Edgar Filing: SEMROD T JOSEPH - Form 4

SEMROD T JOSEPH

Form 4 January 29, 2003

## FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

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> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Add			ame and Ti on Financia		F	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Semrod T. Joseph  (Last) (First) (Middle)  FleetBoston Financial Corporation 301 Carnegie Center				ortin	entification ng Person, (voluntary)		Mo	statement for nth/Day/Year 28/03	1	X Director			
(Street) Princeton, NJ 08543							Dat	f Amendment, e of Original onth/Day/Year)	() <u>&gt;</u> F	7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	7	Γab	le I Non-	Deriva	ative Sec	urities Acquired	l, Dispos	ed of, or Ber	eficially Owned		
	2. Trans- action Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8	3)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)			5. Amount of Securities Beneficially Owned Follow-		6. Owner- ship Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	(Car)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)		(I) (Instr. 4)			
Common Stock									200,200	D			
Common Stock									1,089	I	By Spouse		
Common Stock									1,359	I	By Spouse as Custodian		
Common Stock	01/28/03		S		90,000	D	\$25.60		220,102	I	By Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., puts, calls, warrants, options, convertible securities)

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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1. Title of	2. Conver-	3.	3A.	4.	5.		<ol><li>Date Exerc</li></ol>	isable	7. Tit	le and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Nun	mberand Expiration		Amo	unt of	Derivative	Derivative	Owner-	of Indirect	
Security	Exercise	action	Execution	action	of	Date			Unde	rlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Deri	ivati	(Medonth/Day/		Secui	rities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Secu	ariti	<b>Y</b> ear)		(Instr	. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acq	uire	d					Following	ative	
		Day/	Day/	8)	(A)	or						Reported	Security:	
		Year)	Year)		Disp	ose	đ					Transaction(s)	Direct	
					of (I	D)						(Instr. 4)	(D)	
												,	or	
					(Inst	tr.							Indirect	
					3, 4	&							(I)	
					5)								(Instr. 4)	
				Code V	(A)	(D)	Date	Expira-	Title	Amount	1			
								tion		or				
								Date		Number				
										of				
										Shares				

Explanation of Responses:

By: /s/ <u>T. Joseph Semrod</u> T. Joseph Semrod

01/28/03 Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).