Northwest Bancshares, Inc. Form 4

November 16, 2016

## **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Scalise Jonathan P Issuer Symbol Northwest Bancshares, Inc. [NWBI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title . \_ Other (specify 100 LIBERTY STREET 11/14/2016 below)

|          |                                | EVP Business Development                                |
|----------|--------------------------------|---|
| (Street) | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check               |
|          | Filed(Month/Day/Year)          | Applicable Line) _X_ Form filed by One Reporting Person |

WARREN, PA 16365

— Form filed by More than One Reporting Person

— Person

|                                      | Person                               |             |   |   |  |  |   |   |  |
|--------------------------------------|--------------------------------------|-------------|---|---|--|--|---|---|--|
| (City)                               | (State)                              | (Zip) Table | I - Non-De                              | rivative S  | ed, Disposed of, or Beneficially Owned |  |   |   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Da<br>(Month/Day/Year |             | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |                                      |             | Code V                                  | Amount  | or                                     | Price  | Transaction(s) (Instr. 3 and 4)               | (Instr. 4)  |  |
| Northwest<br>Bancshares,<br>Inc.     | 11/14/2016                           |             | X                                       | 1,124   | A                                      | \$ 9.79  | 19,723  | D   |  |
| Northwest<br>Bancshares,<br>Inc.     | 11/14/2016                           |             | X                                       | 1,124   | A                                      | \$ 7.48  | 20,847  | D   |  |
| Northwest<br>Bancshares,<br>Inc.     | 11/14/2016                           |             | X                                       | 1,828   | A                                      | \$ 11.49   | 22,675  | D   |  |
| Northwest<br>Bancshares,             | 11/14/2016                           |             | X                                       | 4,644   | A                                      | \$ 12.12   | 27,319  | D   |  |

Inc.

| Northwest<br>Bancshares, 11/14/2016<br>Inc. | S | 8,720 | D | \$<br>17.983 | 18,599            | D |       |
|---|---|-------|---|--------------|-------------------|---|-------|
| Northwest<br>Bancshares,<br>Inc.            |   |       |   |              | 33,331.142<br>(2) | I | 401-K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                       |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|---------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amoun<br>or<br>Number<br>of<br>Shares |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 9.79   | 11/14/2016                           |   | X                                      | 1,124   | 11/19/2009   | 11/19/2018         | Northwest<br>Bancshares,<br>Inc. common<br>stock              | 3,937                                 |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 7.48   | 11/14/2016                           |   | X                                      | 1,124   | 02/18/2010   | 02/18/2019         | Northwest<br>Bancshares,<br>Inc. Common<br>stock              | 3,937                                 |
| Stock<br>options<br>(Right to<br>BUy)               | \$ 11.49  | 11/14/2016                           |   | X                                      | 1,828   | 01/20/2011   | 01/20/2020         | Northwest<br>Bancvshares,<br>Inc. Common<br>stock             | 6,400                                 |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 12.12  | 11/14/2016                           |   | X                                      | 4,644   | 01/19/2012   | 01/19/2021         | Northwest<br>Bancshares,<br>Inc. Common<br>stock              | 6,500                                 |
|   |   |                                      |   |  |   |  |                    |   |                                       |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Scalise Jonathan P

100 LIBERTY STREET EVP Business Development

WARREN, PA 16365

## **Signatures**

Jonathan P. Scalise 11/16/2016

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Average price of 20 lots with a high of \$18.03 and a low of \$17.935.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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