## Edgar Filing: PAPPAS CHRISTOPHER JAMES - Form 4

PAPPAS C Form 4 March 06, 2 FORM Check t if no lon subject Section Form 4 Form 5 obligati may con See Inst 1(b).	<ul> <li>SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549</li> <li>F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES</li> <li>Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940</li> </ul>						OMB Number: Expires: Estimated burden ho response	ours per			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> PAPPAS CHRISTOPHER JAMES			2. Issuer Name <b>and</b> Ticker or Trading Symbol LUBYS INC [LUB]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 13111 NORTHWEST FREEWAY, SUITE 600			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>03/02/2018</li></ul>					X DirectorX 10% Owner X Officer (give title Other (specify below) President and CEO			
				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
HOUSTON	N, TX 77040							Form filed by I Person	More than One	Reporting	
(City)	(State)	(Zip)	Tal	ble I - Non-	-Derivative	e Secu	rities Acqu	uired, Disposed o	f, or Benefici	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	4. Securiti oror Dispose (Instr. 3, 4 Amount	ed of (	D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/02/2018			Р	75,000 (1)	А	\$ 2.7965	4,595,773	D		
Common Stock								1,067,197	I	By Pappas Restaurants Inc.	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
PAPPAS CHRISTOPHER JAMES 13111 NORTHWEST FREEWAY SUITE 600 HOUSTON, TX 77040		Х	President and CEO					
Signatures								
/s/ Christopher J. 03/0 Pappas 03/0	6/2018							

\*\*Signature of Reporting Date Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares were purchased into a tenants in common account in which Christopher Pappas holds a 50% pecuniary interest. The number of
   (1) shares reported represents only Christopher Pappas's 50% pecuniary interest in such account. Harris Pappas holds the other 50% pecuniary interest in such account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.