## Edgar Filing: NEIMAN MARCUS GROUP INC - Form 4/A

## NEIMAN MARCUS GROUP INC

Form 4/A

November 22, 2002

## UNITED STATES SECURITIES AND

FORM 4

**EXCHANGE COMMISSION** 

[] Check this box if no

longer

Washington, D.C. 20549

subject to Section 16.

Form 4

or Form 5 obligations may

continue. See

Instruction 1(b).

STATEMENT OF CHANGES IN **BENEFICIAL OWNERSHIP** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

of 1935

or Section 30(f) of the Investment Company Act of 1940

**OMB APPROVAL** 

**OMB** 

3235-0287 No. Expires: January

Section 17(a) of the Public Utility Holding Company Act 31, 2005

Estimated average

burden

hours per response: .05

(Print or Type Response)

1. Nan	ne and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6. Relationship of Reporting Person(s to Issuer (check all applicable)		
Katz (Last)	<b>Karen</b> (First) (Middle)	The Neiman Marcus	Director 10% Owner		
The Neir 1618 Ma	nan Marcus Group, Inc. in St.	Group, Inc. (NMG.A) 3. IRS or Social Security Number of Reporting Persons (Voluntary)	X Officer Other (specify below)  President and CEO - Neiman Marcus Direct		
Dallas	(Street) Texas 752	4. Statement for Month/Day/Year September 20, 2002	7. Individual or Joint/Group Filing (Check Applicable)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person		
(City)	(State) (Z	ip) 5. If Amendment, Date of Original (Month/Day/Year) September 24, 2002			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of	2. Trans-	2A.	3. Transaction	4. Securities	5. Amount of	6. Ownership	7. Nature of
Security	action	Deemed	Code	Acquired (A)	Securities	Form:	Indirect
	Date	Execution	(Instr. 8)	or Disposed	Beneficially	Direct (D)	Bene-
		Date, if		of (D)	Owned	or Indirect	ficial
		Any		(Instr. 3, 4	Following	(I)	Ownership
							_

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(Month/ Day/ Year)	Code	V	and 5)  Amount (A) or (D) Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
			or (B) Trice			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title	2. Conver-	3. Trans-	3A.	4. Transac-	5. Number	6. Date Exer-	7. Title and Amount	8 Price
of Deriv-	sion or	action	Deemed	Code	of Deriv-	cisable and Ex-	of Underlying Sec-	of
ative Sec-	Exercise	Date	Execution		ative secur-		urities	Deriv-
		Date		(Instr. 8)		piration Date		
urity	Price of		Date, if		ities Acq-		(Instr. 3 and 4)	ative
(Instr. 3)	Deriv-		any		quired (A)			Secur-
	ative	0.5 1.7	0.5		or Disposed	01 15 1		ity
	Security	(Month/	(Month/		of (D)	(Month/Day/		
		Day/	Day/		(Instr. 3, 4	Year)		
		Year)	Year)		and 5)			
							Amount	
						Date Expira-	or Num-	
						Exer- tion	ber of	(Instr.5)
				Code V	$(A) \qquad (D)$	siable Date	Title Shares	
Nonqualified							Class A	
Stock							Common	
Option (1)	\$30.97	9-20-02	9-20-02	A	45,000	(1) 9-20-2008	Stock 45,000	\$30.97
•							1	
(2)								
(2)								
T 1	· D							

Explanation of Responses:

(1) Option granted pursuant to The Neiman Marcus Group, Inc. 1997 Incentive Plan, which includes tax withholding rights. Option vests on the third anniversary date of the grant and expires six years from the date of grant.

(2)

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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In an effort to provide timely disclosure, the Reporting Person mistakenly reported on a Form 4 filed on September 24, 2002 the acquisition of a derivative security (entitled in such Form 4 as a "Right to Receive Purchased Restricted Stock or Nonqualified Stock Option"). Because neither the quantity nor the price of the securities to be acquired had been determined with respect to such reported transaction, there was no derivative security, and as a result, no disclosure was required.

** Intentional misstatements or omission	<u>/s/ Karen</u>	November 22,
of facts constitute Federal Criminal	Katz	2002
Violations. See 18 U.S. 1001 and 15	**Signature of Reporting	Date
U.S.C. 78ff(a).	Person	

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedures.