#### **OSHKOSH CORP**

Form 4

August 03, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instruction

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Moynihan Colleen R.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle) (Last) (First)

OSHKOSH CORP [OSK] 3. Date of Earliest Transaction

(Month/Day/Year)

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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response...

Estimated average

burden hours per

C/O OSHKOSH CORPORATION, 2307 OREGON

**STREET** 

08/01/2016

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

below)

Senior VP, Quality & Cont Impr

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	08/01/2016		M	3,334	A	\$ 31.07	12,334.2	D	
Common Stock	08/01/2016		S	3,334	D	\$ 54.82	9,000.2	D	
Common Stock	08/01/2016		M	6,000	A	\$ 19.24	15,000.2	D	
Common Stock	08/01/2016		S	6,000	D	\$ 54.88	9,000.2	D	
Common Stock	08/01/2016		M	8,000	A	\$ 28.96	17,000.2	D	

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Common Stock S 8,000 D \$ 54.88 9,000.2 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction Derivative		6. Date Exer Expiration D (Month/Day,	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (2)	\$ 31.07	08/01/2016		M		3,334	(3)	07/14/2018	Common Stock	3,334
Options (2)	\$ 19.24	08/01/2016		M		6,000	<u>(4)</u>	09/19/2018	Common Stock	6,000
Options (2)	\$ 28.96	08/01/2016		M		8,000	(5)	09/17/2019	Common Stock	8,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Moynihan Colleen R. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

Senior VP, Quality & Cont Impr

**Signatures** 

Ignacio A. Cortina, for Colleen R.

Moynihan

08/03/2016

\*\*Signature of Reporting Person Date

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents weighted average price. Individual sales by lot ranged from \$54.85 to \$54.91. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 7/14/2012.
- (4) Options vest in one-third (1/3) annual increments commencing on 9/19/2012.
- (5) Options vest in one-third (1/3) annual increments commencing on 9/17/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.