Edgar Filing: Feldman Jonathan - Form 4

Feldman Jona Form 4	athan										
February 22,	2019										
FORM	4		CECUE							OMB APPROVAL	
UNITED STATES SECUR				RITIES AND EXCHANGE COMMISSIC shington, D.C. 20549				COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or	er STATEM 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires:January 31, 2005Estimated averageburden hours per response0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	Responses)										
Feldman Jonathan Symb			Symbol	2. Issuer Name and Ticker or Trading mbol UBICON PROJECT, INC. [RUBI]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (N	(liddle)	3. Date of	Earliest Tra	ansaction			(Chec	k all applicable	e)	
	UBICON PROJE BLUFF CREEK H FLOOR		(Month/D 02/20/20	-				Director X Officer (give below) Co-General		o Owner er (specify cretary	
			endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check				
							Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
PLAYA VIS	STA, CA 90094							Person	Aore man One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year)		n Date, if	Code (D)			SecuritiesHBeneficially()OwnedHFollowing()Reported()	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
C				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	02/20/2019			А	61,000 (1)	А	(2)	179,411	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	Date	7. Title and J Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 4.92	02/20/2019		A	52,000	<u>(3)</u>	02/20/2029	Common Stock	52,000

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Feldman Jonathan C/O THE RUBICON PROJECT, INC. 12181 BLUFF CREEK DRIVE, 4TH FLOOR PLAYA VISTA, CA 90094			Co-General Counsel & Secretary				
Signatures							

/s/ Jonathan Feldman	02/22/2019		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units that vest as follows: 19,062 on May 15, 2020, 7,625 on each November 15 and May 15 thereafter until November 15, 2022, and 3,813 on May 15, 2023.
- (2) Granted as compensation for services.
- (3) 25% of the stock options will vest on February 1, 2020 and the remaining option shares will become exercisable in 36 equal installments each calendar month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.