

Edgar Filing: AMCAST INDUSTRIAL CORP - Form 3

AMCAST INDUSTRIAL CORP
Form 3
December 20, 2002

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

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Dieckbernd,           Dale           A.
-----
(Last)                (First)        (Middle)
-----
1255 Oak Street, P.O. Box 1008
-----
(Street)
-----
Elkhart,      Indiana      46515
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(City)        (State)      (Zip)
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2. Date of Event Requiring Statement (Month/Day/Year)

December 11, 2002

3. IRS Identification Number of Reporting Person, if any entity (voluntary)

4. Issuer Name and Ticker or Trading Symbol

Amcast Industrial Corporation (AIZ)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director 10% Owner

Officer (give title below) Other (specify below)

V.P., Manufacturing, Flow Control

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6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check Applicable Line)

[X] Form filed by One Reporting Person

[] Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr.4) | 2. Amount of Securities Beneficially Owned (Instr.4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr.5) | 4. Nature of (Instr.5) |
|-----------------------------------|--|--|---------------------------|
| Common Stock | 854.366 | D(1) | |

(1) Shares acquired pursuant to Amcast Industrial Corporation 401(k) Salary Deferral Plan.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Potential persons who are to respond to the collection of information contained in this form are advised that the form displays a currently valid OMB control number.

Form 3 (continued)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership |
|---|---|-----------------|--|----------------------------|--|--------------|
| | Date | Expiration Date | Title | Amount or Number of Shares | | |
| Employee Stock Option(Right to Buy) | 8/22/98 | 8/21/07 | Common Shares | 2,000 | \$24.7188 | D |
| Employee Stock Option(Right to Buy) | 8/26/99 | 8/25/08 | Common Shares | 1,500 | \$17.1250 | D |
| Employee Stock Option(Right to Buy) | 8/25/00 | 8/24/09 | Common Shares | 1,500 | \$17.4375 | D |
| Employee Stock Option(Right to Buy) | 8/31/01 | 8/30/10 | Common Shares | 1,500 | \$11.8125 | D |
| Employee Stock Option(Right to Buy) | 8/22/02 | 8/21/06 | Common Shares | 5,000 | \$ 8.4900 | D |
| Employee Stock Option(Right to Buy) | 8/20/03 | 8/19/07 | Common Shares | 5,000 | \$ 3.5250 | D |

Explanation of Responses:

/s/ Dale A. Dieckbernd

12/12/02

**Signature of Reporting Person

Date

**Intentional misstatement or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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