

LAWSON PRODUCTS INC/NEW/DE/

Form 3/A

March 27, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â McCarthy Shane

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/14/2013

3. Issuer Name and Ticker or Trading Symbol

LAWSON PRODUCTS INC/NEW/DE/ [LAWS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other (specify below)

SVP, Operations

5. If Amendment, Date Original Filed(Month/Day/Year)

05/14/2013

C/O LAWSON PRODUCTS,
INC.,Â 8770 WEST BRYN
MAWR AVENUE, SUITE 900

(Street)

CHICAGO,Â ILÂ 60631

(City)

(State)

(Zip)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, \$1.00 par value

1,842

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Award	12/31/2013	12/31/2013	Common Stock	533 ⁽¹⁾	\$ 0	D	Â
Restricted Stock Award	12/31/2014	12/31/2014	Common Stock	636 ⁽¹⁾	\$ 0	D	Â
Stock Performance Rights	02/25/2012	02/25/2019	Common Stock	5,000 ⁽²⁾	\$ 19.62	D	Â
Stock Performance Rights	12/22/2012	12/22/2016	Common Stock	2,300 ⁽²⁾	\$ 17.65	D	Â
Stock Performance Rights	12/31/2014	10/02/2017	Common Stock	10,000 ⁽²⁾	\$ 10	D	Â
Stock Performance Rights	12/31/2015	12/31/2020	Common Stock	8,326 ⁽²⁾	\$ 12.18	D	Â
Market Stock Units	12/31/2015	12/31/2015	Common Stock	5,375 ⁽³⁾	\$ 0	D	Â
Stock Options	12/31/2012	12/31/2019	Common Stock	1,152 ⁽⁴⁾	\$ 14.04	D	Â
Stock Options	12/31/2014	10/02/2017	Common Stock	10,000 ⁽⁵⁾	\$ 10	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McCarthy Shane C/O LAWSON PRODUCTS, INC. 8770 WEST BRYN MAWR AVENUE, SUITE 900 CHICAGO, IL 60631	Â	Â	Â SVP, Operations	Â

Signatures

/s/ Neil E. Jenkins,
Attorney-in-Fact

03/27/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the right to receive shares of common stock at the exercise date in an amount equal to the number of restricted stock awards.

(2) Represents the right to receive cash in an amount equal to the appreciation in the common stock above the exercise price at the date the reporting person exercises the right.

(3)

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Represents the right to receive an amount of shares of common stock, up to the amount set forth in the table, based upon the appreciation of the common stock from the grant date to December 31, 2015.

- (4) Represents the right to purchase one share of common stock in exchange for the exercise price of \$14.04 at the date the reporting person exercises the right.
- (5) Represents the right to receive shares of common stock equal in value to the appreciation of the common stock from the exercise price of \$10.00 at the date the reporting person exercises the right.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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