#### Edgar Filing: Soroca Adam Lee - Form 4

| Soroca Adam<br>Form 4                                                                                                     |                                                                                           |                                                                                                                                                                                                                        |       |                                         |                                             |                                                                                                                                                           |            |                                                                                                                                                            |                                                                      |                                       |  |
|---------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----------------------------------------|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|---------------------------------------|--|
| January 17, 2<br>FORM                                                                                                     | <b>VI 4</b><br>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |                                                                                                                                                                                                                        |       |                                         |                                             |                                                                                                                                                           |            |                                                                                                                                                            |                                                                      | Number: 3235-028                      |  |
| if no long<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligation<br>may contin<br><i>See</i> Instruct<br>1(b). | Filed pu<br>Section 17                                                                    | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |       |                                         |                                             |                                                                                                                                                           |            |                                                                                                                                                            | burden hou<br>response                                               | Estimated average<br>burden hours per |  |
| (Print or Type R                                                                                                          | esponses)                                                                                 |                                                                                                                                                                                                                        |       |                                         |                                             |                                                                                                                                                           |            |                                                                                                                                                            |                                                                      |                                       |  |
| Soroca Adam Lee Syn                                                                                                       |                                                                                           |                                                                                                                                                                                                                        |       |                                         | Ticker or T                                 |                                                                                                                                                           | -          | 5. Relationship of Reporting Person(s) to<br>Issuer                                                                                                        |                                                                      |                                       |  |
| (Last)<br>C/O THE RU<br>INC., 12181<br>DRIVE, 4TH                                                                         | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>01/15/2019                         |                                                                                                                                                                                                                        |       |                                         |                                             | (Check all applicable)<br><u></u> Director <u></u> 10% Owner<br><u></u> Officer (give title <u></u> Other (specify<br>below)<br>Head of Global Buyer Team |            |                                                                                                                                                            |                                                                      |                                       |  |
|                                                                                                                           |                                                                                           |                                                                                                                                                                                                                        |       | endment, Date Original<br>nth/Day/Year) |                                             |                                                                                                                                                           |            | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |                                                                      |                                       |  |
| (City)                                                                                                                    | (State)                                                                                   | (Zip)                                                                                                                                                                                                                  | Table | e I - Non-D                             | erivative S                                 | Securit                                                                                                                                                   | ies Acq    | uired, Disposed of                                                                                                                                         | f, or Beneficial                                                     | ly Owned                              |  |
| 1.Title of<br>Security<br>(Instr. 3)                                                                                      | 2. Transaction Da<br>(Month/Day/Year                                                      | ) Execution any                                                                                                                                                                                                        |       | Code                                    | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4) | sposed                                                                                                                                                    | of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                                         | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                                       |  |
| Common<br>Stock                                                                                                           | 01/15/2019                                                                                |                                                                                                                                                                                                                        |       | F <u>(1)</u>                            | 45,813                                      | D                                                                                                                                                         | \$<br>4.02 | 233,478                                                                                                                                                    | D                                                                    |                                       |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

### **Reporting Owners**

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Under<br>Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|----------------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                 | (A) (D)                                                                                                                 | Date<br>Exercisable | Expiration<br>Date | Title          | Amount<br>or<br>Number<br>of<br>Shares |                                                     |                                                                            |

# **Reporting Owners**

| Reporting Owner Name / Address                                                                               |          | Relationships |                           |       |  |  |  |  |  |
|--------------------------------------------------------------------------------------------------------------|----------|---------------|---------------------------|-------|--|--|--|--|--|
|                                                                                                              | Director | 10% Owner     | Officer                   | Other |  |  |  |  |  |
| Soroca Adam Lee<br>C/O THE RUBICON PROJECT, INC.<br>12181 BLUFF CREEK DRIVE, 4TH FL<br>PLAYA VISTA, CA 90094 |          |               | Head of Global Buyer Team |       |  |  |  |  |  |
| Signatures                                                                                                   |          |               |                           |       |  |  |  |  |  |
| Jonathan Feldman,<br>attorney-in-fact 01                                                                     | /17/2019 |               |                           |       |  |  |  |  |  |
| **Signature of Reporting Person                                                                              | Date     |               |                           |       |  |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld by the issuer to cover the reporting person's tax liability incurred upon the vesting of the reporting person's restricted (1) stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.