## Edgar Filing: NEIMAN MARCUS GROUP INC - Form 4

## NEIMAN MARCUS GROUP INC

Form 4

April 26, 2002

## UNITED STATES SECURITIES AND

FORM 4

**EXCHANGE COMMISSION** 

[] Check this box if no

longer

Washington, D.C. 20549

**OMB APPROVAL** 

subject to Section 16.

Form 4

STATEMENT OF CHANGES IN **BENEFICIAL OWNERSHIP** 

or Form 5 obligations

continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act Estimated average burden

or Section 30(f) of the Investment Company Act of 1940

**OMB** No.

response:

3235-0287 Expires:

12/31/01

hours per

.05

(Print or Type Response)

1. Name a	nd Address o	of	2. Issuer Name and	6. Relationship of Reporting Person(s)					
Reporting I	Person*		Ticker or Trading Symbol to Issuer						
				(check all applicab	le)				
Tansky (Last) The Neim. 1618 Main S	Burton (First) an Marcus C	M. (Middle) Group, Inc.	The Neiman Marcus Group, Inc. (NMG.A) 3. IRS or Social Security Number of Reporting Persons (Voluntary)	X Director Owner X Officer (specify President and Chi Officer	10% Other below) ef Executive				
(Str	eet) Texas	75201	4. Statement for Month/Year April 2002	Person	/Group Filing One Reporting More than One				
(City)	(State)	(Zip)	5. If Amendment, Date of Original (Month/Year)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of	2. Trans-	3. Transacti	♦nSecurities Acquired	5. Amount of	6. Ownership	7. Nature of
Security	action	Code	(A)	Securities	Form:	Indirect
	Date	(Instr. 8)	or Disposed of (D)	Beneficially	Direct (D)	Bene-
			(Instr. 3, 4 and 5)	Owned at	or Indirect	ficial
				End of	(I)	Ownership
				Month		
				(Instr. 3 and	(Instr. 4)	(Instr. 4)
				4)		

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	(Month/ Day/ Year)	Code	V	Amount	(A) or (D)	Price			
Common Stock, Class A	4/10/02	M		10,000		\$12.00		D	
Common Stock, Class A	4/12/02	M		21,500	A	\$14.50		D	
Common Stock, Class A	4/10/02	S		10,000	D	\$38.4147		D	
Common Stock, Class A	4/12/02	S		15,000	D	\$38.0469		D	
Common Stock, Class A	4/12/02	S		10,500	D	\$38.48		D	
Common Stock, Class A	4/15/02	S		6,500	D	\$37.0595	33,000	D	
Common Stock, C 1 a s s A (Restricted)							12,000	D	
Common Stock, Class A (PARS)							27,900	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				•					•	•	Ī	
1. Title	2. Conver-	3. Trans-	4. Tı	ān Macmbe	<b>6</b> r. Date	Exer-	7. Title and	d	8. Price	9. Num-	10. Own-	11. Na-
of Deriv-	sion or	action	Co	do <del>f</del>	cisable	and Ex-	Amount		of	ber of	ership	ture of
ative Sec-	Exercise	Date	(In	Deriv-	piration	n Date	of Underly	ing	Deriv-	Deriva-	Form of	Indirect
urity	Price of		8)	ative			Sec-		ative	tive	Deriva-	Benefi-
(Instr. 3)	Deriv-			secur-	(Month	/Day/	urities		Secur-	Secur-	tive	cial
	ative	(Month/		ities	Year)		(Instr. 3 ar	nd 4)	ity	ities	Secu-	Owner-
	Security	Day/		Acq-					(Instr.	Bene-	rity:	ship
	·	Year)		quired					5)	ficially	Direct	(Instr.
				(A)						Owned	(D) or	4).
				or	Date	Expira-				at End	Indirect	
				Disposed	Exer-	tion		Amou	nt	of	(i)	
				of (D)	siable	Date		or		Month	Instr. 4	
			Cod	(Instr. 3,			Num-			(Instr. 4)		
				4				ber				
			V	and 5)			of					
							Title	Shar	es			
				(A)	(D)							
Employee												
Stock	\$12.00	4/10/02	M	10,000	9-21-19	93 -thru	Common	10,000	\$12.00		D	

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Option(1)						9-20-2002	Stock					
Employee Stock Option(1)	\$14.50	4/12/02	M		21,500	9-17-1994 thru 9-16-2003	Common Stock	21,500	\$14.50	368,200	D	
Option(1)				Ħ		9-10-2003	Stock					
ı				H								

Explanation of Responses:

(1) Option granted pursuant to The Neiman Marcus Group, Inc. 1987 Stock Incentive Plan that includes tax withholding rights. Options vest at a rate of

20% per year beginning on the first anniversary date of the grant.

Reminder: Report on a separate line for		
each class of securities beneficially owned		
directly or indirectly.	Burton M.	April 24,
*If the form is filed by more than one	Tansky	2002
person, see Instruction 4(b)(v).	**Signature of Reporting	Date
** Intentional misstatements or omission of	Person	
facts constitute Federal Criminal		
Violations. See 18 U.S. 1001 and 15 U.S.C.		
78ff(a).		
If space is insufficient, see Instruction 6 for		
procedures.		