

Williams Roland L.
Form 3
October 03, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Williams Roland L.

(Last) (First) (Middle)

8847 W. SAM HOUSTON
PKWY, N., SUITE 200

(Street)

HOUSTON,Â TXÂ 77040

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/01/2018

3. Issuer Name **and** Ticker or Trading Symbol
Allegiance Bancshares, Inc. [ABTX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

195,913

D

Â

Common Stock

3,492

I

By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	12/31/2013	12/30/2018	Common Stock	5,964	\$ 10.83	D	Â
Employee Stock Options (right to buy)	12/31/2014	12/30/2019	Common Stock	24,559	\$ 11.4	D	Â
Employee Stock Options (right to buy)	12/31/2015	12/30/2020	Common Stock	24,559	\$ 12.11	D	Â
Employee Stock Options (right to buy)	12/31/2016	12/30/2021	Common Stock	24,559	\$ 13.18	D	Â
Employee Stock Options (right to buy)	12/31/2017	12/30/2022	Common Stock	24,559	\$ 14.61	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Williams Roland L. 8847 W. SAM HOUSTON PKWY, N., SUITE 200 HOUSTON, TX 77040	Â X	Â	Â	Â

Signatures

/s/ Steven F. Retzloff,
Attorney-in-Fact

10/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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