 01 | 0 |
| VERITY | | COM | 92343C106 | 2475 | 140002 | SH | DEFINED | 01 | 0 |
| VERISIGN | | COM | 92343E102 | 179 | 6615 | SH | DEFINED | 01 | 0 |
| VERITAS DGC | | COM | 92343P107 | 1606 | 94978 | SH | DEFINED | 01 | 0 |
| VERITAS DGC | | COM | 92343P107 | 2 | 130 | SH | DEFINED | 01 | 0 |
| VERIZON COMM | | COM | 92343V104 | 8001 | 175278 | SH | DEFINED | 01 | 0 |
| VERIZON COMM | | COM | 92343V104 | 42533 | 931865 | SH | DEFINED | 01 | 0 |
| VERIZON COMMUNICATIONS | | COM | 92343V104 | 580077 | 12707068 | SH | DEFINED | 01 | 0 |
| VERIZON COMMUNICATIONS | | COM | 92343V104 | 35002 | 766771 | SH | DEFINED | 01 | 0 |
| VERIZON COMMUNICATIONS | | COM | 92343V104 | 119541 | 2618636 | SH | DEFINED | 01 | 0 |
| VERIZON COMMUNICATIONS | | COM | 92343V104 | 2031 | 44500 | SH | DEFINED | 11 | 1 |
| VERIZON COMMUNICATIONS | | COM | 92343V104 | 10541 | 230900 | SH | DEFINED | 11 | 1 |
| VERICOR INC | | COM | 925314106 | 795 | 44000 | SH | DEFINED | 01 | 0 |
| VERICOR INC | | COM | 925314106 | 2 | 110 | SH | DEFINED | 01 | 0 |
| VERICOR INC | | COM | 925314106 | 49 | 2700 | SH | DEFINED | 01 | 0 |
| VERTEX PHARMACEUTICALS | | COM | 92532F100 | 768 | 27567 | SH | DEFINED | 01 | 0 |
| VERTICALNET INC | | COM | 92532L107 | 0 | 106 | SH | DEFINED | 01 | 0 |
| VIACOM INTL | | COM | 925524100 | 49 | 1000 | SH | DEFINED | 01 | 0 |
| VIACOM CL B | | COM | 925524308 | 29 | 600 | SH | DEFINED | 01 | 0 |
| VIACOM CL B | | COM | 925524308 | 671735 | 13887440 | SH | DEFINED | 01 | 0 |
| VIACOM CL B | | COM | 925524308 | 24203 | 500375 | SH | DEFINED | 01 | 0 |
| VIACOM CL B | | COM | 925524308 | 189043 | 3908255 | SH | DEFINED | 01 | 0 |
| VIACOM INC CL B | | COM | 925524308 | 7731 | 159838 | SH | DEFINED | 01 | 0 |
| VIACOM INC CL B | | COM | 925524308 | 1279 | 26428 | SH | DEFINED | 01 | 0 |
| VIATEL INC | | COM | 925529208 | 0 | 208 | SH | DEFINED | 01 | 0 |
| VIAD | | COM | 92552R109 | 83 | 2985 | SH | DEFINED | 01 | 0 |
| VIASAT | | COM | 92552V100 | 1114 | 79442 | SH | DEFINED | 01 | 0 |
| VIASYSTEMS GRP INC | | COM | 92553H100 | 0 | 377 | SH | DEFINED | 01 | 0 |
| VIANT CORP | | COM | 92553N107 | 0 | 217 | SH | DEFINED | 01 | 0 |
| VIASYS HEALTHCARE INC | | COM | 92553Q209 | 1795 | 79829 | SH | DEFINED | 01 | 0 |
| VICINITY CORP | | COM | 925653107 | 0 | 103 | SH | DEFINED | 01 | 0 |
| VICOR CORP | | COM | 925815102 | 2201 | 130266 | SH | DEFINED | 01 | 0 |
| VIA NET.WORKS INC | | COM | 925912107 | 0 | 119 | SH | DEFINED | 01 | 0 |
| VIEWPOINT | | COM | 92672P108 | 1386 | 229400 | SH | DEFINED | 01 | 0 |
| VIGNETTE CORP | | COM | 926734104 | 18 | 5100 | SH | DEFINED | 01 | 0 |
| VIGNETTE CORP | | COM | 926734104 | 4 | 1142 | SH | DEFINED | 01 | 0 |
| VINCI | | COM | 927299990 | 181 | 2791 | SH | DEFINED | 01 | 0 |
| VINTAGE PETROLEUM | | COM | 927460105 | 5181 | 352457 | SH | DEFINED | 01 | 0 |
| VINTAGE PETROLEUM | | COM | 927460105 | 137 | 9286 | SH | DEFINED | 01 | 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-------------------------------|-----|-----------|-------|----------|----|---------|----|
| VIOPHARMA INC | COM | 928241108 | 0 | 41 | SH | DEFINED | 01 |
| VISHAY INTERTECHNOLOGY | COM | 928298108 | 1547 | 76063 | SH | DEFINED | 01 |
| VISTEON | COM | 92839U107 | 35 | 2100 | SH | DEFINED | 01 |
| VISTEON | COM | 92839U107 | 1626 | 98274 | SH | DEFINED | 01 |
| VISTEON | COM | 92839U107 | 547 | 33038 | SH | DEFINED | 01 |
| VISTEON | COM | 92839U107 | 2585 | 156185 | SH | DEFINED | 01 |
| VISTEON CORP | COM | 92839U107 | 2 | 128 | SH | DEFINED | 01 |
| VISUAL NETWORKS INC | COM | 928444108 | 287 | 97858 | SH | DEFINED | 01 |
| VISX | COM | 92844S105 | 2181 | 123499 | SH | DEFINED | 01 |
| VISX | COM | 92844S105 | 189 | 10700 | SH | DEFINED | 01 |
| VITAL SIGNS INC | COM | 928469105 | 1465 | 39727 | SH | DEFINED | 01 |
| VITALWORKS INC | COM | 928483106 | 1 | 101 | SH | DEFINED | 01 |
| VITESSE SEMICONDUCTOR | COM | 928497106 | 14 | 1400 | SH | DEFINED | 01 |
| VITESSE SEMICONDUCTOR | COM | 928497106 | 417 | 42541 | SH | DEFINED | 01 |
| VITESSE SEMICONDUCTOR | COM | 928497106 | 497 | 50743 | SH | DEFINED | 01 |
| VITESSE SEMICONDUCTOR | COM | 928497106 | 18 | 1820 | SH | DEFINED | 01 |
| VITRIA TECHNOLOGY | COM | 92849Q104 | 319 | 80701 | SH | DEFINED | 01 |
| VIVENDI UNIVERSAL SA | COM | 928516996 | 21581 | 554923 | SH | DEFINED | 01 |
| VIVENDI UNIVERSAL SA | COM | 928516996 | 2559 | 65807 | SH | DEFINED | 01 |
| VIVENDI UNIVERSAL SA | COM | 928516996 | 17866 | 459379 | SH | DEFINED | 01 |
| VNU NV | COM | 928563998 | 3757 | 118292 | SH | DEFINED | 01 |
| VODAFONE GROUP | COM | 92857TXX0 | 30431 | 16470860 | SH | DEFINED | 01 |
| VODAFONE GROUP | COM | 92857TXX0 | 8044 | 4353488 | SH | DEFINED | 01 |
| VODAFONE GROUP | COM | 92857TXX0 | 28011 | 15160791 | SH | DEFINED | 01 |
| VODAFONE AIRTOUCH ADR | COM | 92857W100 | 2027 | 110000 | SH | DEFINED | 01 |
| VODAFONE AIRTOUCH ADR | COM | 92857W100 | 3410 | 185000 | SH | DEFINED | 01 |
| VODAFONE GROUP PLC | COM | 92857W100 | 2069 | 112250 | SH | DEFINED | 01 |
| VOLKSWAGEN | COM | 928600998 | 1703 | 32545 | SH | DEFINED | 01 |
| VOLKSWAGEN | COM | 928663988 | 363 | 10500 | SH | DEFINED | 01 |
| VOLT INFO SCIENCES | COM | 928703107 | 871 | 46694 | SH | DEFINED | 01 |
| VOPAK | COM | 928875996 | 284 | 15900 | SH | DEFINED | 01 |
| VORNADO REALTY TRUST | COM | 929042109 | 9 | 210 | SH | DEFINED | 01 |
| VULCAN MATERIALS | COM | 929160109 | 2549 | 53600 | SH | DEFINED | 01 |
| VULCAN MATERIALS | COM | 929160109 | 2168 | 45588 | SH | DEFINED | 01 |
| VULCAN MATERIALS | COM | 929160109 | 12948 | 272375 | SH | DEFINED | 01 |
| VULCAN MATERIALS | COM | 929160109 | 1220 | 25661 | SH | DEFINED | 01 |
| VULCAN MATERIALS | COM | 929160109 | 14306 | 300921 | SH | DEFINED | 01 |
| WD-40 CO | COM | 929236107 | 1454 | 48283 | SH | DEFINED | 01 |
| WFS FINANCIAL INC | COM | 92923B106 | 2 | 98 | SH | DEFINED | 01 |
| WCI COMMUNITIES | COM | 92923C104 | 24 | 968 | SH | DEFINED | 01 |
| WGL HOLDINGS | COM | 92924F106 | 50 | 1846 | SH | DEFINED | 01 |
| W HOLDING COMPANY INC | COM | 929251106 | 893 | 50592 | SH | DEFINED | 01 |
| W HOLDING COMPANY INC | COM | 929251106 | 80 | 4550 | SH | DEFINED | 01 |
| WJ COMMUNICATIONS | COM | 929284107 | 1 | 202 | SH | DEFINED | 01 |
| WMS INDS | COM | 929297109 | 19 | 1000 | SH | DEFINED | 01 |
| WMS INDS | COM | 929297109 | 1874 | 98630 | SH | DEFINED | 01 |
| WPP GROUP PLC ADR | COM | 929309300 | 988 | 17500 | SH | DEFINED | 01 |
| W.P. CAREY & CO LLC | COM | 92930Y107 | 3 | 118 | SH | DEFINED | 01 |
| WPP GROUP | COM | 929310993 | 369 | 32352 | SH | DEFINED | 01 |
| WPP GROUP | COM | 929310993 | 3898 | 341290 | SH | DEFINED | 01 |
| WPS RESOURCES CORP | COM | 92931B106 | 49 | 1224 | SH | DEFINED | 01 |
| WABASH NATL | COM | 929566107 | 699 | 70603 | SH | DEFINED | 01 |
| WESTINGHOUSE AIR BRA | COM | 929740108 | 2 | 121 | SH | DEFINED | 01 |
| WACKENHUT CORP | COM | 929794105 | 12 | 350 | SH | DEFINED | 01 |
| WACHOVIA | COM | 929903102 | 9 | 250 | SH | DEFINED | 01 |
| WACHOVIA | COM | 929903102 | 80278 | 2164999 | SH | DEFINED | 01 |
| WACHOVIA | COM | 929903102 | 15156 | 408756 | SH | DEFINED | 01 |
| WACHOVIA | COM | 929903102 | 50266 | 1355624 | SH | DEFINED | 01 |
| WACHOVIA CORPORATION | COM | 929903102 | 4678 | 126165 | SH | DEFINED | 01 |
| WACHOVIA CORPORATION | COM | 929903102 | 514 | 13860 | SH | DEFINED | 01 |
| WADDELL & REED FINANCIAL CL A | COM | 930059100 | 587 | 19237 | SH | DEFINED | 01 |
| WAL MART STORES | COM | 931142103 | 32264 | 526399 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|---------|----------|----|---------|----|---|
| WAL MART STORES | COM | 931142103 | 107146 | 1748182 | SH | DEFINED | 01 | 0 |
| WAL-MART STORES | COM | 931142103 | 40 | 650 | SH | DEFINED | 01 | |
| WAL-MART STORES | COM | 931142103 | 1063575 | 17353151 | SH | DEFINED | 01 | |
| WAL-MART STORES | COM | 931142103 | 75302 | 1228616 | SH | DEFINED | 01 | 0 |
| WAL-MART STORES | COM | 931142103 | 244134 | 3983271 | SH | DEFINED | 01 | 0 |
| WAL-MART STORES INC | COM | 931142103 | 17321 | 282600 | SH | DEFINED | 11 | 1 |
| WAL-MART STORES INC. | COM | 931142103 | 2225 | 36300 | SH | DEFINED | 11 | 1 |
| WALGREEN | COM | 931422109 | 127233 | 3246585 | SH | DEFINED | 01 | |
| WALGREEN | COM | 931422109 | 10148 | 258953 | SH | DEFINED | 01 | 0 |
| WALGREEN | COM | 931422109 | 19454 | 496384 | SH | DEFINED | 01 | 0 |
| WALGREEN CO. | COM | 931422109 | 14220 | 362841 | SH | DEFINED | 01 | 0 |
| WALLACE COMPUTER SERVICES | COM | 932270101 | 26 | 1306 | SH | DEFINED | 01 | |
| WALTER INDS | COM | 93317Q105 | 2 | 153 | SH | DEFINED | 01 | |
| WANT-WANT HOLDINGS IN USD | COM | 933697997 | 111 | 45000 | SH | DEFINED | 01 | |
| WASHINGTON FED INC | COM | 938824109 | 4669 | 195018 | SH | DEFINED | 01 | |
| WASHINGTON MUT INC | COM | 939322103 | 4828 | 145739 | SH | DEFINED | 01 | |
| Washington Mut Inc | COM | 939322103 | 4105 | 123910 | SH | DEFINED | 01 | |
| WASHINGTON MUT INC | COM | 939322103 | 832 | 25100 | SH | DEFINED | 01 | 0 |
| WASHINGTON MUTUAL | COM | 939322103 | 82687 | 2495861 | SH | DEFINED | 01 | |
| WASHINGTON MUTUAL | COM | 939322103 | 10909 | 329261 | SH | DEFINED | 01 | 0 |
| WASHINGTON MUTUAL | COM | 939322103 | 3176 | 95854 | SH | DEFINED | 01 | 0 |
| WASHINGTON POST CI B | COM | 939640108 | 197 | 324 | SH | DEFINED | 01 | |
| WASH POST REGISTERED W/SALE | COM | 939640XX1 | 7284 | 12000 | SH | DEFINED | 01 | 0 |
| WASH REAL ESTATE INC | COM | 939653101 | 4 | 130 | SH | DEFINED | 01 | |
| WASTE CONNECTION | COM | 941053100 | 5 | 140 | SH | DEFINED | 01 | 0 |
| WASTE CONNECTIONS | COM | 941053100 | 3538 | 105598 | SH | DEFINED | 01 | |
| WASTE RECYCLING GROUP | COM | 941069999 | 124 | 20000 | SH | DEFINED | 01 | |
| WASTE MANAGEMENT | COM | 94106L109 | 111608 | 4095700 | SH | DEFINED | 01 | |
| WASTE MANAGEMENT | COM | 94106L109 | 5564 | 204190 | SH | DEFINED | 01 | 0 |
| WASTE MANAGEMENT | COM | 94106L109 | 15029 | 551509 | SH | DEFINED | 01 | 0 |
| WASTE MANAGEMENT INC | COM | 94106L109 | 925 | 33942 | SH | DEFINED | 01 | 0 |
| WATCHGUARD TECHNOLOGIES | COM | 941105108 | 156 | 25053 | SH | DEFINED | 01 | |
| WATER PIK TECH | COM | 94113U100 | 0 | 14 | SH | DEFINED | 01 | |
| WATERFORD WEDGWOOD | COM | 941513996 | 252 | 370000 | SH | DEFINED | 01 | |
| WATERS | COM | 941848103 | 29515 | 1055196 | SH | DEFINED | 01 | |
| WATERS | COM | 941848103 | 1277 | 45655 | SH | DEFINED | 01 | 0 |
| WATERS | COM | 941848103 | 16415 | 586889 | SH | DEFINED | 01 | 0 |
| WATERS CORPORATION | COM | 941848103 | 16004 | 572230 | SH | DEFINED | 01 | 0 |
| WATSCO | COM | 942622200 | 1460 | 81784 | SH | DEFINED | 01 | |
| WATSON PHARMACEUTICALS | COM | 942683103 | 71 | 2605 | SH | DEFINED | 01 | |
| WATSON PHARMACEUTICALS | COM | 942683103 | 731 | 26990 | SH | DEFINED | 01 | 0 |
| WATSON PHARMACEUTICALS | COM | 942683103 | 26 | 968 | SH | DEFINED | 01 | 0 |
| WATTS INDUSTRIES INC | COM | 942749102 | 50 | 3000 | SH | DEFINED | 01 | |
| WATTS INDUSTRIES INC | COM | 942749102 | 3195 | 190163 | SH | DEFINED | 01 | |
| WATTS INDUSTRIES INC | COM | 942749102 | 11 | 645 | SH | DEFINED | 01 | 0 |
| WATTS INDUSTRIES INC | COM | 942749102 | 175 | 10400 | SH | DEFINED | 01 | 0 |
| WAUSAU-MOSINEE PAPER | COM | 943315101 | 26 | 2062 | SH | DEFINED | 01 | |
| WAVE SYS CORP | COM | 943526103 | 0 | 127 | SH | DEFINED | 01 | |
| WAYLAND INVESTMENT 0.00 03/3 | PRF | 944209XX8 | 22678 | 32000000 | SH | DEFINED | 01 | |
| WAYLAND INVESTMENT 0.00 03/3 | PRF | 944209XX8 | 4252 | 6000000 | SH | DEFINED | 01 | 0 |
| WEATHERFORD INTL | COM | 947074100 | 35183 | 738699 | SH | DEFINED | 01 | |
| WEATHERFORD INTL | COM | 947074100 | 81 | 1700 | SH | DEFINED | 01 | 0 |
| WEATHERFORD INTL | COM | 947074100 | 13848 | 290749 | SH | DEFINED | 01 | 0 |
| WEBEX COMMUNICATIONS | COM | 94767L109 | 5 | 283 | SH | DEFINED | 01 | |
| WEBSense | COM | 947684106 | 181 | 7200 | SH | DEFINED | 01 | |
| WEBMETHODS INC | COM | 94768C108 | 5 | 311 | SH | DEFINED | 01 | |
| WEBMETHODS INC | COM | 94768C108 | 2 | 120 | SH | DEFINED | 01 | 0 |
| WEBMD CORPORATION | COM | 94769M105 | 7 | 899 | SH | DEFINED | 01 | |
| WEBSTER FINANCIAL | COM | 947890109 | 927 | 24758 | SH | DEFINED | 01 | |
| WEIDER NUTRITION INT | COM | 948603105 | 10 | 5000 | SH | DEFINED | 01 | |
| WEIGHT WATCHERS INTL | COM | 948626106 | 10 | 258 | SH | DEFINED | 01 | |
| WEINGARTEN REALTY IN | COM | 948741103 | 11 | 209 | SH | DEFINED | 01 | |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|----------------------------|-----|-----------|--------|---------|----|---------|----|
| WEIS MARKETS INC | COM | 948849104 | 7 | 239 | SH | DEFINED | 01 |
| WELLMAN | COM | 949702104 | 35 | 2100 | SH | DEFINED | 01 |
| WELLMAN | COM | 949702104 | 2393 | 145463 | SH | DEFINED | 01 |
| WELLPOINT HEALTH NETWORKS | COM | 94973H108 | 34500 | 541862 | SH | DEFINED | 01 |
| WELLPOINT HEALTH NETWORKS | COM | 94973H108 | 3526 | 55384 | SH | DEFINED | 01 |
| WELLPOINT HEALTH NETWORKS | COM | 94973H108 | 1113 | 17471 | SH | DEFINED | 01 |
| WELLS FARGO | COM | 949746101 | 15 | 300 | SH | DEFINED | 01 |
| WELLS FARGO | COM | 949746101 | 406349 | 8225685 | SH | DEFINED | 01 |
| WELLS FARGO | COM | 949746101 | 23143 | 468482 | SH | DEFINED | 01 |
| WELLS FARGO | COM | 949746101 | 111105 | 2249083 | SH | DEFINED | 01 |
| WELLS FARGO CO (NEW) | COM | 949746101 | 4113 | 83261 | SH | DEFINED | 01 |
| WELLS FARGO CO (NEW) | COM | 949746101 | 36570 | 740361 | SH | DEFINED | 01 |
| WELLSFORD REAL PROP | COM | 950240200 | 991 | 49300 | SH | DEFINED | 01 |
| WELLSFORD REAL PROP | COM | 950240200 | 201 | 10000 | SH | DEFINED | 01 |
| WENDY'S INTL | COM | 950590109 | 5 | 150 | SH | DEFINED | 01 |
| WENDY'S INTL | COM | 950590109 | 27635 | 790029 | SH | DEFINED | 01 |
| WENDY'S INTL | COM | 950590109 | 927 | 26504 | SH | DEFINED | 01 |
| WENDY'S INTL | COM | 950590109 | 52493 | 1500650 | SH | DEFINED | 01 |
| WENDYS INTL INC | COM | 950590109 | 672 | 19250 | SH | DEFINED | 01 |
| WERNER ENTERPRISES | COM | 950755108 | 4784 | 228368 | SH | DEFINED | 01 |
| WESCAST INDS CL A | COM | 950813105 | 4478 | 135400 | SH | DEFINED | 01 |
| WESCAST INDS CL A | COM | 950813105 | 390 | 11800 | SH | DEFINED | 01 |
| WESCO FINANCIAL CORP | COM | 950817106 | 1219 | 3898 | SH | DEFINED | 01 |
| WESCO FINANCIAL CORP | COM | 950817106 | 128 | 410 | SH | DEFINED | 01 |
| WESCO INTERNATIONAL | COM | 95082P105 | 1 | 146 | SH | DEFINED | 01 |
| WEST CORP | COM | 952355105 | 7 | 218 | SH | DEFINED | 01 |
| WEST PHARMACEUTICAL | COM | 955306105 | 2 | 54 | SH | DEFINED | 01 |
| WESTAMERICA BANCORPORATION | COM | 957090103 | 57 | 1336 | SH | DEFINED | 01 |
| WESTCORP | COM | 957907108 | 3 | 128 | SH | DEFINED | 01 |
| WESTERN DIGITAL | COM | 958102105 | 239 | 38300 | SH | DEFINED | 01 |
| WESTERN DIGITAL | COM | 958102105 | 1906 | 306012 | SH | DEFINED | 01 |
| WESTERN DIGITAL | COM | 958102105 | 16 | 2550 | SH | DEFINED | 01 |
| WESTERN DIGITAL | COM | 958102105 | 179 | 28800 | SH | DEFINED | 01 |
| WESTERN GAS RESOURCE | COM | 958259103 | 13 | 350 | SH | DEFINED | 01 |
| WESTERN GAS RESOURCES | COM | 958259103 | 3512 | 94355 | SH | DEFINED | 01 |
| WESTERN GAS RESOURCES | COM | 958259103 | 249 | 6700 | SH | DEFINED | 01 |
| WESTERN RESOURCES | COM | 959425109 | 44 | 2605 | SH | DEFINED | 01 |
| WESTERN WIRELESS CL A | COM | 95988E204 | 17 | 2000 | SH | DEFINED | 01 |
| WESTERN WIRELESS CL A | COM | 95988E204 | 1 | 149 | SH | DEFINED | 01 |
| WESTPAC BANKING ORD | COM | 961214103 | 2174 | 261000 | SH | DEFINED | 01 |
| WESTPOINT STEVENS IN | COM | 961238102 | 0 | 100 | SH | DEFINED | 01 |
| WESTWOOD ONE | COM | 961815107 | 6 | 150 | SH | DEFINED | 01 |
| WESTWOOD ONE | COM | 961815107 | 45291 | 1180996 | SH | DEFINED | 01 |
| WESTWOOD ONE | COM | 961815107 | 5411 | 141100 | SH | DEFINED | 01 |
| WESTWOOD ONE | COM | 961815107 | 25352 | 661070 | SH | DEFINED | 01 |
| WESTWOOD ONE CORP | COM | 961815107 | 83131 | 2167669 | SH | DEFINED | 01 |
| WET SEAL CL A | COM | 961840105 | 6049 | 173379 | SH | DEFINED | 01 |
| WET SEAL CL A | COM | 961840105 | 260 | 7458 | SH | DEFINED | 01 |
| WET SEAL INC | COM | 961840105 | 5 | 155 | SH | DEFINED | 01 |
| WEYERHAEUSER | COM | 962166104 | 53548 | 851848 | SH | DEFINED | 01 |
| WEYERHAEUSER | COM | 962166104 | 3508 | 55806 | SH | DEFINED | 01 |
| WEYERHAEUSER | COM | 962166104 | 182 | 2888 | SH | DEFINED | 01 |
| WHARF HOLDINGS | COM | 962257101 | 982 | 413000 | SH | DEFINED | 01 |
| WHIRLPOOL | COM | 963320106 | 646 | 8550 | SH | DEFINED | 01 |
| WHIRLPOOL | COM | 963320106 | 95922 | 1269661 | SH | DEFINED | 01 |
| WHIRLPOOL | COM | 963320106 | 3673 | 48631 | SH | DEFINED | 01 |
| WHIRLPOOL | COM | 963320106 | 21477 | 284263 | SH | DEFINED | 01 |
| WHIRLPOOL CORP | COM | 963320106 | 884 | 11700 | SH | DEFINED | 01 |
| WHITBREAD PLC | COM | 963367990 | 1373 | 148300 | SH | DEFINED | 01 |
| WHITEHALL JEWELLERS | COM | 965063100 | 3 | 160 | SH | DEFINED | 01 |
| WHITNEY HOLDING CORP | COM | 966612103 | 4050 | 81236 | SH | DEFINED | 01 |
| WHOLE FOODS MARKET | COM | 966837106 | 5 | 100 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|-----------------------------|-----|-----------|--------|---------|----|---------|------|
| WHOLE FOODS MARKET | COM | 966837106 | 97309 | 2129784 | SH | DEFINED | 01 |
| WHOLE FOODS MARKET | COM | 966837106 | 177400 | 3882695 | SH | DEFINED | 01 0 |
| WHOLE FOODS MARKET | COM | 966837106 | 14499 | 317350 | SH | DEFINED | 01 0 |
| WHOLE FOODS MARKET | COM | 966837106 | 8382 | 183450 | SH | DEFINED | 01 0 |
| WILD OATS MARKETS | COM | 96808B107 | 28 | 3300 | SH | DEFINED | 01 |
| WILD OATS MARKETS | COM | 96808B107 | 1 | 75 | SH | DEFINED | 01 |
| WILEY JOHN &SONS INC | COM | 968223206 | 7 | 262 | SH | DEFINED | 01 |
| WILLIAMS COMMUNICATIONS | COM | 969455104 | 0 | 2599 | SH | DEFINED | 01 |
| WILLIAM COMPANIES | COM | 969457100 | 690 | 29300 | SH | DEFINED | 01 0 |
| WILLIAMS COMPANIES | COM | 969457100 | 104911 | 4452935 | SH | DEFINED | 01 |
| WILLIAMS COMPANIES | COM | 969457100 | 4349 | 184564 | SH | DEFINED | 01 0 |
| WILLIAMS COMPANIES | COM | 969457100 | 12478 | 529633 | SH | DEFINED | 01 0 |
| WILLIAMS SONOMA | COM | 969904101 | 690 | 15000 | SH | DEFINED | 01 |
| WILLIAMS SONOMA | COM | 969904101 | 111896 | 2433055 | SH | DEFINED | 01 0 |
| WILLIAMS-SONOMA | COM | 969904101 | 62752 | 1364477 | SH | DEFINED | 01 |
| WILLIAMS-SONOMA | COM | 969904101 | 9927 | 215850 | SH | DEFINED | 01 0 |
| WILLIAMS-SONOMA | COM | 969904101 | 1580 | 34361 | SH | DEFINED | 01 0 |
| WILMINGTON TRUST | COM | 971807102 | 77 | 1149 | SH | DEFINED | 01 |
| WILSHIRE WI ADVISOR | WT | 97187@117 | 49 | 12 | SH | DEFINED | 01 0 |
| WILSHIRE LA ADVISERS | WT | 97188#AA4 | 47 | 12 | SH | DEFINED | 01 0 |
| WILSHIRE NY ADVIS WT | WT | 971880117 | 148 | 20 | SH | DEFINED | 01 |
| WILSHIRE PARTNER LLC | WT | 97189#113 | 4 | 3 | SH | DEFINED | 01 |
| WILSHIRE PARTNER LLC | WT | 97189#113 | 4 | 3 | SH | DEFINED | 01 0 |
| WILSHIRE NY ADVISOR | WT | 971993118 | 68 | 60 | SH | DEFINED | 01 |
| WILSON GREATBATCH | COM | 972232102 | 951 | 37000 | SH | DEFINED | 01 |
| WILSON GREATBATCH | COM | 972232102 | 7 | 260 | SH | DEFINED | 01 0 |
| WILSON GREATBATCH | COM | 972232102 | 87 | 3400 | SH | DEFINED | 01 0 |
| WILSONS THE LEATHER EXPERTS | COM | 972463103 | 4529 | 378700 | SH | DEFINED | 01 |
| WILSONS THE LEATHER EXPERTS | COM | 972463103 | 367 | 30700 | SH | DEFINED | 01 0 |
| WIND RIVER SYSTEMS | COM | 973149107 | 27 | 2000 | SH | DEFINED | 01 |
| WIND RIVER SYSTEMS | COM | 973149107 | 39 | 2831 | SH | DEFINED | 01 |
| WING HANG BANK LTD | COM | 973855992 | 112 | 31000 | SH | DEFINED | 01 |
| WING LUNG BANK | COM | 974000994 | 88 | 19900 | SH | DEFINED | 01 |
| WINK COMMUNICATIONS | COM | 974168106 | 0 | 90 | SH | DEFINED | 01 |
| WINN-DIXIE STORES | COM | 974280109 | 45 | 2800 | SH | DEFINED | 01 |
| WINN-DIXIE STORES | COM | 974280109 | 62 | 3888 | SH | DEFINED | 01 |
| WINN-DIXIE STORES | COM | 974280109 | 571 | 35620 | SH | DEFINED | 01 0 |
| WINN-DIXIE STORES | COM | 974280109 | 20 | 1278 | SH | DEFINED | 01 0 |
| WINNEBAGO IND INC | COM | 974637100 | 2669 | 63568 | SH | DEFINED | 01 |
| WINTRUST FINANCIAL | COM | 97650W108 | 2458 | 107000 | SH | DEFINED | 01 |
| WINTRUST FINANCIAL | COM | 97650W108 | 13 | 555 | SH | DEFINED | 01 0 |
| WINTRUST FINANCIAL | COM | 97650W108 | 227 | 9900 | SH | DEFINED | 01 0 |
| WIRELESS FACILITIES | COM | 97653A103 | 1 | 89 | SH | DEFINED | 01 |
| WISCONSIN ENERGY | COM | 976657106 | 97 | 3897 | SH | DEFINED | 01 |
| WITNESS SYSTEMS INC | COM | 977424100 | 2474 | 175800 | SH | DEFINED | 01 |
| WITNESS SYSTEMS INC | COM | 977424100 | 2 | 170 | SH | DEFINED | 01 0 |
| WITNESS SYSTEMS INC | COM | 977424100 | 131 | 9300 | SH | DEFINED | 01 0 |
| WOLTERS KLUWER | COM | 977874999 | 366 | 17500 | SH | DEFINED | 01 |
| WOLSELEY | COM | 977989995 | 854 | 84100 | SH | DEFINED | 01 |
| WOLVERINE TUBE INC | COM | 978093102 | 323 | 37121 | SH | DEFINED | 01 |
| WOLVERINE | COM | 978097103 | 2922 | 162827 | SH | DEFINED | 01 |
| WOLVERINE | COM | 978097103 | 90 | 5000 | SH | DEFINED | 01 0 |
| WOODWARD GOVERNOR CO | COM | 980745103 | 7946 | 115491 | SH | DEFINED | 01 |
| WOODWARD GOVERNOR CO | COM | 980745103 | 3 | 50 | SH | DEFINED | 01 0 |
| WOODWARD GOVERNOR CO | COM | 980745103 | 526 | 7650 | SH | DEFINED | 01 0 |
| WORLDGATE COMM | COM | 98156L307 | 0 | 87 | SH | DEFINED | 01 |
| WORLD WRESTLING FED | COM | 98156Q108 | 32 | 2200 | SH | DEFINED | 01 |
| WORLDCOM INC/GROUP | COM | 98157D106 | 1355 | 201000 | SH | DEFINED | 01 |
| WORLDCOM INC/GROUP | COM | 98157D106 | 208 | 30800 | SH | DEFINED | 01 0 |
| WORLDCOM-WORLDCOM GROUP | COM | 98157D106 | 10549 | 1565201 | SH | DEFINED | 01 |
| WORLDCOM-WORLDCOM GROUP | COM | 98157D106 | 5328 | 790406 | SH | DEFINED | 01 0 |
| WORLDCOM-WORLDCOM GROUP | COM | 98157D106 | 181 | 26820 | SH | DEFINED | 01 0 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------|-----|-----------|--------|----------|----|---------|----|
| WORLDCOM INC (MCI) | COM | 98157D304 | 1420 | 240267 | SH | DEFINED | 01 |
| WORLDCOM INC (MCI) | COM | 98157D304 | 2364 | 400000 | SH | DEFINED | 01 |
| WORLDCOM INC-MCI GRP | COM | 98157D304 | 47 | 8040 | SH | DEFINED | 01 |
| WORTHINGTON IND | COM | 981811102 | 12 | 790 | SH | DEFINED | 01 |
| WORTHINGTON INDS | COM | 981811102 | 1753 | 114172 | SH | DEFINED | 01 |
| WORTHINGTON INDS | COM | 981811102 | 332 | 21645 | SH | DEFINED | 01 |
| WORTHINGTON INDS | COM | 981811102 | 192 | 12470 | SH | DEFINED | 01 |
| WRIGHT MEDICAL GROUP | COM | 98235T107 | 1109 | 55200 | SH | DEFINED | 01 |
| WRIGLEY (WM) JR | COM | 982526105 | 298 | 5576 | SH | DEFINED | 01 |
| WRIGLEY (WM) JR | COM | 982526105 | 3043 | 57085 | SH | DEFINED | 01 |
| WRIGLEY (WM) JR | COM | 982526105 | 109 | 2048 | SH | DEFINED | 01 |
| WRIGLEY WM JR CO | COM | 982526105 | 293 | 5500 | SH | DEFINED | 01 |
| WYETH | COM | 983024100 | 22721 | 346098 | SH | DEFINED | 01 |
| WYETH | COM | 983024100 | 270457 | 4119673 | SH | DEFINED | 01 |
| WYETH | COM | 983024100 | 8920 | 135860 | SH | DEFINED | 01 |
| WYETH | COM | 983024100 | 23083 | 351603 | SH | DEFINED | 01 |
| WYETH | COM | 983024100 | 94084 | 1433105 | SH | DEFINED | 01 |
| WYETH | COM | 983024100 | 3112 | 47400 | SH | DEFINED | 11 |
| WYETH | COM | 983024100 | 26734 | 407214 | SH | DEFINED | 11 |
| WYNDHAM | COM | 983101106 | 1 | 727 | SH | DEFINED | 01 |
| XM SATELLITE RADIO - WTS EXP | COM | 98375Y114 | 269 | 10750 | SH | DEFINED | 01 |
| XM SATELLITE RADIO - WTS EXP | COM | 98375Y114 | 48 | 1900 | SH | DEFINED | 01 |
| XO COMMUNICATIONS | COM | 983764101 | 0 | 561 | SH | DEFINED | 01 |
| XO COMM PIK PFD 14% | PRF | 983764408 | 0 | 879 | SH | DEFINED | 01 |
| X-RITE INC | COM | 983857103 | 523 | 65357 | SH | DEFINED | 01 |
| XTO ENERGY | COM | 98385X106 | 10899 | 543600 | SH | DEFINED | 01 |
| XTO ENERGY | COM | 98385X106 | 172 | 8600 | SH | DEFINED | 01 |
| XTO ENERGY INC | COM | 98385X106 | 247 | 12300 | SH | DEFINED | 01 |
| XCEL ENERGY | COM | 98389B100 | 91734 | 3618707 | SH | DEFINED | 01 |
| XCEL ENERGY | COM | 98389B100 | 2619 | 103296 | SH | DEFINED | 01 |
| XCEL ENERGY | COM | 98389B100 | 9747 | 384505 | SH | DEFINED | 01 |
| XCEL ENERGY INC | COM | 98389B100 | 5648 | 222800 | SH | DEFINED | 01 |
| XCEL ENERGY INC | COM | 98389B100 | 13813 | 544850 | SH | DEFINED | 01 |
| XILINX | COM | 983919101 | 1713 | 42984 | SH | DEFINED | 01 |
| XILINX | COM | 983919101 | 29853 | 748946 | SH | DEFINED | 01 |
| XILINX | COM | 983919101 | 69427 | 1741678 | SH | DEFINED | 01 |
| XILINX | COM | 983919101 | 4203 | 105432 | SH | DEFINED | 01 |
| XILINX | COM | 983919101 | 41604 | 1043751 | SH | DEFINED | 01 |
| XEROX CVT PFD 144A | PRF | 98411F202 | 16788 | 240700 | SH | DEFINED | 01 |
| XEROX CVT PFD 144A | PRF | 98411F202 | 649 | 9300 | SH | DEFINED | 01 |
| XEROX | COM | 984121103 | 75387 | 7012790 | SH | DEFINED | 01 |
| XEROX | COM | 984121103 | 3167 | 294644 | SH | DEFINED | 01 |
| XEROX | COM | 984121103 | 11271 | 1048532 | SH | DEFINED | 01 |
| XSTRATA PLC | COM | 984220996 | 357 | 25100 | SH | DEFINED | 01 |
| YAHOO INC | COM | 984332106 | 15964 | 864310 | SH | DEFINED | 01 |
| YAHOO! | COM | 984332106 | 3431 | 185800 | SH | DEFINED | 01 |
| YAHOO! | COM | 984332106 | 2722 | 147384 | SH | DEFINED | 01 |
| YAHOO! | COM | 984332106 | 98 | 5289 | SH | DEFINED | 01 |
| YAMAHA | COM | 984599993 | 291 | 40000 | SH | DEFINED | 01 |
| YANKEE CANDLE | COM | 984757104 | 10 | 437 | SH | DEFINED | 01 |
| YANZHOU COAL MINING | COM | 984846998 | 2433 | 6172000 | SH | DEFINED | 01 |
| YANZHOU COAL MINING | COM | 984846998 | 78 | 198000 | SH | DEFINED | 01 |
| XICOR | COM | 984903104 | 457 | 43000 | SH | DEFINED | 01 |
| YAKULT HONSHA ORD | COM | 984950998 | 107 | 11000 | SH | DEFINED | 01 |
| YAPI KREDIT FINANCE | COM | 984960997 | 140 | 47540269 | SH | DEFINED | 01 |
| YELLOW CORP | COM | 985509108 | 2010 | 76166 | SH | DEFINED | 01 |
| YORK INTL | COM | 986670107 | 28674 | 798705 | SH | DEFINED | 01 |
| YORK INTL | COM | 986670107 | 2633 | 73337 | SH | DEFINED | 01 |
| YOUNG BROADCASTING | COM | 987434107 | 75 | 3000 | SH | DEFINED | 01 |
| YOUNG BROADCASTING | COM | 987434107 | 1 | 42 | SH | DEFINED | 01 |
| YUKOS ADR | COM | 98849W108 | 6640 | 52700 | SH | DEFINED | 01 |
| YUKOS ADR | COM | 98849W108 | 214 | 1700 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|------------------------------------|-----|-----------|---------|----------|----|---------|----|
| ZALE | COM | 988858106 | 6403 | 157695 | SH | DEFINED | 01 |
| ZALE | COM | 988858106 | 110 | 2704 | SH | DEFINED | 01 |
| ZALE CORP | COM | 988858106 | 7 | 190 | SH | DEFINED | 01 |
| ZARDDOYA OTIS SA | COM | 989099999 | 130 | 12000 | SH | DEFINED | 01 |
| ZEBRA TECH | COM | 989207105 | 5242 | 96919 | SH | DEFINED | 01 |
| ZENITH NATIONAL | COM | 989390109 | 1571 | 53787 | SH | DEFINED | 01 |
| ZHEJIANG EXP CO H | COM | 989486998 | 91 | 352000 | SH | DEFINED | 01 |
| ZIMMER HOLDINGS | COM | 98956P102 | 3057 | 89787 | SH | DEFINED | 01 |
| ZIMMER HOLDINGS | COM | 98956P102 | 1672 | 49105 | SH | DEFINED | 01 |
| ZIMMER HOLDINGS | COM | 98956P102 | 4997 | 146762 | SH | DEFINED | 01 |
| ZIONS BANCORP | COM | 989701107 | 1052 | 17736 | SH | DEFINED | 01 |
| ZIONS BANCORP | COM | 989701107 | 1378 | 23255 | SH | DEFINED | 01 |
| ZIONS BANCORP | COM | 989701107 | 49 | 834 | SH | DEFINED | 01 |
| ZIONS BANCORPORATION | COM | 989701107 | 5871 | 99049 | SH | DEFINED | 01 |
| ZIONS BANCORPORATION | COM | 989701107 | 14750 | 248865 | SH | DEFINED | 01 |
| ZIXIT CORPORATION | COM | 98974P100 | 351 | 53933 | SH | DEFINED | 01 |
| ZORAN | COM | 98975F101 | 2271 | 52000 | SH | DEFINED | 01 |
| ZORAN | COM | 98975F101 | 153 | 3500 | SH | DEFINED | 01 |
| ZORAN CORP | COM | 98975F101 | 7 | 160 | SH | DEFINED | 01 |
| ZOLL MEDICAL | COM | 989922109 | 1076 | 28000 | SH | DEFINED | 01 |
| ZOLL MEDICAL | COM | 989922109 | 84 | 2200 | SH | DEFINED | 01 |
| ZOMAX | COM | 989929104 | 1 | 114 | SH | DEFINED | 01 |
| AET INCOME III (HV) | COM | 990007213 | 149424 | 9063690 | SH | DEFINED | 01 |
| AET STABLE CAPITAL II (HV) | COM | 990007940 | 70184 | 4375852 | SH | DEFINED | 01 |
| AET BLUE CHIP ADVANTAGE II (HV) | COM | 990008393 | 190434 | 4848157 | SH | DEFINED | 01 |
| AET INCOME II (HV) | COM | 990008419 | 23058 | 1041132 | SH | DEFINED | 01 |
| AET FEDERAL INCOME (HV) | COM | 990008443 | 52331 | 2696567 | SH | DEFINED | 01 |
| AET EQUITY INDEX BASE (HV) | COM | 990008450 | 2496873 | 71871107 | SH | DEFINED | 01 |
| AET STABLE CAPITAL I (HV) | COM | 990008492 | 1287815 | 74361065 | SH | DEFINED | 01 |
| L15 STABLE (HV) | COM | 9900084E1 | 397546 | 37212297 | SH | DEFINED | 01 |
| AET COMMON STOCK (HV) | COM | 990009078 | 1849 | 9490 | SH | DEFINED | 01 |
| AET BOND (HV) | COM | 990009086 | 112302 | 1671037 | SH | DEFINED | 01 |
| AET INCOME I (HV) | COM | 990009102 | 2760471 | 47025076 | SH | DEFINED | 01 |
| AET INTERNATIONAL (HV) | COM | 990009136 | 11654 | 115059 | SH | DEFINED | 01 |
| AET EMERGING GROWTH (HV) | COM | 990009300 | 46392 | 323857 | SH | DEFINED | 01 |
| AET MIDCAP GROWTH (HV) | COM | 990009318 | 231061 | 1092336 | SH | DEFINED | 01 |
| AET EQUITY INDEX III (HV) | COM | 990018509 | 44887 | 1510679 | SH | DEFINED | 01 |
| CHICAGO TRUST STATED VALUE | COM | 990020992 | 7724 | 3622822 | SH | DEFINED | 01 |
| WAHOO FUND LP | COM | 9999Z4438 | 62 | 169 | SH | DEFINED | 01 |
| AUSTRALIAN DOLLAR | COM | AUD000001 | 114 | 213442 | SH | DEFINED | 01 |
| AUD@GOLDMAN (FUT) | COM | AUDF00002 | 54 | 100892 | SH | DEFINED | 01 |
| SWISS FRANCS | COM | CHF000001 | 22 | 37330 | SH | DEFINED | 01 |
| "Federated Department Stores Inc." | COM | | 0 | 2 75 | SH | DEFI | |
| DAIMLERCHRYSLER AG | COM | D1668R123 | 23 | 500 | SH | DEFINED | 01 |
| DANISH KRONE | COM | DKK000001 | 13 | 109311 | SH | DEFINED | 01 |
| EURO CURRENCY | COM | EUR000001 | 94 | 107980 | SH | DEFINED | 01 |
| EURO@GOLDMAN (FUT) | COM | EURF00002 | 125 | 143756 | SH | DEFINED | 01 |
| ACE | COM | G0070K103 | 300 | 7200 | SH | DEFINED | 01 |
| ACE | COM | G0070K103 | 54353 | 1303408 | SH | DEFINED | 01 |
| ACE | COM | G0070K103 | 3217 | 77160 | SH | DEFINED | 01 |
| ACE | COM | G0070K103 | 34134 | 818573 | SH | DEFINED | 01 |
| ACE LIMITED | COM | G0070K103 | 2977 | 71388 | SH | DEFINED | 01 |
| ACE LIMITED | COM | G0070K103 | 20396 | 489079 | SH | DEFINED | 01 |
| AMDOCS | COM | G02602103 | 312 | 11700 | SH | DEFINED | 01 |
| APW LIMITED | COM | G04397108 | 0 | 173 | SH | DEFINED | 01 |
| ARCH CAPITAL GROUP | COM | G0450A105 | 454 | 17600 | SH | DEFINED | 01 |
| ACCENTURE LTD | COM | G1150G111 | 240 | 9000 | SH | DEFINED | 11 |
| BUNGE LIMITED | COM | G16962105 | 6 | 297 | SH | DEFINED | 01 |
| EVEREST RE GROUP | COM | G3223R108 | 208 | 3000 | SH | DEFINED | 01 |
| EVEREST RE GROUP | COM | G3223R108 | 19244 | 277535 | SH | DEFINED | 01 |
| EVEREST RE GROUP | COM | G3223R108 | 37901 | 546600 | SH | DEFINED | 01 |
| EVEREST RE GROUP LTD | COM | G3223R108 | 263 | 3800 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|
| FOSTER WHEELER LTD | COM | G36535105 | 1 | 172 | SH | DEFINED | 01 |
| FRESH DEL MONTE PROD | COM | G36738105 | 9 | 510 | SH | DEFINED | 01 |
| FRESH DEL MONTE PRODUCE | COM | G36738105 | 2791 | 148439 | SH | DEFINED | 01 |
| FRESH DEL MONTE PRODUCE | COM | G36738105 | 300 | 15970 | SH | DEFINED | 01 |
| GLOBALSANTAFE CORP | COM | G3930E101 | 20 | 604 | SH | DEFINED | 01 |
| INGERSOLL-RAND | COM | G4776G101 | 345 | 6900 | SH | DEFINED | 01 |
| INGERSOLL-RAND | COM | G4776G101 | 123764 | 2474308 | SH | DEFINED | 01 |
| INGERSOLL-RAND | COM | G4776G101 | 4875 | 97465 | SH | DEFINED | 01 |
| INGERSOLL-RAND | COM | G4776G101 | 14142 | 282727 | SH | DEFINED | 01 |
| INGERSOLL-RAND CO | COM | G4776G101 | 15664 | 312646 | SH | DEFINED | 01 |
| IPC HOLDINGS LTD | COM | G4933P101 | 9 | 260 | SH | DEFINED | 01 |
| LORAL SPACE & COMMUNICATIONS | COM | G56462107 | 3 | 1217 | SH | DEFINED | 01 |
| MARVELL TECHNOLOGY GROUP | COM | G5876H105 | 29127 | 665000 | SH | DEFINED | 01 |
| MARVELL TECHNOLOGY GROUP | COM | G5876H105 | 1110 | 25344 | SH | DEFINED | 01 |
| MIH LTD | COM | G6116R101 | 134 | 46850 | SH | DEFINED | 01 |
| RENAISSANCE RE HOLDINGS | COM | G7496G103 | 824 | 8000 | SH | DEFINED | 01 |
| SCOTTISH ANN & LIFE | COM | G7885T104 | 7 | 400 | SH | DEFINED | 01 |
| SCOTTISH ANNUNITY & LIFE HOLDI | COM | G7885T104 | 2488 | 130923 | SH | DEFINED | 01 |
| SCOTTISH ANNUNITY & LIFE HOLDI | COM | G7885T104 | 218 | 11477 | SH | DEFINED | 01 |
| W.P. STEWART | COM | G84922106 | 1347 | 45366 | SH | DEFINED | 01 |
| W.P. STEWART | COM | G84922106 | 126 | 4234 | SH | DEFINED | 01 |
| TOMMY HILFIGER | COM | G8915Z102 | 7749 | 540000 | SH | DEFINED | 01 |
| TOMMY HILFIGER | COM | G8915Z102 | 296 | 20600 | SH | DEFINED | 01 |
| TRANSOCEAN SEDCO FOR | COM | G90078109 | 8003 | 240846 | SH | DEFINED | 01 |
| TRANSOCEAN SEDCO FOR | COM | G90078109 | 59741 | 1797814 | SH | DEFINED | 01 |
| TRANSOCEAN SEDCO FOREX | COM | G90078109 | 28 | 850 | SH | DEFINED | 01 |
| TRANSOCEAN SEDCO FOREX | COM | G90078109 | 81268 | 2445607 | SH | DEFINED | 01 |
| TRANSOCEAN SEDCO FOREX | COM | G90078109 | 4199 | 126377 | SH | DEFINED | 01 |
| TRANSOCEAN SEDCO FOREX | COM | G90078109 | 73787 | 2220487 | SH | DEFINED | 01 |
| TRENWICK GROUP | COM | G9032C109 | 1314 | 146599 | SH | DEFINED | 01 |
| WHITE MOUNTAINS INSURANCE GROU | COM | G9618E107 | 6 | 16 | SH | DEFINED | 01 |
| XL CAPITAL CL A | COM | G98255105 | 9 | 100 | SH | DEFINED | 01 |
| XL CAPITAL CL A | COM | G98255105 | 105044 | 1125269 | SH | DEFINED | 01 |
| XL CAPITAL CL A | COM | G98255105 | 5136 | 55012 | SH | DEFINED | 01 |
| XL CAPITAL CL A | COM | G98255105 | 35364 | 378831 | SH | DEFINED | 01 |
| XL CAPITAL LTD CL A | COM | G98255105 | 2273 | 24350 | SH | DEFINED | 01 |
| XOMA | COM | G9825R107 | 1927 | 224364 | SH | DEFINED | 01 |
| XOMA | COM | G9825R107 | 8859 | 1031300 | SH | DEFINED | 01 |
| XOMA LTD | COM | G9825R107 | 2 | 250 | SH | DEFINED | 01 |
| UK POUND STERLING | COM | GBP000001 | 132 | 93002 | SH | DEFINED | 01 |
| GBP@GOLDMAN (FUT) | COM | GBPF00002 | 86 | 60487 | SH | DEFINED | 01 |
| ALCON | COM | H01301102 | 75315 | 2225000 | SH | DEFINED | 01 |
| ALCON | COM | H01301102 | 446 | 13150 | SH | DEFINED | 01 |
| ALCON | COM | H01301102 | 655 | 19350 | SH | DEFINED | 01 |
| ALCON | COM | H01301102 | 34258 | 1012039 | SH | DEFINED | 01 |
| ALCON (SWISS STOCK) | COM | H01301102 | 1185 | 35000 | SH | DEFINED | 11 |
| HONG KONG DOLLAR | COM | HKD000001 | 32 | 251242 | SH | DEFINED | 01 |
| JAPANESE YEN | COM | JPY000001 | 39 | 5190348 | SH | DEFINED | 01 |
| CHECK POINT SOFTWARE TECHNOLOG | COM | M22465104 | 29195 | 960371 | SH | DEFINED | 01 |
| CHECK POINT SOFTWARE TECHNOLOG | COM | M22465104 | 24785 | 815290 | SH | DEFINED | 01 |
| CHECKPOINT SOFTWARE | COM | M22465104 | 231 | 7600 | SH | DEFINED | 01 |
| PRECISE SOFTWARE SOLUTIONS | COM | M41450103 | 1658 | 71200 | SH | DEFINED | 01 |
| LUMENIS | COM | M6778Q105 | 775 | 69760 | SH | DEFINED | 01 |
| LUMENIS | COM | M6778Q105 | 49 | 4440 | SH | DEFINED | 01 |
| M-SYSTEMS FLASH DISK PIONEERS | COM | M7061C100 | 555 | 59500 | SH | DEFINED | 01 |
| ASML HOLDING NV-NY REG SHS | COM | N07059111 | 273 | 10752 | SH | DEFINED | 01 |
| ERG ** | COM | NA | 2037 | 14841655 | SH | DEFINED | 01 |
| NORWEGIAN KRONER | COM | NOK000001 | 15 | 129043 | SH | DEFINED | 01 |
| NEW ZEALAND DOLLAR | COM | NZD000001 | 5 | 12294 | SH | DEFINED | 01 |
| SUN INTL | COM | P8797T133 | 4 | 130 | SH | DEFINED | 01 |
| SWEDISH KRONA | COM | SEK000001 | 48 | 501548 | SH | DEFINED | 01 |
| SEK@GOLDMAN (FUT) | COM | SEKF00002 | 9 | 90677 | SH | DEFINED | 01 |

Edgar Filing: AMERICAN EXPRESS FINANCIAL ADVISORS - Form 13F-HR

| | | | | | | |
|----------------------|-----|-----------|-------|---------|----|--------------|
| SINGAPORE DOLLAR | COM | SGD000001 | 26 | 48625 | SH | DEFINED 01 1 |
| ROYAL CARIBBEAN | COM | V7780T103 | 377 | 16700 | SH | DEFINED 01 |
| ROYAL CARIBBEAN | COM | V7780T103 | 29888 | 1325392 | SH | DEFINED 01 |
| ROYAL CARIBBEAN | COM | V7780T103 | 2849 | 126364 | SH | DEFINED 01 0 |
| ASE TEST LTD | COM | Y02516105 | 289 | 18500 | SH | DEFINED 01 |
| FLEXTRONICS INTL | COM | Y2573F102 | 11374 | 623220 | SH | DEFINED 01 |
| FLEXTRONICS INTL | COM | Y2573F102 | 9351 | 512400 | SH | DEFINED 01 0 |
| FLEXTRONICS INTL LTD | COM | Y2573F102 | 86 | 4700 | SH | DEFINED 01 0 |