#### TERRAFORM GLOBAL, INC.

Form 4

December 21, 2015

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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**OMB APPROVAL** 

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

(State)

**COMPTON CHRISTOPHER** 

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

TERRAFORM GLOBAL, INC.

[GLBL]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

12/17/2015

X\_ Director 10% Owner Officer (give title Other (specify

7550 WISCONSIN AVENUE, 9TH

(Middle)

(Zip)

**FLOOR** 

(Last)

(City)

Security

(Instr. 3)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BETHESDA, MD 20814

1. Title of 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. (Month/Day/Year) Execution Date, if

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned (I) Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

Reported Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if TransactiorDerivative

5. Number of

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. 1 Underlying Securities

### Edgar Filing: TERRAFORM GLOBAL, INC. - Form 4

| Security (Instr. 3)  | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8 | 8) | Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     | (Month/Day/Year)    |                    | (Instr. 3 and 4)           |                                     | ( |
|--|---|------------|-------------------------|-------------------|----|--|-----|---------------------|--------------------|----------------------------|-------------------------------------|---|
|  |   |            |                         | Code              | V  | (A)  | (D) | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |   |
| Restricted<br>Stock<br>Units<br>(Class A<br>common<br>stock) | (1)   | 12/17/2015 |                         | A                 |    | 14,700   |     | (2)                 | (2)                | Class A<br>Common<br>Stock | 14,700                              |   |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

COMPTON CHRISTOPHER
7550 WISCONSIN AVENUE
9TH FLOOR
BETHESDA, MD 20814

# **Signatures**

Yana Kravtsova as attorney-in-fact for Christopher A. Compton

12/21/2015

(In

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 17, 2015, 14,700 restricted stock units were awarded under the 2014 Long-Term Incentive Plan in a transaction exempt (1) under rule 16b-3. Each restricted stock unit represents a contingent right to receive one share of TerraForm Global, Inc. Class A Common
- (2) The 14,700 restricted stock units vest on November 20, 2016. Vested shares will be delivered to the reporting person as outlined in the Restricted Stock Unit Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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