

AUTONATION, INC.

Form 3

June 05, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

ISERMAN LANCE E

(Last)

(First)

(Middle)

200 SW 1ST AVE, SUITE 1600

(Street)

FORT

LAUDERDALE, FL 33301

(City)

(State)

(Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/01/2017

3. Issuer Name and Ticker or Trading Symbol
AUTONATION, INC. [AN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

EVP, Sales & COO

6. Individual or Joint/Group

Filing (Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock, par value \$0.01 per share

3,673

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â <u>(1)</u>	03/01/2022	Common Stock, par value \$0.01 per share	313	\$ 34.09	D	Â
Employee Stock Option (right to buy)	Â <u>(1)</u>	03/01/2022	Common Stock, par value \$0.01 per share	313	\$ 35	D	Â
Employee Stock Option (right to buy)	Â <u>(1)</u>	03/01/2022	Common Stock, par value \$0.01 per share	313	\$ 41.16	D	Â
Employee Stock Option (right to buy)	Â <u>(1)</u>	03/01/2022	Common Stock, par value \$0.01 per share	313	\$ 38.63	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	03/01/2023	Common Stock, par value \$0.01 per share	1,083	\$ 43.45	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	03/01/2023	Common Stock, par value \$0.01 per share	1,083	\$ 46.22	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	03/01/2023	Common Stock, par value \$0.01 per share	1,083	\$ 47.25	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	03/01/2023	Common Stock, par value \$0.01 per share	1,083	\$ 48.8	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	03/03/2024	Common Stock, par value \$0.01 per	1,419	\$ 52.65	D	Â

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Employee Stock Option (right to buy)	Â <u>(3)</u>	03/03/2024	share Common Stock, par value \$0.01 per share	1,419	\$ 57.44	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	03/03/2024	Common Stock, par value \$0.01 per share	1,419	\$ 54.04	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	03/03/2024	Common Stock, par value \$0.01 per share	1,704	\$ 58.29	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	03/02/2025	Common Stock, par value \$0.01 per share	1,704	\$ 62.6	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	03/02/2025	Common Stock, par value \$0.01 per share	1,704	\$ 62.93	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	03/02/2025	Common Stock, par value \$0.01 per share	1,704	\$ 58.08	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	03/02/2025	Common Stock, par value \$0.01 per share	1,704	\$ 64.48	D	Â
Employee Stock Option (right to buy)	Â <u>(5)</u>	03/01/2026	Common Stock, par value \$0.01 per share	6,816	\$ 52.53	D	Â
Restricted Stock Units	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock, par value \$0.01 per share	6,034	\$ <u>(7)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ISERMAN LANCE E 200 SW 1ST AVE SUITE 1600 FORT LAUDERDALE, FL 33301	Â	Â	Â EVP, Sales & COO	Â

Signatures

/s/ C. Coleman Edmunds,
Attorney-in-Fact

06/05/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2012.
- (2) The option became exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2013.
- (3) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2014, subject to continuous employment with the Company.
- (4) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2015, subject to continuous employment with the Company.
- (5) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of March 1, 2016, subject to continuous employment with the Company.
- (6) The restricted stock units vest in 25% annual increments on each of the first four anniversaries of March 1, 2017, subject to continuous employment with the Company.
- (7) Each restricted stock unit represents a contingent right to receive one share of common stock, par value \$0.01 per share, of AutoNation, Inc. (the "Company"), or at the Company's election, the cash value thereof.

Â

Remarks:

ExhibitÂ Index

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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