### ISERMAN LANCE E

Check this box

if no longer

subject to

Section 16.

Form 4

November 14, 2017

## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

**SECURITIES** 

See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * ISERMAN LANCE E |                      |  | 2. Issuer Name and Ticker or Trading Symbol AUTONATION, INC. [AN] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)            |  |  |
|---|----------------------|--|---|---|--|--|
| (Last)  | (Last) (First) (Midd |  | 3. Date of Earliest Transaction                                   | (eneck an applicable)   |  |  |
|   |                      |  | (Month/Day/Year)  | Director 10% Owner  |  |  |
| 200 SW 1ST AVE, SUITE 1600                                |                      |  | 11/10/2017  | X Officer (give title Other (specify below)   |  |  |
|   |                      |  |   | EVP, Sales & COO  |  |  |
|   | (Street)             |  | 4. If Amendment, Date Original                                    | 6. Individual or Joint/Group Filing(Check   |  |  |
|   |                      |  | Filed(Month/Day/Year)   | Applicable Line)  |  |  |
| FORT LAUDERDALE, FL 33301                                 |                      |  |   | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)   | (State)                                 | (Zip) Tab   | le I - Non-     | Derivativ               | e Secu                        | rities Acqui   | red, Disposed of,  | or Beneficial  | ly Owned  |
|--|---|---|-----------------|-------------------------|-------------------------------|----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)                 | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | omr Dispo<br>(Instr. 3, | esed of<br>4 and<br>(A)<br>or | 5)             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017                              |   | Code V          | Amount                  | (D)                           | Price \$ 34.09 | 4,860  | D  |   |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017                              |   | M               | 313                     | A                             | \$ 35          | 5,173  | D  |   |
| Common<br>Stock, par                                 | 11/10/2017                              |   | M               | 313                     | A                             | \$ 41.16       | 5,486  | D  |   |

## Edgar Filing: ISERMAN LANCE E - Form 4

| value<br>\$0.01 per<br>share                         |            |   |       |   |               |        |   |
|--|------------|---|-------|---|---------------|--------|---|
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017 | M | 313   | A | \$ 38.63      | 5,799  | D |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017 | M | 1,083 | A | \$ 43.45      | 6,882  | D |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017 | M | 1,083 | A | \$ 46.22      | 7,965  | D |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017 | M | 1,083 | A | \$ 47.25      | 9,048  | D |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017 | M | 1,083 | A | \$ 48.8       | 10,131 | D |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 11/10/2017 | S | 5,886 | D | \$<br>52.4588 | 4,245  | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number       | 6. Date Exercisable and | 7. Title and Amount of | 8  |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|----|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onof Derivative | Expiration Date         | Underlying Securities  | Γ  |
| Security    | or Exercise |                     | any                | Code       | Securities      | (Month/Day/Year)        | (Instr. 3 and 4)       | S  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Acquired        |                         |                        | (1 |
|             | Derivative  |                     |                    |            | (A) or          |                         |                        |    |

## Edgar Filing: ISERMAN LANCE E - Form 4

| Security   |          |            | Disposed of (D) (Instr. 3, 4, and 5) |         |                     |                    |  |  |
|--|----------|------------|--------------------------------------|---------|---------------------|--------------------|--|--|
|  |          |            | Code V                               | (A) (D) | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 34.09 | 11/10/2017 | M                                    | 313     | <u>(2)</u>          | 03/01/2022         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 313                                    |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 35    | 11/10/2017 | M                                    | 313     | <u>(2)</u>          | 03/01/2022         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 313                                    |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 41.16 | 11/10/2017 | M                                    | 313     | (2)                 | 03/01/2022         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 313                                    |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 38.63 | 11/10/2017 | M                                    | 313     | (2)                 | 03/01/2022         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 313                                    |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 43.45 | 11/10/2017 | M                                    | 1,083   | (3)                 | 03/01/2023         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 1,083                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 46.22 | 11/10/2017 | M                                    | 1,083   | (3)                 | 03/01/2023         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 1,083                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 47.25 | 11/10/2017 | M                                    | 1,083   | (3)                 | 03/01/2023         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 1,083                                  |
| Employee<br>Stock<br>Option<br>(right to         | \$ 48.8  | 11/10/2017 | M                                    | 1,083   | (3)                 | 03/01/2023         | Common<br>Stock, par<br>value<br>\$0.01 per          | 1,083                                  |

buy) share

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ISERMAN LANCE E 200 SW 1ST AVE SUITE 1600 FORT LAUDERDALE, FL 33301

EVP, Sales & COO

## **Signatures**

/s/ C. Coleman Edmunds, Attorney-in-Fact

11/14/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This transaction was executed in multiple trades at prices ranging from \$52.3538 to \$52.49. The price reported above reflects the
- (1) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (2) The option became exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2012.
- (3) The option became exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4