

EATON VANCE CORP  
 Form 5  
 December 06, 2006

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**HIGDON LEO I JR**  
 (Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol  
**EATON VANCE CORP [EV]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**THE EATON VANCE BUILDING, 255 STATE STREET**  
 (Street)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
**10/31/2006**

**BOSTON, MA 021092617**  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting (check applicable line)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Eaton Vance Corp. Non-voting Common Stock				(A) or (D) Price	4,032	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

Edgar Filing: EATON VANCE CORP - Form 5

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
					(A) (D)	Date Exercisable Expiration Date	Title	
Option (right to buy)	\$ 10.58	Â	Â	Â	Â Â Â <sup>(1)</sup>	02/01/2010	Eaton Vance Corp. Non-voting Common Stock	5,672
Option (right to buy)	\$ 13.56	Â	Â	Â	Â Â Â <sup>(1)</sup>	12/15/2010	Eaton Vance Corp. Non-voting Common Stock	7,372
Option (right to buy)	\$ 17.83	Â	Â	Â	Â Â Â <sup>(1)</sup>	12/21/2011	Eaton Vance Corp. Non-voting Common Stock	12,000
Option (right to buy)	\$ 15.05	Â	Â	Â	Â Â Â <sup>(1)</sup>	12/20/2012	Eaton Vance Corp. Non-voting Common Stock	12,000
Option (right to buy)	\$ 18.14	Â	Â	Â	Â Â Â <sup>(1)</sup>	12/19/2013	Eaton Vance Corp. Non-voting Common Stock	12,000
Option (right to buy)	\$ 25.39	Â	Â	Â	Â Â Â <sup>(1)</sup>	12/17/2014	Eaton Vance	12,000

buy)  Option (right to buy)	\$ 27.58	Â	Â	Â	Â	Â	Â	Â <u>(1)</u>	12/16/2015	Corp. Non-voting Common Stock  Eaton Vance Corp. Non-voting Common Stock	8,200
--------------------------------------	----------	---	---	---	---	---	---	--------------	------------	--	-------

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HIGDON LEO I JR THE EATON VANCE BUILDING 255 STATE STREET BOSTON, MA 021092617	Â X	Â	Â	Â

## Signatures

By: Katie McManus, Attorney  
 in Fact 12/05/2006

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest over a 4 year period at 25% per year.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.