Edgar Filing: Clearfield, Inc. - Form 4

Form 4	1C.											
July 05, 2017	7											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
Washington, D.C. 20549							NGE C	COMMISSION	OMB Number:	3235-0287		
Check thi if no long	s box er STATEMENT OF CHANGES IN RENEFICIAL OWNERSHIP OF								Expires:	January 31, 2005		
Subject to Section 16. Form 4 or			SECURITIES						Estimated average burden hours per			
			Section 1	Section 16(a) of the Securities Exchange						response	0.5	
obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a	a) of the l		tility H	old	ing Con	ipany	Act of	1935 or Section	1		
(Print or Type R	Responses)											
Dense als Cherryl				2. Issuer Name and Ticker or Trading ymbol					5. Relationship of Reporting Person(s) to Issuer			
			Clearfie	Clearfield, Inc. [CLFD]					(Check all applicable)			
(Last)	(First) (M	Aiddle)	3. Date of	Earliest	t Tra	insaction			(0		,	
				nth/Day/Year) 30/2017					X Director 10% Owner X Officer (give title Other (specify below) below) Chief Executive Officer			
(Street) 4. If A				f Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Filed(Mor	ed(Month/Day/Year)					Applicable Line)			
X Form filed by O								one Reporting Person fore than One Reporting				
(City)	(State)	(Zip)	Tabl	a U Mar	- D.		G	· · · · · · · · · · · · · · · · · · ·		an Dan aff at al	ha Orana d	
-					11-D6			-	uired, Disposed of		-	
1.Title of Security (Instr. 3)	any		ned n Date, if Day/Year)	3.4. Securities AcquiredTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8)				d of (D)	Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A) T		Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)				
Common							(2)					
Stock (ESPP)	06/30/2017			А	V	463 <u>(1)</u>	А	\$ 11.22	581,964	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships								
i O	Director	10% Owner	Officer	Other					
Beranek Cheryl 7050 WINNETKA AVE. N. SUITE 100 BROOKLYN PARK, MN 55428	X		Chief Executive Officer						
Signatures									
Randy Dehmer by Power of Attorn Beranek	ney for C	heryl	07/05/2017						
**Signature of Reporting Pe		Date							
7050 WINNETKA AVE. N. SUITE 100 BROOKLYN PARK, MN 55428 Signatures Randy Dehmer by Power of Attorn Beranek	ney for C	heryl	07/05/2017						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchase of Common Stock through Employee Stock Purchase Plan for period January 1-June 30, 2017. In accordance with the ESPP, these shares were based on 85% of the closing price on June 30, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.