

PEREZ ANTONIO M
Form 4
January 05, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
PEREZ ANTONIO M

(Last) (First) (Middle)

343 STATE STREET

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EASTMAN KODAK CO [EK]

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President, Chairman & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2009		M	(A) or (D) A	Amount 77,762.3605 (1) Price \$ 4.29	223,705.3605 (3)	D
Common Stock	12/31/2009		M	(A) or (D) D	Amount 29,145.3605 (2) Price \$ 4.29	194,560 (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title of Underlying Security (Instr. 3)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Option (right to buy)	\$ 30.96					<u>(4)</u> 04/01/2013	Common Stock
Option (right to buy)	\$ 24.49					<u>(5)</u> 11/18/2010	Common Stock
Option (right to buy)	\$ 31.71					<u>(5)</u> 12/09/2011	Common Stock
Option (right to buy)	\$ 26.47					<u>(6)</u> 05/31/2012	Common Stock
Option (right to buy) <u>(8)</u>	\$ 24.75					<u>(6)</u> 12/06/2012	Common Stock
Option (right to buy) <u>(8)</u>	\$ 25.88					<u>(6)</u> 12/11/2013	Common Stock
Option (right to buy) <u>(8)</u>	\$ 23.28					<u>(6)</u> 12/10/2014	Common Stock
Option (right to buy) <u>(8)</u>	\$ 7.41					<u>(6)</u> 12/08/2015	Common Stock
Option (right to buy)	\$ 4.54					<u>(7)</u> 10/13/2016	Common Stock
Stock Units	<u>(9)</u>					<u>(10)</u> <u>(10)</u>	Common Stock
Stock Units	<u>(9)</u>					<u>(10)</u> <u>(10)</u>	Common Stock
Stock Units <u>(11)</u>	<u>(9)</u>					<u>(10)</u> <u>(10)</u>	Common Stock
	<u>(9)</u>	12/31/2009		M		12/31/2009 ⁽¹⁴⁾ 12/31/2009 ⁽¹⁴⁾	

Restricted Stock Units <u>(12)</u>		77,762.3605 <u>(1)</u>			Comm Stoc
Restricted Stock Units <u>(13)</u>	<u>(9)</u>		12/31/2011 <u>(14)</u>	12/31/2011 <u>(14)</u>	Comm Stoc
Restricted Stock Units	<u>(9)</u>		<u>(15)</u>	<u>(15)</u>	Comm Stoc

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PEREZ ANTONIO M 343 STATE STREET ROCHESTER, NY 14650	X		President, Chairman & CEO	

Signatures

Patrick M. Sheller, as attorney-in-fact for Antonio M. Perez 01/05/2010

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting and distribution of shares of Leadership Stock 2007 Cycle
- (2) Payment of withholding taxes.
- (3) Some of these shares are restricted.
- (4) Employee stock option granted under the 1997 Stock Option Plan in a transaction exempt under Rule 16b-3. One-half of the options vest on the second anniversary of the date of grant; the balance vest on the fifth anniversary.
- (5) These options have vested.
- (6) These options vest one-third on each of the first three anniversaries of the date of grant.
- (7) One third vests on the 2nd anniversary of the grant date; one third vests on 3rd anniversary of the grant date; remaining third vests on the 4th anniversary of the grant date.
- (8) Stock option granted under the 2005 Omnibus Long-Term Compensation Plan.
- (9) These units convert on a one-to-one basis.
- (10) This date is not applicable to these units.
- (11) These units granted under the 2000 Omnibus Long-Term Compensation Plan; Leadership Stock Program, 2004-2005 cycle.
- (12) These units granted under the 2005 Omnibus Long-Term Compensation Plan; Leadership Stock 2007 cycle.
- (13) The effective date for these restricted stock units is January 1, 2009.
- (14) This is the date these restricted stock units will vest.
- (15) These units vest 50% on both the 3rd and 4th anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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