HUNTER STEVEN L Form 4 May 14, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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obligations

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HUNTER STEVEN L			2. Issuer Name and Ticker or Trading Symbol STAGE STORES INC [SSI]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
2425 WEST LOOP SOUTH		Н	(Month/Day/Year) 05/11/2018	Director 10% Owner _X Officer (give title Other (specify below)  EVP, COO - Gordmans			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
HOUSTON, TX 77027							

(City)	(State) (Z	Zip) Table	I - Non-De	erivative Se	curities Ac	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitien(A) or Disp (D) (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		(A) or (D) Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	05/11/2018		M <u>(1)</u>	7,500 A	A \$0	109,920	D	
Common Stock	05/11/2018		D <u>(1)</u>	7,500 I	D \$ 2.67	102,420	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transactiomf Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Property Security (Institute)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2017 Restricted Stock Unit - 5/11/2017	(2)	05/11/2018		M <u>(1)</u>	7,500	(3)	(3)	Common Stock	7,500 (4)	:

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HUNTER STEVEN L 2425 WEST LOOP SOUTH HOUSTON, TX 77027

EVP, COO - Gordmans

## **Signatures**

/s/ R.E. Stasyszen, Attorney in Fact for Steven L. Hunter

05/14/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the vesting and cash settlement of restricted stock units ("RSU") in accordance with the terms of the award agreement granted on May 11, 2017.
- Each RSU represents the right to receive, at settlement, cash equal to the fair market value of one share of the Issuer's common stock on the vesting date. Upon vesting, each cash settlement shall not exceed five times the per share fair market value of the Issuer's common stock on the grant date multiplied by the number of RSUs vesting.
- (3) The RSUs vest 25% per year on the first four anniversary dates from the grant date of May 11, 2017.
- (4) Represents the portion of the cash-settled RSUs granted on May 11, 2017 that vested on May 11, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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